

List of Subjects in 7 CFR Part 916

Marketing agreements and orders,
Nectarines, California.

PART 916—[AMENDED]

Therefore, § 916.356 (7 CFR Part 916; 47 FR 23913; 35751) is amended by revising the introductory text of paragraph (a); by removing paragraphs (a)(2), (a)(2)(i), and (a)(2)(ii), by redesignating current paragraph (a)(3) as (a)(2), current (a)(4) as (a)(3), and current (a)(5) as (a)(4); and by revising redesignated (a)(3) (introductory text), and (a)(4) to read as follows:

§ 916.356 Nectarines regulation 14.

(a) On and after (June 2, 1983), no handler shall handle:

(2) Any package or container of Aurelio Grand, Mayfair, Maybelle, or Royal Delight variety nectarines unless:

(3) Any package or container of Apache, Armking, Crimson Gold, Early Star, Gee Red, June Belle, June Grand, May Grand, Red June, Spring Grand, Sunfre, or Zee Gold variety nectarines unless:

(4) Any package or container of Ambrosia, Autumn Delight, Autumn Grand, Bob Grand, Clinton-Strawberry, Early Sun Grand, Ed's Red, Fairlane, Fantasia, Firebrite, Flamekist, Flavortop, Flavortop I, Gold King, Granderli, Hi-Red, Independence, Late Le Grand, Le Grand, Moon Grand, Niagara Grand, Red Diamond, Red Free, Red Grand, Regal Grand, Richards Grand, Royal Giant, Ruby Grand, September Grand, Tasty Free, Tom Grand, Honey Gold, Larry's Grand, Son Red, Spring Red, Late Tina Red, Red Jim, Summer Beaut, Sparkling Red, Star Grand, Summer Grand, Sun Grand or Sherri Red variety nectarines unless:

(i) Such nectarines, when packed in molded forms (tray pack) in a No. 22D standard lug box, are of a size that will pack, in accordance with the requirements of a standard pack, not more than 84 nectarines in the lug box; or

(ii) Such nectarines in any container when packed other than specified in paragraph (a)(4) of this section are of a size that a 16-pound sample, representative of the nectarines in the package or container, contains not more than 75 nectarines.

(Secs. 1-19, 48 Stat. 31, as amended; 7 U.S.C. 801-874)

Dated: May 27, 1983.

D. S. Kuryloski,

Deputy Director, Fruit and Vegetable
Division, Agricultural Marketing Service.

[FR Doc. 83-14773 Filed 6-1-83; 8:45 am]

BILLING CODE 3410-02-M

DEPARTMENT OF TRANSPORTATION**Federal Aviation Administration****14 CFR Part 95**

[Docket No. 23652; Amdt. No. 95-311]

Air Traffic and General Operating Rules; IFR Altitudes; Miscellaneous Amendments

AGENCY: Federal Aviation Administration (FAA), DOT.

ACTION: Final rule.

SUMMARY: This amendment adopts miscellaneous amendments to the required IFR (instrument flight rule) altitudes and changeover points for certain Federal airways, jet routes, or direct routes for which a minimum or maximum en route authorized IFR altitude is prescribed. These regulatory actions are needed because of changes occurring in the National Airspace System. These changes are designed to provide for the safe and efficient use of the navigable airspace under instrument conditions in the affected areas.

EFFECTIVE DATE: June 9, 1983.

FOR FURTHER INFORMATION CONTACT: Donald K. Funai, Flight Procedures and Airspace Branch (AFO-730), Aircraft Programs Division, Office of Flight Operations, Federal Aviation Administration, 800 Independence Avenue, SW., Washington, D.C. 20591; telephone: (202) 426-8277.

SUPPLEMENTARY INFORMATION: This amendment to Part 95 of the Federal Aviation Regulations (14 CFR Part 95) prescribes new, amended, suspended, or revoked IFR altitudes governing the operation of all aircraft in IFR flight over a specified route or any portion of that route, as well as the changeover points (COPs) for Federal airways, jet routes, or direct routes as prescribed in Part 95. The specified IFR altitudes, when used in conjunction with the prescribed changeover points for those routes, ensure navigation aid coverage that is adequate for safe flight operations and free of frequency interference.

The reasons and circumstances which create the need for this amendment involve matters of flight safety, operational efficiency in the National Airspace System, and are related to published aeronautical charts that are essential to the user and provide for the safe and efficient use of the navigable airspace. In addition, those various reasons or circumstances require making this amendment effective before the next scheduled charting and publication date of the flight information to assure its timely availability to the user. The effective date of this amendment reflects those considerations. In view of the close and immediate relationship between these regulatory changes and safety in air commerce, I find that notice and public procedure before adopting this amendment is unnecessary, impracticable, or contrary to the public interest and that good cause exists for making the amendment effective in less than 30 days.

List of Subjects in 14 CFR Part 95

Aircraft, Airspace.

Adoption of the Amendment**PART 95—[AMENDED]**

Accordingly and pursuant to the authority delegated to me by the Administrator, Part 95 of the Federal Aviation Regulations (14 CFR Part 95) is amended as follows effective at 0901 G.m.t. June 9, 1983.

[Secs. 307 and 1110, Federal Aviation Act of 1958 (49 U.S.C. 1348 and 1510); Sec. 6(c), Department of Transportation Act (49 U.S.C. 1655(c)); and 14 CFR 11.49(b)(3)]

Note.—The FAA has determined that this regulation only involves an established body of technical regulations for which frequent and routine amendments are necessary to keep them operationally current. It, therefore—(1) is not a "major rule" under Executive Order 12291; (2) is not a "significant rule" under DOT Regulatory Policies and Procedures (44 FR 11034; February 26, 1979); and (3) does not warrant preparation of a regulatory evaluation as the anticipated impact is so minimal. The FAA certifies that this amendment will not have a significant economic impact on a substantial number of small entities under the criteria of the Regulatory Flexibility Act.

Issued in Washington, D.C. on May 25, 1983.

John M. Howard,

Manager, Aircraft Programs Division.

BILLING CODE 4910-13-M

FROM	TO	MEA	FROM	TO	MEA
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\$95.1001 DIRECT ROUTES-U.S.

IS AMENDED TO READ IN PART

CRABI, FL FIX	EGMONT KEY, FL MARINE NDB	
VIA GULF ROUTE 26		
GRAND ISLE, LA NDB	*MAGEE, FL FIX	5000
VIA GULF ROUTE 26		5000
*5000 - MRA		
MAGEE, FL FIX	CRABI, FL FIX	
VIA GULF ROUTE 26		10000

IS AMENDED TO DELETE

ABILENE, TX VORTAC	GUTHRIE, TX VORTAC	
VIA ABI VORTAC 311 & GTH VORTAC 175		*4300
*3600 - MOCA		
ARDMORE, OK VORTAC	OKLAHOMA CITY, OK VORTAC	3000
ATOKA, OK FIX	DWINE, OK FIX	*9000
*2400 - MOCA		
AUSTIN, TX VORTAC	COLLEGE STATION, TX VORTAC	*2400
*2100 - MOCA		
AUSTIN, TX VORTAC	EAGLE LAKE, TX VOR/ DME	*2400
*2100 - MOCA		
BATON ROUGE, LA VORTAC	WELCO, LA FIX	2700
BEAUMONT, TX VORTAC	SCHOLES, TX VORTAC	1500
BORGER, TX VORTAC	WOODRING, OK VOR	8000
	MAA-10000	
CARLSBAD, NM VORTAC	*CAPRO, NM FIX	**8000
*9000 - MRA		
**7300 - MOCA		
CARLSBAD, NM VORTAC	MIDLAND, TX VORTAC	*7000
VIA CNM VORTAC 086 & MAF VORTAC 268		
*5000 - MOCA		
COLLEGE STATION, TX VORTAC	BASTO, TX FIX	*2500
*1700 - MOCA		
COLLEGE STATION, TX VORTAC	DALLAS-FORT WORTH, TX VORTAC	*5000
*3300 - MOCA		
COLLEGE STATION, TX VORTAC	INT CLL VORTAC 293 & ACT VORTAC 187	*3900
*2100 - MOCA		
COLLEGE STATION, TX VORTAC	LEONA, TX VORTAC	1900
DALLAS-FORT WORTH, TX VORTAC	GREGG COUNTY, TX VORTAC	
VIA DFW VORTAC 081 & GGG VORTAC 287		*4000
*2200 - MOCA		
DALLAS-FORT WORTH, TX VORTAC	SHREVEPORT, LA VORTAC	*6000
*2500 - MOCA		
DALLAS-FORT WORTH, TX VORTAC	SULPHUR SPRINGS, TX VORTAC	*2500
*2000 - MOCA		
DALLAS-FORT WORTH, TX VORTAC	SULPHUR SPRINGS, TX VORTAC	
*2200 - MOCA	COP 37 DFW	*3000

\$95.1001 DIRECT ROUTES-U.S.—Continued

DALLAS-FORT WORTH, TX VORTAC	TEXARKANA, AR VORTAC	
*2200 - MOCA	COP 81 DFW	*11000
DWINE, OK FIX	TULSA, OK VORTAC	*6000
*2400 - MOCA		
ELSAY, TX FIX	HARLINGEN, TX VOR	*1600
*1400 - MOCA		
FORT SMITH, AR VORTAC	MEMPHIS, TN VORTAC	*18000
*3100 - MOCA		
GALVESTON, TX NDB	TAMPICO, MX VOR/DME	#*1500
*1400 - MOCA		

#FOR THAT AIRSPACE OVER U.S. TERRITORY.

GEREL, TX FIX	WACO, TX VORTAC	2200
GRAND ISLE, LA NDB	DOLPH, LA FIX	*3000
*1300 - MOCA		
GRAND ISLE, LA NDB	FLASH, LA FIX	*3000
*1300 - MOCA		
GRAND ISLE, LA NDB	*NEPTA, FL FIX	2500
VIA GULF ROUTE 26		
*26000 - MRA		
HERBY, LA FIX	BEAUMONT, TX VORTAC	1500
HOBBS, NM VORTAC	TEXICO, NM VORTAC	*7000
*5600 - MOCA		
HOT SPRINGS, AR VOR	MALVE, AR FIX	2500
HUMBLE, TX VORTAC	VICTORIA, TX VOR	*3000
*1800 - MOCA		
INT ADM VORTAC 042 & TUL VORTAC 172	TULSA, OK VORTAC	*7000
*2800 - MOCA		
INT IAH VORTAC 327 & CLL VORTAC 100	*DERST, TX FIX	**2000
*2000 - MCA DERST FIX, E BND		
**1600 - MOCA		
KEENE, TX FIX	DALLAS-FORT WORTH, TX VORTAC	*2800
*2400 - MOCA		
LAFAYETTE, LA VORTAC	WHITE LAKE, LA VORTAC	1500
LAKE CHARLES, LA VORTAC	ESLER, LA VOR	2000
LAKE CHARLES, LA VORTAC	LONGE, LA FIX	*3000
*1500 - MOCA		
LAKE CHARLES, LA VORTAC	POLK, LA VOR	2000
VIA LCH VORTAC 337 & POE VOR 200		
	MAA- 8000	
LAKE CHARLES, LA VORTAC	SUGGA, LA FIX	2000
LAMPASAS, TX VORTAC	WACO, TX VORTAC	*3500
*2500 - MOCA		
LAMPASAS, TX VORTAC	ACTON, TX VORTAC	*3500
*2700 - MOCA		
LEMIG, TX FIX	EMBOW, TX FIX	*3000
*2900 - MOCA		
LITTLE ROCK, AR VORTAC	MEMPHIS, TN VORTAC	*4000
*1700 - MOCA		
LUFKIN, TX VORTAC	BLUE RIDGE, TX VORTAC	
*2200 - MOCA	COP 62 LFK	*7000
LUFKIN, TX VORTAC		
*1900 - MOCA	ESLER, LA VOR	*6000
MADES, AR FIX	COP 68 LFK	
*3600 - MOCA		
MALVE, AR FIX	SILER, AR FIX	*8000
*1600 - MOCA		
MC ALLEN, TX VOR	PINE BLUFF, AR VORTAC	*2000
*1400 - MOCA	ELSAY, TX FIX	*1600

FROM	TO	MEA
§95.1001 DIRECT ROUTES-U.S.—Continued		
NAVASOTA, TX VORTAC	SCURRY, TX VORTAC	18000
NAVASOTA, TX VORTAC	DALLAS-FORT WORTH, TX VORTAC	*6000
*3400 - MOCA		
NEW ORLEANS, LA VORTAC	CAESA, MS FIX	*4000
*1400 - MOCA		
NEW ORLEANS, LA VORTAC	NATCHEZ, MS VOR/DME	18000
		MAA-30000
NEW ORLEANS, LA VORTAC	TODDS, LA FIX	*3000
*2000 - MOCA		
OKLAHOMA CITY, OK VORTAC	FORT SMITH, AR VORTAC	*18000
*3800 - MOCA		
OKLAHOMA CITY, OK VORTAC	INT OKC VORTAC 109 & MLC VORTAC 275	*3000
*2900 - MOCA		
OKMULGEE, OK VOR	TULSA, OK VORTAC	3500
VIA OKM VOR 027 & TUL VORTAC 152		
PARIS, TX VOR	MC ALESTER, OK VORTAC	3000
PINE BLUFF, AR VORTAC	MEMPHIS, TN VORTAC	*4000
*1700 - MOCA		
QUITMAN, TX VORTAC	MC ALESTER, OK VORTAC	*5000
*2400 - MOCA		MAA-41000
SAN SIMON, AZ VORTAC	ALBUQUERQUE, NM VORTAC	
	COP 90 SSO	18000
		MAA-35000
SCHOLES, TX VORTAC	SCHOLES, TX VORTAC	8000
	138/96	
SCURRY, TX VORTAC	MC ALESTER, OK VORTAC	18000
SCURRY, TX VORTAC	DONIE, TX FIX	18000
SMITH, TX FIX	BEAUMONT, TX VORTAC	*1600
*1200 - MOCA		
TEAKY, TX FIX	GATLN, TX FIX	2000
TEMPLE, TX VOR	GEREL, TX FIX	
VIA TPL VOR 338 & AQN VORTAC 202		2200
TEMPLE, TX VOR	BOSEL, TX FIX	3500
TULSA, OK VORTAC	CHANUTE, KS VOR	18000
		MAA-33000
TULSA, OK VORTAC	INT TUL VORTAC 097 & FSM VORTAC 312	*3000
*2200 - MOCA		
TULSA, OK VORTAC	KANSAS CITY, MO VORTAC	
VIA TUL VORTAC 358 & MKC VORTAC 223		18000
		MAA-45000
TULSA, OK VORTAC	RAZORBACK, AR VORTAC	*4000
*2800 - MOCA		MAA-23000
VICTORIA, TX VOR	PALACIOS, TX VORTAC	1500
WACO, TX VORTAC	KEENE, TX FIX	*2800
*2100 - MOCA		
WACO, TX VORTAC	LEONA, TX VORTAC	*2500
*2000 - MOCA		

§95.6003 VOR FEDERAL AIRWAY 3
IS AMENDED TO READ IN PART

LINDEN, VA VORTAC	SHAWNEE, VA VORTAC	*5000
*3900 - MOCA		

§95.6003 VOR FEDERAL AIRWAY 3—Continued

SHAWNEE, VA VORTAC	MARTINSBURG, WV VORTAC	*5000
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§95.6006 VOR FEDERAL AIRWAY 6
IS AMENDED TO READ IN PART

PIONS, OH FIX	WATERVILLE, OH VORTAC	*3300
*2200 - MOCA		

§95.6007 VOR FEDERAL AIRWAY 7
IS AMENDED TO READ IN PART

CHICAGO HEIGHTS, IL VORTAC	*NILES, IL FIX	2000
*3100 - MCA NILES FIX, N BND		

§95.6008 VOR FEDERAL AIRWAY 8
IS AMENDED TO READ IN PART

GAREN, IN FIX	*GRAB, IN FIX	*4000
*4000 - MRA		
**2200 - MOCA		
GRAB, IN FIX	TWERP, OH FIX	*4000
*2200 - MOCA		

§95.6010 VOR FEDERAL AIRWAY 10
IS AMENDED TO READ IN PART

MILAN, MI FIX	CARLETON, MI VORTAC	2500
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§95.6012 VOR FEDERAL AIRWAY 12
IS AMENDED TO READ IN PART

DAYTON, OH VORTAC	*PIZZA, OH FIX	3000
*5000 - MRA		
PIZZA, OH FIX	*CLYDE, OH FIX	3000
*5000-MRA		

§95.6020 VOR FEDERAL AIRWAY 20
IS AMENDED TO DELETE

U S. MEXICAN BORDER	MC ALLEN, TX VOR	2000
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§95.6023 VOR FEDERAL AIRWAY 23
IS AMENDED TO READ IN PART.

FORT JONES, CA VORTAC	TALEM, OR FIX	*10000
*9400 - MOCA		
TALEM, OR FIX	*MEDFORD, OR VORTAC	8000
	NW BND	10000
	SE BND	
*7000 - MCA MEDFORD VORTAC	SE BND	

FROM	TO	MEA	FROM	TO	MEA
§95.6028 VOR FEDERAL AIRWAY 28 IS AMENDED TO READ IN PART			§95.6036 VOR FEDERAL AIRWAY 36—Continued		
SPOOK, CA FIX	STALK, NV FIX	13000	BURST, NY FIX	THINK, NY FIX	4000
			LAKE HENRY, PA VORTAC	SPOND, PA FIX	4000
			SPARTA, NJ VORTAC	ELLIS, NJ FIX	3000
§95.6031 VOR FEDERAL AIRWAY 31 IS AMENDED TO READ IN PART			§95.6037 VOR FEDERAL AIRWAY 37 IS AMENDED TO READ IN PART		
HARRISBURG, PA VORTAC	SELINGSGROVE, PA VORTAC	*3500	JACKSONVILLE, FL VORTAC	*CHEST, GA FIX	**4000
*2800 - MOCA			*4000 - MRA		
GIBBY, NY FIX	BEEPS, NY FIX	*3500	*4000 - MCA CHEST FIX, S BND		
*2800 - MOCA			**1500 - MOCA		
BEEPS, NY FIX	FAULT, NY FIX	*3000	BURCH, NC FIX	DOILY, VA FIX	*7000
*2100 - MOCA			*5100 - MOCA		
FAULT, NY FIX	ROCHESTER, NY VORTAC	*2700	DOILY, VA FIX	PULASKI, VA VORTAC	*6000
*2100 - MOCA			*5000 - MOCA		
§95.6033 VOR FEDERAL AIRWAY 33 IS AMENDED TO READ IN PART			ZOOMS, WV FIX	*HAWKI, WV FIX	**8000
HARRISBURG, PA VORTAC	GRETA, PA FIX	*4000	*8000 - MRA		
*2900 - MOCA			**6100 - MOCA		
AUDRY, PA FIX	RASHE, PA FIX	4000	ELKINS, WV VORTAC	CLARKSBURG, WV VOR	*5000
RASHE, PA FIX	PHILIPSBURG, PA VORTAC	4000	*3900 - MOCA		
			CLARKSBURG, WV VOR	ELLWOOD CITY, PA VORTAC	*4000
			*3200 - MOCA		
§95.6034 VOR FEDERAL AIRWAY 34 IS AMENDED TO READ IN PART			§95.6038 VOR FEDERAL AIRWAY 38 IS AMENDED TO READ IN PART		
FAULT, NY FIX	ITHACA, NY VORTAC	*3000	ELKINS, WV VORTAC	*BUGEL, WV FIX	**6400
*2100 - MOCA			*8000 - MRA		
HANCOCK, NY VORTAC	ROOTE, NY FIX	*4000	**5100 - MOCA	CEROL, VA FIX	*8000
*3000 - MOCA			BUGEL, WV FIX		
			*6400 - MOCA		
			MITER, VA FIX	GORDONSVILLE, VA VORTAC	
				NW BND	*5000
				SE BND	*4000
§95.6035 VOR FEDERAL AIRWAY 35 IS AMENDED TO READ IN PART			*3800 - MOCA		
HOMDE, FL FIX	GAINESVILLE, FL VORTAC	3000	RICHMOND, VA VORTAC	HARCUM, VA VORTAC	*2000
VIA E ALTER	VIA E ALTER		*1400 - MOCA		
GAINESVILLE, FL VORTAC	CROSS CITY, FL VORTAC	2000	HARCUM, VA VORTAC	CAPE CHARLES, VA VORTAC	*2000
VIA E ALTER	VIA E ALTER		*1300 - MOCA		
CHARLESTON, WV VORTAC	BENZO, WV FIX	*4000			
*3000 - MOCA					
BENZO, WV FIX	CLARKSBURG, WV VOR	3200			
MORGANTOWN, WV VORTAC	INDIAN HEAD, PA VORTAC	*5000			
*4400 - MOCA					
STONYFORK, PA VORTAC	ELMIRA, NY VORTAC	*4500			
*3900 - MOCA					
ELMIRA, NY VORTAC	SCIPO, NY FIX	*3700			
*3100 - MOCA					
SCIPO, NY FIX	SYRACUSE, NY VORTAC	3500			
§95.6036 VOR FEDERAL AIRWAY 36 IS AMENDED TO READ IN PART			§95.6039 VOR FEDERAL AIRWAY 39 IS AMENDED TO READ IN PART		
DALEE, NY FIX	BURST, NY FIX	*4000	DELRO, PA FIX	LANCASTER, PA VORTAC	3000
*3600 - MOCA			LANCASTER, PA VORTAC	BOYER, PA FIX	*2900
			*2300 - MOCA		
			BOYER, PA FIX	EAST TEXAS, PA VORTAC	*3000
			*2300 - MOCA		
§95.6043 VOR FEDERAL AIRWAY 43 IS AMENDED TO DELETE			§95.6043 VOR FEDERAL AIRWAY 43 IS AMENDED TO DELETE		
			BRIGGS, OH VORTAC	YOUNGSTOWN, OH VORTAC	
			VIA E ALTER	VIA E ALTER	3500

FROM	TO	MEA	FROM	TO	MEA
§95.6044 VOR FEDERAL AIRWAY 44			§95.6097 VOR FEDERAL AIRWAY 97		
IS AMENDED TO READ IN PART			IS AMENDED TO READ IN PART		
BENDS, WV FIX *5000 - MRA	*RANDE, WV FIX	4000	CHICAGO O'HARE, IL VOR/ DME DRABB, IL FIX	DRABB, IL FIX FARMM, IL FIX	2700 4000
§95.6047 VOR FEDERAL AIRWAY 47			§95.6103 VOR FEDERAL AIRWAY 103		
IS AMENDED TO READ IN PART			IS AMENDED TO READ IN PART		
ROSEWOOD, OH VORTAC *4000 - MRA	*MAMLO, OH FIX	3000	ELKINS, WV VORTAC *3900 - MOCA	CLARKSBURG, WV VOR	*5000
§95.6058 VOR FEDERAL AIRWAY 58			§95.6113 VOR FEDERAL AIRWAY 113		
IS AMENDED TO READ IN PART			IS AMENDED TO READ IN PART		
LAKE HENRY, PA VORTAC	KINGSTON, NY VORTAC	4000	NICER, NV FIX *10400 - MOCA	ROBUD, NV FIX	*12000
§95.6068 VOR FEDERAL AIRWAY 68			§95.6114 VOR FEDERAL AIRWAY 114		
IS AMENDED TO READ IN PART			IS AMENDED TO READ IN PART		
DERIC, TX FIX VIA S-ALTER *4200 - MOCA	SAN ANGELO, TX VORTAC VIA S-ALTER	*5000	WRACK, LA FIX VIA N-ALTER *1700 - MOCA	CLUNK, LA FIX VIA N-ALTER	*5000
§95.6076 VOR FEDERAL AIRWAY 76			§95.6119 VOR FEDERAL AIRWAY 119		
IS AMENDED TO READ IN PART			IS AMENDED TO READ IN PART		
EAGLE LAKE, TX VOR/DME VIA S-ALTER BLUMS, TX FIX VIA S-ALTER	BLUMS, TX FIX VIA S-ALTER HOBBY, TX VOR/DME VIA S-ALTER	2100 2400	HENDERSON, WV VORTAC *3500 - MRA JACEE, WV FIX GALLS, PA FIX *2800 - MOCA	*JACEE, WV FIX PARKERSBURG, WV VORTAC OTOWN, PA FIX	2700 2700 *3700
§95.6077 VOR FEDERAL AIRWAY 77			§95.6121 VOR FEDERAL AIRWAY 121		
IS AMENDED TO READ IN PART			IS AMENDED TO READ IN PART		
SAN ANGELO, TX VORTAC DES MOINES, IA VORTAC *4000 - MRA MIXIN, IA FIX	ABILENE, TX VORTAC *MIXIN, IA FIX NEWTON, IA VORTAC	3900 3000 3000	FORT JONES, CA VORTAC *9100 - MOCA	BAYTS, OK FIX	*10000
§95.6081 VOR FEDERAL AIRWAY 81			§95.6128 VOR FEDERAL AIRWAY 128		
IS AMENDED TO DELETE			IS AMENDED TO READ IN PART		
AMARILLO, TX VORTAC VIA W-ALTER	DALHART, TX VORTAC VIA W-ALTER	6000	KENLA, IN FIX VAGES, IN FIX *4000 - MRA *2300 - MOCA	VAGES, IN FIX *POTES, IN FIX	2600 **4000
§95.6092 VOR FEDERAL AIRWAY 92			§95.6139 VOR FEDERAL AIRWAY 139		
IS AMENDED TO READ IN PART			IS AMENDED TO READ IN PART		
BELLAIRE, OH VORTAC *3100 - MOCA	GALLS, PA FIX	*3600	SEA ISLE, NJ VORTAC *2000 - MOCA	BRICS, NJ FIX	*2500

FROM	TO	MEA	FROM	TO	MEA
§95.6140 VOR FEDERAL AIRWAY 140			§95.6198 VOR FEDERAL AIRWAY 198		
IS AMENDED TO DELETE			IS AMENDED TO READ IN PART		
AMARILLO, TX VORTAC VIA N ALTER.	BRISC, TX FIX VIA N ALTER.	5300	EAGLE LAKE, TX VOR/DME	BLUMS, TX FIX	2100
BRISC, TX FIX VIA N ALTER.	SAYRE, OK VORTAC VIA N ALTER.	4800	BLUMS, TX FIX	HOBBY, TX VOR/DME	2400
§95.6151 VOR FEDERAL AIRWAY 151			§95.6203 VOR FEDERAL AIRWAY 203		
IS AMENDED TO READ IN PART			IS AMENDED TO READ IN PART		
HYANNIS, MA VORTAC *1500 - MOCA	PROVIDENCE, RI VORTAC	*2000	NORWICH, CT VORTAC *3500 - MCA RUSEL FIX, NW BND **1800 - MOCA	*RUSEL, MA FIX	**3000
			ALBANY, NY VORTAC *3200 - MOCA	WAREN, NY FIX	*3700
§95.6153 VOR FEDERAL AIRWAY 153			§95.6214 VOR FEDERAL AIRWAY 214		
IS AMENDED TO READ IN PART			IS AMENDED TO READ IN PART		
LAKE HENRY, PA VORTAC	HANCOCK, NY VORTAC	4400	BELLAIRE, OH VORTAC *3100 - MOCA	GALLS, PA FIX	*3600
§95.6157 VOR FEDERAL AIRWAY 157			§95.6218 VOR FEDERAL AIRWAY 218		
IS AMENDED TO READ IN PART			IS AMENDED TO READ IN PART		
OCALA, FL VORTAC	GAINESVILLE, FL VORTAC	2000	PONTIAC, MI VORTAC	NOVIE, MI FIX	2800
§95.6159 VOR FEDERAL AIRWAY 159			§95.6265 VOR FEDERAL AIRWAY 265		
IS AMENDED TO READ IN PART			IS AMENDED TO READ IN PART		
OCALA, FL VORTAC VIA E ALTER.	GAINESVILLE, FL VORTAC VIA E ALTER.	2000	HARRISBURG, PA VORTAC *2900 - MOCA	GRETA, PA FIX	*4000
§95.6166 VOR FEDERAL AIRWAY 166			AUDRY, PA FIX	RASHE, PA FIX	4000
IS AMENDED TO READ IN PART			RASHE, PA FIX	PHILIPSBURG, PA VORTAC	4000
CAPON, WV FIX *3500 - MOCA	MARTINSBURG, WV VORTAC	*5000 MAA-17500	§95.6267 VOR FEDERAL AIRWAY 267		
§95.6184 VOR FEDERAL AIRWAY 184			IS AMENDED TO READ IN PART		
IS AMENDED TO READ IN PART			JACKSONVILLE, FL VORTAC *1500 - MOCA	KICKS, GA FIX	*2000
RASHE, PA FIX AUDRY, PA FIX	AUDRY, PA FIX GRETA, PA FIX	4000 4000	§95.6272 VOR FEDERAL AIRWAY 272		
§95.6188 VOR FEDERAL AIRWAY 188			IS AMENDED TO READ IN PART		
IS AMENDED TO READ IN PART			BORGER, TX VORTAC BRISC, TX FIX *4400 - MOCA	BRISC, TX FIX SAYRE, OK VORTAC	4800 *5300
GILLS, OH FIX *2400 - MOCA	JEFFERSON, OH VORTAC	*3000	§95.6276 VOR FEDERAL AIRWAY 276		
§95.6276 VOR FEDERAL AIRWAY 276			IS AMENDED BY ADDING		
			U.S. CANADIAN BORDER *3100 - MOCA	ERIE, PA VORTAC	*8500

FROM	TO	MEA	FROM	TO	MEA
§95.6280 VOR FEDERAL AIRWAY 280 IS AMENDED TO READ IN PART			§95.6374 VOR FEDERAL AIRWAY 374—Continued		
TEXICO, NM VORTAC *9500 - MRA **5500 - MOCA	*SIDER, TX FIX	**7000	FALMA, MA FIX	MARTHAS VINEYARD, MA VOR	*2000
			*1300 - MOCA		
IS AMENDED TO DELETE			§95.6387 VOR FEDERAL AIRWAY 387 IS ADDED TO READ		
TEXICO, NM VORTAC VIA S ALTER *9500 - MRA **5500 - MOCA	*SIDER, TX FIX VIA S ALTER	**7000	U.S. MEXICAN BORDER	MC ALLEN, TX VOR	2000
SIDER, TX FIX VIA S ALTER	AMARILLO, TX VORTAC VIA S ALTER	6000			
§95.6300 VOR FEDERAL AIRWAY 300 IS AMENDED TO READ IN PART			§95.6407 HAWAII VOR FEDERAL AIRWAY 7 IS AMENDED TO READ IN PART		
U.S. CANADIAN BORDER *2400 - MOCA #MEA IS ESTABLISHED WITH A GAP IN NAVIGATION SIGNAL COVERAGE.	AVALE, MI FIX	#*9000	JOELE, HI FIX	ATINE, HI FIX	4000
AVALE, MI FIX	SAULT STE MARIE, MI VORTAC	*3000			
*2400 - MOCA			§95.6422 HAWAII VOR FEDERAL AIRWAY 22 IS AMENDED TO READ IN PART		
§95.6303 VOR FEDERAL AIRWAY 303 IS AMENDED TO READ IN PART			MAUI, HI VORTAC	*BARBY, HI FIX	7000
HOT SPRINGS, AR VOR	BLURB, AR FIX	3500	*10500 - MCA BARBY FIX, SE BND		
BLURB, AR FIX	*BLIMP, AR FIX	**4500	BARBY, HI FIX	SARDS, HI FIX	*12000
*4000 - MCA BLIMP FIX, SE BND			*1700 - MOCA		
**3600 - MOCA			§95.6440 VOR FEDERAL AIRWAY 440 IS AMENDED BY ADDING		
§95.6318 VOR FEDERAL AIRWAY 318 IS AMENDED TO READ IN PART			AMARILLO, TX VORTAC	BRISC, TX FIX	5300
HOULTON, ME VOR	U.S. CANADIAN BORDER	1900	BRISC, TX FIX	SAYRE, OK VORTAC	*5300
			*4400 - MOCA		
§95.6324 VOR FEDERAL AIRWAY 324 IS AMENDED TO READ IN PART			§95.6451 VOR FEDERAL AIRWAY 451 IS AMENDED TO READ IN PART		
WORLAND, WY VOR	CHAPY, WY FIX	12000	WHITMAN, MA VOR/DME	*TONNI, MA FIX	**2000
	E BND	8000	*2500 - MCA TONNI FIX, N BND		
CHAPY, WY FIX	*CRAZY WOMAN, WY VORTAC	12000	**1400 - MOCA		
*9500 - MCA CRAZY WOMAN VORTAC, W BND			§95.6495 VOR FEDERAL AIRWAY 495 IS AMENDED TO READ IN PART		
§95.6374 VOR FEDERAL AIRWAY 374 IS AMENDED TO READ IN PART			FORT JONES, CA VORTAC	BAYTS, OR FIX	*10000
WACKY, RI FIX	FALMA, MA FIX	*2100	*9100 - MOCA		
*1400 - MOCA			BAYTS, OR FIX	*PAPE, OR FIX	**10000
			*10000 - MRA		
			**7100 - MOCA		
§95.6526 VOR FEDERAL AIRWAY 526					

FROM	TO	MEA	FROM	TO	MEA
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§ 95.6526 VOR FEDERAL AIRWAY 526—Continued**IS AMENDED TO READ IN PART**

MUSKY, MI FIX	SOUTH BEND, IN VORTAC	2600
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§ 95.6522 VOR FEDERAL AIRWAY 522**IS AMENDED TO READ IN PART**

ERIE, PA VORTAC	HAMIT, PA FIX	3000
HAMIT, PA FIX	DUNKIRK, NY VORTAC	3300

§ 95.6007 VOR FEDERAL AIRWAY 7**IS AMENDED TO DELETE**

BRAIK, IL FIX VIA E ALTER. *3000-MRA **1700-MOCA	*STORY, IL FIX VIA E ALT.	**3000
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FROM	TO	MEA	MAA
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§ 95.7006 JET ROUTE NO. 6**IS AMENDED TO READ IN PART**

PRESCOTT, AZ VORTAC	12220, AZ	22000	45000
12220, AZ	ZUNI, NM VORTAC	18000	45000

§ 95.7048 JET ROUTE NO. 48**IS AMENDED TO READ IN PART**

WESTMINSTER, MD VORTAC	RIFLE, PA FIX	18000	45000
RIFLE, PA FIX	FLYPI, PA FIX	23000	45000

§ 95.7058 JET ROUTE NO. 58**IS AMENDED TO READ IN PART**

NEPTA, FL FIX	COVIA, FL FIX	#26000	45000
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#MEA IS ESTABLISHED WITH A GAP IN NAVIGATION SIGNAL COVERAGE.

2. By amending Sub-part D as follows:

§95.8003 VOR FEDERAL AIRWAYS CHANGEOVER POINTS

AIRWAY SEGMENT		CHANGEOVER POINTS	
FROM	TO	DISTANCE	FROM
V-8			
IS AMENDED BY ADDING			
MARTINSBURG, WV VORTAC	WASHINGTON, DC VOR/DME	29	MARTINSBURG
V-23			
IS AMENDED TO DELETE			
FORT JONES, CA VORTAC	MEDFORD, OR VORTAC	25	FORT JONES
V-113			
IS AMENDED BY ADDING			
RENO, NV VORTAC	SOD HOUSE, NV VORTAC	48	RENO

§95.8005 JET ROUTES CHANGEOVER POINTS

AIRWAY SEGMENT		CHANGEOVER POINTS	
FROM	TO	DISTANCE	FROM
J-58			
IS AMENDED BY ADDING			
NEW ORLEANS, LA VORTAC	SARASOTA, FL VORTAC	158	NEW ORLEANS

SECURITIES AND EXCHANGE
COMMISSION

17 CFR Part 200

[Release No. 34-19815]

Delegation of Authority to Director of
Division of Market RegulationAGENCY: Securities and Exchange
Commission.

ACTION: Final rule.

SUMMARY: The Commission is amending its rules governing the delegation of authority to permit the Director of the Division of Market Regulation to exempt registered national securities exchanges from the periodic filing requirements imposed by Rule 6a-2 under the Securities Exchange Act of 1934 (the "Act") with respect to certain exchange affiliates and subsidiaries.

EFFECTIVE DATE: June 2, 1983.

FOR FURTHER INFORMATION CONTACT: Alden Adkins, Esq., Division of Market Regulation (202) 272-2418.

SUPPLEMENTARY INFORMATION: The Commission has today announced the amendment, effective immediately, to Rule 6a-2 and related Forms 1 and 1-A under the Act (17 CFR 249.1 and 17 CFR 249.1a), the forms for application for registration as a national securities exchange and for periodic amendments thereto. Among the amendments adopted is a change to Rule 6a-2 that gives the Commission the authority to exempt national securities exchanges from filing certain exchange affiliate and subsidiary information.

The Commission also today announced the amendment of its rules governing the delegation of authority to the Director of the Division of Market Regulation (17 CFR 200.30-3) with respect to the Securities Exchange Act of 1934 (15 U.S.C. 78a, *et seq.*, as amended). The new amendment authorizes the Director of the Division of Market Regulation to grant the exemptions now provided for in Rule 6a-2. The Commission finds, in accordance with the Administrative Procedure Act ("APA") [5 U.S.C. 553(b)(3)(B)] that this amendment relates solely to agency organization, procedure and practice and that notice and procedure under the APA are not necessary. This action, taken pursuant to 15 U.S.C. 78d-1, as amended, becomes effective immediately upon publication in the Federal Register.

List of Subjects in 17 CFR Part 200

Administrative practice and procedure, Freedom of Information, Privacy, Securities.

Text of Amendment

The Securities and Exchange Commission pursuant to the Act, and particularly Sections 2, 12 and 23 (15 U.S.C. 78b, 78f, and 78n), thereof, and the Delegation of Functions Act, 15 U.S.C. 78d-1, hereby adopts an amendment to § 200.30-3(a).

PART 200—ORGANIZATION,
CONDUCT AND ETHICS, AND
INFORMATION AND REQUESTS

The Commission hereby amends § 200.30-3, 17 CFR Chapter II by adding paragraph (a)(41) to read as follows:

§ 200.30-3 Delegation of authority to
Director of Division of Market Regulation.

• • • • •
(a) * * *
(41) Pursuant to Rule 6a-2(c) (§ 240.6a-2 of this chapter) to exempt registered national securities exchanges from the filing requirements imposed by Rule 6a-2 with respect to certain affiliates and subsidiaries of the exchange.
• • • • •

Dated: May 26, 1983.

By the Commission.

George A. Fitzsimmons,
Secretary.

[FR Doc. 83-14824 Filed 6-1-83; 8:45 am]

BILLING CODE 8010-01-M

17 CFR Parts 240 and 249

[Release No. 34-19814; File No. S7-937]

Registration as a National Securities
Exchange; Revision of Rule 6a-2,
Forms 1 and 1-A Under the Securities
Exchange Act of 1934AGENCY: Securities and Exchange
Commission.

ACTION: Final rule.

SUMMARY: The Commission has adopted amendments to the form for applications for, or exemption from, registration as a national securities exchange, and the form for amendments to and/or supplementation of such registration or exemption statements. The amendments are designed principally to eliminate obsolete and duplicative filing requirements and generally to bring these forms into conformity with the Securities Exchange Act of 1934, as amended in 1975. The Commission also has adopted amendments to its rule requiring national securities exchanges to file annual amendments or exemption

statements to their registration. The rule amendments are necessitated by the changes to the form on which data pursuant to Rule 6a-2 is filed with the Commission.

EFFECTIVE DATE: June 2, 1983.

FOR FURTHER INFORMATION CONTACT: Alden Adkins (202) 272-2418, Division of Market Regulation, Securities and Exchange Commission, 450 Fifth Street NW., Washington, D.C. 20549.

SUPPLEMENTARY INFORMATION: The Commission today adopted amendments to Rule 6a-2 [17 CFR Part 240]; and Forms 1 [17 CFR 249.1] and 1-A [17 CFR 249.1a] under the Securities Exchange Act of 1934 (the "Act"). These amendments relate to proposals that were published for comment in June 1982.¹ The comments received on the proposals were generally favorable. Several changes have been made in the proposals in response to comments. This release focuses primarily on the changes made from the proposals and the basis for such revisions. Readers are directed to the text of the amendments and to the proposing release for further information concerning the amendments.

I. Background

Section 5 (15 U.S.C. 78e) of the Act generally prohibits securities transactions on an exchange unless the exchange is registered with the Commission pursuant to Section 6 of the Act (15 U.S.C. 78f) or is specifically exempted from registration by the Commission. Section 6 sets forth the conditions for exchange registration, in a form prescribed by the Commission, that contains the rules of the exchange and any other information and documents deemed necessary or appropriate by the Commission.²

Pursuant to Section 6, the Commission adopted Rule 6a-1 (17 CFR 240.6a-1) and Rule 6a-2 (17 CFR 240.6a-2).³ Rule 6a-1 generally requires an applicant for registration as a national securities exchange, or for exemption from registration, to file an application with the Commission on Form 1 together with accompanying exhibits.⁴ Rule 6a-2

¹ Securities Exchange Act Release No. 34-18843, June 25, 1982 (the "Proposing Release") 47 FR 29259 (July 6, 1982).

² Pursuant to Section 6(b) of the Act, the Commission may not grant registration unless it makes the findings contained therein.

³ Securities Exchange Act Release No. 4383 (January 1, 1950), 14 FR 7759 (December 29, 1949).

⁴ Rule 6a-1 also requires an exchange, promptly after discovering that any information in its statement or any exhibit or amendment thereto was inaccurate when filed, to file with the Commission an amendment (on Form 1-A) correcting such inaccuracy.

generally requires each registered or exempted exchange to update its statement by filing an annual amendment on Form 1-A, setting forth any changes in the information contained in the statement or in specified exhibits which have not been reported previously in an amendment.

Forms 1 and 1-A were last amended on January 1, 1950, simultaneously with the adoption of Rules 6a-1 and 6a-2. Since that time, the enactment of the Securities Act Amendments of 1975 ("1975 Amendments")⁵ has altered significantly the regulatory scheme applicable to national securities exchanges, and technological advances have greatly changed the manner in which securities exchanges operate. In addition, as a result of its experience in administering Rules 6a-1 and 6a-2 and reviewing Forms 1 and 1-A, the Commission found that certain of the information currently required by the forms either duplicates information that is otherwise available to the Commission or imposes collection and reporting burdens on exchanges that outweigh the value of the information provided. The Commission, therefore, proposed amendments that would update the rules and forms and eliminate any unnecessary and unjustified requirements. The Commission believes that the regulations adopted today will reduce the burdens associated with both the preparation by exchange officials and the review by Commission staff of Forms 1 and 1-A.

II. Overview of Comments

The Commission received five comment letters concerning these proposed amendments; all five were sent by national securities exchanges.⁶ Several of the comment letters commended the Commission efforts to reduce the exchanges' registration and reporting burdens. In addition, the commentators all suggested ways in which they believed the proposals should be changed. The specific comments and suggestions focused primarily on two areas: (1) the annual financial statements required to be submitted under Exhibits E and F to Form 1; and (2) the proposal to require the exchanges to submit complete copies of their own and their affiliates' rules

each year. Rule 6a-2 and Forms 1 and 1-A have been changed in response to these comments. These comments are discussed in detail below.

III. Discussion

(1) Annual Financial Statements: Exhibits E and F

As proposed, the exchanges would have been required to submit separate audited annual financial statements for the exchange (proposed Exhibit E) and each of its affiliates and subsidiaries (proposed Exhibit F). Currently the exchanges are required to submit separate annual financial statements for the exchange (current Exhibit E), and each of its affiliates and subsidiaries (current Exhibit F), but they need not be audited. The commentators uniformly opposed the proposed requirement that the separate financial statements of exchange affiliates and subsidiaries be audited,⁷ suggesting that the additional cost of compliance with such a requirement would outweigh any benefit to the Commission in increased oversight capability.⁸ In addition, one commentator noted that separate financial statements for affiliates such as SIAC are submitted to the Commission pursuant to other sections of the Act and so should not have to be submitted by the exchange under Form 1-A.⁹

The Commission continues to believe that an auditing requirement is justified and indeed necessary in order for the Commission to carry out its oversight of the exchanges. The Commission also continues to believe that separate financial statements for the exchange and each of its affiliates and subsidiaries are necessary to the Commission's

⁵ One exchange also stated that disclosure of the separate financial statements of the exchange would cause it competitive injury and that it will seek confidential treatment for such financial statements if the Commission continues to require their submission. See letter dated October 25, 1982, from J. Craig Long, MSE, to George Fitzsimmons, SEC (the "MSE letter"). The Commission will, of course, consider any request for confidential treatment of documents required under Rule 6a-2 when and if such requests are actually made; it feels, however, that, while there conceivably could be rare occasions when confidential treatment is appropriate for particular exchange documents filed under Rule 6a-2 in any particular year, a grant of confidential treatment to an entire class of exchange documents required by Rule 6a-2 is neither appropriate nor necessary.

⁶ The PSE estimated that it would incur an additional cost of \$20,000/year in complying with this requirement, and NYSE estimated that its incremental costs associated with this requirement would be in excess of \$50,000. See letter dated September 9, 1982, from Karen A. Wendell, PSE, to George A. Fitzsimmons, SEC ("PSE letter"); and letter dated September 13, 1982, from James E. Bock, NYSE, to George Fitzsimmons, SEC ("NYSE letter").

⁷ See the NYSE letter.

oversight of the exchanges. However, it agrees with the commentators that the cost of providing separate audited statements for the exchange and each of its affiliates and subsidiaries may be substantial.

The Commission, therefore, is modifying Exhibits E and F to allow the exchanges to submit audited consolidated financial statements (Exhibit E)¹⁰ and unconsolidated financial statements for the exchange and its affiliates and subsidiaries (Exhibit F).¹¹ The Commission believes that these modifications allow the Commission to receive the materials necessary to its oversight function without imposing upon the exchanges any unreasonable additional costs.¹²

In response to the suggestion that some affiliates are already required to submit financial statements under another Commission rule, the Commission is excepting from Exhibit F any affiliate that files with the Commission under another Commission rule an annual financial statement meeting the requirement of Exhibit F.¹³

¹⁰ The financial statements required under Exhibit E are the same as those described in the proposal, i.e., they must be for the latest fiscal year, prepared in accordance with generally accepted accounting principles, and covered by a report prepared by an independent public accountant.

If an exchange has no affiliates it must file an audited financial statement under Exhibit E but need not file anything in response to Exhibit F.

¹¹ The financial statements required under Exhibit F need not be prepared in accordance with generally accepted accounting principles or be covered by a report prepared by an independent public accountant. Such financial statements must, at a minimum, consist of a balance sheet and an income statement, and must include such footnotes and other disclosures as are necessary to avoid rendering the statements misleading.

The exchanges should note that the Exhibit describes the minimum requirements for financial statements submitted thereunder; the exchanges may submit financial statements that exceed these requirements (e.g., because they already prepare such financial statements).

¹² All the exchanges that have affiliates or subsidiaries already prepare audited consolidated financial statements and all but one of the other exchanges already prepare audited financial statements. The one exchange that did not prepare an audited consolidated financial statement was the Spokane Stock Exchange (SSE), which, having no affiliates or subsidiaries, submitted an unaudited financial statement for the exchange alone.

Thus, the only new costs required by Exhibit E, as adopted, is the cost to SSE of having its financial statements audited. SSE did not comment on the proposal. The Commission believes that such additional costs are small and far outweighed by the benefits offered by audited consolidated financial reporting.

Exhibit F, as adopted, represents a consolidation of existing Exhibits E and F, with certain affiliates excepted for exempted (see below). Thus, no new burden is imposed by Exhibit F, as adopted. Indeed, with these new exceptions, the exchanges' reporting burden with respect to their affiliates' financial condition has been substantially reduced.

¹³ See text of Exhibit F as adopted. Rule 17a-22 under the Act, 17 CFR 240.17a-22, requires any

⁵ Pub. L. No. 94-29 (June 4, 1975).

⁶ Letters were received from the American Stock Exchange, Inc. (Amex); the Boston Stock Exchange, Inc. (BSE); the Midwest Stock Exchange, Inc. (MSE); the New York Stock Exchange, Inc. (NYSE); and the Pacific Stock Exchange, Inc. (PSE). Copies of the letters of comment are available for public inspection and copying at the Commission's Public Reference Room (See File No. S7-937).

Rule 6a-2 also has been modified for this exception; specifically, a new last sentence has been added to renumbered paragraph (a)(2) of Rule 6a-2 to prescribe the reporting in annual amendments of any change (or no change) in the status of an excepted affiliate.

(2) Submission of the Constitution, By-Laws and Rules of the Exchange and Its Affiliates

In the proposing release the Commission proposed amending Rule 6a-2 to require the exchange to submit complete Exhibits A(1), A(2) and A(3) annually to the Commission.¹⁴ Since several of the exchanges periodically have published updated versions of their rules, it was felt that this would impose a minimal burden on the exchanges. Four of the five commentators, however, objected to this requirement.¹⁵

The Commission agrees that the annual submission of the information required by Exhibits A(1), A(2) and A(3) is unnecessary, especially in view of the fact that 19(b) of the Act requires the

exchanges to submit all proposed rule changes to the Commission. The Commission, therefore, is amending Rule 6a-2 to require the submission of complete Exhibits A(1), A(2) and A(3) once every three years.¹⁶

(3) Exemptive Authority With Respect to Certain Affiliates

One commentator suggested that exchanges with common affiliates be permitted to designate one exchange from among them to file with the Commission any information concerning such affiliates required by Rule 6a-2.¹⁷ In addition, one commentator suggested that exchanges should not be required to submit financial statements for "essentially inactive" subsidiaries.¹⁸ In response to these comments, the Commission has added new paragraph (c) to Rule 6a-2 to give the Commission exemptive authority so that only one exchange would have to comply with the periodic filing requirements of Rule 6a-2 with respect to any common affiliate of two or more exchanges (6a-2(c)(1)),¹⁹ and to exempt an exchange from making periodic filings with respect to any "inactive subsidiary" (6a-2(c)(2)).²⁰ In addition, the Commission is adopting in a separate release amendments to its rules to delegate to the Director of the Division of Market Regulation the authority to grant such exemptions.²¹

¹⁴ See Rule 6a-2(b) as adopted. In conjunction with this change, the word "Annual" in the title to Rule 6a-2 is being replaced by the word "Periodic." In addition, the subparagraphs in Rule 6a-2 have been renumbered and relettered.

¹⁵ See letter, dated September 3, 1982, from Richard O. Scribner, Executive Vice President, Amex, to George Fitzsimmons, Secretary, SEC.

¹⁶ See NYSE letter.

¹⁷ The periodic reporting requirements respecting exchange affiliates are found in Exhibits A(3) (Chapter, By-Laws and Rules), F(financial statements), and H (officers and directors).

According to the most recently filed Forms 1-A, there are seven exchange affiliates that might qualify for this exemption: OCC, DTC, NSCC, SIAC, CTA, CQA and OPRA. The first three are required to file financial statements under another Commission rule and so are otherwise excepted from Exhibit F. See note 13, above.

¹⁸ While "inactive subsidiary" is not defined for purposes of this provision, the Commission expects to consider a subsidiary to be inactive only if it has little or no income and liabilities and, thus, does not have the potential to have an impact on the financial condition of the exchange. Unlike the exception for affiliates and subsidiaries that are required by another Commission rule to submit annual financial statements, the exemptions for inactive subsidiaries will not be available to exchanges submitting the complete registration statements on this year's Form 1-A. This is because an exchange would have to submit information equivalent to that which would be eliminated by the exemption in order to show that a subsidiary is inactive.

¹⁹ Securities Exchange Act Release No. 19815 (May 26, 1983).

(4) Item 9 of the Registration Statement (Form 1)

The only other specific suggestion made in response to the Proposing Release was that the categories of floor members listed in Item 9 should be defined. This commentator also suggested that the instructions should clarify how to count individuals who may be engaged in more than one of the categories of floor activity.²²

The purpose of Item 9 is to obtain annually an idea of the general composition of the exchange's floor member community. While it might be desirable to define each category, the Commission believes that it is not necessary or appropriate to do so for purposes of this form, particularly given the substantial likelihood that the nature of the activities of the various categories of members will change over time. We believe that any interpretive questions the exchanges may have can best be resolved informally and flexibly through discussions with the Commission staff as the need arises.

In response to MSE's second suggestion, the Commission has added to the footnote to Item 9 an explanation of when a member is considered to be "engaged primarily" in an activity or function; this explanation makes clear that ordinarily no member will be counted under two different categories under Item 9.

(5) Summary of Other Revisions

Except as discussed above, the Commission is adopting the amendments to Forms 1 and 1-A as proposed in the Proposing Release. These amendments are: (i) deletion from Form 1 of current Items 5, 10-15, 17-18, and 20, all of which are designed to elicit information about the exchange that generally is included in the rules of the exchange; (ii) an amendment to renumbered Item 7 that requires that the exchange provide the information requested with respect to any affiliate or subsidiary that ceased to be associated with the exchange during the previous year; (iii) amendments to renumbered Item 8 to reflect changes in the types of functions performed by exchange members as well as the development of new categories of membership; (iv) deletion of current Item 19, which seeks information that is reported elsewhere; (v) deletion of Items 21 and 22, which solicit agreements by the exchange/registrant that have been rendered obsolete by the 1975 Amendments; (vi) amendments to Exhibit B to Form 1 to delete paragraph (2), which has been

registered clearing agency to file with the Commission materials it sends to participants. Any clearing agency whose rules require it to send annual financial statements to its participants is, thus, required to file such statements with the Commission, and is, therefore, excepted from Exhibit F under this provision. There are currently seven such registered clearing agencies (Pacific Securities Depository Trust Company (PSDTC), Pacific Clearing Corporation (PCC), Midwest Securities Trust Corporation (MSTC), Midwest Clearing Corporation (MCC), Depository Trust Company (DTC), National Securities Clearing Corporation (NSCC) and Philadelphia Depository Trust Company (PDTCC)). In addition, three other exchange affiliated clearing agencies (Boston Stock Exchange Clearing Corporation (BSECC), Stock Clearing Corporation of Philadelphia (SCCP) and Options Clearing Corporation (OCC)) will soon adopt rules requiring them to send financial statements to their participants pursuant to the standards for full clearing agency registration. See Securities Exchange Act Release No. 16900 (June 17, 1980) 45 FR 41920 (June 23, 1980). OCC is already required to submit annual financial statements to the Commission; as issuer of exchange-traded options, it is required to annually amend its registration statement for these options.

The Commission notes, however, that registered securities information processors ("SIPs") are not currently required to submit annual financial statements to the Commission, and so would not qualify for this exemption. Three of the four registered SIPs, however (Securities Information Automation Corporation (SIAC), Consolidated Tape Association (CTA) and Options Price Reporting Association (OPRA)) are common affiliates, so that some of their parent exchanges may be exempted under the exemptive authority discussed below.

¹⁴ Exhibit A(1) covers submission of the constitution, charter, by-laws and rules ("rules") of the exchange; Exhibit A(2) covers written rulings, settled practices and interpretations of the Governing Board or other committee of the exchange with respect to the rules; and Exhibit A(3) covers the rules of affiliates and subsidiaries of the exchange.

¹⁵ See letters of Amex, BSE, MSE and NYSE.

²² See the MSE letter.

made redundant by the 1975 Amendments; to consolidate paragraphs (3) and (4) into a new paragraph (2); and to delete paragraph (5), which was rendered obsolete by the 1975 Amendments; (vii) amendments to Exhibit G to require information about any officers, governors or committee members of the exchange who resigned or were terminated during the covered year; (viii) amendment to Exhibit J to delete paragraph (4), which requires that the exchange provide a list of the partners, officers or directors of each member firm of the exchange; (ix) adoption of a new Exhibit M, to require information about securities admitted to trading on the exchange subject to an exemption from registration under Section 12(a) of the Act; (x) amendments to instruction numbers 3 and 4 of Form 1, and numbers 2 and 4 of Form 1-A, to require the use of 8 1/2 by 11 inch paper; and (xi) adoption of a footnote to Exhibits B, C and D to require tables of contents for these exhibits.

IV. 1983 Submissions

In the Proposing Release, the Commission stated that it intended to request, pursuant to Rule 6a-1(d), that each exchange file as its 1983 Form 1-A annual amendment a complete new registration statement and all exhibits that are prescribed to be filed in connection therewith. For the reasons stated in the proposing release, the Commission is now making this request.²³

V. Regulatory Flexibility Act Status

The Chairman of the Commission has certified that the proposed amendments to Forms 1 and 1-A and Rule 6a-2 would not have a significant economic impact

on a substantial number of small entities. No comments were received on this aspect of the proposal.

VI. Statutory Authority and Findings

The Commission hereby adopts proposed amendments with the modifications described above to Forms 1 and 1-A and to Rule 6a-2 pursuant to its authority under the Securities Exchange Act of 1934 [15 U.S.C. 78a et seq., as amended by Pub. L. No. 94-29 (June 4, 1975)], and particularly Sections 3, 5, 6, 17, 19 and 23 thereof [15 U.S.C. 78, 78e, 78f, 78g, 78s and 78w]. The Commission finds that these amendments are intended to bring the Forms and rule into conformity with the Act, as revised by the 1975 Amendments, to update their contents to better represent the current trading environment, and to eliminate obsolete and duplicative requirements. As required by Section 23(a) of the Act, the Commission has considered the impact that these rulemaking actions would have on competition and has concluded that they would impose no significant burden on competition not necessary or appropriate in furtherance of the purposes of the Act. Pursuant to Rule 6a-1(d) the Commission finds that, because of the adoption of these amendments, the number of amendments to be filed in the registered national securities exchanges' annual amendments to their registration statements will be so great that the purpose of clarity will be promoted by the filing of a complete new registration statement, and all exhibits prescribed to be filed therewith, by each exchange as its 1983 Form 1-A.

List of Subjects in 17 CFR Parts 240 and 249

Reporting and recordkeeping requirements, Securities.

VII. Text of Amendments

Accordingly, Title 17, Chapter II of the Code of Federal Regulations is amended as follows:

PART 240—GENERAL RULES AND REGULATIONS, SECURITIES EXCHANGE ACT OF 1934

1. By revising § 240.6a-2 to read:

§ 240.6a-2 Periodic amendments to registration statements or exemption statements of exchanges.

(a) Unless exempted pursuant to paragraph (c) of this section, on or before June 30 of each year, each exchange registered as a national securities exchange or exempted from such registration shall file an annual amendment setting forth:

(1) All changes, and the effective dates thereof, which have been effected in any of the information contained or incorporated in the statement, or in Exhibits B, C and D, and which have not previously been reported in an annual amendment. Such amendment shall bring the statement and Exhibits B, C and D up to date as of the latest practicable date within one month of the date on which the amendment is filed. In the event that no changes have occurred in any of this material during the period covered by the amendment, a statement to that effect shall be set forth in the amendment.

(2) Complete Exhibits E and F as of the end of the latest fiscal year of the exchange, or, in the case of the unconsolidated financial statements of an affiliate or subsidiary required under Exhibit F, as of the end of the latest fiscal year of such affiliate. In the event that no change has occurred in the status of an affiliate or subsidiary listed in a previous Exhibit F as one that is required by another Commission rule to file annual financial statements equivalent to those described in Exhibit F, a statement to that effect shall be included.

(3) Complete exhibits G, H, I, J, K, L and M. The information contained in these exhibits shall be up to date as of the latest practicable date within 3 months of the date on which the annual amendment is filed.

(b) Unless exempted pursuant to paragraph (c) of this rule, on or before June 30, 1983, and every three years thereafter each exchange registered as a national securities exchange shall file complete Exhibits A(1), A(2), and A(3) to its registration statement. The information contained in these exhibits shall be up to date as of the latest practicable date within three months of the date on which these exhibits are filed.

(c) The Commission may exempt a national securities exchange from making the periodic amendments required by this rule for any affiliate or subsidiary listed in response to Item 7 of the exchange's registration statement, as amended, that either (1) is listed in response to Item 7 of the registration statement, as amended, of one or more other national securities exchanges; or (2) was an inactive subsidiary throughout the subsidiary's latest fiscal year. The Commission may grant such exemptions upon such terms and conditions as it deems are necessary and appropriate for the protection of investors and the public interest, provided, however, that at least one national securities exchange shall be

²³ One commentator suggested that this requirement will be "somewhat burdensome." See NYSE letter. The Commission does not believe that this will impose a significant burden on the exchanges. Each exchange would, in preparing its 1983 annual amendments, be required to submit certain exhibits in full anyway (Exhibits A(1), A(2), A(3), and E-M). In addition, the exchanges would be required to review the other exhibits and the body of the registration statement to see if there have been any changes to the information contained in those items and exhibits that have to be reported under Rule 6a-2(a)(1). Thus, preparing an entirely new form will not require the exchanges to seek out or generate any information or material they already would not have to seek out in the process of completing their annual amendments. Finally, the exchanges will be required to amend, and submit as amended on this year's Form 1-A, almost every item and exhibit to Form 1-A because of the changes being adopted today. Thus, the requirement of preparing and submitting only for 1983 an entirely new registration statement, with exhibits, imposes at most a minor clerical burden on the exchanges. This burden is outweighed by the Commission's interest in having available a complete registration statement against which future exchange submissions of revised Form 1-A may be compared.

required to make the periodic amendments required by this rule for an affiliate or subsidiary described in (c)(1) of this section.

PART 249—FORM, SECURITIES EXCHANGE ACT OF 1934

§ 249.1 [Amended]

2. By revising Form 1 in § 249.1 to read as follows:

(Form 1 does not appear in the Code of Federal Regulations)

Securities and Exchange Commission

Washington, D.C.

Form 1

Application for Registration or Exemption From Registration as a National Securities Exchange

On the basis of the attached statement and exhibits, the undersigned hereby applies for registration* exemption from registration* as a national securities exchange, pursuant to Section 6 of the Securities Exchange Act of 1934 and the rules and regulations thereunder.

Exchange By Name _____ Title _____
Date _____
(SEAL)
Attest: Name _____ Title _____

Instructions for Form 1 and Accompanying Statements and Exhibits

1. Form 1 and the accompanying statement and exhibits shall be filed in duplicate, each of which shall be signed and attested by duly authorized officials of the exchange.

2. An exchange may use the printed Form 1 and statement. If the space provided in the statement for an answer to any item is insufficient, the answer may be typed on a separate insert page or pages which shall be incorporated into the statement by reference thereto in the space provided for the item.

3. If the exchange does not use the printed Form 1 and statement it shall type or print a complete Form 1 and statement containing both the items in each and the answers thereto. Form 1 and the accompanying statement and exhibits shall be typed or printed on good quality 8½ × 11 inch white paper and shall have a margin of at least 1½ inches on the left.

4. If the information called for by any exhibit is available in printed form, the printed material may be used provided it does not exceed 8½ × 11 inches in size.

5. If any item of the statement is inapplicable, a statement to that effect shall be made following the item. If any exhibit called for is inapplicable, a statement to that effect shall be furnished in lieu of such exhibit.

6. All answers to items of the statement shall be stated as briefly as completeness will permit, and may be expanded upon or qualified by reference to applicable pages, articles, sections or paragraphs of any exhibit.

*Strike out inapplicable words.

STATEMENT

Securities and Exchange Commission

Washington, D.C.

Statement to be Filed in Connection With an Application for Registration or Exemption from Registration as a National Securities Exchange under the Securities Exchange Act of 1934.

Organization

1. State the exact name of the exchange:
2. State the address and telephone number of the exchange:

3. (a) State the form of organization of the exchange: (e.g., association, corporation, etc.)

(b) State the date of organization in present form. If originally organized in another form also give date and form of original organization:

(c) Name the state and provide reference to any statute thereof under which the exchange is organized:

(d) State the name of each exchange which has been merged into, absorbed by, or consolidated with the subject exchange since September 1, 1934, giving the date when each merger, absorption, or consolidation occurred:

4. State the date upon which the fiscal year of the exchange ends:

5. State the name and address of counsel for the exchange:

6. State the name and address of the person hereby authorized to receive service of process and notices on behalf of the exchange:

7. For each organization which during the previous year has been affiliated with or subsidiary to the exchange, either directly or indirectly, through security ownership, joint membership or otherwise, provide the following information:

(a) Name and address of the organization:
(b) Form of organization: (e.g., association, corporation, etc.)

(c) Name of state and reference to any statute thereof under which organized:

(d) Date of organization in present form:

(e) Brief description of the nature and extent of affiliation:

(f) Brief description of its business or functions:

(g) Name of any of the organizations identified above which ceased to be associated with the exchange during the previous year, and a brief statement of the reasons for the termination of the association:

8. State the classes of membership (e.g., full membership, associate membership, physical access membership, electronic access membership, etc.) and indicate the number of members in each category.

9. State as nearly as practicable the number of persons who are (a) engaged primarily in the following activities or functions on or through the facilities of the exchange: ¹

¹ A person shall be considered to be "engaged primarily" in an activity or function for purposes of this item when that activity or function is the one in which that person is principally engaged. Where more than one type of person engages in any of the six types of activities or functions enumerated in this item, identify each such type (e.g., proprietary

(1) Floor brokers:

(2) Specialists:

(3) odd lot dealers:

(4) other market makers:

(5) proprietary traders (on the exchange floor):

(6) inactive or other function:

(b) State the estimated average total number of members in attendance at security trading sessions:

Exhibits

Exhibits to be filed in connection with (i) an Application for Registration or Exemption from Registration as a National Securities Exchange pursuant to Section 6 of the Securities Exchange Act of 1934 and Rule 6a-1 thereunder, or (ii) a Periodic Amendment pursuant to Rule 6a-2.²

Exhibit A(1)—A copy of the constitution, articles of incorporation or association with all amendments thereto, and of existing by-laws or rules or instruments corresponding thereto, whatever the name, of the exchange.

Exhibit A(2)—A copy of all written rulings, settled practices having the effect of rules, and interpretations of the Governing Board or other committee of the exchange in respect of any of the provisions of the constitution, by-laws, rules or trading practices of the exchange, which are not included in the material submitted under Exhibit A(1).

Exhibit A(3)—A copy of the constitution, articles of incorporation or association with all amendments thereto, and of existing by-laws or rules or instruments corresponding thereto, whatever the name, of each affiliate and subsidiary listed in answer to Item 7 of the Statement.

Exhibit B—A complete set of all forms³ pertaining to:

(1) Application for membership⁴ in the exchange.

(2) Application for approval as a person associated with a member⁵ of the exchange.

(3) Matters similar to any of the foregoing.

Exhibit C—A complete set of all forms of financial statements, reports or questionnaires required of members, relating to such matters as members' financial responsibility or minimum capital requirements.

Exhibit D—A complete set of documents, comprising the exchange's listing applications, including the agreements required to be executed in connection therewith, and a schedule of listing fees.

Exhibit E—For the latest fiscal year of the exchange, audited consolidated financial statements which (1) are prepared in

trader, Registered Competitive Trader and Registered Competitive Market Maker) and state the number of members in each.

² If any exhibit called for is inapplicable, a statement to that effect shall be furnished in lieu of such exhibit.

³ Provide separate tables of contents listing (by title and/or number) the forms included in Exhibits B, C, and D.

⁴ The terms "member" and "membership" are used interchangeably; unless the context otherwise requires "member" has the same meaning as that provided in Section 3(a)(3)(A) of the Act.

⁵ The term "person associated with a member" has the same meaning as that provided in Section 3(a)(18) of the Act.

accordance with generally accepted accounting principles, and (2) are covered by a report prepared by an independent public accountant.*

Exhibit F—For the latest fiscal year, unconsolidated financial statements of the exchange and each of its affiliates and subsidiaries listed in Item 7 of the statement, and any amendments thereto, which financial statements, at a minimum, shall consist of a balance sheet and an income statement with such footnotes and other disclosures as are necessary to avoid rendering the financial statements misleading; provided, however, that if any such affiliate or subsidiary is required by another Commission rule to submit annual financial statements equivalent to those described herein, a statement to that effect, with a citation to such other Commission rule, may be included in lieu of the financial statements required hereunder.

Exhibit G—A list of the present officers, governors, members of all standing committees,† or persons performing functions similar to any of the foregoing, whatever their title or technical status may be, of the exchange, who presently hold or have held their offices or positions during the previous year, indicating for each:

- (1) Name.
- (2) Title.
- (3) Dates of commencement and termination of term of office or position.
- (4) Length of time each has held the same office or position.
- (5) Type of business in which each is primarily engaged (e.g., floor broker, specialist, odd-lot dealer, etc.).

Exhibit H—A list of the present officers, directors, members of all standing committees or persons performing functions similar to any of the foregoing, whatever their title or technical status may be, of each affiliate and subsidiary listed in answer to Item 7 of the Statement, indicating for each:

- (1) Name.
 - (2) Title.
- Exhibit I**—A list as of latest practicable date* alphabetically arranged of all individual members of the exchange indicating for each:*
- (1) Name.
 - (2) Date of election to membership.
 - (3) Name of firm with which he is associated and his relationship thereto (e.g., partner, officer, director, employee).
 - (4) Business address.

Exhibit J—A list as of latest practicable date alphabetically arranged of all member organizations of the exchange indicating for each:

* If an exchange has no consolidated subsidiaries, it shall file audited financial statements under Exhibit E for the exchange alone, and need not file a separate unaudited financial statement for the exchange under Exhibit F.

† For Exhibits G and H, group members of each standing committee together.

* For Exhibits I, J, K, L and M, indicate the date as of which each list or schedule is prepared.

* For Exhibits I and J, if more than one class of membership is provided for by the constitution and rules of the exchange, either (1) list separately accordingly to class; or (2) indicate the class of membership applicable.

- (1) Name.
- (2) Form of organization (e.g., sole proprietorship, partnership, corporation, etc.).
- (3) Principal place of business and telephone number.

(4) The individual(s) whose membership it uses.

Exhibit K—A schedule of securities listed on the exchange indicating for each:

- (1) Name of issuer.
- (2) Description of security.

Exhibit L—A schedule of securities admitted to unlisted trading privileges on the exchange showing for each:

- (1) Name of issuer.
- (2) Description of security.

Exhibit M—A schedule of unregistered securities admitted to trading on the exchange which are exempt from registration under Section 12(a) of the Act, indicating for each:

- (1) Name of issuer.
- (2) Description of security.
- (3) Specific statutory exemption claimed (e.g., Rule 12a-6).

§ 249.1a [Amended]

3. By revising Form 1-A in § 249.1a to read as follows:

(Form 1-A does not appear in the Code of Federal Regulations)

Securities and Exchange Commission

Washington, D.C.

Form 1-A

Amendment to an Application for Registration or Exemption From Registration as a National Securities Exchange

Amendment No. _____

Designate the type of amendment as follows: Periodic Amendment (Rule 6a-2) period covered _____ to _____

[] Other Amendment (Rule 6a-1 (c) to (d) []

The undersigned exchange hereby submits the attached material as amendment to its application for registration* exemption from registration* as a national securities exchange in respect of the items and/or exhibits listed below:

Exchange _____
By Name _____ Title _____
Date _____
(Seal)
Attest Name _____ Title _____

Instructions for Form 1-A

1. Amendments on Form 1-A and the material attached thereto shall be filed in duplicate, each of which shall be signed and attested by duly authorized officials of the exchange. Each Form 1-A shall be dated and numbered in the order of filing. The type of amendment, whether annual or other, shall be specified in the space provided on the Form.

2. An exchange may use the printed Form 1-A. If it does not use the printed Form 1-A it shall type or print a

* Strike out inapplicable words.

complete Form 1-A on good quality 8½ x 11 inch white paper and shall have a margin of at least 1½ inches on the left. All material filed with such form shall comply with the same requirements.

3. If the information called for by any exhibit is available in printed form, the printed material may be used provided it does not exceed 8½ x 11 inches in size.

4. Any number of items or exhibits being amended may be listed on one Form 1-A.

By the Commission.

Dated: May 26, 1983.

George A. Fitzsimmons,
Secretary.

[FR Doc. 83-14806 Filed 6-1-83; 8:45 am]

BILLING CODE 8010-01-M

DEPARTMENT OF THE TREASURY

Internal Revenue Service

26 CFR Part 601

Amendment of the Statement of Procedural Rules To Provide for a Consolidated Appeals Conference With Respect to Certain Windfall Profit Tax Issues

AGENCY: Internal Revenue Service, Treasury.

ACTION: Amendment of the Statement of Procedural Rules.

SUMMARY: This document contains amendments to the Statement of Procedural Rules (26 CFR Part 601) which allow the Internal Revenue Service to provide consolidated Appeals conferences with respect to certain windfall profit tax issues. This amendment is necessary because the Internal Revenue Service does not have the resources to provide the number of separate conferences that might be requested, especially in cases where hundreds of producers own interests in the same property or lease.

DATES: These amendments to the Statement of Procedural Rules are effective June 2, 1983, and apply to all crude oil removed (or deemed removed) from premises after February 29, 1980.

FOR FURTHER INFORMATION CONTACT: Donald W. Stevenson of the Legislation and Regulations Division, Office of Chief Counsel, Internal Revenue Service, 1111 Constitution Avenue, N.W., Washington, D.C. 20224. Attention: CC:LR:T (202-566-3297, not a toll-free number).