

China of gallium III/V compounds intended for light-emitting diodes and having all of the following characteristics:

- (a) Dislocation density (etch pit density-EPD) greater than 10,000 per cm²;
- (b) Carrier concentration greater than 1×10^{17} per cm³; and
- (c) Carrier mobility less than 3,000 cm²/V. sec.

18. In the Commodity Control List (Supplement No. 1 to section 399.1), Commodity Group 7, Chemicals, Metalloids, Petroleum Products and Related Materials, ECCN 4757B, "Single crystal sapphire substrates," is removed.

19. In the Commodity Control List (Supplement No. 1 to section 399.1), Commodity Group 7, Chemicals, Metalloids, Petroleum Products and Related Materials, ECCN 1760A is amended by adding a NOTE after the "List of Forms of Compounds of Tantalum and Niobium (Columbium) Controlled by ECCN 1760A" reading as follows:

1760A Compounds of tantalum and niobium (columbium).

* * * * *

List of Forms of Compounds of Tantalum and Niobium (Columbium) Controlled by ECCN 1760A

* * * * *

Note.—This ECCN 1760A does not cover single-crystal lithium niobate and single-crystal lithium tantalate. See paragraph (1) of the List of Compounds and Materials in ECCN 1757A.

20. In the Commodity Control List (Supplement No. 1 to section 399.1), Commodity Group 7, Chemicals, Metalloids, Petroleum Products and Related Materials, ECCN 1763A is amended by revising the heading, the "List of Fibrous and Filamentary Materials," the Technical Notes and Advisory Notes to read as follows:

1763A "Fibrous and filamentary materials" that may be used in composite structures or laminates, and such composite structures and laminates.

* * * * *

List of Materials Controlled by ECCN 1763A

(a) "Fibrous and filamentary materials" having both of the following characteristics:

- (1) "Specific modulus" greater than 3.18×10^6 m (1.25×10^6 in.);
- (2) "Specific tensile strength" greater than 7.62×10^4 m (3×10^4 in.);

(b) "Fibrous and filamentary materials" having both of the following characteristics:

- (1) "Specific modulus" greater than 2.54×10^6 m (1×10^6 in.);
- (2) Melting or sublimation point higher than 1,922 K (1,649°C) (3,000°F) in an inert environment, except:

(i) Carbon fibers having a "specific modulus" less than 5.08×10^6 m (2×10^6 in.) and a "specific tensile strength" less than 2.54×10^4 m (1×10^4 in.);

(ii) Multi-phase polycrystalline alumina fibers having a "specific modulus" less than 3.56×10^6 m (1.4×10^6 in.);

(c) Resin-impregnated fibers (prepregs) and metal-coated fibers (preforms) made with materials covered by paragraphs (a) or (b) above;

(d) Composite structures, laminates and manufactures thereof made either with an organic matrix or a metal matrix utilizing materials covered by paragraphs (a) or (b) above.

Technical Notes.—1. "Fibrous and filamentary materials" includes:

- (a) Continuous monofilaments,
- (b) Continuous yarns and rovings,
- (c) Tapes, woven fabrics and random mats,
- (d) Chopper fibers, staple fibers and coherent fiber blanket, and
- (e) Whiskers, either monocrystalline or polycrystalline, of any length.

2. "Specific modulus" is defined as Young's modulus in N/m² (lbs force/sq. in.) divided by specific weight in N/m³ (lbs force/cu. in.), measured at a temperature of (296 ± 2) K ($(23 \pm 2)^{\circ}$ C) ($(73.4 \pm 3.6)^{\circ}$ F) and a relative humidity of $(50 \pm 5)\%$.

3. "Specific tensile strength" is defined as ultimate tensile strength in N/m² (lbs force/sq. in.) divided by specific weight in N/m³ (lbs force/cu. in.) measured at a temperature of (296 ± 2) K ($(23 \pm 2)^{\circ}$ C) ($(73.4 \pm 3.6)^{\circ}$ F) and a relative humidity of $(50 \pm 5)\%$.

Advisory Note.—Licenses are likely to be approved for export to Country Groups QWY and the People's Republic of China for bona fide civil end-uses of carbon fibers covered by paragraphs (a) and (b) above and having both of the following characteristics:

- (a) "Specific modulus" less than 11.43×10^6 m (4.5×10^6 in.); and
- (b) "Specific tensile strength" less than 10.16×10^4 m (4×10^4 in.).

21. In the Commodity Control List (Supplement No. 1 to section 399.1), Commodity Group 7, Chemicals, Metalloids, Petroleum Products and Related Materials, ECCN 4799B is amended by revising the heading to read as follows:

4799B Chemical agents, including tear gas formulation containing 1 percent or less of orthochlorobenzalmononitrile (CS), or 1 percent or less of chloroacetophenone (CN), except in individual containers with a net weight of 20 grams or less; smoke bombs; non-irritant smoke flares, cannisters, grenades and charges; other pyrotechnic articles having dual military and commercial use; and fingerprinting powders, dyes and inks.

22. In the Commodity Control List (Supplement No. 1 to section 399.1), Commodity Group 8, Rubber and Rubber Products, ECCN 1801A is amended by

removing paragraph (a) from the "List of Types of Synthetic Rubber Controlled by ECCN 1801A," by redesignating paragraphs (b) and (c) as (a) and (b), and by removing the phrase "and polyisobutylene" from paragraph (d) and redesignating (d) as (c).

Authority: Secs. 5, 13 and 15, Pub. L. 96-72, 93 Stat. 503, as amended 50 U.S.C. app. 2401 et seq., Executive Order No. 12214 (45 FR 29783, May 6, 1980); Executive Order No. 12451 of December 20, 1983 (48 FR 56563, December 22, 1983).

Dated: March 26, 1984.

John K. Boidock,

Director, Office of Export Administration, International Trade Administration.

[FR Doc. 84-8438 Filed 3-29-84; 8:45 am]

BILLING CODE 3510-DT-M

COMMODITY FUTURES TRADING COMMISSION

17 CFR Parts 145, 146 and 147

Schedules of Fees for Requests for Commission Records, Reports of the Commission, and Transcripts of Commission Meetings; Correction

AGENCY: Commodity Futures Trading Commission.

ACTION: Final rules; corrections.

SUMMARY: This document corrects certain authority citations and errors contained in final regulations implementing schedules of fees for requests for Commission records, reports, and transcripts which were published October 11, 1983 (48 FR 46010). In addition, this document redesignates certain sections of Part 145. The purpose of the redesignations is to make citations to appendices more consistent throughout the Commission's regulations.

EFFECTIVE DATE: March 30, 1984.

FOR FURTHER INFORMATION CONTACT: Stacy Dean, Counsel to the Executive Director, Commodity Futures Trading Commission, 2033 K Street NW., Washington, D.C. 20581, 202-254-7360.

List of Subjects

17 CFR Part 145

Freedom of Information, Commission records and information, Fees.

17 CFR Part 146

Privacy records maintained on individuals, Fees.

17 CFR Part 147

Sunshine Act, Open Commission meetings, Fees for transcripts and tapes.

1. Accordingly, the Commission makes the following correction: In FR Doc. 83-27403 appearing on page 46010 in the *Federal Register* issue of October 11, 1983, the first full paragraph in the middle column on page 46011 is revised to read as follows: "In consideration of the foregoing, and pursuant to the authority contained in the Commodity Exchange Act and, in particular, 7 U.S.C. 4a(j) and in 7 U.S.C. 16a as amended by Pub. L. 97-444, 96 Stat. 2294 (1983), and in 5 U.S.C. 552, 5 U.S.C. 552a and 5 U.S.C. 552b, the Commission hereby amends Parts 145, 146 and 147 of Chapter 1 of Title 17 of the Code of Federal Regulations as follows:"

PART 145—COMMISSION RECORDS AND INFORMATION

2. Sections 145.9a 145.9b and 145.9c are redesignated as Appendix A, Appendix B and Appendix C to Part 145.

3. Headings of Appendix A, Appendix B and Appendix C to Part 145 are revised as follows:

Appendix A—Compilation of Commission Records Available to the Public

Appendix B—Schedule of Fees

Appendix C—Schedule of Fees for Reports

4. Section 145.3 is amended by removing the reference to "(17 CFR Part 145a)."

5. In § 145.7, paragraph (e) is amended by removing the reference to " (§ 145b)."

6. Section 145.8 is amended by removing the reference to "(17 CFR Part 145b)."

PART 146—RECORDS MAINTAINED ON INDIVIDUALS

7. In FR Doc. 83-27403 appearing on page 46010 in the *Federal Register* issue of October 11, 1983, item 4. under Part 146—RECORDS MAINTAINED ON INDIVIDUALS is corrected by changing "4. In Part 146, Appendix A is revised to read as follows:" to "4. In Part 146, subsections a. and b. of Appendix A are revised to read as follows:"

PART 147—OPEN COMMISSION MEETINGS

8. In § 147.9, paragraph (a) is amended by removing the reference to "17 CFR 145.9b" and inserting in lieu thereof "17 CFR Part 145, Appendix B."

Parts 145, 146 and 147 of Chapter 1 of Title 17 of the Code of Federal Regulations are amended pursuant to the authority contained in the Commodity Exchange Act and, in particular, 7 U.S.C. 4a(j) and in 7 U.S.C.

16a as amended by Pub. L. 97-444, 96 Stat. 2294 (1983), and in 5 U.S.C. 552, 5 U.S.C. 552a and 5 U.S.C. 552b.

Issued on March 23, 1984 in Washington, D.C.

Jane K. Stuckey,

Secretary to the Commission.

[FR Doc. 84-8391 Filed 3-29-84; 8:45 am]

BILLING CODE 6351-01-M

SECURITIES AND EXCHANGE COMMISSION

17 CFR Parts 200 and 202

[Release Nos. 33-6521, 34-20788, 35-23260, 39-896, IC-13841, IA-904]

Organization and Address Changes

AGENCY: Securities and Exchange Commission.

ACTION: Final rule.

SUMMARY: The Commission is amending its rules relating to organization and address changes. These changes are being made to reflect current functions and names of Commission offices and to update Commission addresses and telephone numbers.

EFFECTIVE DATE: March 30, 1984.

FOR FURTHER INFORMATION CONTACT:

George G. Kundahl, Executive Director, SEC, 450 Fifth Street, NW., Washington, D.C. 20549-6004 (202) 272-2700.

SUPPLEMENTARY INFORMATION: The Commission finds, in accordance with the Administrative Procedure Act ("APA") (15 U.S.C. 533(b)(3)(B)) that this amendment relates solely to agency organization, procedures, or practices and that notice and procedures pursuant to the APA are therefore not necessary and that such amendment shall be adopted, effective immediately.

List of Subjects in 17 CFR Part 200

Administrative practice and procedure, Freedom of Information, Privacy, Securities.

Text of Amendments

Part 200 of 17 CFR Chapter II is amended as follows:

PART 200—ORGANIZATION: CONDUCT AND ETHICS; AND INFORMATION AND REQUESTS

Subpart A—Organization and Program Management

1. By revising the fourth sentence of the introductory text of § 200.1 and by revising paragraph (i) to read as follows:

§ 200.1 General statement and statutory authority.

* * * In addition, under the Bankruptcy Code, the Commission is a

statutory party in cases arising under Chapters 9 and 11. * * *

(i) Protection of the interests of public investors involved in bankruptcy reorganization cases and in bankruptcy cases involving the adjustment of debts of a municipality.

2. By revising paragraph (g) under § 200.2, as follows:

§ 200.2 Statutory functions.

(g) Chapters 9 and 11 of the *Bankruptcy Code*. Chapters 9 and 11 of the *Bankruptcy Code* provides for Commission participation as a statutory party in reorganization cases in cases involving a judgment of debts of a municipality administered in the federal courts. Under 1109(a) of the *Bankruptcy Code*, the Commission "may raise and may appear and be heard on any issue in the case . . .".

3. By revising the addresses for Regions 5, 6, and 7 in paragraph (b) of § 200.11, as follows:

§ 200.11 Headquarters Office—Regional Office relationship.

(b) * * *

Region 5. Oklahoma, Arkansas, Texas, that part of Louisiana lying west of the Atchafalaya River, and Kansas—Regional Administrator, 8th Floor, 411 West 7th Street, Fort Worth, Texas 76102.

Region 6. Wyoming, Colorado, New Mexico, Nebraska, North Dakota, South Dakota, Utah—Regional Administrator, Two Park Central, Suite 700, 410 Seventeenth Street, Denver, Colorado 80202.

Region 7. California, Nevada, Arizona, Hawaii, Guam—Regional Administrator, 5757 Wilshire Boulevard, Suite 500 East, Los Angeles, California 90036-3648.

4. By revising the last sentence of the existing text to read as follows, by redesignating the existing text as introductory text, by adding paragraphs (a) through (d), and by adding a flush paragraph at the end of the section to read as follows:

§ 200.13 Executive Director.

* * * He or she also provides executive direction to and exercise administrative control over the Office of Consumer Affairs and Information Services, Office of Information Systems Management, Office of Personnel, Office of Public Affairs, and the Office of Applications and Reports Services. In addition, the Executive Director is responsible for the full range of program administration functions for the

purposes of implementing the following statutes, regulations, and Executive Orders, as well as others designated by the Chairman.

(a) Pub. L. 96-511—The Paperwork Reduction Program.

(b) 16 U.S.C. 644—The Small and Disadvantaged Business Utilization Program.

(c) Government Printing and Binding Regulations, U.S. Congress Joint Committee on Printing (1977).

(d) Executive Order 11807 and 29 CFR 1960—The Occupational Safety and Health Program.

These functions include, but are not limited to, the appointment of program officials; the review and approval of program policies, procedures and regulations; the authorization and transmittal of required reports; and the assurance of appropriate resource requirements to implement the programs.

(15 U.S.C. 78d-1, 78d-2)

5. By revising the section heading of § 200.20a to read as follows, by amending the introductory paragraph by changing "The Director of the Division of Corporate Regulation" to read "The Director of the Office of Public Utility Regulation", by removing paragraph (a) and the introductory text of paragraph (b), and by redesignating paragraphs (b)(1) through (b)(3) as paragraphs (a) through (c).

§ 200.20a Director of the Office of Public Utility Regulation.

* * *

6. By revising the section heading of § 200.20c to read as follows, by amending the first sentence by changing "The Office of Reports and Information Services" to read "The Office of Applications and Reports Services" and by removing the last sentence.

§ 200.20c Office of Applications and Reports Services.

* * *

7. By revising the second sentence of § 200.21 to read as follows:

§ 200.21 General Counsel.

* * * He or she is responsible for the representation of the Commission in judicial proceedings in which it is involved as a party or as amicus curiae, for directing and supervising all civil litigation involving the Commission in the United States District Courts, for directing and supervising the Commission's responsibilities under the Bankruptcy Code and all related litigation, and for representing the Commission in all cases in appellate courts.

8. By revising the section heading § 200.21a to read as follows and by amending paragraph (a) by changing "The Counsel for Professional Responsibility" to read "The Ethics Counsel".

§ 200.21a Ethics Counsel.

* * *

9. By adding a new § 200.23a, as follows:

§ 200.23a Office of the Chief Economist.

The Office of the Chief Economist is responsible to the Commission for providing an objective economic perspective to understand and evaluate the economic dimension of the agency's regulatory oversight. The Chief Economist provides the Commission with economic analyses of proposed rule changes, current or proposed policies, and capital market topics. Advice is designed to address such questions as whether proposed rules or policies accomplish their objectives, what benefits are produced by proposed rules or policies, and what costs accompany those benefits. Upon request, the Chief Economist provides professional advice and technical support to operating divisions.

10. By redesignating § 200.23 as § 200.23b and revising it to read as follows:

§ 200.23b Directorate of Economic and Policy Analysis.

The Directorate of Economic and Policy Analysis is responsible to the Commission, in coordination with its other divisions and offices, for the continuing analysis of the economic effects of existing and proposed Commission regulations and developments in the securities industry and markets. Based on its analysis, the Directorate is responsible for recommending to the Commission the adoption or modification of programs, policies and regulations under the statutes administered by the Commission. The Directorate also has the responsibility for coordinating and, together with the Office of the General Counsel, ensuring compliance with the requirements of the Regulatory Flexibility Act.

(15 U.S.C. 78d-1, 8d-2)

11. By revising § 200.24, as follows:

§ 200.24 Office of the Comptroller.

This Office, under the direction of the Comptroller, is responsible to the Executive Director, Chairman and Commission for the internal financial management and programming functions of the Securities and Exchange

Commission. These functions include: budgeting, accounting, payroll and administrative audit. The Comptroller, and his designees, serves as liaison to the Commission before the Office of Management and Budget and Congressional Appropriations Committees on appropriation matters, and the Treasury Department and the General Accounting Office on financial and programming matters.

12. By revising the section heading of § 200.24a to read as follows, by amending the first sentence by changing "The Office of Consumer Affairs" to read "The Office of Consumer Affairs and Information Services", and by adding a new sentence to read as follows:

§ 200.24a Office of Consumer Affairs and Information Services.

* * * This Office is also charged with managing the Commission's public reference facilities and with processing general inquiries under the Freedom of Information Act (Pub. L. 90-23, 81 Stat. 54) and the Privacy Act (Pub. L. 93-579, 88 Stat. 1896).

(15 U.S.C. 78d-1, 78d-2)

13. By revising the section heading of § 200.26a to read as follows, by amending the first phrase by changing "The Office of Data Processing" to read "The Office of Information Systems Management", by removing the word "and" before the last phrase, and by adding a new last phrase to read as follows:

§ 200.26a Office of Information Systems Management.

* * * and, development of microcomputer and office automation capabilities and support within the Commission.

14. By amending § 200.27 by removing the parenthetical phrase in the first sentence and by revising the last sentence to read as follows:

§ 200.27 The Regional Administrators.

* * * In addition, the New York Regional Administrator is responsible for the participation in cases under chapters 9 and 11 of the Bankruptcy Code in the New York and Boston Regions, and in the State of Pennsylvania; the Atlanta Regional Administrator in the Atlanta and Washington Regions, except the State of Pennsylvania; the Chicago Regional Administrator in Chicago, Fort Worth and Denver regions, except for the State of Utah; and the Los Angeles Regional Administrator in the Los Angeles and Seattle regions, plus the State of Utah.

15. By revising the section heading of § 200.30-2 to read as follows, by amending the introductory text by changing "Director of the Division of Corporate Regulation" to read "Director of the Office of Public Utility Regulation", and by revising paragraphs (a)(2), (a)(5) and (c) to read as follows:

§ 200.30-2 Delegation of Authority to Director of Office of Public Utility Regulation.

(a) * * *

(2) To authorize the issuance of orders where a notice has been issued and no request for a hearing has been received from any interested person within the period specified in the notice and the matter involved presents no issue that the director believes has not previously been settled by the Commission and it does not appear to the director to be necessary in the public interest or the interest of investors or consumers that a hearing be held; section 20(c) of the Act (15 U.S.C. 79(c));

(5) To issue notices and grant applications by a holding company or any subsidiary company thereof, under section 3(c) of the Act (15 U.S.C. 79(c)), for revocation of previously granted exemptions from registration, unless, upon examination, the application appears to the director to present issues not previously settled by the Commission or to raise questions of fact or policy indicating that the public interest of investors or consumers requires that a hearing be held;

(c) Notwithstanding anything in the foregoing in any case in which the Director of the Office of Public Utility Regulation believes it appropriate he may submit the matter to the Commission.

16. By revising the section heading of § 200.30-11 to read as follows, by amending the introductory text by changing "Director of the Office of Reports and Information Services" to read "Director of the Office of Applications and Reports Services" by removing paragraph (d), redesignating paragraph (e) as (d), and by amending newly designated (d) by changing "Director of the Office of Reports and Information Services" to read "Director of the Office of Applications and Reports Services".

§ 200.30-11 Delegation of authority to Director, Office of Applications and Reports Services.

17. By revising § 200.30-12, as follows:

§ 200.30-12 Delegation of authority to Director, Office of Consumer Affairs and Information Services.

Pursuant to the provisions of Pub. L. 87-592; 76 Stat. 394 (15 U.S.C. 78d-1, 78d-2), the Securities and Exchange Commission hereby delegates the following functions to the Director of the Office of Consumer Affairs and Information Services to be performed by him or under his direction by such person or persons as may be designated from time to time by the Chairman of the Commission:

(a) With respect to the Freedom of Information Act, 5 U.S.C. 552 and the Privacy Act, 5 U.S.C. 552a:

(1) To waive or reduce fees for searching and/or duplicating requested records under the Freedom of Information Act whenever it shall be determined that waiver or reduction of fee is in the public interest;

(2) To waive or reduce fees for reproduction under the Privacy Act whenever it shall be determined that good cause therefor exists.

(3) Notwithstanding anything in the foregoing, in any case in which the Director of the Office of Consumer Affairs and Information Services believes it appropriate, he or she may submit the matter to the Commission.

18. By amending paragraph (c)(1)(iii) of § 200.80 in Subpart D to revise the address of the Denver, Fort Worth and Los Angeles Regional Offices, by revising the office hours for the Seattle Regional Office, and by revising the telephone number of the Washington Regional Office, by amending paragraph (d)(7)(i) to add a sentence following the second sentence, as follows:

§ 200.80 Commission records and information.

(c) * * *

(1) * * *

(iii) * * *

Chicago Regional Office: * * * 315-353-7390.

Denver Regional Office, Two Park Central, Suite 700, 410 Seventeenth Street, Denver, Colorado 80202 (303-837-2071). Office Hours—8:00 a.m. to 4:30 p.m. m.s.t.

Fort Worth Regional Office, Eighth Floor, 411 West Seventh Street, Fort Worth, Texas 76102 (817-334-3821). Office Hours—8:30 a.m. to 5:00 p.m. c.s.t.

Los Angeles Regional Office, 5757 Wilshire Boulevard, Suite 500 East, Los Angeles, California 90036-3648 (213-473-3098). Office Hours—8:30 a.m. to 5:00 p.m. p.s.t.

Seattle Regional Office: * * * 8:00 a.m. to 4:30 p.m. p.s.t.

Washington Regional Office: * * * 703-235-3701.

(d) * * *

(7) * * *

(i) * * * Some records have been disposed of in accordance with the Commission's Records Control Schedule (17 CFR 200.80(f)). * * *

§ 200.80b [Amended]

19. By amending paragraph (b) in § 200.80b to change "Statistical Bulletin" to "SEC Monthly Statistical Review".

§ 200.80c [Amended]

20. By amending item 3 under paragraph (b) in § 200.80c to change "Statistical Bulletin" to "SEC Monthly Statistical Review".

§ 200.310 [Amended]

21. By amending paragraph (b) of § 200.310 by changing "Director of the Office of Reports and Information Services" to read "Director of the Office of Consumer Affairs and Information Services".

22. By adding paragraph (a) to § 200.503 to read as follows:

§ 200.503 Senior agency official.

(a) The Deputy Executive Director is the Senior Agency Official for purposes of the Paperwork Reduction Act of 1980. In this capacity, the Deputy Executive Director will carry out all responsibilities required by the Act (Pub. L. 96-511, 3506(b)), as well as serving as "Agency Clearance Officer" for purposes of the publication of notices in the Federal Register.

PART 202—INFORMAL AND OTHER PROCEDURES

§ 202.3 [Amended]

23. By amending paragraph (b) of § 202.3 by changing "Office of Reports and Information Services" to read "Office of Applications and Reports Services" in the first and eighth sentences.

(11 U.S.C. 901, 1109(a))

By the Commission.

George A. Fitzsimmons,
Secretary.

March 26, 1984.

[FR Doc. 84-8472 Filed 3-29-84; 8:45 am]

BILLING CODE 8010-01-M