

Sunshine Act Meetings

Federal Register

Vol. 53, No. 174

Thursday, September 8, 1988

This section of the FEDERAL REGISTER contains notices of meetings published under the "Government in the Sunshine Act" (Pub. L. 94-409) 5 U.S.C. 552b(e)(3).

FEDERAL LABOR RELATIONS AUTHORITY

Evidentiary Hearing

TIME AND DATE: 9:30 a.m., Wednesday, September 14, 1988.

PLACE: 500 C Street SW., Washington, DC, Room 229.

STATUS OF MEETING: Open to the public.

MATTERS TO BE CONSIDERED:

Authority Cases:

Service Employees' International Union, Local 556, AFL-CIO and Department of the Navy, Navy Exchange, Pearl Harbor, Hawaii, O-NG-796.

Service Employees' International Union, Local 556, AFL-CIO and Department of the Navy, Marine Corps Exchange 0911, Marine Corps Air Station, Kaneohe Bay, Hawaii, O-NG-737.

Service Employees' International Union, Local 556, AFL-CIO and Department of the Army, U.S. Army Support Command, Hawaii Fort Shafter, Hawaii, O-NG-750.

CONTACT PERSON FOR MORE

INFORMATION: Alicia Columna, Director of Case Control, Federal Labor Relations Authority, (202) 382-0764.

Date: September 2, 1988.

Clyde B. Blandford, Jr.,

Acting Executive Director.

[FR Doc. 88-20454 Filed 9-6-88; 9:17 am]

BILLING CODE: 6727-01-M

FEDERAL RESERVE SYSTEM BOARD OF GOVERNORS

TIME AND DATE: 11:00 a.m., Monday, September 12, 1988.

PLACE: Marriner S. Eccles Federal Reserve Board Building, C Street entrance between 20th and 21st Streets, NW., Washington, DC 20551.

STATUS: Closed.

MATTERS TO BE CONSIDERED:

1. Proposed Federal Reserve Bank salary structure adjustments. (This item was originally announced for a closed meeting on September 7, 1988.)
2. Personnel actions (appointments, promotions, assignments, reassignments, and salary actions) involving individual Federal Reserve System employees.
3. Any items carried forward from a previously announced meeting.

CONTACT PERSON FOR MORE

INFORMATION: Mr. Joseph R. Coyne, Assistant to the Board; (202) 452-3204. You may call (202) 452-3207, beginning at approximately 5 p.m. two business days before this meeting, for a recorded announcement of bank and bank holding company applications scheduled for the meeting.

Date: September 2, 1988.

James McAfee,

Associate Secretary of the Board.

[FR Doc. 88-20431 Filed 9-2-88; 5:02 pm]

BILLING CODE 6210-01-M

FEDERAL RESERVE SYSTEM BOARD OF GOVERNORS

TIME AND DATE: 10:00 a.m., Wednesday, September 14, 1988.

PLACE: Marriner S. Eccles Federal Reserve Board Building, C Street entrance between 20th and 21st Streets NW., Washington, DC 20551.

STATUS: Closed.

MATTERS TO BE CONSIDERED:

1. Personnel actions (appointments, promotions, assignments, reassignments, and salary actions) involving individual Federal Reserve System employees.
2. Any items carried forward from a previously announced meeting.

CONTACT PERSON FOR MORE

INFORMATION: Mr. Joseph R. Coyne, Assistant to the Board; (202) 452-3204. You may call (202) 452-3207, beginning at approximately 5 p.m. two business days before this meeting, for a recorded announcement of bank and bank holding company applications scheduled for the meeting.

Date: September 6, 1988.

James McAfee,

Associate Secretary of the Board.

[FR Doc. 88-20552 Filed 9-6-88; 4:02 pm]

BILLING CODE 6210-01-M

INTERNATIONAL TRADE COMMISSION

TIME AND DATE: Wednesday, September 7, 1988 at 2:00 p.m.

PLACE: Room 101, 500 E Street, SW., Washington, DC 20436.

STATUS: Open to the public.

MATTERS TO BE CONSIDERED

1. Agenda
2. Minutes
3. Ratifications
4. Petitions and Complaints

Inv. 701-TA-296(P) and 731-TA-420(P) (Certain Steel Wheels from Brazil)—briefing and vote.

6. Any items left over from previous agenda.

CONTACT PERSON FOR MORE

INFORMATION: Kenneth R. Mason, Secretary, (202) 252-1000.

Kenneth R. Mason,

Secretary.

August 29, 1988.

[FR Doc. 88-20456 Filed 9-6-88; 11:08 am]

BILLING CODE 7020-2-M

NATIONAL TRANSPORTATION SAFETY BOARD

"FEDERAL REGISTER" CITATION OF PREVIOUS ANNOUNCEMENT: Vol. 53, No. 164/Tuesday, August 24, 1988/32321.

PREVIOUSLY ANNOUNCED TIME AND DATE: 9:30 a.m., Tuesday, August 30, 1988.

CHANGE IN MEETING: A majority of the Board Members determined by recorded vote that the business of the Board required revising the agenda of this meeting and that no earlier announcement was possible. Items three and four have been deleted from the agenda.

FOR MORE INFORMATION, CONTACT: Bea Hardesty, (202) 382-6525.

Bea Hardesty,

Federal Register Liaison Officer.

August 30, 1988.

[FR Doc. 88-20429 Filed 9-2-88; 5:01 pm]

BILLING CODE 7533-01-M

NATIONAL TRANSPORTATION SAFETY BOARD

TIME AND DATE: 9:30 a.m. Tuesday, September 13, 1988.

PLACE: Board Room, Eighth Floor, 800 Independence Avenue SW., Washington, DC 20594.

STATUS: The first three items are open to the public. The last two items are closed to the public under Exemption 2 and 6 of the Government in Sunshine Act.

MATTERS TO BE CONSIDERED:

1. Hazardous Materials Incident Report: In-Flight Fire Aboard American Airlines Flight 132, Nashville, Tennessee, February 3, 1988
2. Safety Study: General Aviation Accidents Involving Visual Flight Rules Into Instrument Meteorological Conditions
3. NTSB's Combined Reply to FAA's Response to Safety Recommendations A-87-40, -41 and -42 (Mail Controls 88-359, 87-861 and 87-1380).

4. Discussion of:
 - a. Establishment of an additional "satellite field office" in Florida; and
 - b. Monetary Award for Senior Executive Service Personnel.

FOR MORE INFORMATION CONTACT: Bea Hardesty, (202) 382-6525.

Bea Hardesty,
Federal Register Liaison Officer,
September 2, 1988.

[FR Doc. 88-20430 Filed 9-2-88; 5:01 pm]

BILLING CODE 7533-01-M

OVERSEAS PRIVATE INVESTMENT CORPORATION

MEETING OF THE BOARD OF DIRECTORS

TIME AND DATE: 1:30 p.m. (closed portion), 3:00 p.m. (open portion), Tuesday, September 20, 1988.

PLACE: Offices of the Corporation, fourth floor Board Room, 1615 M Street NW., Washington, DC.

STATUS: The first part of the meeting from 1:30 p.m. to 3:00 p.m. will be closed to the public. The open portion of the meeting will commence at 3:00 p.m. (approximately).

MATTERS TO BE CONSIDERED (Closed to the public 1:30 p.m. to 3:00 p.m.):

1. Finance Project in Oceanian Country
2. Finance Project in West African Country
3. Finance Project in South American Country
4. Finance and Insurance Project in South American Country
5. Insurance Project in South American Country
6. Claims Report
7. Legislative Update
8. Finance Report
9. Finance and Insurance Reports

FURTHER MATTERS TO BE CONSIDERED (Open to the Public 3:00 p.m.):

1. Approval of the Minutes of the Previous Board Meeting
2. Approval of Proposed Regular Meetings of the Board

3. Treasurer's Report
4. Information Reports

CONTACT PERSON FOR INFORMATION: Information with regard to the meeting may be obtained from the Secretary of the Corporation, on (202) 457-7079.

Margaret A. Kole,
OPIC Corporate Secretary,
September 6, 1988.

[FR Doc. 88-20478 Filed 9-6-88; 11:08 am]

BILLING CODE 3210-01-M

TENNESSEE VALLEY AUTHORITY

"FEDERAL REGISTER" CITATION OF PREVIOUS ANNOUNCEMENT: To be published September 6, 1988.

PREVIOUSLY ANNOUNCED TIME AND DATE OF MEETING: 10 a.m. (c.d.t.) Wednesday, September 7, 1988.

PREVIOUSLY ANNOUNCED PLACE AND DATE OF MEETING: Itawamba Community College, Technical Building, Lecture Demonstration Room, 653 Eason Boulevard, Tupelo, Mississippi.

CHANGES IN THE MEETING:

Each member of the TVA Board of Directors has approved the addition of the following item to the previously announced agenda:

- B—Purchase awards
2. Requisition 67—Long Term Spot Coal for Paradise Steam Plant.

CONTACT PERSON FOR MORE

INFORMATION: Alan Carmichael, Manager, Public Affairs, or a member of his staff can respond to requests for information about this meeting. Call 615-632-8000, Knoxville, Tennessee. Information is also available at TVA's Washington Office, 202-245-0101.

Edward S. Christenbury,
General Counsel and Secretary to the Board.

[FR Doc. 88-20455 Filed 9-6-88; 11:08 am]

BILLING CODE 8120-01-M

FEDERAL MINE SAFETY AND HEALTH REVIEW COMMISSION

TIME AND DATE: 10:00 a.m., Thursday, September 8, 1988.

PLACE: Room 600, 1730 K Street, NW., Washington, DC.

STATUS: Open.

MATTERS TO BE CONSIDERED: The Commission will consider and act upon the following:

1. *Western Fuels-Utah*, Docket Nos. WEST 88-113-R, etc. (Issues include whether the Administrative Law Judge erred in concluding that Western Fuels violated section 115(a) of the Mine Act and 30 CFR § 49.7 by failing to "task train" a section foreman on a roof bolting machine before his operation on that machine.)
2. *Kaiser Coal Corporation*, Docket No. WEST 88-131-R. (Issues include whether the Commission has jurisdiction to entertain a request for declaratory relief independent of an enforcement action and whether the Administrative Law Judge erred in denying Kaiser's application for declaratory relief.)

Any person intending to attend this meeting who requires special accessibility features and/or auxiliary aids, such as sign language interpreters, must inform the Commission in advance of those needs. Subject to 29 CFR § 2706.150(a)(3) and § 2706.160(d).

CONTACT PERSON FOR MORE

INFORMATION: Jean Ellen (202) 653-5629/ (202) 566-2673 for TDD Relay.

Jean H. Ellen,

Agenda Clerk.

[FR Doc. 88-20518 Filed 9-6-88; 4:58 pm]

BILLING CODE 6735-01-M

Corrections

Federal Register

Vol. 53, No. 174

Thursday, September 8, 1988

This section of the FEDERAL REGISTER contains editorial corrections of previously published Presidential, Rule, Proposed Rule, and Notice documents and volumes of the Code of Federal Regulations. These corrections are prepared by the Office of the Federal Register. Agency prepared corrections are issued as signed documents and appear in the appropriate document categories elsewhere in the issue.

ENVIRONMENTAL PROTECTION AGENCY

[OPP-180785; FRL-3427-4]

Emergency Exemptions

Correction

In notice document 88-18031 beginning on page 30092 in the issue of Wednesday, August 10, 1988, make the following corrections:

1. On page 30093, in the first column, in paragraph 29, in the eighth line, the date should read "April 6, 1988".

2. On the same page, in the second column, in the ninth line, "lettuce" was misspelled.

BILLING CODE 1505-01-D

ENVIRONMENTAL PROTECTION AGENCY

[OPP-50675A; FRL-3434-7]

Issuance of an Experimental Use Permit; Genetically Engineered Microbial Pesticide

Correction

In notice document 88-19301 beginning on page 32440 in the issue of Thursday, August 25, 1988, make the following correction:

On page 32440, in the third column, in the eighth line from the bottom, "5x10¹⁶" should read "5x10¹⁰".

BILLING CODE 1505-01-D

ENVIRONMENTAL PROTECTION AGENCY

[OPTS-51710; FRL-3433-4]

Toxic and Hazardous Substances; Certain Chemicals Premanufacture Notices

Correction

In notice document 88-18955 beginning on page 31915 in the issue of Monday, August 22, 1988, make the following corrections:

1. On page 31916, in the second column, under P 88-1619, in the fourth line from the bottom, "toxicity" was misspelled.

2. On page 31917, in the second column, under P 88-1641, in the first line, "Hitachie" should read "Hitachi".

3. On page 31919, in the first column, under P 88-1678, in the third line, "bicycloalkene" should read "bicycloalkene".

4. On page 31920, in the first column, under P 88-1701, in the second line, "4-(4-Methyl-e-)" should read "4-(4-methyl-3-".

5. On the same page, in the third column, under P 88-1714, in the third line, "2,2,2-trifluor-4'-chloro-" should read "2,2,2-trifluoro-4'-chloro".

BILLING CODE 1505-01-D

GENERAL SERVICES ADMINISTRATION

48 CFR Parts 548 and 552

[GSAR Notice 5-257]

General Services Administration Acquisition Regulation; Value Engineering

Correction

In proposed rule document 88-19596 beginning on page 33155 in the issue of Tuesday, August 30, 1988, make the following correction:

On page 33156, in the first column, under ADDRESS, in the ninth line the phone number should read "(202) 523-3822".

BILLING CODE 1505-01-D

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Food and Drug Administration

21 CFR Part 12

[Docket No. 87N-0364]

Formal Evidentiary Public Hearing; Time Periods for Filing Exceptions to Initial Decisions and Replies to Exceptions

Correction

In rule document 88-17708 appearing on page 29453 in the issue of Friday, August 5, 1988, make the following correction:

In the second column, in the seventh line from the bottom, "sec. 44(b)" should read "sec. 24(b)".

BILLING CODE 1505-01-D

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Food and Drug Administration

[Docket No. 86P-0510]

Canned Green Beans Deviating From Identity Standard; Extension and Amendment of Temporary Permit for Market Testing

Correction

In notice document 88-18328 appearing on page 30716 in the issue of Monday, August 15, 1988, make the following correction:

In the second column, on the first line, "(HHF-414)," should read "(HFF-414).".

BILLING CODE 1505-01-D

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Food and Drug Administration

[Docket No. 86P-0369]

Canned Pacific Salmon Deviating From Identity Standard; Extension of Temporary Marketing Permit

Correction

In notice document 88-18330 beginning on page 30716 in the issue of Monday, August 15, 1988, make the following correction:

On page 30716, in the third column, the Docket Number in the heading should appear as set forth above.

BILLING CODE 1505-01-D

SMALL BUSINESS ADMINISTRATION

13 CFR Part 115

[Revision 2]

Surety Bond Guarantee

Correction

In rule document 88-19168 beginning on page 32195 in the issue of Wednesday, August 24, 1988, make the following correction:

PART 115—CORRECTED]

Appendix B—[Corrected]

On page 32209, in the second column, in paragraph f. in the 4th and 5th lines,

remove "Likewise, the file is to show subject contractor."

BILLING CODE 1505-01-D

DEPARTMENT OF TRANSPORTATION

Coast Guard

46 CFR Parts 31 and 91

[CGD 84-024]

RIN 2115-AB58

Intervals for Drydocking and Tailshaft Examination on Inspected Vessels

Correction

In rule document 88-18981 beginning on page 32225 in the issue of Wednesday, August 24, 1988, make the following corrections:

§ 31.10-21 [Corrected]

1. On page 32230, in § 31.10-21(a)(1), in Note 2 under table 31.10-21(a), in the first line, "internal" should read "external".

2. On the same page, in § 31.10-21(a)(2), in Note 2 under table 31.10-21(b), in the first line, "internal" should read "external".

§ 91.40-1 [Corrected]

3. On page 32231, in the third column, in amendatory instruction 16, in the fifth line, "(a)(20)" should read "(a)(2)".

BILLING CODE 1505-01-D

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Thursday
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Part II

Department of Transportation

Federal Aviation Administration

14 CFR Part 129

**Operations: Foreign Air Carriers and
Foreign Operators of U.S. Registered
Aircraft Engaged in Common Carriage;
Proposed Rulemaking**

DEPARTMENT OF TRANSPORTATION**Federal Aviation Administration****14 CFR Part 129**

[Docket No. 25693; Notice No. 88-14]

RIN 2120-AC42

Operations; Foreign Air Carriers and Foreign Operators of U.S.-Registered Aircraft Engaged in Common Carriage**AGENCY:** Federal Aviation Administration (FAA), DOT.**ACTION:** Notice of proposed rulemaking.

SUMMARY: This notice proposes to amend the foreign air carrier security regulations to require foreign air carriers, that land or take off in the United States, to submit to the FAA a written security program acceptable to the Administrator that describes the procedures, facilities, and equipment used by the foreign air carrier to provide for the safety of persons and property traveling in air transportation against acts of criminal violence and air piracy. This proposal is intended to ensure adequate security measures are being implemented by foreign air carriers who take off and land in the United States.

DATES: Comments must be received on or before November 7, 1988.

ADDRESSES: Comments on this notice are to be mailed in triplicate to: Federal Aviation Administration, Office of the Chief Counsel, Attention: Rules Docket (AGC-204), Docket No. 25693, 800 Independence Avenue, SW., Washington, DC 20591. Comments delivered must be marked Docket No. 25693. Comments may be inspected in the Rules Docket, Room 916, between 8:30 a.m. and 5:00 p.m. on weekdays, except Federal holidays.

FOR FURTHER INFORMATION CONTACT: Mr. David A. Smith, Domestic Civil Aviation Security Division (ACS-100), Federal Aviation Administration, 800 Independence Avenue, SW., Washington, DC 20591, telephone (202) 267-3947.

SUPPLEMENTARY INFORMATION:**Comments Invited**

Interested persons are invited to participate in this proposed rulemaking by submitting such written data, views, or arguments as they may desire. Comments relating to the environmental, energy, or economic effects that might result from the adoption of the proposal contained in this notice are invited. Communications should identify the regulatory docket or notice number and be submitted in duplicate to the address listed above. Commenters wishing the

FAA to acknowledge receipt of their comments submitted in response to this notice must submit with those comments a self-addressed, stamped postcard on which the following statement is made: "Comments to Docket No. 25693." The postcard will be date and time stamped and returned to the commenter. All communications received on or before the closing date for comments will be considered by the Administrator before taking action on the proposed rule. The proposals contained in this notice may be changed in light of comments received. All comments submitted will be available for examination in the Rules Docket both before and after the closing date for comments. A report summarizing each substantive public contact with FAA personnel concerned with this rulemaking will be filed in the docket.

Availability of NPRM

Any person may obtain a copy of this Notice of Proposed Rulemaking (NPRM) by submitting a request to the Federal Aviation Administration, Office of Public Affairs, Attention: Public Inquiry Center (APA-230), 800 Independence Avenue, SW., Washington, DC 20591, or by calling (202) 267-3484. Communications must identify the notice number of this NPRM. Persons interested in being placed on the mailing list for future NPRM's should also request a copy of Advisory Circular No. 11-2A, Notice of Proposed Rulemaking Distribution System, which describes the application procedures.

Background

Section 129.25(b) of the Federal Aviation Regulations (FAR) currently requires each foreign air carrier, that lands or takes off in the United States, to adopt and use a security program for each scheduled and public charter passenger operation as defined in § 129.25(a)(5) and (a)(6). Section 129.25(e) requires that each foreign carrier shall, upon the request of the Administrator and in accordance with applicable law, provide information regarding the implementation and operation of its security program.

These regulations were issued by the FAA in July 1975, consistent with its statutory responsibilities, at a time when aviation security had only recently become the focus of international attention. Indeed, at the time that the regulations were proposed, international standards on the subject of aviation security had yet to be adopted by the International Civil Aviation Organization (ICAO). The International Civil Aviation Organization adopted such standards and recommended

practices in 1974, but their scope was rather limited.

Today, security is a major concern of the international aviation community. Terrorist activity against international civil aviation has increased and grown more sophisticated over the past decade. The United States has supported the many actions taken by ICAO in this area, including significant enhancements of the security standards and recommended practices contained in Annex 17 to the Convention on International Civil Aviation ("Chicago Convention").

On the bilateral front, the United States has negotiated comprehensive security articles in U.S. air transport agreements with many of our aviation partners. We are also continuously working with other countries to improve the level of international aviation security including technical assistance and consultations.

The United States also has taken its own steps to protect against the worldwide terrorist threat. In 1985, Congress enacted the International Security Development and Cooperation Act (Pub. L. 99-83) which, among other things, requires the Department of Transportation periodically to assess the effectiveness of security measures maintained at foreign airports being served by U.S. air carriers and those from which foreign air carriers serve the United States. This Act requires that the standard for evaluating these airports be based upon, at a minimum, the standards and appropriate recommended practices contained in Annex 17.

In light of our experience since the initial issuance of these regulations, and in light of developments in U.S. domestic legislation and the international standards and recommended practices, the FAA believes that it would be appropriate to amend Part 129. The regulations contained in Part 129 implement not only U.S. statutory requirements, but also the provisions of Annex 17 with respect to foreign air carriers operating to or from the United States. As such, Part 129 provides an important means by which to ensure that those operations comply with international and domestic requirements. As already discussed, the security requirements contained in Part 129 were issued when Annex 17, and domestic aviation security regulations, were in their infancy. There is now a need to make Part 129 more detailed and comprehensive to better reflect the enhancements made in international security requirements.

These changes are also necessary to make Part 129 more consistent with the provisions of the International Security and Development Cooperation Act. That Act, as noted, amended section 1115 of the Federal Aviation Act to require security assessments of foreign airports served by U.S. carriers and from which foreign carriers serve the United States. Evaluations of the effectiveness of the security measures used by those foreign carriers at those airports is integral to an airport assessment. The proposed amendments will provide a better means of assuring that the purpose of this provision of the Act is fulfilled.

This proposal, if adopted, would ensure that adequate security measures are being implemented by foreign air carriers with respect to operations to and from the United States by requiring that their security programs be acceptable to the Administrator. The proposed rule would be consistent with U.S. international obligations. Under the Chicago Convention and U.S. bilateral air transport agreements, foreign carriers are required to comply with the laws and regulations governing admission to or departure from the United States and the operation and navigation of those aircraft while within U.S. territory. The current provisions of Part 129 and the proposed changes are in the category of those laws and regulations. In addition, many of the U.S. bilateral air transport agreements contain specific provisions requiring compliance with ICAO and domestic provisions governing aviation security. The implementation of these proposals, if adopted, would be done in accordance with these international obligations.

If the FAA adopts this proposal, a model Standard Security Program, which meets the requirements of the final rule and is based on the international security provisions established by ICAO, will be prepared. It will be available to foreign carriers for implementation at foreign air carrier stations within the United States and at stations that are a last point of departure to landing in the United States. Future changes to these security programs, consistent with U.S. international obligations, may be necessary to continue to ensure that adequate security measures are being taken by foreign air carriers.

This proposal is not unique to the United States. Other countries, including Canada and the Federal Republic of Germany, require air carriers operating to or from their countries to provide security programs for review and approval.

Under this proposal, no foreign air carrier may land or take off in the

United States from 90 days after the effective date unless the foreign air carrier has a security program acceptable to the Administrator. A foreign air carrier, currently conducting operations subject to this part, would be required to submit a proposed security program that meets the requirements of Part 129 to the Administrator no later than the effective date. It is anticipated that the effective date of the proposed rule would be 60 days after publication of the final rule in the Federal Register. The FAA does not anticipate that that this will impose a significant burden since foreign air carriers that land or take off in the United States and that meet the requirements of § 129.25(b) are required presently to adopt and use a security program; moreover, since a foreign air carrier is not required to have an acceptable security program until 90 days after the effective date, there should be adequate time in which to develop, modify, and submit a proposed security program. Unless otherwise authorized by the Administrator, foreign air carriers that want to begin operations after the effective date would be required to submit a proposed security program 90 days before passenger operations are scheduled to begin. Thereafter, the time schedules and procedures contained in this proposal would be followed for subsequent modifications of the foreign air carrier's security program. The proposed rule provides slightly extended timeframes from those contained in Part 108 of the Federal Aviation Regulations (FAR). The FAA invites comments on the proposed timeframes and appropriate alternatives.

Regulatory Evaluation

The proposal to amend Part 129 of the FAR would require each foreign air carrier, that lands or takes off in the United States, to submit a written security program to the FAA. These programs would be for implementation at foreign air carrier stations within the United States and stations that are the last point of departure prior to landing in the United States. The program would have to describe the procedures, facilities, and equipment used by the foreign air carrier to ensure the safety of passengers and property traveling on board its aircraft against acts of criminal violence and air piracy and be acceptable to the Administrator.

The FAA has determined that this proposal would affect the 111 foreign air carriers currently operating scheduled flights into the United States under Part 129. The regulatory evaluation prepared for this proposal estimates that the total cost of compliance to the affected 111

foreign air carriers with the security program requirements of this notice is \$669,120 in 1986 dollars. An additional 200 entities, whose operations are not included in § 129.25(b), are involved in small aircraft charter and air taxi operations to the United States on a nonscheduled basis. They are not now required to have security programs, and this proposal would not require them to have security programs.

The primary benefits of this proposal would be the prevention of potential fatalities, injuries, and property losses resulting from criminal acts and acts of terrorism perpetrated against domestic and foreign aviation interests. The FAA has not been able to quantitatively estimate the extent to which these proposals will be effective in deterring acts of criminal violence and air piracy. The FAA believes, however, that the estimated \$669,120 cost of compliance can be fully recovered if only one life, valued at \$1,000,000, is saved as a result of the prevention of an act of criminal violence or air piracy. Hence, if one fatality is prevented as a result of this proposal during the 10-year period following implementation of the rule, the benefits of this proposal will be 1.5 times greater than the cost.

Regulatory Flexibility Determination

The FAA has determined that pursuant to the Regulatory Flexibility Act of 1980, the proposed amendment does not affect U.S. enterprises and therefore will not have a significant economic impact, positive or negative, on a substantial number of small domestic entities.

International Trade Impact Analysis

This proposal affects foreign air carriers operating under Part 129. The average onetime cost impact of \$6,028 for each of the affected 111 foreign air carriers is considered negligible in comparison to the annual operating budgets of these carriers. Accordingly, this proposal, if adopted, would have little or no impact on trade opportunities for U.S. firms doing business overseas or for foreign firms doing business in the United States. Also, U.S. air carriers operating to foreign destinations already have detailed security programs fully implemented; therefore, should any foreign government apply a similar requirement as a result of this rule, costs to U.S. air carriers would be small.

Paperwork Reduction Act Approval

Section 129.25(e)(1) would require each foreign air carrier landing or taking off in the United States to submit a security program acceptable to the

Administrator to the FAA. In accordance with the Paperwork Reduction Act of 1980 (Pub. L. 96-511), the recordkeeping and reporting provisions contained in this NPRM have been submitted for approval to the Office of Management and Budget (OMB). Comments on these requirements should be submitted to the Office of Information and Regulatory Affairs (OMB), New Executive Office Building, Room 3001, Washington, DC 20503; Attention: FAA Desk Officer, telephone (202) 395-7340. A copy should be submitted to the FAA docket.

Federalism Implications

The regulations proposed herein would not have substantial direct effects on the states, on the relationship between the national government and the states, or on the distribution of power and responsibilities among the various levels of government. Thus, in accordance with Executive Order 12612, it is determined that such regulation does not have federalism implications warranting the preparation of a Federalism Assessment.

Conclusion

For the reasons discussed in the preamble, and based on the findings in the Regulatory Flexibility Determination and the International Trade Impact Analysis, the FAA has determined that this proposed regulation is not major under Executive Order 12291. Additionally, this proposal, if adopted, will not have a significant economic impact, positive or negative, on a substantial number of small entities under the criteria of the Regulatory Flexibility Act. This proposal is considered significant under DOT Regulatory Policies and Procedures (44 FR 11034; February 26, 1979). An initial regulatory evaluation of the proposal, including a Regulatory Flexibility Determination and Trade Impact Analysis, has been placed in the docket. A copy may be obtained by contacting the person identified under "FOR FURTHER INFORMATION CONTACT."

List of Subjects in 14 CFR Part 129

Aircraft, Air carrier, Airports, Aviation safety, Weapons.

The Proposed Amendments

In consideration of the foregoing, the FAA proposes to amend Part 129 of the Federal Aviation Regulations (14 CFR Part 129) as follows:

PART 129—OPERATIONS: FOREIGN AIR CARRIERS AND FOREIGN OPERATORS OF U.S.-REGISTERED AIRCRAFT ENGAGED IN COMMON CARRIAGE

1. The authority citation for Part 129 continues to read as follows:

Authority: 49 U.S.C. 1346, 1354(a), 1356, 1357, 1421, 1502, and 1511; 49 U.S.C. 106(g) (Revised Pub. L. 97-449, January 12, 1983).

2. Section 129.25 is amended by revising paragraph (b) introductory text, paragraph (b)(2), the introductory text of paragraph (c), paragraphs (d) and (e) to read as follows:

§ 129.25 Airplane security.

(b) After December 7, 1988, each foreign air carrier landing or taking off in the United States shall adopt and use a security program, acceptable to the Administrator, for each scheduled and public charter passenger operation, that meets the requirements of—

(2) Paragraph (c) of this section for each operation that will provide deplaned passengers access to a sterile area, if that access is not controlled by a certificate holder using an approved security program or a foreign air carrier using a security program acceptable to the Administrator required by this section;

(c) After December 7, 1988, in order to be acceptable to the Administrator, each security program required by paragraph (b) (1), (2), or (3) of this section must be designed to—

(d) After December 7, 1988, in order to be acceptable to the Administrator, each security program required by paragraph (b)(4) of this section must include the procedures used to comply with the applicable requirements of paragraph (h)(2) and (i) of this section regarding law enforcement officers.

(e) The following procedures apply for acceptance of a security program by the Administrator:

(1) Unless otherwise authorized by the Administrator, each foreign air carrier required to have a security program by paragraph (b) of this section shall submit its proposed security program to the Administrator by [effective date] or at least 90 days before the intended date of passenger operations, whichever is later. The proposed security program must be in English unless the Administrator requests that the proposed program be submitted in the official language of the foreign air carrier's country. The Administrator will

notify the foreign air carrier of the security program's acceptability, or the need to modify the proposed security program for it to be acceptable under this part, within 30 days after receiving the proposed security program. The foreign air carrier may petition the Administrator to reconsider the notice to modify the security program within 30 days after receiving the notice to modify.

(2) In the case of a security program previously found to be acceptable, the Administrator may subsequently find that the program will become unacceptable unless appropriate revisions are made in the interest of safety in air transportation or in air commerce and in the public interest within a specified period of time. In making such a finding the following procedures apply:

(i) The Administrator notifies the foreign air carrier, in writing, of a proposed finding of unacceptability, fixing a period of not less than 45 days within which the foreign air carrier may submit written information, views, and arguments on the proposed finding.

(ii) At the end of the comment period, after considering all relevant material, either the Administrator issues a finding of unacceptability, specifying appropriate revisions to make the program acceptable, or rescinds the proposed finding. If the Administrator issues a finding of unacceptability, the program becomes unacceptable 45 days thereafter, unless the foreign air carrier either—

(A) Revises the program so that it becomes acceptable to the Administrator and submits the revised program to the Administrator; or

(B) Petitions the Administrator to reconsider the finding of unacceptability, in which case the program remains unacceptable until the Administrator reconsiders the matter.

(3) If the Administrator finds that there is an emergency requiring immediate action with respect to safety in air transportation or in air commerce, the Administrator may issue an emergency notice of unacceptability. In such a case, the Administrator incorporates in the notice the finding of unacceptability, a brief statement of the reasons for the proposed revision, and appropriate revisions that would make the security program acceptable to the Administrator. The security program is considered to be unacceptable when the foreign air carrier receives the emergency notice of unacceptability unless immediate revisions are made to the security program. To ensure acceptability of the revision, the foreign

air carrier must submit a copy of it to the Administrator.

(4) A foreign air carrier must submit any amendments to its security program to the Administrator for a finding of acceptability. The proposed amendment must be filed with the Administrator at least 45 days before the date the foreign air carrier proposes for the amendment to become effective, unless a shorter period is allowed by the Administrator. Within 30 days after receiving a proposed amendment, the Administrator will notify the foreign air carrier whether the amendment is acceptable. The foreign air carrier may petition the Administrator to reconsider a notice of unacceptability of the proposed amendment within 45 days after receiving notice of unacceptability.

* * * * *
Issued in Washington, DC on August 31, 1988.

Raymond A. Salazar,

Director of Civil Aviation Security.

[FR Doc. 88-20319 Filed 9-7-88; 8:45 am]

BILLING CODE 4910-13-M

Registered Federal Trade

Thursday
September 8, 1988

Part III

Department of Education

Office of Special Education And
Rehabilitative Services

National Institute on Disability and
Rehabilitative Research; Consolidated
Application Package; Fiscal Year 1989;
Notice Inviting Applications

DEPARTMENT OF EDUCATION

Office of Special Education and
Rehabilitative ServicesNational Institute on Disability and
Rehabilitation Research; Consolidated
Application Package; Fiscal Year 1989

AGENCY: Department of Education.

ACTION: Notice inviting applications for
new awards under certain programs.

SUMMARY: The Secretary invites applications for new awards under the Rehabilitation Research and Training Center (RRTC) program, the Career Development and Research Training program, the Field-Initiated Research Projects program, the Innovation Grants program, and the Research Fellowship program of the National Institute on Disability and Rehabilitation Research (NIDRR).

This notice consists of four sections. Section I provides background information. Section II contains four lists of program application notices and application information that pertains to the programs in each list. Section III provides further guidance on the application process. Section IV contains the basic application forms and instructions for completing the forms. No separate application package is necessary to apply for awards under the programs announced in this notice.

DATES: The closing dates for transmitting applications under this notice are listed in Section II of this notice.

ADDRESS: Applications are to be mailed to the following address: U.S.

Department of Education, 400 Maryland Avenue, SW., Application Control Center, Washington, DC 20202-4725. See Section III for detailed information related to hand-delivered applications.

FOR FURTHER INFORMATION CONTACT:

The National Institute on Disability and Rehabilitation Research, 400 Maryland Avenue, SW., Washington DC 20202. Telephone: (202) 732-1207; deaf and hearing impaired persons may call (202) 732-1198 for TDD service.

SUPPLEMENTARY INFORMATION: The publication of these closing dates or these estimated funding levels does not bind the Department of Education to make awards in any of these categories, or to any specific number of awards or funding levels, unless otherwise specified in statute.

Paperwork Reduction Act of 1980

This combined application package contains no new information collection requirements. The information collection requirements contained herein have been approved by the Office of Management and Budget (OMB) under Control Number 1820-0027.

Section I—General

Application closing dates are listed in Section II of this notice. Closing dates and procedures may vary from program to program. The chart in Section II informs potential applicants of: Program titles; Catalog of Federal Domestic Assistance (CFDA) number; deadline for transmittal of applications (closing date); estimated available funds; estimated number of awards; estimated

range of awards; estimated size of awards; and expected project period.

This notice also contains a detailed description of the RRTC on Rehabilitation in the Pacific Basin, in accordance with the provisions of the Rehabilitation Act Amendments of 1986. Prospective applicants for that award under the RRTC program should prepare their applications based on that description. Interested parties should be aware that the Secretary intends to publish proposed and final priorities for other RRTC awards in a separate issue of the Federal Register.

Applicants may apply for awards under more than one program, and may apply for more than one award within a given program. However, applicants must submit a complete and separate application for each individual award for which they are applying. To ensure expeditious processing of their respective applications, applicants are particularly requested to make certain that the correct CFDA number for the program appears on each application. Following the chart is the specific program information on: the purpose of each program, applicable regulations, information contact persons, and any other program information and specific application requirements not covered in the application form in Section IV.

Section II—Program Application Notices

This section contains (1) a listing of application notices for new awards for fiscal year 1989, and (2) specific application information and requirements for individual programs.

NATIONAL INSTITUTE ON DISABILITY AND REHABILITATION RESEARCH INFORMATION FOR TRANSMITTAL OF APPLICATIONS UNDER
CERTAIN PROGRAMS FOR FISCAL YEAR 1989

CFDA No.	Program title	Deadline for transmittal of applications	Estimated available funds	Estimated number of awards	Estimated range of awards	Estimated average award	Project period (in months)
84.133B	Rehabilitation Research and Training Center	11-02-88	\$700,00	1	\$750,00	\$750,00	Up to 60.
84.133C	Innovation Grants Program	6-01-89	750,000	20	\$50,000	\$50,000	Up to 12.
84.133F	Research Fellowships	12-02-88	300,000	10	\$25,000 plus \$30,000 plus \$1,500 expenses.	\$27,500 plus \$1,500 expenses.	Up to 12.
84.133G	Field-Initiated	11-30-88	2,000,000	20	\$75,000 to \$125,000	\$100,000	Up to 36.
84.133P	Career Development Research Training	11-02-88	375,000	3	\$100,000 to \$125,000	\$125,000	Up to 36.

Title of Program: Rehabilitation Research and Training Centers
CFDA No.: 84.133B

Purpose: Rehabilitation Research and Training Centers (RRTCs) conduct coordinated and advanced programs of rehabilitation research, provide

training—including undergraduate, graduate, and in-service training—to research and other rehabilitation personnel, and assist individuals to more effectively provide rehabilitation services.

Applicable Regulations: (a) The regulations governing the RRTC program at 34 CFR Parts 350 and 352, and (b) the Education Department General Administrative Regulations at 34 CFR Parts 74, 75, 77, 78 and 80.

Contact Person: L. Deno Reed, National Institute on Disability and Rehabilitation Research, 400 Maryland Avenue, SW., Washington, DC, 20202. Telephone (202) 732-1193; deaf and hearing-impaired persons may call (202) 732-1198 for TDD services.

Program Authority: 20 U.S.C. 762(b)(1)

Supplementary Information and Requirements

Eligible Applicants: Under this program, awards are made to institutions of higher education, or to public or private agencies or organizations, including Indian tribes and tribal organizations, in affiliation with institutions of higher education. Applicant institutions need not be located currently in the Pacific Basin. However, clinical research, training, and other Center activities must be carried out in the Pacific Basin.

Special Requirements: The RRTC to be funded under this notice is for *Research on Rehabilitation Problems in the Pacific Basin*. In the Rehabilitation Act Amendments of 1984 (Pub. L. 90-221), the Congress mandated that NIDRR establish a Research and Training Center in the Pacific Basin.

In the Rehabilitation Act Amendments of 1986 (Pub. L. 99-506), the Congress further specified a scope of work for the Pacific Basin Center. The RRTC, in addition to meeting the statutory and regulatory requirements for all RRTCs must address effectively the following activities specified in the Rehabilitation Act Amendments of 1986:

- Develop a sound demographic database on the distribution of disabilities and related factors among various groups and localities in the region;
- Analyze, develop, and promote the utilization of appropriate technology to aid disabled persons;
- Develop a culturally relevant program to train personnel in the provision of rehabilitation services;
- Facilitate interagency communication and cooperation among rehabilitation-related agencies in the Pacific Basin and between the RRTC and other rehabilitation information resources, using advanced information technology; and
- Develop appropriate rehabilitation interventions and cost-effective service delivery models to improve rehabilitation in the Pacific Basin.

Selection Criteria: The Secretary uses the following criteria in 34 CFR 352.31 to evaluate an application under this program.

(a) Relevance and importance of the research program. (20 points)

The Secretary reviews each application to determine to what degree—

(1) The proposed activities are responsive to a priority established by the Secretary and address a significant need of a disabled target population and rehabilitation service providers;

(2) The overall research program of the Center includes appropriate interdisciplinary and collaborative research activities, is likely to lead to new and useful knowledge in the priority area, and is likely to become a nationally recognized source of scientific knowledge; and

(3) The applicant demonstrates that all component activities of the Center are related to the overall objective of the Center, and will build upon and complement each other to enhance the likelihood of solving significant rehabilitation problems.

(b) Quality of the Research design. (35 points)

The Secretary reviews each application to determine to what degree—

(1) The applicant proposes a comprehensive research program for the entire project period, including at least three interrelated research projects;

(2) The research design and methodology of each proposed activity are meritorious in that—

(i) The literature review is appropriate and indicates familiarity with current research in the field;

(ii) The research hypotheses are important and scientifically relevant;

(iii) The sample populations are appropriate and significant;

(iv) The data collection and measurement techniques are appropriate and likely to be effective;

(v) The data analysis methods are appropriate; and

(vi) The applicant assures that human subjects, animals, and the environment are adequately protected; and

(3) The application discusses the anticipated research results and demonstrates how those results would satisfy the original hypotheses and could be used for planning future research, including generation of new hypotheses where applicable.

(c) Quality of the training and dissemination program. (25 points):

The Secretary reviews each application to determine the degree to which—

(1) The proposed plan for training and dissemination provides evidence that research results will be effectively disseminated and utilized based on the identification of appropriate and accessible target groups; the proposed training materials and methods are

appropriate; the proposed activities are relevant to the regional and national needs of the rehabilitation field; and the training materials and dissemination packages will be developed in alternate media that are usable by people with various types of disabilities.

(2) The proposed plan for training and dissemination provides for—

(i) Advanced training in rehabilitation research;

(ii) Training rehabilitation service personnel and other appropriate individuals to improve practitioner skills based on new knowledge derived from research;

(iii) Training packages that make research results available to service providers, researchers, educators, disabled individuals, parents, and others;

(iv) Technical assistance or consultation that is responsive to the concerns of service providers and consumers; and

(v) Dissemination of research findings through publication in professional journals, textbooks, and consumer and other publications, and through other appropriate media such as audiovisual materials and telecommunications.

(d) Quality of the organization and management. (20 points):

The Secretary reviews each application to determine the degree to which—

(1) The staffing plan for the Center provides evidence that the project director, research director, training director, principal investigators, and other personnel have appropriate training and experience in disciplines required to conduct the proposed activities; the commitment of staff time is adequate to conduct all proposed activities; and the Center, as part of its nondiscriminatory employment practices, will ensure that its personnel are selected for employment without regard to race, color, national origin, gender, age, or handicapping condition.

(2) The budgets for the Center and for each component project are reasonable, adequate, and cost-effective for the proposed activities;

(3) The facilities, equipment, and other resources are adequate and are appropriately accessible to persons with disabilities;

(4) The plan of operators is adequate to accomplish the Center's objectives and to ensure proper and efficient management of the Center;

(5) The proposed relationships with Federal, State, and local rehabilitation service providers and consumer organizations are likely to ensure that the Center program is relevant and

applicable to the needs of consumers and service providers;

(6) The past performance and accomplishments of the applicant indicate an ability to complete successfully the proposed scope of work;

(7) The application demonstrates appropriate commitment and support by the host institution and opportunities for interdisciplinary activities and collaboration with other institutions and organizations; and

(8) The plan for evaluation of the Center provides for an annual assessment of the outcomes of the research, the impact of the training and dissemination activities on the target populations, and the extent to which the overall objectives have been accomplished.

Title of Program: Innovation Grants Program

CFDA No.: 84.133C

Purpose: This program is designed to provide financial support to projects that: (a) Test new concepts and innovative ideas; (b) demonstrate research results of high potential benefits; (c) purchase and evaluate prototype aids and devices; (d) develop unique rehabilitation training curricula; (e) conduct feasibility, planning, and evaluation studies and conferences, and other activities to disseminate specific research findings.

Applicable Regulations: (a) Program regulations at 34 CFR Parts 350 and 358, and (b) the Education Department General Administrative Regulations, 34 CFR Parts 74, 75, 77, 78, and 80.

Contact Person: National Institute on Disability and Rehabilitation Research, 400 Maryland Avenue, SW., Washington, DC 20002. Telephone: (202) 732-1207; deaf and hearing-impaired individuals may call (202) 732-1198 for TDD services.

Program Authority: 29 U.S.C. 762(b)(13)

Supplementary Information and Requirements

Eligible Applicants: Public and private nonprofit and for-profit agencies and organizations, institutions of higher education, and Indian tribes and tribal organizations are eligible to apply for awards under this program.

Selection Criteria: The Secretary evaluates applications under this program according to the following selection criteria in 34 CFR 358.31.

(a) **Importance of the project (50 points):** The Secretary reviews each application to determine to what degree the proposed activity will address a significant need of the target population and will meet the purpose of this part.

(b) **Project design or methodology (25 points):** The Secretary reviews each application to determine to what degree the underlying hypothesis or conceptual model is sound; the project design is likely to achieve the desired objectives; and the evaluation plan is appropriate.

(c) **Plan of operation (25 points):** The Secretary reviews each application to determine the extent to which the qualifications and background of the key personnel, the management and financial plan, and the capability and resources of the applicant organization demonstrate that the applicant will be able to carry out the proposed project.

Title of Program: Research Fellowships
CFDA No.: 84.133F

Purpose: The purpose of this program is to build research capacity by providing support to highly qualified individuals to perform research on the rehabilitation of disabled persons.

Applicable Regulations: The regulations in 34 CFR Parts 350 and 356 are applicable to this program.

Contact Person: Joseph Fenton, National Institute on Disability and Rehabilitation Research, 400 Maryland Avenue SW., Washington, DC 20002. Telephone: (202) 732-1143; deaf and hearing-impaired individuals may call (202) 732-1198 for TDD services.

Program Authority: 29 U.S.C. 761a(d)

Supplementary Information and Requirements

Eligible Applicants: Only individuals are eligible to apply for research fellowships under this program.

Selection Criteria: The Secretary evaluates applications for fellowships according to the following criteria in 34 CFR 356.30.

(a) Quality and level of formal education, previous work experience, and recommendations of present or former supervisors or colleagues that include an indication of the applicant's ability to work creatively in scientific research; and

(b) The quality of a research proposal of no more than 12 pages containing the following information:

(1) The importance of the problem to be investigated to the purpose of the Act and the mission of NIDRR.

(2) The research hypotheses or related objectives and the methodology and design to be followed.

(3) Assurance of the availability of any necessary data resources, equipment, or institutional support, including technical consultation and support where appropriate, required to carry out the proposed activity.

Title of Program: Field-Initiated Research Projects

CFDA No.: 84.133G

Purpose: This program is designed—(a)

To encourage eligible parties to originate valuable ideas for research and demonstration, development, or knowledge dissemination projects to improve the lives of individuals with disabilities, and (b) to support research and demonstration, development, or knowledge dissemination projects as described in program regulations that address important activities not supported by Institute-funded research or that complement that research in a promising way.

Applicable Regulations: (a) The regulations governing this program in 34 CFR Parts 350 and 357; (b) the Education Department General Administrative Regulations, 34 CFR Parts 74, 75, 77, 78, and 80.

Contact: National Institute on Disability and Rehabilitation Research, 400 Maryland Avenue SW., Washington, DC 20002. Telephone: (202) 732-1207; deaf and hearing impaired individuals may call (202) 732-1198 for TDD services.

Program Authority: 29 U.S.C. 762.

Supplementary Information and Requirements

Eligible Applicants: Parties eligible to apply for grants under this program are public and private for-profit or nonprofit agencies and organizations, including institutions of higher education and Indian tribes or tribal organizations.

Selection Criteria: The Secretary uses the following criteria in 34 CFR 357.32 to evaluate an application under this program.

(a) **Importance of the problem.** (20 points) The Secretary reviews each application to determine the extent to which—

(1) The proposed project addresses a problem that is significant to persons with disabilities or to those who provide services to them; and

(2) The proposed project is likely to produce new and useful knowledge, techniques, or devices that will develop or disseminate solutions to problems confronting persons with disabilities.

(b) **Design of the project.** (45 points)

(1) The Secretary reviews each application for a research and demonstration project to determine the extent to which—

(i) The review of the literature is appropriate and indicates familiarity with the relevant current research;

(ii) The research hypotheses are theoretically sound and based on current knowledge;

(iii) The sample populations are adequate and appropriately selected;
(iv) The data collection instruments and methods are appropriate and likely to be successful;
(v) The data analysis measures are appropriate; and

(vi) The application discusses the anticipated research results and demonstrates how those results would satisfy the original hypotheses.

(2) The Secretary reviews each application for a knowledge dissemination project to determine the extent to which—

(i) The need for the information has been demonstrated;

(ii) The target populations are appropriately specified;

(iii) The dissemination methods are appropriate to the target populations;

(iv) The materials for dissemination are prepared in media accessible to the target population;

(v) There are adequate means of documenting and evaluating the effectiveness of the dissemination activity.

(3) The Secretary reviews each application for a development project to determine the extent to which—

(i) The proposed project will use the most effective and appropriate technology available in developing the new device or technique;

(ii) The proposed development is based on a sound conceptual model that demonstrates an awareness of the state-of-the-art in technology;

(iii) Devices or techniques will be developed and tested in an appropriate environment;

(iv) The applicant considers the cost-effectiveness and usefulness of the device or technique to be developed for persons with disabilities; and

(v) The applicant discusses the potential for commercial or private manufacture, marketing, and distribution of the product.

(c) *Personnel.* (20 points) The Secretary reviews each application to determine the extent to which—

(1) The key personnel have adequate training and experience in the required discipline to conduct the proposed activities;

(2) The allotment of staff time is adequate to accomplish the proposed activities; and

(3) The applicant ensures that personnel are selected for employment without regard to race, color, national origin, gender, age, or handicapping conditions.

(d) *Management and Evaluation.* (15 points) The Secretary reviews each application to determine the extent to which—

(1) The resources of the applicant are adequate, appropriate, and accessible to individuals with disabilities;

(2) The proposed budget is adequate and appropriate for the activities to be carried out;

(3) There is a plan, appropriate to the type of field-initiated project, to evaluate the effectiveness of the project in accomplishing its goals and objectives;

(4) The applicant provides a plan of operations, appropriate to the type of field-initiated project, indicating that it will achieve the project objectives in a timely and effective manner; and

(5) Appropriate collaboration with other agencies is assured.

Title of Program: Career Development and Research Training Grants Program

CFDA No.: 84.133P

Purpose: The purpose of this program is to expand capability in the field of rehabilitation research by supporting projects that provide advanced training in rehabilitation research.

These projects provide research training and experience at an advanced level to individuals with doctorates or similar advanced degrees who have clinical or other relevant experience, including experience in management or basic science research, in fields pertinent to rehabilitation in order to qualify those individuals to conduct independent research on problems related to disability and rehabilitation.

Applicable Regulations: (a) Regulations governing this program in 34 CFR Parts 350 and 360, and (b) the Education Department General Administrative Regulations in 34 CFR Parts 74, 75, 77, and 78.

Contact Person: Inez Storck, National Institute on Disability and Rehabilitation Research, 400 Maryland Avenue SW., Washington, DC 20202-2646. Telephone: (202) 732-1202; deaf and hearing-impaired individuals may call (202) 732-1198 for TDD services.

Program Authority: 29 U.S.C. 761(a)(k).

Supplementary Information and Requirements

Eligible Applicants: Institutions of higher education are eligible to receive assistance under this program.

Selection Criteria: The Secretary uses the following criteria in 34 CFR 360.31 to evaluate applications under this program.

(a) *Importance and potential contribution.* (20 points) The Secretary reviews each application to determine to what degree—

(1) The applicant is responsive to any priority established under § 360.32;

(2) The applicant proposes to provide training in a rehabilitation discipline or area of study in which there is a shortage of qualified researchers, or to provide training to a trainee population in which there is a need for more qualified researchers, such as clinicians in rural areas, or clinicians who are directly experienced with underserved populations; and

(3) The applicant is likely to make a significant increase in the number of trained rehabilitation researchers.

(b) *Quality of proposed training program.* (40 points) The Secretary reviews each application to determine to what degree—

(1) The applicant's proposed recruitment program is likely to be effective in recruiting highly qualified trainees;

(2) The proposed didactic and classroom training programs emphasize scientific methodology, are multidisciplinary, comprehensive, and appropriate to the level of the trainees, and are likely to produce qualified independent researchers;

(3) The quality and extent of the academic mentorship, guidance, and supervision to be provided to each individual trainee are of a high level and are likely to produce highly qualified researchers;

(4) The type, extent, and quality of the proposed clinical and laboratory research experience, including the opportunity to participate in research on meaningful topics at an advanced level, are likely to develop individuals with the capacity to perform independent research; and

(5) The opportunities for collegial and collaborative activities, exposure to outstanding scientists in the field, and opportunities to participate in the preparation of scholarly or scientific publications and presentations are extensive and appropriate.

(c) *Personnel and resources committed to the project.* (30 points) The Secretary evaluates each application to determine to what degree—

(1) The activities of the project will be implemented by sufficient and qualified staff who are outstanding scientists in the field;

(2) The project director and other key staff are experienced in the delivery of advanced research training as well as knowledgeable about the methodology and literature of pertinent subject areas;

(3) All required disciplines are effectively included; and

(4) The applicant possesses the appropriate facilities, laboratories, and

access to clinical populations and organizations representing persons with disabilities to support the conduct of advanced clinical rehabilitation research.

(d) *Management and operating plans.* (10 points) The Secretary evaluates each application to determine to what degree—

(1) There is an effective plan of operation that ensures proper and efficient administration of the project;

(2) There is an effective plan for collaboration with other institutions of higher education and organizations whose participation is necessary to ensure effective classroom and clinical research training;

(3) The applicant, as part of its nondiscriminatory employment practices, will ensure that its personnel are selected without regard to race, color, national origin, gender, age, or handicapping condition;

(4) The applicant has provided an adequate plan for the use of facilities, resources, supplies, and equipment;

(5) The budget for the project is reasonable and adequate to support the proposed activities; and

(6) The applicant provides an appropriate plan for the evaluation of all phases of the project.

Section III—Instructions for Transmittal of Applications

Applicants are advised to reproduce and complete the application forms in Section IV. Applicants are required to submit an original and two copies of each application as provided in this Section.

Application Delivered by Mail

An application sent by mail must be addressed to the U.S. Department of Education, 400 Maryland Avenue SW., Application Control Center, Attention: (Appropriate CFDA No.), Washington, DC 20202.

An applicant must show proof of mailing consisting of one of the following:

(1) A legibly dated U.S. Postal Service postmark.

(2) A legible mail receipt with the date of mailing stamped by the U.S. Postal Service.

(3) A dated shipping label, invoice, or receipt from a commercial carrier.

(4) Any other proof of mailing acceptable to the Secretary of Education. If an application is sent through the U.S. Postal Service, the Secretary does not accept either of the following as proof of mailing: (1) A private meter postmark, or (2) a mail receipt that is not dated by the U.S. Postal Service.

An applicant should note that the U.S. Postal Service does not uniformly provide a dated postmark. Before relying on this method, an applicant should check with its local post office.

An applicant is encouraged to use registered or at least first class mail. Each late applicant will be notified that its application will not be considered.

Applications Delivered by Hand

An application that is hand-delivered must be taken to the U.S. Department of Education, Application Control Center, Room 3633, Regional Office Building #3, 7th and D Streets SW., Washington, DC.

The Application Control Center will accept hand-delivered applications between the hours of 8:00 a.m. and 4:30 p.m. (Washington, DC time) daily, except Saturdays, Sundays and Federal holidays.

An application that is hand-delivered will not be accepted after 4:30 p.m. on the closing date.

Authority: 29 U.S.C. 760-762.

(Catalog of Federal Domestic Assistance Nos. 84.133B, 84.133C, 84.133F, 84.133G, and 84.133P, National Institute on Disability and Rehabilitation Research)

Dated: August 30, 1988.

Patricia McGill Smith,

Deputy Assistant Secretary, Office of Special Education and Rehabilitative Services.

Frequent Questions

NIDRR staff are frequently asked to clarify or expand on information that is included in the application materials and the notices published in the *Federal Register*. In order to simplify this process for potential applicants and staff alike, the Institute discusses on the following pages some of the issues that these application materials frequently engender.

These questions are representative of those that are frequently raised, even though in many cases they are answered in the notices or application instructions. However, it appears that some of these issues are not explained sufficiently in the published notices, and that the requirements applying to the number of new and different NIDRR programs are confusing.

These questions and answers are meant to be informative, and are not regulatory requirements in and of themselves.

1. Can I Get An Extension of the Due Date?

No! On rare occasions the Department of Education may extend a closing date for all applicants. If that occurs, a notice of the revised due date is published in the *Federal Register*. However, there are

no extensions or exceptions to the due date made for individual applicants.

Further information about mailing is included in Section IV of this Consolidated Application Package.

2. What Should Be Included in the Application?

The applicant should include the materials specified in Section IV of this Consolidated Application Package. Specifically, the application should include a project narrative, vitae of key personnel, and a budget, as well as the Assurances forms included in this package. Vitae of staff or consultants should include the individual's title and role in the proposed project, and other information that is specifically pertinent to this proposed project. The budgets for both the first year and subsequent project years should be included.

If collaboration with another organization is involved in the proposed activity, the application should include assurances of participation by the other parties, including written agreements or assurances of cooperation.

It is *not* useful to include general letters of support or endorsement in the application.

If the applicant proposes to use unique tests or other measurement instruments that are not widely known in the field, it would be helpful to include the instrument in the application.

Many applications contain voluminous appendices that are helpful and in many cases cannot even be mailed to the reviewers. It is generally not helpful to include such things as brochures, general capability statements of collaborating organizations, maps, copies of publications, or descriptions of other projects completed by the applicant.

3. What Format Should Be Used for the Application?

NIDRR generally advises applicants that they may organize the application to follow the selection criteria that will be used. The specific review criteria vary according to the specific program, and are contained in this Consolidated Application Package.

4. May I Submit Applications to More Than One Program Competition in NIDRR or More Than One Application to a Program?

Yes, you may submit applications to any program for which they are responsive to the program requirements. You may submit the same application to as many competitions as you believe appropriate. You may also submit more

than one application in any given competition.

5. What is the Allowable Indirect Cost Rate?

The limits on indirect costs vary according to the program and the type of application.

Applications for Rehabilitation Research and Training Centers should limit indirect cost rates to fifteen percent of the grant award, as noted in the program regulations and statute.

Applications in other programs that are for training activities should limit indirect charges to the lesser of the actual indirect costs or eight percent of the total direct costs of the program, as noted in the Education Department General Administrative Regulations (EDGAR).

All other applicants should limit indirect charges to the organization's approved rate. If the organization does not have an approved rate, the application should include an estimated actual rate.

6. Can Profitmaking Businesses Apply for Grants?

Yes. However, for-profit organizations will not be able to collect a fee or profit on the grant, and in some programs will be required to share in the costs of the project.

7. Can Individuals Apply for Grants?

No. Only organizations are eligible to apply for grants under NIDRR programs.

8. Can Universities Apply Under the Fellowship Program?

No. Only individuals are eligible to apply for fellowships under the regulations governing that program.

9. Is there a Cost-Sharing or Matching Requirement?

Cost-sharing is required in the Research and Demonstration Projects program, with certain exceptions noted in the law, the Knowledge Dissemination and Utilization program, the Field-Initiated Research program, and the Career Development and Research Training program.

There are no cost-sharing requirements for the Innovation Grants or the Research Fellowship programs.

For the Rehabilitation Research and Training Centers and the Rehabilitation Engineering Centers, the Secretary has the option to require matching. It is generally the practice of the agency to require cost-sharing under these programs.

There is no set rate for cost-sharing. The cost-sharing is negotiated at the

time an award is made and is not part of the evaluation of the application.

10. What Are the Priorities in the Field-Initiated or Innovation Grants Programs?

There are no priorities in either the Field-Initiated Research or Innovation Grants programs. Applicants are free to submit applications in areas of interest to the investigator as long as they are related to the purposes of the program as described in the regulations.

11. Can NIDRR Staff Advise Me Whether My Project Is of Interest to NIDRR or Likely To Be Funded?

No. NIDRR staff can advise you of the requirements of the program in which you propose to submit your application. However, staff cannot advise you of whether your subject area is likely to receive approval in the investigator-initiated competitions.

12. How Do I Assure That My Application Will Be Referred to the Most Appropriate Panel for Review?

Applicants should be sure that their applications are referred to the correct competitions by clearly including the competition title and CFDA number, including alphabetical code, on the Standard Form 424.

Applicants should assist NIDRR in ensuring that their Field-Initiated and Innovation Grant applications are referred to the most appropriate panels by emphasizing the focus of the proposed activity in the abstract of the project on the Standard Form 424. It would be helpful if the applicant would not use acronyms in the title and abstract, unless those acronyms are in common usage nationally.

13. How Soon After Submitting My Application Can I Find Out if it Will Be Funded?

The time from closing date to grant award date varies from program to program and for other reasons. Generally speaking, NIDRR endeavors to have awards made within five to six months of the closing date. Unsuccessful applicants generally will be notified within that time frame as well. For the purpose of estimating a project start date, the applicant should estimate approximately six months from the closing date, but no later than the following September 30.

14. Can I Call NIDRR To Find Out if My Application Is Being Funded?

No! When NIDRR is able to release information on the status of grant applications, it will notify applicants by letter. The results of the peer review

cannot be released except through this formal notification.

15. If My Application Is Successful, Can I Assume I Will Get the Requested Budget Amount in Subsequent Years?

No. Those budget projections are necessary and helpful for planning purposes. However, a complete budget and budget justification must be submitted for each year of the project and there will be negotiations on the budget each year.

16. Will All Approved Applications Be Funded?

No. It often happens that the peer review panels approve for funding more applications than NIDRR can fund within available resources. Applicants who are approved but not funded are encouraged to consider submitting similar applications in future competitions.

Section IV Application Instructions and Forms

This application is divided into three parts. These parts are organized in the same manner that the submitted application should be organized. The parts are as follows:

Part I: Federal Assistance Face Sheet (Form SF 424) and instructions

Part II: Budget Information

Part III: Application Narrative

No grants may be awarded unless a completed application form has been received. (20 U.S.C. 1021, 1041; 34 CFR Part 778)

Submit the original and two copies to:

Mailing Address: U.S. Department of Education, Application Control Center, Attention: (CFDA #), 400 Maryland Avenue, SW., Washington, DC 20202

Hand Delivery: U.S. Department of Education, Application Control Center, Attention: (CFDA #), Room 3633, Regional Office Building #3, 7th & D Streets, SW., Washington, DC 20202

Instructions for Application for Federal Assistance (Nonconstruction Programs)

Introduction

The instructions which follow should be followed by all applicants in completing applications for all NIDRR research and demonstration grants.

SF 424 and Parts II, III, IVa, and V are to be used for new applications.

SF 424 and Parts II, III, IVb, and V are to be used for the usual noncompeting continuation requests.

Submit the original and two copies of the form. If an item cannot be answered or does not appear to be related or relevant to the assistance required, write "NA" for not applicable.

Submission of Applications

(1) Continuation Grants—Applicants for continuation grants must submit these forms not later than 90 days prior to the budget period end date.

(2) New Projects—Applicants for grants to support new projects should refer to program announcements for information regarding deadline dates for submission of forms.

Supplemental Instructions for Completion of Part I (SF 424)

Part I of this application consists of the standard face page for Federal applications, the standard instructions for the face page, and these supplemental instructions.

Applicant shall complete all items. If an item is not applicable, write "NA." If additional space is needed, insert an asterisk (*) and use the remarks section.

Item

1. Preprinted.

3a-b. Not applicable.

4a-4h. If the payee will be other than the applicant, enter in the remarks section "payee," the payee's name, department or division, complete address and employer identification number or ED entity number.

If an individual's name and/or title is desired on the payment instrument, the name and/or title of the designated individual must be specified.

6a. Preprinted.

6b. Enter specific program identifier; for example, Research and Training Center, Rehabilitation Engineering Center, Research and Demonstration, or Utilization and Dissemination.

11. Preprinted.

19. Preprinted.

Supplemental Instructions for Completion of Part II

Item 1—On the same page show the Catalog of Federal Domestic Assistance number, the program number, the type of assistance, the status, the amount of each project where there is related previous, pending, or anticipated assistance from another source.

Supplemental Instructions for Completion of Part III

This form is designed so that application can be made for funds to support one or more functions or activities. Generally, NIDRR funded programs do not require a breakdown

by function or activity. Therefore, only Line 1 need be completed.

Since NIDRR programs award funds to support activities for budget periods which are generally 12 months in duration, Section A, B, C, and D must provide budget information for the requested budget period. Section E should present the need for Federal assistance in subsequent budget periods.

Applicants for research grants are not required to complete information items related to non-Federal share. Rather, research cost sharing shall be negotiated separately with the funding office.

Section A—Budget Summary

Lines 1-4

Col. (a): Applicants may, at their discretion, present budget information for Section A (and Section B) in terms of major functions or activities. For example, common categories might be research and demonstration, dissemination, evaluation, or training.

Applicants who choose not to present budget information in terms of major functions and/or activities should enter Rehab. R&D on Line 1, Column (a) and note N/A on Lines 2-4.

Col. (b): Preprinted.

Col. (c)-(g): For new applications, leave Columns (c) and (d) blank. For each line entry, enter in Columns (e), (f), and (g) the appropriate amounts needed to support the project for the first budget period. Applicants for research grants should make no entries in Column (f).

For continuation applications, enter in Columns (c) and (d) the estimated amounts for funds which will remain unobligated at the end of the current budget period. Enter in Columns (e), (f), and (g) the appropriate amounts needed to support the project for the new budget period. (Applicants for research grants should make no entries in Column (d) or (f). Column (g) should equal the total of Column (e) and Column (f).)

For changes to existing grants, leave Columns (c) and (d) blank and enter in Columns (e) and (f) the amount of increase or decrease of Federal and non-Federal funds, as appropriate. Enter in Column g the new total budgeted amount (Federal and non-Federal) which includes the previously authorized total budgeted amounts for the current budget period plus or minus, as appropriate, the amounts shown in Columns (e) and (f). The amount(s) in Column (g) should not equal the sum of the amounts in Column (e) and (f). Applicants for research grants should make no entries in Column (d) or (f).

Line 5

Enter the totals for all columns completed.

Section B—Budget Categories

Columns 1-5

In the Column headings (1) through (4), enter the same title of the grant programs shown on Lines 1 through 4, Column (a), Section A. For each grant program or activity entered in Columns (1) through (4), enter the total requirements for Federal funds by object class categories and enter total in Column 5.

Allowability of costs are governed by applicable cost principles set forth in Subpart Q of 34 CFR Part 74.

Line 6a: Enter the total costs of salaries and wages of applicant/grantee staff. Do not include costs of consultants. (See Section F, Line 21, for additional requirements.)

Line 6b: Enter the total costs of fringe benefits unless treated as part of an approved indirect cost rate.

Line 6c: Enter total costs of out-of-town travel for employees of the project. Do not enter costs for consultant's travel or local transportation. (See Line 6h and Section F, Line 21, for additional instructions.)

Line 6d: Enter the total costs of all non-expendable personal property to be acquired by the project. "Non-expendable personal property" means tangible personal property having a useful life of more than one year and an acquisition cost of \$300 or more per unit. An applicant may use its own definition of non-expendable personal property, provided that such a definition would be at least include all tangible personal property as defined in the preceding sentence. (See Section F, Line 21, for additional requirements.)

Line 6e: Enter the total costs of all tangible personal property (supplies) other than that included on Line 6d.

Line 6f: Enter the total costs of all contracts, including (1) procurement contracts (except those which belong on other lines, such as equipment, supplies, etc.), and (2) contracts with secondary recipient organizations. Also include any contracts with organizations for the provisions of technical assistance. Do not include payments to individuals on this line. Attach a list of contractors, indicating the name of the organization, the purpose of the contract and the estimated dollar amount of award. (Note: Whenever the applicant/grantee intends to delegate part or all of the program to another agency, the applicant/grantee must submit Sections A and B of Part III, Budget Section,

completed for each delegate agency by agency title, along with the required supporting information referenced in the applicable instructions. The total costs of all such agencies will be part of the amount shown on Line 6(f).)

Line 6g: Enter the costs of renovation or repair. *New construction is unallowable.*

Line 6h: Enter the total of all other costs. Such costs, where applicable, may include, but are not limited to, insurance, fees and travel paid directly to individual consultants, local transportation (all travel which does not require per diem is considered local travel), space and equipment rentals, printing and publication, computer use, training costs, including tuition and stipends, training service costs, including wage payments to individuals and supportive service payments, and staff development costs.

Line 6i: Show the totals of Lines 6(a) through 6(h).

Line 6j: Enter the total amount of indicated costs. If no indirect costs are requested, enter "none." This line should be used only when the applicant (except local governments) has an indirect cost rate approved by the Department of Education. Local governments shall enter the amount of indirect costs determined in accordance with ED requirements.

Line 6k: Enter the total amounts of Lines 6(i) and 6(j). For all new and continuation applications and total amount shown in Column (5), Line 6(k), should be the same as the amount shown in Section A, Column (e), Line 5.

For all changes, the total of the amount shown in Columns (1) through (4) should equal the amount shown in Section A, Line 5(e). The amount shown in Column (5) should include the cumulative total of the previously approved Federal share for the current budget period plus or minus, as appropriate, the increase or decrease of Federal funds.

Line 7: Enter the estimated amount of income, if any, expected to be generated from this project. Do not add or subtract this amount from the total project amount. Show, in the program narrative statement, the nature and source of income.

Section C—Non-Federal Resources

Line 8: Enter amounts of non-Federal resources that will be used to support the project. (Applicants for research grants should not complete this Section but will negotiate appropriate cost sharing arrangements with the funding office.) Provide a brief explanation, on a separate sheet, showing the type of contribution, and whether it is in cash or in kind. If in kind is allowable and included, show the basis for computation including:

(1) Numbers and types of volunteers and rates at which their services are valued;

(2) Valuation of donated space (use only) including number of square feet and value assigned per square foot; and

(3) Determination of depreciation and use allowance for grantee-owned space;

(4) Type and value of other in kind contributions expected.

Column (a): Enter the program title or activities as in Column (a), Section A.

Column (b): Enter the amount of cash and in kind contributions to be made by the applicant.

Column (c): Enter the State contribution. If the applicant is a State agency, enter the non-Federal funds to be contributed by the State other than the applicant State Agency.

Column (d): Enter the amount of cash and in kind contributions to be made from all other sources.

Column (e): Enter the totals of Columns, (b), (c), and (d).

Section E—Budget Estimates of Federal Funds Needed for Balance of Project

Line 9: Enter in Column (a) the same program title or activities as in Column (a), Section A. For new applications and

continuation grant applications, enter in the proper columns amounts of Federal funds which will be needed to complete the program or project over the succeeding budget periods (usually in years). This Section need not be completed for revisions or supplements for the current budget period which do not increase the general level of support.

Section F—Other Budget Information

Line 10: Use this space to fully explain and justify the major items included in the budget categories shown in section B. Include sufficient detail to facilitate determination of allowability, relevance to the project, and cost benefits. Particular attention must be given to the explanation of any requested direct cost budget item which requires explicit approval by the program office. Budget items which require identification and justification shall include, but not be limited to, the following:

1. Salary amounts and percentage of time worked for project staff;
2. Out-of-town and foreign travel;
3. A list of all equipment to be purchased wholly or in part with grant funds which meets the definition of nonexpendable personal property provided on Line 6d, Section B;
4. Consultants: Fees, per diem, and travel;
5. Contractual; Major items or groups of smaller items; and
6. Other: Group and major categories; e.g., local transportation, space rental, training allowances, staff training, etc.

Line 11: Enter the type of indirect rate (provisional, pre-determined, final or fixed) that will be in effect during the funding period, the estimated amount of the base to which the rate is applied and the total indirect expense. Also, enter the date ED approved the rate, where applicable.

Line 12: Provide any other explanations required or deemed necessary.

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FEDERAL ASSISTANCE		2. APPLICANT'S APPLICATION IDENTIFIER	a. NUMBER	3. STATE APPLICATION IDENTIFIER	a. NUMBER
1. TYPE OF SUBMISSION (Mark appropriate box.)	☐ NOTICE OF INTENT (OPTIONAL) ☐ PREAPPLICATION ☒ APPLICATION	b. DATE Year month day 19	NOTE TO BE ASSIGNED BY STATE	b. DATE Year month day N/A	19
4. LEGAL APPLICANT/RECIPIENT		5. EMPLOYER IDENTIFICATION NUMBER (EIN)		6. PRO-GRAM (From CFDA)	
a. Applicant Name		b. DATE		a. NUMBER	
b. Organization Unit		c. County		8 4 * 1 3 3	
c. Street/P.O. Box		g. ZIP Code.		MULTIPLE ☐	
d. City				b. TITLE	
e. State				Rehabilitation Research	
f. Contact Person (Name & Telephone No.)					
7. TITLE OF APPLICANT'S PROJECT (Use section IV of this form to provide a summary description of the project.)		8. TYPE OF APPLICANT/RECIPIENT		9. AREA OF PROJECT IMPACT (Names of cities, counties, states, etc.)	
		A—State B—Insular C—Subsidiary D—County E—City F—School District G—Special Purpose District H—Community Action Agency I—Higher Educational Institution J—Indian Tribe K—Other (Specify): Enter appropriate letter ☐		10. ESTIMATED NUMBER OF PERSONS BENEFITING	
				11. TYPE OF ASSISTANCE	
				A—Basic Grant B—Supplemental Grant C—Loan D—Insurance E—Other Enter appropriate letter(s) ☐ A	
12. PROPOSED FUNDING		13. CONGRESSIONAL DISTRICTS OF:		14. TYPE OF APPLICATION	
a. FEDERAL \$.00		a. APPLICANT		A—New B—Renewal C—Revision D—Continuation E—Augmentation Enter appropriate letter ☐ A	
b. APPLICANT .00		b. PROJECT		17. TYPE OF CHANGE (For 14c or 14e) A—Increase Dollars B—Decrease Dollars C—Increase Duration D—Decrease Duration E—Cancellation F—Other (Specify): Enter appropriate letter(s) ☐	
c. STATE .00		15. PROJECT START DATE Year month day 19			
d. LOCAL .00		16. PROJECT DURATION Months 19			
e. OTHER .00		18. DATE DUE TO FEDERAL AGENCY Year month day 19			
f. Total \$.00					
19. FEDERAL AGENCY TO RECEIVE REQUEST		20. EXISTING FEDERAL GRANT IDENTIFICATION NUMBER		21. REMARKS ADDED	
U.S. Department of Education, Application Control Center, Washington, DC				☐ Yes ☐ No	
a. ORGANIZATIONAL UNIT (IF APPROPRIATE)		b. ADMINISTRATIVE CONTACT (IF KNOWN)			
c. ADDRESS					
22. THE APPLICANT CERTIFIES THAT:		a. YES, THIS NOTICE OF INTENT/PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON: DATE			
To the best of my knowledge and belief, data in this preapplication/application are true and correct, the document has been duly authorized by the governing body of the applicant and the applicant will comply with the attached assurances if the assistance is approved.		b. NO, PROGRAM IS NOT COVERED BY E.O. 12372 ☐ OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW ☐			
23. CERTIFYING REPRESENTATIVE		a. TYPED NAME AND TITLE		b. SIGNATURE	
24. APPLICATION RECEIVED 19		25. FEDERAL APPLICATION IDENTIFICATION NUMBER		26. FEDERAL GRANT IDENTIFICATION	
27. ACTION TAKEN		28. FUNDING		29. ACTION DATE	
☐ a. AWARDED		a. FEDERAL \$.00		19	
☐ b. REJECTED		b. APPLICANT .00		30. STARTING DATE	
☐ c. RETURNED FOR AMENDMENT		c. STATE .00		19	
☐ d. RETURNED FOR E.O. 12372 SUBMISSION BY APPLICANT TO STATE		d. LOCAL .00		31. CONTACT FOR ADDITIONAL INFORMATION (Name and telephone number)	
☐ e. DEFERRED		e. OTHER .00			
☐ f. WITHDRAWN		f. TOTAL \$.00		32. ENDING DATE	
				19	
				33. REMARKS ADDED	
				☐ Yes ☐ No	

Part II Project, Approval Information

Item 1

Is there related assistance on this
project previous, pending or
anticipated? ☐ Yes

See instructions for additional
information to be provided. ☐ No

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OMB Approval No. 0348-0044

BUDGET INFORMATION — Non-Construction Programs

SECTION A — BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1.		\$	\$	\$	\$	\$
2.						
3.						
4.						
5. TOTALS		\$	\$	\$	\$	\$

SECTION B — BUDGET CATEGORIES

Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1)	(2)	(3)	(4)	
a. Personnel	\$	\$	\$	\$	\$
b. Fringe Benefits					
c. Travel					
d. Equipment					
e. Supplies					
f. Contractual					
g. Construction					
h. Other					
i. Total Direct Charges (sum of 6a - 6h)					
j. Indirect Charges					
k. TOTALS (sum of 6i and 6j)	\$	\$	\$	\$	\$
7. Program Income	\$	\$	\$	\$	\$

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Prescribed by OMB Circular A-102

SECTION C - NON-FEDERAL RESOURCES

(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS
8.	\$	\$	\$	\$
9.				
10.				
11.				
12. TOTALS (sum of lines 8 and 11)	\$	\$	\$	\$

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT

(a) Grant Program	FUTURE FUNDING PERIODS (Years)			
	(b) First	(c) Second	(d) Third	(e) Fourth
16.	\$	\$	\$	\$
17.				
18.				
19.				
20. TOTALS (sum of lines 16-19)	\$	\$	\$	\$

SECTION F - OTHER BUDGET INFORMATION
(Attach additional Sheets if Necessary)

21. Direct Charges:	22. Indirect Charges:
23. Remarks	

**Instructions for Completion of Part IV—
Project Narrative for New and
Continuation Applications**

NIDRR recommends that the narrative section include the basic information described below and that applicants limit their applications according to the following guidelines:

- 100 pages for applications for Rehabilitation Research and Training Centers, Rehabilitation Engineering Centers, and Special Projects and Demonstrations for Spinal Cord Injury.

- 40 pages for applications under the Research and Demonstration Projects, Knowledge Dissemination and Utilization Projects, Field-Initiated Research Projects, and Research Training and Career Development Projects.

- 20 pages for applications under the Innovation Grants program.

- 12 pages, which is the regulatory limit, for applications under the Fellowship Program.

Should the proposed project be funded, the information provided in the

"project narrative" will form the basis for evaluating progress for continuation funding.

The narrative for new applications may be organized under the major headings of the selection criteria included in the regulations governing the specific programs. These selection criteria are included in this Consolidated Application Package.

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ASSURANCES — NON-CONSTRUCTION PROGRAMS

Note: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§ 4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§ 1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§ 6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§ 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. § 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply with the provisions of the Hatch Act (5 U.S.C. §§ 1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§ 276a to 276a-7), the Copeland Act (40 U.S.C. § 276c and 18 U.S.C. §§ 874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§ 327-333), regarding labor standards for federally assisted construction subagreements.

10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§ 1451 et seq.); (f) conformity of Federal actions to State (Clear Air) Implementation Plans under Section 176(c) of the Clear Air Act of 1955, as amended (42 U.S.C. § 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§ 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. 470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. 469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. 2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§ 4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984.
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE	
APPLICANT ORGANIZATION		DATE SUBMITTED

**IMPORTANT NOTICE
TO PROSPECTIVE PARTICIPANTS
IN USDE CONTRACT AND GRANT PROGRAMS**

GRANTS

Applicants for grants from the U.S. Department of Education (USDE) have to compete for limited funds.

Deadlines assure all applicants that they will be treated fairly and equally, without last minute haste.

For these reasons, USDE must set strict deadlines for grant applications. Prospective applicants can avoid disappointment if they understand that —

**Failure to meet a deadline will mean that an application will be rejected
without any consideration whatever.**

The rules, including the deadline, for applying for each grant are published, individually, in the Federal Register. A one-year subscription to the Register may be obtained by sending \$300.00 to: Superintendent of Documents, U.S. Government Printing Office, Washington, D.C. 20402-9371. (Send check or money order only, no cash or stamps.)

The instructions in the Federal Register must be followed exactly. Do not accept any other advice you may receive. No USDE employee is authorized to extend any deadline published in the Register.

Questions regarding submission of applications may be addressed to:

U.S. Department of Education
Application Control Center
Washington, D.C. 20202

CONTRACTS

Competitive procurement actions undertaken by the USDE are governed by the Federal Procurement Regulations and implementing ED Procurement Regulations.

Generally, prospective competitive procurement actions are synopsized in the Commerce Business Daily (CBD). Prospective offerors are therein advised of the nature of the procurement and where to apply for copies of the Request for Proposals.

Offerors are advised to be guided solely by the contents of the CBD synopsis and the instructions contained in the Request for Proposals (RFP). Questions regarding the submission of offers should be addressed to the Contracting Officer identified on the face page of the RFP.

Offers are judged in competition with others, and failure to conform with any substantive requirements of the RFP will result in rejection of the offer without any consideration whatever.

Do not accept any advice you receive that is contrary to instructions contained in either the CBD synopsis or the RFP. No USDE employee is authorized to consider a proposal which is non-responsive to the RFP.

A subscription to the CBD is available for \$173.00 per year via non-priority mail or \$243.00 per year via priority mail. Information included in the Federal Acquisition Regulations is contained in Title 48, Code of Federal Regulations, Chapter 1 (\$90.00). The foregoing publication may be obtained by sending your check or money order only, no cash or stamps, to:

Superintendent of Documents
U.S. Government Printing Office
Washington, D.C. 20402-9371

In an effort to be certain this important information is widely disseminated, this notice is being included in all USDE mail to the public. You may, therefore, receive more than one notice. If you do, we apologize for any annoyance it may cause you.