

STATEMENT OF GENERAL POLICY:

Upgrading Managerial Requirements for Small Business Investment Companies. To assure SBA that licensed investment companies are managed by individuals with a real interest in and the capability to serve small business concerns in the licensee's area of operations, SBA will require that satisfactory provisions must be made for a full-time responsible manager to be in charge of the licensee's operations. Such manager shall have at least five year's successful experience in a position of responsibility involving investing in business concerns; for example, in a venture capital firm, investment banking, or comparable experience and background acceptable to SBA. A degree in business related fields may be substituted for up to two years of experience. SBA may approve a manager in circumstances where the above criteria are not met, but SBA determines that potential for capable management is present. In such circumstances no leverage or additional leverage will be provided to the licensee for at least one year. Thereafter, a request for leverage will be considered only if the licensee can demonstrate to SBA's satisfaction that it has made prudent investments in small businesses, in conformity with SBA Rules and Regulations, and that it is a satisfactory credit risk. If the licensee plans to specialize in a particular industry, the manager must, in addition, have had substantial experience with that industry.

A majority of the licensee's board of directors and all officers must be American citizens or permanent resident aliens of the United States and must be conversant with the English language and with American business and financial practices.

Dated: December 18, 1981.

Michael Cardenas,
Administrator.

[FR Doc. 81-37166 Filed 12-29-81; 8:45 am]

BILLING CODE 8025-01-M

COMMODITY FUTURES TRADING COMMISSION

17 CFR Ch. I

Display of Office of Management and Budget (OMB) Control Numbers for Reporting and Recordkeeping Requirements

AGENCY: Commodity Futures Trading Commission.

ACTION: Technical amendments.

SUMMARY: This document amends the Commodity Futures Trading

Commission regulations to include OMB control numbers at the places in the regulations where current information collection requirements are described.

EFFECTIVE DATE: December 30, 1981.

FOR FURTHER INFORMATION CONTACT: Mr. Joseph G. Salazar, CFTC Clearance Officer, Commodity Futures Trading Commission, 2033 K Street, NW., Room 401, Washington, D.C. 20581, (202) 254-9735.

SUPPLEMENTARY INFORMATION: The Paperwork Reduction Act of 1980 ("Act"), Pub. L. 96-511, 44 U.S.C. Chapter 35, requires Federal agencies to submit to the Office of Management and Budget ("OMB") all collection of information requests covered by the Act, so that an OMB control number may be issued. Collection of information includes obtaining or soliciting of facts or opinions by an agency through the use of written report forms, application forms, schedules, questionnaires, reporting or recordkeeping requirements, or other similar methods calling for either—

(A) Answers to identical questions posed to, or identical reporting or recordkeeping requirements imposed on ten or more persons, other than agencies, instrumentalities, or employees of the United States; or

(B) Answers to questions posed to agencies, instrumentalities, or employees of the United States which are to be used for general statistical purposes.

After December 31, 1981, all information collection requests must display this control number.¹

The Commission has obtained control numbers for all of its reporting and recordkeeping requirements.² In order to

¹ Section 3512 of the Act provides a public protection clause which states that " * * * no person shall be subject to any penalty for failing to maintain or provide any information if the information collection requests was made after December 31, 1981, and does not display a current control number assigned by the Director, or fails to state that such a request is not subject to this chapter."

² The Commission has received two OMB numbers for information collection requests which involve both options and futures transactions. On June 29, 1981, the Commission published proposed rules to govern a pilot program for the trading of options on futures contracts or contract markets. 46 FR 33293. Specific proposed rules which constituted information collection requests received OMB number 3038-0007. The proposed rules were as follows: 1.16(e)(2); 1.16(f); 1.20(a) and (b); 1.23; 1.26; 1.27; 17.01(a); 33.3(f), (g) and (h); 33.5(a), (c)-(f), (l) and (m); 33.6(a), (b), and (e)-(g); 33.8; 33.15(a)-(g); 33.17; 33.18(a)-(k); 33.19; 33.20; 33.22(a)-(e); 33.23; 33.24; 33.25; and 33.27(c)-(f), (h) and (i). Subsequently, the Commission determined to consolidate its regulations pertaining to options contracts with existing regulations concerning futures contracts. The Commission published in the Federal Register with its final rules on option

display the OMB control numbers that have been assigned, the Commission is amending its regulations so that the text of each section of the regulations which involves an information collection request is followed by the OMB control number. The list of regulations given below is also intended to display, for purposes of 44 U.S.C. 3512, reporting and recordkeeping requirements which have received OMB control numbers.

In consideration of the foregoing, the Commission hereby amends Chapter 1 of Title 17 of the Code of Federal Regulations by adding parenthetically the OMB numbers listed in the second column below following the text of the corresponding Part or Section of Chapter 1 listed in the first column:

CFR citation	OMB control No.
1.10	3038-0024
1.10(a)	3038-0023
1.10(b)	3038-0023
1.10(f)	3038-0003
1.10a	3038-0023
1.10b	3038-0023
1.10c	3038-0023
1.10d	3038-0023
1.10f	3038-0006
1.12	3038-0024
1.14	3038-0023
1.15	3038-0023
1.16	3038-0007, 3038-0024
1.17	3038-0024
1.18	3038-0024
1.20	3038-0007, 3038-0024
1.23	3038-0007, 3038-0024
1.26	3038-0007, 3038-0024
1.27	3038-0007, 3038-0024
1.31	3038-0007, 3038-0022
1.32	3038-0007, 3038-0024
1.33	3038-0007, 3038-0024
1.33(c)	3038-0005
1.34	3038-0007, 3038-0024
1.35	3038-0007, 3038-0022
1.36	3038-0007, 3038-0024
1.37	3038-0007, 3038-0024
1.37(b)	3038-0009
1.38	3038-0007, 3038-0022
1.39	3038-0007, 3038-0022
1.40	3038-0015
1.41	3038-0007, 3038-0022
1.42	3038-0020
1.43	3038-0018
1.44	3038-0019
1.46	3038-0007
1.47	3038-0013
1.48	3038-0013
1.50	3038-0007, 3038-0016
1.51	3038-0007, 3038-0022
1.52	3038-0007, 3038-0022
1.55	3038-0022
1.60	3038-0022
1.61	3038-0022
4.1	3038-0005
4.2	3038-0005
4.13	3038-0005
4.20	3038-0005
4.21	3038-0005
4.22	3038-0005
4.23	3038-0005
4.31	3038-0005
4.32	3038-0005
4.41	3038-0005

trading, a table showing the correspondence between the proposed CFR citation for the proposed option rules and the CFR citations actually adopted. (See 46 FR 54515, November 3, 1981.) The regulations concerning futures contracts which were amended to apply also to options contracts and which constituted information collection requests had at that time already received a separate OMB number, and these numbers have been coordinated.

CFR citation	OMB control No.
8.07	3038-0022
8.09	3038-0022
8.11	3038-0022
8.14	3038-0022
8.16	3038-0022
8.17	3038-0022
8.18	3038-0022
8.19	3038-0022
8.26	3038-0022
9.5	3038-0022
9.11	3038-0022
9.12	3038-0022
9.21	3038-0022
9.22	3038-0022
9.34	3038-0022
9.35	3038-0022
Part 15	3038-0007, 3038-0009
Part 16	3038-0007
16.00	3038-0009
16.01	3038-0012
16.06	3038-0009, 3038-0012
Part 17	3038-0009
17.01	3038-0007
Part 18	3038-0009
18.05	3038-0007
Part 19	3038-0009
Part 21	3038-0009
21.02	3038-0007, 3038-0017
32.5	3038-0003
32.6	3038-0003
32.7	3038-0001
32.12	3038-0003
32.12(a)	3038-0007
33.2	3038-0007
33.3	3038-0007
33.4	3038-0007
33.5	3038-0007
33.6	3038-0007
33.7	3038-0007
33.8	3038-0007
Part 155	3038-0007, 3038-0022
166.2	3038-0022
170.5	3038-0022
170.6	3038-0022
170.7	3038-0022
170.11	3038-0022
180.1	3038-0007
180.2	3038-0022
180.3	3038-0022
190.04	3038-0021
190.06	3038-0021

The foregoing amendments are effective immediately. The amendments which are made for purposes of compliance with the Paperwork Reduction Act of 1980, 44 U.S.C. Chapter 35, contain no substantive changes and, therefore, the Commission finds that notice and other public procedures called for by 5 U.S.C. 553 are not required.

Issued in Washington, D.C., on December 23, 1981, by the Commission.

Jane K. Stuckey,

Secretary of the Commission.

[FR Doc. 81-37062 Filed 12-29-81; 8:45 am]

BILLING CODE 6351-01-M

(b) Display.

SECURITIES AND EXCHANGE COMMISSION

17 CFR Part 200

[Release Nos. 33-6369; 34-18353; 35-22331; 39-681; IC-12117; and IA-788]

Paperwork Reduction Act: Office of Management and Budget Control Numbers Assigned to Information Collection Requirements

AGENCY: Securities and Exchange Commission.

ACTION: Addition of subpart.

SUMMARY: The Commission is amending Title 17, Chapter II, of the Code of Federal Regulations to add a new subpart N. This amendment is administrative in nature and is intended to comply with the requirements of Section 3512 of the Paperwork Reduction Act, Pub. L. 96-511, which requires that agencies display a current control number assigned by the Director of the Office of Management and Budget ("OMB") on each agency information collection requirement. In particular, this subpart collects and displays current OMB control numbers and expiration dates of those information collection requirements of the Commission which are rules and regulations and codified in 17 CFR either in full text or incorporated by reference with the approval of the Director of the Office of the Federal Register. Where the information collection requirement also exists as a separate document, as, for example, an information collection requirement which the Commission incorporates by reference in 17 CFR, the Commission, of course, will also display on the separate document as well the current OMB Control Number and the expiration date as required by Section 3512.

EFFECTIVE DATE: December 30, 1981.

FOR FURTHER INFORMATION CONTACT: George G. Kundahl, Executive Director, Securities and Exchange Commission,

500 North Capitol Street, Washington, D.C. 20549 (202) 272-2700.

SUPPLEMENTARY INFORMATION: Accordingly, Part 200 of 17 CFR Chapter II is amended by adding a new subpart N to read as follows:

PART 200—ORGANIZATION; CONDUCT AND ETHICS; AND INFORMATION AND REQUESTS

Subpart N—Commission Information Collection Requirements Under the Paperwork Reduction Act: OMB Control Numbers and Expiration Dates

Authority: 44 U.S.C. 3512.

§ 200.800 OMB control numbers assigned pursuant to the Paperwork Reduction Act.

(a) **Purpose.** This subpart collects and displays the control numbers and expiration dates assigned to information collection requirements of the Commission by the Office of Management and Budget pursuant to the Paperwork Reduction Act. The Commission intends that this subpart comply with the requirements of section 3512 of the Paperwork Reduction Act, Pub. L. 96-511, which requires that agencies display a current control number assigned by the Director of the Office of Management and Budget ("OMB") on each agency information collection requirement. In particular, this subpart displays current OMB control numbers and expiration dates of those information collection requirements of the Commission which are rules and regulations and codified in 17 CFR either in full text or incorporated by reference with the approval of the Director of the Office of the Federal Register. Where the information collection requirement also exists as a separate document, as, for example, an information collection requirement which the Commission incorporates by reference in 17 CFR, the Commission, of course, will display on the separate document as well the current OMB control number and the expiration date as required by Section 3512.

Information collection device	17 CFR part or section where identified and described	Current OMB control No.	Expiration date
Regulation S-X	Part 210	3235-0009	12/31/82
Regulation S-K	Part 229	3235-0071	6/30/82
Rule 236	§ 230.236	3235-0095	11/30/83
Rule 242	§ 230.242	3235-0099	11/30/84
Regulation A	§§ 230.251 through 230.264	3235-0096	6/30/82
Regulation B	§§ 230.300 through 230.346	3235-0093	10/31/83
Regulation C	§§ 230.400-490 [except .463]	3235-0074	6/30/82

Information collection device	17 CFR part or section where identified and described	Current OMB control No.	Expiration date
Rule 604.	§ 230.604	3235-0232	12/31/84
Rule 605.	§ 230.605	3235-0232	12/31/84
Rule 606.	§ 230.606	3235-0232	12/31/84
Rule 607.	§ 230.607	3235-0232	12/31/84
Rule 609.	§ 230.609	3235-0232	12/31/84
Regulation F.	§§ 230.651 through 230.656	3235-0094	10/31/84
Schedules A, B, C, and D.	§ 239.10(a)	3235-0093	10/31/83
Form S-1	§ 239.11	3235-0065	6/30/82
Form S-1 proposed.	§ 239.11	3235-0065	6/30/82
Form S-2	§ 239.12	3235-0075	6/30/82
Form S-2 proposed.	§ 239.	3235-0072	6/30/82
Form S-6	§ 239.16	3235-0184	6/31/82
Form S-6 proposed.	§ 239.	3235-0073	6/30/82
Form S-11	§ 239.18	3235-0067	6/30/82
Form S-12	§ 239.19	3235-0112	11/30/84
Form S-14	§ 239.23	3235-0052	10/31/84
Form S-7	§ 239.26	3235-0055	6/30/82
Form S-16	§ 239.27	3235-0077	6/30/82
Form S-18	§ 239.28	3235-0096	9/30/82
Form S-15	§ 239.29	3235-0053	10/31/84
Form F-1	§ 239.31	3235-0258	12/31/84
Form F-2	§ 239.32	3235-0257	12/31/84
Form F-3	§ 239.33	3235-0256	12/31/84
Form C-3	§ 239.5	3235-0112	11/30/84
Form SR.	§ 239.60	3235-0124	11/30/84
Form 1-A	§ 239.90	3235-0096	9/30/82
Form 2-A	§ 239.91	3235-0096	9/30/82
Form 3-A	§ 239.92	3235-0096	9/30/82
Form 4-A	§ 239.93	3235-0096	9/30/82
Form 5-A	§ 239.94	3235-0096	9/30/82
Form 6-A	§ 239.95	3235-0096	9/30/82
Form 7-A	§ 239.96	3235-0096	9/30/82
Form 1-G	§ 239.101	3235-0093	10/31/83
Form 3-G	§ 239.101	3235-0093	10/31/83
Form 144	§ 239.144	3235-0101	11/30/83
Form 146	§ 239.146	3235-0005	8/31/83
Form S-8	§ 239.166	3235-0066	6/30/82
Form 1-E	§ 239.200	3235-0232	12/31/84
Form 2-E	§ 239.201	3235-0233	12/31/84
Form 240	§ 239.240	3235-0100	11/30/84
Form 242	§ 239.242	3235-0099	11/30/84
Form 1-F	§ 239.300	3235-0094	10/31/84
Form 11-K	§ 239.311	3235-0082	10/31/83
Regulation D.	§ 240.3b	3235-0076	6/30/82
Rule 6a-1.	§ 240.6a-1	3235-0017	8/31/83
Rule 6a-2.	§ 240.6a-2	3235-0022	8/31/83
Rule 6a-3.	§ 240.6a-3	3235-0021	8/31/83
Rule 10b-7.	§ 240.10b-7	3235-0208	10/31/83
Rule 11Ab2-1.	§ 240.11Ab2-1	3235-0043	9/30/84
Rule 12a-5.	§ 240.12a-5	3235-0079	10/31/84
Regulation 12B.	§§ 240.12b-1 through .12b-24; 240.12b-26 through .12b-36	3235-0062	10/31/84
Rule 12d-3.	§ 240.12d-3	3235-0109	11/30/84
Rule 12d2-1.	§ 240.12d2-1	3235-0081	10/31/84
Rule 12d2-2.	§ 240.12d2-2	3235-0080	10/31/84
Rule 12f-1.	§ 240.12f-1	3235-0128	11/30/84
Rule 12f-2.	§ 240.12f-2	3235-0248	11/30/84
Rule 12f-3.	§ 240.12f-3	3235-0249	12/31/84
Rule 12g3-2.	§ 240.12g3-2	3235-0119	11/30/84
Rule 13a-17.	§ 240.13a-17	3235-0191	12/31/84
Regulation 13D/G.	§§ 240.13d-1 through 240.13d-7	3235-0145	8/31/82
Schedule 13D.	§ 240.13d-101	3235-0145	8/31/82
Schedule 13G.	§ 240.13d-102	3235-0145	8/31/82
Schedule 13e-3.	§ 240.13e-100	3235-0007	6/30/83
Rule 13e-3.	§ 240.13e-3	3235-0007	6/30/83
Rule 13e-4.	§ 240.13e-4	3235-0203	8/31/82
Schedule 13e-4.	§ 240.13e-101	3235-0203	8/31/82
Regulation 14A.	§§ 240.14a-1 through .14a-12	3235-0059	10/31/84
Schedule 14A.	§ 240.14a-101	3235-0059	10/31/84
Schedule 14B.	§ 240.14a-102	3235-0059	10/31/84
Regulation 14C.	§ 240.14c-1	3235-0057	10/31/84
Schedule 14C.	§ 240.14c-101	3235-0057	10/31/84
Regulation 14D.	§§ 240.14d-1 through .14d-9	3235-0102	11/30/83
Schedule 14D-1.	§ 240.14d-100	3235-0102	11/30/83
Schedule 14D-9.	§ 240.14d-101	3235-0102	11/30/83
Regulation 14E.	§§ 240.14e-1 through .14e-2	3235-0102	11/30/83
Rule 14f-1.	§ 240.14f-1	3235-0108	11/30/84
Rule 15a-4(a).	§ 240.15a-4(a)	3235-0010	8/31/83
Rule 15Aa-1.	§ 240.15Aa-1	3235-0030	9/30/84
Rule 15A1-1.	§ 240.15A1-1	3235-0044	9/30/84
Rule 15b1-1.	§ 240.15b1-1	3235-0012	8/31/83
Rule 15b1-2.	§ 240.15b1-2	3235-0020	8/31/83
Rule 15b1-3.	§ 240.15b1-3	3235-0011	8/31/83
Rule 15b1-4.	§ 240.15b1-4	3235-0016	8/31/83
Rule 15b2-1.	§ 240.15b2-1	3235-0014	8/31/83
Rule 15b3-1.	§ 240.15b3-1	3235-0013	8/31/83
Rule 15b6-1(a).	§ 240.15b6-1(a)	3235-0016	8/31/83
Rule 15b8-1.	§ 240.15b8-1	3235-0135	11/30/84
Rule 15b9-1 (a) and (b).	§ 240.15b9-1 (a) and (b)	3235-0129	11/30/84
Rule 15b9-1(c).	§ 240.15b9-1(c)	3235-0139	11/30/84
Rule 15b9-2.	§ 240.15b9-2	3235-0146	11/30/84
Rule 15b10-6.	§ 240.15b10-6	3235-0186	9/30/82
Rule 15b10-8.	§ 240.15b10-8	3235-0189	12/31/84
Rule 15b10-9.	§ 240.15b10-9	3235-0091	10/31/84

Information collection device	17 CFR part or section where identified and described	Current OMB control No.	Expiration date
Rule 15b10-11	§ 240.15b10-11	3235-0190	12/31/84
Rule 15Ba2-1	§ 240.15Ba2-1	3235-0083	10/31/84
Rule 15Ba2-2	§ 240.15Ba2-2	3235-0090	10/31/84
Rule 15Ba2-4	§ 240.15Ba2-4	3235-0089	10/31/84
Rule 15Ba2-5	§ 240.15Ba2-5	3235-0088	10/31/84
Rule 15Ba2-6	§ 240.15Ba2-6	3235-0086	10/31/84
Rule 15Bc3-1	§ 240.15Bc3-1	3235-0087	10/31/84
Rule 15c2-5	§ 240.15c2-5	3235-0198	12/31/84
Rule 15c2-11	§ 240.15c2-11	3235-0202	12/31/84
Rule 15c3-1	§ 240.15c3-1	3235-0200	12/31/84
Rule 15c3-3	§ 240.15c3-3	3235-0078	10/31/84
Rule 15c1-7	§ 240.15c1-7	3235-0134	11/30/84
Rule 17a-1	§ 240.17a-1	3235-0208	10/31/83
Rule 17a-2	§ 240.17a-2	3235-0208	10/31/83
Rule 17a-3	§ 240.17a-3	3235-0033	9/30/84
Rule 17a-4	§ 240.17a-4	3235-0197	9/30/82
Rule 17a-5	§ 240.17a-5	3235-0123	11/30/82
Rule 17a-5(c)	§ 240.17a-5(c)	3235-0199	8/31/82
Rule 17a-7	§ 240.17a-7	3235-0131	11/30/84
Rule X17a-8	§ 240.17a-8	3235-0092	12/31/82
Rule 17a-10	§ 240.17a-10	3235-0122	11/30/84
Rule 17a-11	§ 240.17a-11	3235-0085	10/31/84
Rule 17a-12	§ 240.17a-12	3235-0192	12/31/84
Rule 17a-13	§ 240.17a-13	3235-0035	9/30/84
Rule 17a-16	§ 240.17a-16	3235-0038	9/30/84
Rule 17a-17	§ 240.17a-17	3235-0039	9/30/84
Rule 17a-19	§ 240.17a-19	3235-0133	11/30/84
Rule 17a-20	§ 240.17a-20	3235-0207	12/31/84
Rule 17a-22	§ 240.17a-22	3235-0196	12/31/84
Rule 17Ab2-1 (a) and (e)	§ 240.17Ab2-1 (a) and (e)	3235-0195	12/31/84
Rule 17Ac2-1 (a) and (c)	§ 240.17Ac2-1 (a) and (c)	3235-0084	10/31/84
Rule 17Ac3-1(a)	§ 240.17Ac3-1(a)	3235-0151	11/30/84
Rule 17Ad-2 (c), (d), and (h)	§ 240.17Ad-2 (c), (d), and (h)	3235-0130	11/30/84
Rule 17Ad-4 (b) and (c)	§ 240.17Ad-4 (b) and (c)	3235-0138	11/30/84
Rule 17Ad-7	§ 240.17Ad-7	3235-0136	12/31/82
Rule 17f-1(b)	§ 240.17f-1(b)	3235-0032	9/30/82
Rule 17f-1(c)	§ 240.17f-1(c)	3235-0037	9/30/84
Rule 17f-1(g)	§ 240.17f-1(g)	3235-0036	9/30/82
Rule 17f-2(a)	§ 240.17f-2(a)	3235-0034	9/30/84
Rule 17f-2(c)	§ 240.17f-2(c)	3235-0029	9/30/84
Rule 17f-2(d)	§ 240.17f-2(d)	3235-0028	9/30/84
Rule 17f-2(e)	§ 240.17f-2(e)	3235-0031	9/30/84
Rule 19b-4	§ 240.19b-4	3235-0045	9/30/84
Rule 19d-1(b)-(f)	§ 240.19d-1(b)-(f)	3235-0206	10/31/83
Rule 19d-2	§ 240.19d-2	3235-0205	12/31/84
Rule 19d-3(b)-(f)	§ 240.19d-3(b)-(f)	3235-0204	12/31/84
Rule 19h-1 (a), (c)-(e), (g)	§ 240.19h-1(a), (c)-(e), (g)	3235-0206	10/31/83
Rule 24b-1	§ 240.24b-1	3235-0194	12/31/84
Rule 24b-2	§ 240.24b-2	3235-0127	11/30/84
Form 1	§ 249.1	3235-0017	8/31/83
Form 1-A	§ 249.1a	3235-0022	8/31/83
Form D	§ 249.3b	3235-0076	6/30/82
Form 25	§ 249.25	3235-0080	10/31/84
Form 26	§ 249.26	3235-0079	10/31/84
Form 27	§ 249.27	3235-0248	11/30/84
Form 28	§ 249.28	3235-0249	12/31/84
Form TA-1	§ 249b.100	3235-0084	10/31/84
Form TA-W	§ 249b.101	3235-0151	11/30/84
Form 3	§ 249.103	3235-0104	11/30/84
Form 4	§ 249.104	3235-0103	9/30/82
Form CA-1	§ 249b.200	3235-0195	12/31/84
Form 8-A	§ 249.206a	3235-0056	10/31/84
Form 8-B	§ 249.206b	3235-0068	6/30/82
Form 10	§ 249.210	3235-0064	6/30/82
Form 18	§ 249.218	3235-0121	11/30/84
Form 19	§ 249.219	3235-0115	11/30/84
Form 20-F	§ 249.220-F	3235-0143	12/31/82
Form 5-K	§ 249.306	3235-0116	11/30/84
Form 5-K	§ 249.308	3235-0060	10/31/84
Form 10-Q	§ 249.308a	3235-0070	6/30/82
Form 10-K	§ 249.310	3235-0063	10/31/82
Form 10-C	§ 249.310c	3235-0191	12/31/84
Form 11-K	§ 249.311	3235-0082	10/31/83
Form 18-K	§ 249.318	3235-0120	11/30/84
Form 19-K	§ 249.319	3235-0118	11/30/84
Form 12b-25	§ 249.322	3235-0058	10/31/84
Form 12g-4/15d-6	§ 249.323	3235-0167	6/30/84
Form 13F	§ 249.325	3235-0006	11/30/83
Form 2-MD	§ 249.402	3235-0215	12/31/84
Form 8	§ 249.460	3235-0141	10/31/83
Form BD	§ 249.501	3235-0012	8/31/83
Form BDW	§ 249.501a	3235-0018	8/31/83
Form U-4	§ 249.502	3235-0019	8/31/83
Form SECO-2F	§ 249.502a	3235-0139	11/30/84
Form SECO-4	§ 249.504a	3235-0146	11/30/84
Form SECO-5	§ 249.505	3235-0129	11/30/84
Form X-17A-5	§ 249.617	3235-0123	11/30/84
Form X-17A-12(1)	§ 249.619	3235-0192	11/30/82
Form X-17A-12(2)	§ 249.620	3235-0193	12/31/84
Form X-17A-16(1)	§ 249.631	3235-0038	9/30/84
Form X-17A-16(2)	§ 249.632	3235-0040	9/30/84
Form X-17A-17	§ 249.633	3235-0039	9/30/84
Form X-17A-19	§ 249.635	3235-0133	11/30/84

Information collection device	17 CFR part or section where identified and described	Current OMB control No.	Expiration date
Form X-17A-1	§ 249.727	3235-0208	10/31/84
Form X-15AA-1	§ 249.801	3235-0030	9/30/84
Form X-15AJ-1	§ 249.802	3235-0044	9/30/84
Form X-15AJ-2	§ 249.803	3235-0044	9/30/84
Form 19b-4	§ 249.819	3235-0045	9/30/84
Form SiP	§ 249.1001	3235-0043	9/30/84
Form MSD	§ 249.1100	3235-0063	10/31/84
Form MSDW	§ 249.1110	3235-0067	10/31/84
Form X-17F-1A	§ 249.1200	3235-0037	9/30/84
Form TA-1	§ 249b.100	3235-0084	10/31/84
Form TA-W	§ 249b.101	3235-0151	11/30/84
Rule 1(a)	§ 250.1(a)	3235-0170	12/31/84
Rule 1(b)	§ 250.1(b)	3235-0170	12/31/84
Rule 1(c)	§ 250.1(c)	3235-0164	11/30/84
Rule 2	§ 250.2	3235-0161	11/30/84
Rule 3	§ 250.3	3235-0160	11/30/84
Rule 7	§ 250.7	3235-0165	11/30/84
Rule 7(d)	§ 250.7(d)	3235-0165	11/30/84
Rule 20(b)	§ 250.20(b)	3235-0125	11/30/84
Rule 20(d)	§ 250.20(d)	3235-0163	11/30/84
Rule 24	§ 250.24	3235-0126	11/30/84
Rule 26	§ 250.26	3235-0183	12/31/84
Rule 29a	§ 250.29a	3235-0149	11/30/84
Rule 29b	§ 250.29b	3235-0149	11/30/84
Rule 42	§ 250.42	3235-0171	12/31/84
Rule 44	§ 250.44	3235-0147	11/30/84
Rule 45	§ 250.45	3235-0154	11/30/84
Rules 47(b) and 20(d)	§§ 250.47(b), 250.20(d)	3235-0163	11/30/84
Rule 48(b)	§ 250.48(b)	3235-0156	12/31/84
Rule 50	§ 250.50	3235-0126	11/30/84
Rule 62	§ 250.62	3235-0152	11/30/84
Rule 71(a)	§ 250.71(a)	3235-0173	12/31/84
Rule 72	§ 250.72	3235-0149	11/30/84
Rule 83	§ 250.83	3235-0181	12/31/84
Rule 87	§ 250.87	3235-0182	12/31/84
Rule 88	§ 250.88	3235-0182	12/31/84
Rule 93	§ 250.93	3235-0153	11/30/84
Rule 94	§ 250.94	3235-0153	11/30/84
Rule 95	§ 250.95	3235-0162	11/30/84
Rules 100(a), 20(c), and 23	§§ 250.100(a), 250.20(c), 250.23	3235-0125	11/30/84
	Part 256	3235-0153	11/30/84
	Part 256(a)	3235-0153	11/30/84
Form USA	§ 259.5a	3235-0170	12/31/84
Form USB	§ 259.5b	3235-0170	12/31/84
Form USS	§ 259.5c	3235-0164	11/30/84
Form U-1	§ 259.101	3235-0125	11/30/84
Form U-13-1	§ 259.113	3235-0182	12/31/84
Form U-6B-2	§ 259.206	3235-0163	11/30/84
Form U-120(A)	§ 259.212(a)	3235-0173	12/31/84
Form U-120(B)	§ 259.212(b)	3235-0173	12/31/84
Form U-13E-1	§ 259.213	3235-0162	11/30/84
Form U-R-1	§ 259.221	3235-0152	11/30/84
Form U-13-60	§ 259.313	3235-0153	11/30/84
Form U-3A-2	§ 259.402	3235-0161	11/30/84
Form U-3A3-1	§ 259.403	3235-0160	11/30/84
Form U-7D	§ 259.404	3235-0165	11/30/84
Form U-A	§ 259.501	3235-0125	11/30/84
Rules 7a-15 through 7a-37	§§ 260.7a-15 through 260.7a-37	3235-0132	11/30/84
Form T-1	§ 269.1	3235-0110	11/30/84
Form T-2	§ 269.2	3235-0111	11/30/84
Form T-3	§ 269.3	3235-0105	11/30/84
Form T-4	§ 269.4	3235-0107	11/30/84
Rule 6c-6(T)	§ 270.6c-6(T)	3235-0245	10/31/83
Rule 6e	§ 270.6e-2(b)(9)	3235-0177	12/31/84
Rule 7d	§ 270.7d-(b)(8)(i), (ii), and (vii)	3235-0168	6/30/83
Rule 8b-11	§ 270.8b-11	3235-0176	12/31/84
Rule 8b-16	§ 270.8b-16	3235-0176	12/31/84
Rule 8b-20	§ 270.8b-20	3235-0176	12/31/84
Rule 8b-21(b)	§ 270.8b-21(b)	3235-0176	12/31/84
Rule 8b-25	§ 270.8b-25	3235-0176	12/31/84
Rule 8b-32(b)	§ 270.8b-32(b)	3235-0176	12/31/84
Rule 10f-3	§ 270.10f-3	3235-0226	10/31/83
Rule 12b-1	§ 270.12b-1	3235-0212	10/31/83
Rule 17a-7(f)	§ 270.17a-7(f)	3235-0214	12/31/84
Rule 17a-8	§ 270.17a-8	3235-0235	12/31/84
Rule 17e-1	§ 270.17e-1	3235-0217	10/31/83
Rule 17f-1	§ 270.17f-1	3235-0222	12/31/84
Rule 17f-2	§ 270.17f-2	3235-0223	10/31/82
Rule 17f-4	§ 270.17f-4	3235-0225	12/31/84
Rule 17g-1(g)	§ 270.17g-1(g)	3235-0213	12/31/84
Rule 17j-1	§ 270.17j-1	3235-0224	10/31/83
Rule 18f-1	§ 270.18f-1	3235-0211	12/31/84
Rule 19a-1	§ 270.19a-1	3235-0216	12/31/84
Rule 20a-1(b)	§ 270.20a-1(b)	3235-0158	9/30/82
Rule 20a-2	§ 270.20a-2	3235-0158	9/30/82
Rule 20a-3	§ 270.20a-3	3235-0158	9/30/82
Rule 22d-4	§ 270.22d-4	3235-0234	12/31/84
Rule 24f-1	§ 270.24f-1	3235-0155	12/31/84
Rule 24f-2	§ 270.24f-2	3235-0159	12/31/84
Rule 30a-1	§ 270.30a-1	3235-0219	12/31/84
Rule 30a-3	§ 270.30a-3	3235-0209	12/31/84
Rule 30b1-2	§ 270.30b1-2	3235-0218	12/31/84
Rule 30b2-1	§ 270.30b2-1	3235-0220	12/31/84

Information collection device	17 CFR part or section where identified and described	Current OMB control No.	Expiration date
Rule 30d-1	§ 270.30d-1	3235-0025	9/30/84
Rule 31a-1	§ 270.31a-1	3235-0178	(1)
Rule 31a-2	§ 270.31a-2	3235-0179	8/31/82
Form N-5	§ 274.5	3235-0169	5/31/83
Form N-8A	§ 274.10	3235-0175	12/31/84
Form N-1	§ 274.11	3235-0027	9/30/84
Form N-2	§ 274.11a-1	3235-0026	9/30/84
Form N-8B-2	§ 274.12	3235-0186	8/31/82
Form N-8B-3	§ 274.13	3235-0166	11/30/84
Form N-8B-4	§ 274.14	3235-0247	12/31/84
Form N-8F	§ 274.15	3235-0238	12/31/84
Form N-18F-1	§ 274.51	3235-0211	12/31/84
Form N-1R	§ 274.101	3235-0227	10/31/82
Form N-30A-2	§ 274.102	3235-0051	10/31/84
Form N-30A-3	§ 274.103	3235-0051	10/31/84
Form N-5R	§ 274.105	3235-0210	12/31/84
Form N-1Q	§ 274.106	3235-0246	10/31/82
Form N-27D-1	§ 274.127d-1	3235-0254	12/31/84
Form N-27D-2	§ 274.127d-2	3235-0251	12/31/84
Form N-27E-1	§ 274.127e-1	3235-0255	10/31/82
Form N-27F-1	§ 274.127f-1	3235-0253	9/30/82
Form N-17D-1	§ 274.200	3235-0229	12/31/84
Form N-23C-1	§ 274.201	3235-0230	12/31/84
Form N-8F	§ 274.218	3235-0157	12/31/84
Form N-6E1-1	§ 274.301	3235-0177	12/31/84
Rule 0-2	§ 275.0-2	3235-0240	12/31/84
Form N-54A	§ 275.53	3235-0237	12/31/84
Form N-54C	§ 275.54	3235-0236	12/31/84
Rule 203.1	§ 275.203.1	3235-0049	12/31/84
Rule 203.2	§ 275.203-2	3235-0042	9/30/84
Rule 204.1	§ 275.204-1	3235-0048	9/30/84
Rule 204.2	§ 275.204.2	3235-0244	9/30/84
Rule 204.3	§ 275.204.3	3235-0047	(1)
Rule 206(3)-2	§ 275.206(3)-2	3235-0043	9/30/84
Rule 206(4)-2	§ 275.206(4)-2	3235-0241	1/31/83
Rule 206(4)-3	§ 275.206(4)-3	3235-0042	1/31/83
Form ADV	§ 279.1	3235-0049	1/31/83
Form ADV-W	§ 279.2	3235-0042	9/30/84
Form ADV-S	§ 279.3	3235-0046	9/30/84
Form 4-R	§ 279.4	3235-0240	12/31/84
Form 5-R	§ 279.5	3235-0240	12/31/84
Form 6-R	§ 279.6	3235-0240	12/31/84
Form 7-R	§ 279.7	3235-0240	12/31/84

¹ Assignment of expiration date pending.

The Commission finds that this amendment, concerning the display of the control numbers and expiration dates assigned to information collection requirements of the Commission by the Office of Management and Budget pursuant to the Paperwork Reduction Act, pertains only to procedural matters; it is therefore not subject to the provisions of the Administrative Procedure Act, 5 U.S.C. 551 *et seq.*, requiring advance notice and opportunity for comment. Accordingly, it is effective December 30, 1981.

By the Commission.

Dated: December 21, 1981.

George A. Fitzsimmons,
Secretary.

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BILLING CODE 8010-01-M

RAILROAD RETIREMENT BOARD

20 CFR Parts 220, 266, and 345

Listing of OMB Numbers in Recordkeeping Requirements

AGENCY: Railroad Retirement Board.

ACTION: Technical amendments.

SUMMARY: Pursuant to its authority under the Paperwork Reduction Act of 1980, the Office of Management and Budget has directed Federal agencies to publish a listing of existing requirements for recordkeeping which are imposed on the public. In accordance with the

directive, the Railroad Retirement Board hereby publishes its list of existing recordkeeping requirements and amends its regulations to include the OMB numbers.

EFFECTIVE DATE: December 30, 1981.

FOR FURTHER INFORMATION CONTACT:

Pauline Lohens, Office of Information Management, Railroad Retirement Board, 844 Rush Street, Chicago, Illinois 60611, Telephone 312-751-4692.

SUPPLEMENTARY INFORMATION:

Accordingly, for the reasons set out in the preamble, the Board amends 20 CFR Chapter II as set forth below.

1. Employers Under the Railroad Unemployment Insurance Act.

Employers under the RUIA are required to keep such records as are necessary to establish compensation paid to employees quarterly.

PART 345—EMPLOYERS' CONTRIBUTIONS AND CONTRIBUTION REPORTS

§ 345.24 [Amended]

In § 345.24, paragraph (b) is amended by adding in parentheses following the text OMB Number 3220-0012.

2. Employers Subject to the Railroad Retirement Act.

Employers subject to the RRA are required to keep original records necessary to establish service and compensation for a number of years prior to 1937 which when added to the years elapsed after 1936 total at least 50.

PART 220—DEFINITION AND CREDITABILITY OF SERVICE

§ 220.4 [Amended]

In § 220.4, paragraph (f) is amended by adding in parentheses following the text OMB Number 3220-0003.