

diate action exists in any section of the country, the Commission shall have, and it is hereby given, authority, either upon complaint or upon its own initiative without complaint, at once, if it so orders, without answer or other formal pleading by the interested carrier or carriers, and with or without notice, hearing, or the making or filing of a report, according as the Commission may determine: \* \* \* (b) to make such just and reasonable directions with respect to car service without regard to the ownership as between carriers of locomotives, cars, and other vehicles, during such emergency as in its opinion will best promote the service in the interest of the public and the commerce of the people, upon such terms of compensation as between the carriers as they may agree upon, or, in the event of the disagreement, as the Commission may after subsequent hearing find to be just and reasonable \* \* \*

For the reasons set forth above, we find that there is a severe shortage of hopper cars caused in large part, by a shortage of locomotives for transporting coal from non-unit-train coal shippers served by the LN in eastern Kentucky. This severe shortage constitutes an emergency requiring immediate action in the form of this order. By alleviating the car and locomotive shortages experienced by coal shippers, this order will promote car service "in the interest of the public and the commerce of the people."

Respondents argue that the Commission lacks legal authority to issue this service order. We disagree, and find that the Commission has such authority.

It is well settled that the Commission's authority to issue car service orders under section 1(15) is limited only by the requirement that the order be reasonably designed to meet the emergency situation which gave rise to it. (*Interstate Commerce Commission v. Oregon Pacific Industries, Inc.*, 420 U.S. 184 (1975), and cases cited therein.) In the absence of compelling legislative history to the contrary, it is our view that the amendment to section 1(12) of the Act cannot have been intended by Congress as a limitation upon our authority

to issue emergency car service orders. Respondents' jurisdictional attack is concentrated primarily upon the proposed order for the distribution of hopper cars, which we have decided not to issue at this time.

They admit that the locomotive order seems to be more consonant with section 1(15) than does the proposed hopper car distribution order. However, they contend that the locomotive order will illegally result in a car service problem of greater scope and intensity than the present problem, and that the locomotive order lacks justification and is therefore arbitrary.

We have answered these contentions earlier in this decision. There is no credible evidence of significant shortages of locomotives on any portion of the Family Lines System other than the LN. There is no evidence that the locomotive order will create an emergency on any of the other lines. Furthermore, the gradual introduction of the locomotive transfer requirements will minimize any possible service disruptions on the other lines, and avoid harm to shippers on those lines, while still providing enough motive power to adequately respond to the emergency on the LN.

In summary, the order is a reasonable response to a genuine car-service emergency, and is entirely within the Commission's legal authority under section 1(15)(b). It should be noted that the Commission has broadly interpreted its jurisdiction and powers in the general area of car service in several recent decisions. The most recent such decision was Finance Docket No. 28412, *Winnebago Farmers Elevator Co. v. Chicago & North Western Transportation Co.* The entire Commission decided *Winnebago* on March 29, 1978, and denied a petition for a further hearing and a stay on August 14, 1978. The Commission in *Winnebago* found that it had jurisdiction to enforce a carrier's duty, under Section 1(4) of the Interstate Commerce Act, to provide adequate service.

In Ex Parte No. 270 (Sub-No. 2), *Investigation of Railroad Freight Service*, 345 I.C.C. 1224 (1976), the Commission found that it had jurisdiction under sections 1 (including subsections (4), (10), (11), (13), (14), and (15)), 12(1), 13, 15, and 15a of the Interstate Commerce Act, and the provisions of the National Transportation Policy (49 U.S.C. preceding Part 1), to conduct an investigation into deficiencies in rail freight service and to make appropriate findings. More specifically, it noted that Section 1(15) confers upon the Commission "summary and sweeping powers."

#### FINDING

We find that the respondents have failed to show cause why the proposed service order entitled *Regulations For The Use Of Locomotives* should not be issued. We further find that, until the results of the above described order can be evaluated, there is no present justification for the issuance of the proposed service order entitled *Regulations For The Distribution Of Hopper Cars*.

It is ordered. The proposed Service Order entitled *Regulations For The Use Of Locomotives* be issued, to become effective on date of service and the issuance of proposed service order entitled *Regulations For The Distribution Of Hopper Cars* be postponed until further order of the Commission.

By the Commission. Commissioners O'Neal, Christian, Brown, Stafford, Gresham and Clapp.

Chairman O'Neal and Commissioner Brown also voted to approve a service order requiring the LN to furnish the hopper cars to non-unit-train shippers of coal equal to forty percent (40%) of their mine ratings.

Commissioner Clapp also voted to approve a service order requiring the LN to furnish additional hopper cars to non-unit-train shippers.

H. G. HOMME, Jr.,  
Acting Secretary.

[FR Doc. 78-27443 Filed 9-27-78; 8:45 am]



# sunshine act meetings

This section of the FEDERAL REGISTER contains notices of meetings published under the "Government in the Sunshine Act" (Pub. L. 94-409), 5 U.S.C. 552b(e)(3).

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### [6570-06]

1

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION.

"FEDERAL REGISTER" CITATION OF PREVIOUS ANNOUNCEMENT: S-1929-78 and S-1956-78.

PREVIOUSLY ANNOUNCED TIME AND DATE OF MEETING: 2:30 p.m. (eastern time), Tuesday, September 26, 1978.

CHANGE IN THE MEETING: The time of the meeting is changed to 1:30 p.m. (eastern time).

CONTACT PERSON FOR MORE INFORMATION:

Marie D. Wilson, Executive Officer, Executive Secretariat, 202-634-6748.

This notice issued September 25, 1978.

[S-1965-78 Filed 9-26-78; 11:33 am]

### [6740-02]

2

FEDERAL ENERGY REGULATORY COMMISSION.

"FEDERAL REGISTER" CITATION OF PREVIOUS ANNOUNCEMENT: 43 FR 43617, published September 26, 1978.

PREVIOUSLY ANNOUNCED TIME AND DATE OF MEETING: 9 a.m. to 12 noon, September 29, 1978.

CHANGE IN THE MEETING: The meeting scheduled for September 29, 1978, from 9 a.m. to 12 noon has been

changed to September 29, 1978, from 1 p.m. to 4 p.m.

KENNETH F. PLUMB,  
Secretary.

[S-1967-78 Filed 9-26-78; 11:33 am]

### [6740-02]

3

FEDERAL ENERGY REGULATORY COMMISSION.

"FEDERAL REGISTER" CITATION OF PREVIOUS ANNOUNCEMENT: 43 FR 43408, published September 25, 1978.

PREVIOUSLY ANNOUNCED TIME AND DATE OF MEETING: 10 a.m., September 27, 1978.

CHANGE IN THE MEETING: The meeting schedule for September 27, 1978, at 10 a.m. has been changed to September 28, 1978, at 10 a.m.

KENNETH F. PLUMB,  
Secretary.

[S-1966-78 Filed 9-26-78; 11:33 am]

### [6740-02]

4

FEDERAL ENERGY REGULATORY COMMISSION.

"FEDERAL REGISTER" CITATION OF PREVIOUS ANNOUNCEMENT: 43 FR 43408, published September 25, 1978.

PREVIOUSLY ANNOUNCED TIME AND DATE OF MEETING: 10 a.m., September 28, 1978.

CHANGE IN THE MEETING: The following item has been added:

Item No., Docket No., and Company

CP-8. CP78-529, Consolidated Gas Supply Corp., et al.

KENNETH F. PLUMB,  
Secretary.

[S-1973-78 Filed 9-26-78; 3:53 pm]

### [6730-01]

5

FEDERAL MARITIME COMMISSION.

"FEDERAL REGISTER" CITATION OF PREVIOUS ANNOUNCEMENT: 43 FR 42852, September 21, 1978.

PREVIOUSLY ANNOUNCED TIME AND DATE OF THE MEETING: 10 a.m., September 27, 1978.

CHANGES IN THE MEETING: Addition of the following item:

11. Agreement No. 9984—The South Atlantic North Europe Rate Agreement—to extend the duration of the agreement.

[S-1968-78 Filed 9-26-78; 11:47 am]

### [6730-01]

6

FEDERAL MARITIME COMMISSION.

TIME AND DATE: 10 a.m., October 4, 1978.

PLACE: Room 12126, 1100 L Street NW., Washington, D.C. 20573.

STATUS: Open.

MATTERS TO BE CONSIDERED:

1. Agreement No. 9973-4: Modification of the Johnson Scanstar Combined Service Agreement to allow minibridge service to the Pacific Coast under certain conditions.

2. Informal Docket No. 405(I)—Paramount Export Company v. Sea-Land Service, Inc.—Petition for reconsideration.

3. Special Docket No. 544—Level Export Sales Corporation v. Sea-Land Service, Inc.—Discussion of the record.

4. Docket No. 73-72—Agreement No. 10056—Pooling, Sailing and Equal Access Cargo Agreement—Consideration of comments on reconsideration—Petition to intervene of Department of Justice; motion to substitute parties and to release confidential materials.

5. Docket No. 75-20—Puerto Rico Maritime Shipping Authority—Rates on Government Cargo—Petitions for reconsideration. Docket No. 77-18—Seatrains Gilmo, Inc.—Rates on Government Cargo and Docket No. 77-38—Sea-Land Service, Inc.—Rates on Government Cargo—Future Course of Proceedings.

6. Docket No. 77-52—Show Cause Order in reassessment of Incheon Arbitrary—Consideration of the record.

CONTACT PERSON FOR MORE INFORMATION:

Joseph C. Polking, Assistant Secretary, 202-523-5725.

[S-1969-78 Filed 9-26-78; 11:47 am]

### [6210-01]

7

FEDERAL RESERVE SYSTEM (BOARD OF GOVERNORS).



**TIME AND DATE:** 10 a.m., Wednesday, October 4, 1978.

**PLACE:** Terrace level, Martin Building Annex, 20th and C Streets NW., Washington, D.C. 20551.

**STATUS:** Open.

# **MATTERS TO BE CONSIDERED:**

## **SUMMARY AGENDA**

Because of their routine nature, no substantive discussion of the following items is anticipated. These matters will be resolved with a single vote unless a member of the Board requests that an item be moved to the discussion agenda.

1. Request by the Massachusetts Commissioner of Banks for an extension of Massachusetts' exemption under the Truth in Lending Act to cover credit transactions involving federally chartered credit unions.

2. Proposed amendments to Regulation F (Securities of Member State Banks) to maintain substantial similarity with rules of the Securities and Exchange Commission. (Proposed earlier for public comment; docket no. R-0146).

3. Authorization for the National Association of Securities Dealers, Inc., to administer professional qualification examinations for municipal securities dealer personnel.

## **DISCUSSION AGENDA**

1. Consideration of issues relating to the Community Reinvestment Act, including:

a. Preamble and final regulation to implement the act;

b. Board procedures for public notice of applications for domestic deposit facilities by institutions covered by the act;

c. Revision to the rules regarding delegation of authority for applications; and

d. Interpretations regarding the applicability of the act to certain domestic banks and U.S. branches of foreign banks.

2. Proposed simplification of the Reports of Condition and Income for small banks.

3. Request by the Federal Trade Commission and the President's Interagency Task Force on Women Business Owners for the Board to consider amendments to Regulation B (Equal Credit Opportunity).

4. Any agenda items carried forward from a previously announced meeting.

**NOTE.**—This meeting will be recorded for the benefit of those unable to attend. Cassettes will be available for listening in the Board's Freedom of Information Office, and copies may be ordered for \$5 by writing to: Freedom of Information Office, Board of Governors of the Federal Reserve System, Washington, D.C. 20551.

# **CONTACT PERSON FOR MORE INFORMATION:**

Mr. Joseph R. Coyne, Assistant to the Board, 202-452-3204.

Dated: September 26, 1978.

GRIFFITH L. GARWOOD,  
*Deputy Secretary of the Board.*

[S-1971-78 Filed 9-26-78; 2:28 pm]

[7035-01]

8

# **INTERSTATE COMMERCE COMMISSION.**

**TIME AND DATE:** 9:30 a.m., Tuesday, October 3, 1978.

**PLACE:** Room 4225, Interstate Commerce Commission Building, 12th and Constitution Avenue NW., Washington, D.C. 20423.

**STATUS:** Open regular conference.

**MATTERS TO BE CONSIDERED:** Delegating authority to review boards to make final decisions in rail cases (Vice Chairman Christian); market dominance review (Deputy Director Rosenak); and section 13 investigation cases (Deputy Director Rosenak).

# **CONTACT PERSON FOR MORE INFORMATION:**

Douglas Baldwin, Director, Office of Communications, telephone 202-275-7252.

The Commission's professional staff will be available to brief news media representatives on conference issues at the conclusion of the meeting.

[S-1974-78 Filed 9-26-78; 3:53 pm]

[4910-58]

9

# **NATIONAL TRANSPORTATION SAFETY BOARD.**

**"FEDERAL REGISTER" CITATION OF PREVIOUS ANNOUNCEMENT:** 43 FR 42853, September 21, 1978.

**PREVIOUSLY ANNOUNCED TIME AND DATE OF MEETING:** 9 a.m., Thursday, September 28, 1978 [NM-78-35].

**CHANGE IN MEETING:** This meeting has been canceled for lack of a quorum. Agenda items scheduled for this meeting will be rescheduled for a later date and official notice thereof will be published in the **FEDERAL REGISTER**.

# **CONTACT PERSON FOR MORE INFORMATION:**

Sharon Flemming, 202-472-6022

[S-1972-78 Filed 9-26-78; 3:41 pm]

[8010-01]

10

# **SECURITIES AND EXCHANGE COMMISSION.**

Notice is hereby given, pursuant to the provisions of the Government in the Sunshine Act, Pub. L. 94-409, that the Securities and Exchange Commission will hold the following meetings during the week of October 2, 1978, in Room 825, 500 North Capitol Street, Washington, D.C.

An open meeting will be held on Wednesday, October 4, 1978, at 10 a.m.

Closed meetings will be held on Tuesday, October 3, 1978, at 10 a.m., and on Wednesday, October 4, 1978, immediately following the open meeting.

The Commissioners, their legal assistants, the Secretary of the Commission, and recording secretaries will attend the closed meetings. Certain staff members who are responsible for the calendared matters may be present.

The General Counsel of the Commission, or his designee, has certified that, in his opinion, the items to be considered at the closed meetings may be considered pursuant to one or more of the exemptions set forth in 5 U.S.C. 552b(c)(4)(8)(9)(A) and (10) and 17 CFR 200.402 (a)(8)(9)(i) and (10).

Chairman Williams and Commissioners Loomis, Evans, Pollack and Karmel determined to hold the aforesaid meetings in closed session.

The subject matter of the closed meeting scheduled for Tuesday, October 3, 1978, at 10 a.m., will be:

Access to investigative files by Federal, State or self-regulatory authorities, Chapter XI proceedings.

Formal orders of investigation.

Freedom of Information Act appeals.

Institution of injunctive action.

Personnel action.

Regulatory matter regarding reports of financial institutions.

Settlement of administrative proceeding of an enforcement nature.

Other litigation matters.

The subject matter of the open meeting scheduled for Wednesday, October 4, 1978, at 10 a.m., will be:

1. Consideration of a request by General Dynamics Corporation (1) to reverse denial by the Division of Corporation Finance to grant General Dynamics a 30-day extension of time within which to file its quarterly report for the period ended July 2, 1978, and (2) to appear before the Commission with respect thereto. For further information, please contact John Bernas at 202-376-2181.

2. Consideration of a proposed release concerning the status of brokers and dealers under the Investment Advisers Act of 1940 and Rule 206A-1(T) under the Advisers Act. For further information, please contact Michael Berenson at 202-755-1815.

3. Consideration of comments on the final proposal of the Legal Ethics Committee of the D.C. Bar Association, dealing with amendments to Canon 9 of the Code of Professional Responsibility. For further information, please contact Myrna Siegel at 202-755-4868.

The subject matter of the closed meeting, immediately following the open meeting scheduled for Wednesday, October 4, 1978, will be:

Opinion.

Institution of injunctive action.

**FOR FURTHER INFORMATION, PLEASE CONTACT:** George Yearsich at 202-755-1100.

SEPTEMBER 26, 1978.

[S-1970-78 Filed 9-26-78; 2:28 pm]



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# VETERANS ADMINISTRATION



## Annual Publication



[4410-01]

**DEPARTMENT OF JUSTICE**

(AAG/A Order No. 12-78)

**PRIVACY ACT OF 1974****Privacy Act Issuances—1978 Compilation**

Agency: Department of Justice.

Action: Incorporation by reference and full text publication of Privacy Act Issuances.

Summary: Federal agencies are required by the Privacy Act of 1974 to give annual notice of records they maintain from which information can be retrieved by any personal identifier. The Department of Justice notices published last year were compiled by the Officer of the Federal Register in the "Privacy Act Issuances—1977 Compilation." Except for those republished below, notices of systems of records published in the 1977 Compilation continue in effect and are incorporated here by reference. (The Director of the Office of the Federal Register granted approval to incorporate by reference on July 13, 1978.)

The Compilation was published on September 30, 1977 (Vol. 5 of Privacy Act Issuances—1977 Compilation," page 53288; 42 FR 53288). It can be examined free of charge at Regional Depository Libraries at 50 locations around the country, at the General Services Administration Federal Information Centers, at 38 central locations around the country, and at the central headquarters of this Department. It can be purchased from the Superintendent of Documents, U.S. Government Printing Office, Washington, D.C. 20402 for eight dollars. Upon request, the Office of the Federal Register will furnish a photocopy of the full text of a particular records system published in the 1977 Compilation for a nominal fee.

Published here are:

Part I: a table of contents listing all the systems of records published here in full text;

Part II: the full text of systems of records that the Department amended and published in the Federal Register after publication of the 1977 Compilation;

Part III: the full text of new systems of records that the Department established and published in the Federal Register after publication of the 1977 Compilation;

Part IV: the full text of systems of records which the Department now proposes to amend; and

Part V: notice deleting certain systems of records.

Dates: This document fulfills the annual notice requirements of the Privacy Act for 1978.

For further information contact: Bronson E. Clayton (202-739-4165).

Dated: September 8, 1978.

Kevin D. Rooney,  
Assistant Attorney General for Administration.

**PART I: TABLE OF CONTENTS****Composite Listing of Systems of Records Published Herein**

JUSTICE/ATR-008, Freedom of Information/Privacy Requester/Subject Index File

JUSTICE/CIV-002, Civil Division Case File System; Customs Section

JUSTICE/CIV-004, Swine Flu Administrative Claim File System

JUSTICE/CRT-004, Registry of Names of Interested Persons desiring Notification of Submissions Under Section 5 of the Voting Rights Act

JUSTICE/CRT-007, Files on Employment Civil Rights Matters Referred by the Equal Employment Opportunity Commission

JUSTICE/CRT-008, Files on Correspondence Relating to Civil Rights Matters from Persons Outside the Department of Justice

JUSTICE/CRT-009, Civil Rights Division Employees Travel Reporting

JUSTICE/CRS-001, Management Information System (MIS)

JUSTICE/CRM-025, Tax Disclosure Index File and Associated Records

JUSTICE/DAG-002, Freedom of Information and Privacy Appeals Index

JUSTICE/DEA-005, Controlled Substances Act Registration Records

JUSTICE/DEA-007, International Intelligence Data Base

JUSTICE/DEA-008, Investigative Reporting and Filing System

JUSTICE/DEA-010, Office of Internal Security Records

JUSTICE/DEA-016, Drug Enforcement Administration Accounting System (DEAAS II)

JUSTICE/DEA-027, Drug Enforcement Administration Employee Profile System

JUSTICE/DEA-999, Drug Enforcement Administration Appendix 1, List of Record Location Addresses

JUSTICE/FBI-001, National Crime Information Center (NCIC)

JUSTICE/FBI-002, FBI Central Records System

JUSTICE/FBI-003, Bureau Mailing Lists

JUSTICE/FBI-006, Electronic Surveillance (Elsur Indices)

JUSTICE/FBI-009, Identification Division Records System

JUSTICE/INS-001, Immigration and Naturalization Service Index System

JUSTICE/INS-002, Application and Petition Tracking System (APTS)

JUSTICE/INS-003, Position Accounting/Control System (PACS)

JUSTICE/LEAA-002, Law Enforcement Education System

JUSTICE/LEAA-008, Civil Rights Investigative System

JUSTICE/OMF-002, Controlled Substances Act Nonpublic Records

JUSTICE/OMF-003, Department of Justice Payroll System

JUSTICE/OMF-007, Legal and General Administration Accounting System

JUSTICE/OMF-008, Security Clearance Information system (SCIS)

JUSTICE/OMF-009, Justice Data Management Service Center Utilization Report

JUSTICE/OMF-010, Document Information System (DIS)

JUSTICE/OMF-011, Justice Data Management Service Center Tape Library System

JUSTICE/OMF-015, EEO (Equal Employment Opportunity Volunteer Representative Roster)

JUSTICE/OMF-016, Inter-Divisional Information System (IDIS) (A Non-operational, Deactivated System)

JUSTICE/OMF-017, Department of Justice Controlled Parking Records

JUSTICE/OPA-001, Executive Clemency Files

JUSTICE/PRC-001, Docket, Scheduling and Control

JUSTICE/PRC-002, Freedom of Information Act Record System

JUSTICE/PRC-003, Inmate and Supervision Files

JUSTICE/PRC-004, Labor and Pension Case, Legal File and General Correspondence System

JUSTICE/PRC-005, Office Operation and Personnel System

JUSTICE/PRC-006, Statistical, Educational and Developmental System

JUSTICE/PRC-007, Workload Record, Decision Result and Annual Report System

JUSTICE/BOP-001, Custodial and Security Record System

JUSTICE/BOP-003, Industrial Inmate Employment Record System

JUSTICE/BOP-004, Inmate Administrative Remedy Record System

JUSTICE/BOP-005, Inmate Central Records System

JUSTICE/BOP-007, Inmate Physical and Mental Health Record System

JUSTICE/BOP-008, Inmate Safety and Accident Compensation Record System

JUSTICE/BOP-009, Federal Tort Claims Act Record System

JUSTICE/USA-005, Civil Case Files

**Part II**

Systems of records amended after publication of the 1977 Compilation are listed below. They are reprinted in full text following the listing.



JUSTICE/CIV-002, Civil Division Case File System, Customs Section  
 JUSTICE/DAG-002, Freedom of Information and Privacy Appeals Index  
 JUSTICE/DEA-008, Investigative Reporting and Filing System  
 JUSTICE/DEA-016, Drug Enforcement Administration Accounting System (DEAAS II)  
 JUSTICE/FBI-001, National Crime Information Center (NCIC)  
 JUSTICE/FBI-002, FBI Central Records System  
 JUSTICE/FBI-009, Identification Division Records System  
 JUSTICE/INS-001, Immigration and Naturalization Service Index System  
 JUSTICE/LEAA-002, Law Enforcement Education System  
 JUSTICE/LEAA-008, Civil Rights Investigative System  
 JUSTICE/OMF-015, EEO (Equal Employment Opportunity) Volunteer Representative Roster  
 JUSTICE/PRC-001, Docket, Scheduling and Control  
 JUSTICE/PRC-002, Freedom of Information Act Record System  
 JUSTICE/PRC-003, Inmate and Supervision Files  
 JUSTICE/PRC-004, Labor and Pension Case, Legal File and General Correspondence System  
 JUSTICE/PRC-005, Office Operation and Personnel System  
 JUSTICE/PRC-006, Statistical, Educational and Developmental System  
 JUSTICE/PRC-007, Workload Record, Decision Result, and Annual Report System  
 JUSTICE/USA-005, Civil Case Files

#### JUSTICE/CIV-002

**System name:** Civil Division Case File System: Customs Section

**System location:** 26 Federal Plaza, New York, New York 10007, and U.S. Department of Justice Data Services Center, 10th and Constitution Avenue, N.W., Washington, D.C. 20530.

**Categories of individuals covered by the system:** Any and all parties and counsel involved in the cases handled by the Customs Section of the Civil Division will have identifying data contained in this system.

**Categories of records in the system:** 1. The main record of the system is the case file which is retained on each case under the jurisdiction of the Customs Section of the Civil Division and constitutes the official record of the Department of Justice thereon. All record material relating to a case is retained in the file. Each file is assigned the Customs Court number given to the summons filed in that court or, in cases filed prior to October 1, 1970, to reappraisal appeals or to protests filed with the Customs Court.

The number assigned to the file will change to the number assigned by the Court of Customs and Patent Appeals, if that case becomes the subject of an appeal before that court. In addition, the Customs Section retains a log of communications received and communications sent. The correspondence is identified thereon by court (case) number, identification of the kind of communication, and the person receiving it.

2. The case file and communication logs are physically retained at the offices of the Customs Section, 26 Federal Plaza, New York, New York 10007. From these records, the Customs Section inputs certain information for conversion into a data processing system which is maintained at the Department of Justice Data Services Center, 10th and Constitution Avenue, N.W., Washington, D.C. 20530. Included in the information contained in the data processing system is the identity of the parties and their counsel, as well as the merchandise involved, the port of entry and the competing statutory provisions.

3. Alphabetical and numerical indices are maintained as a means of access to the proper file number by the cross-referencing of the names of non-government parties to suits with the appropriate file (court) numbers. These indices are made of index cards and maintained in the Chief Clerk's office in the Customs Section.

**Authority for maintenance of the system:** The Customs Section case files are maintained under the authority of 28 U.S.C. 2601(b) and 2632(e) and established in accordance with 28 C.F.R. 0.45(c) which gives the Civil Division responsibility for 'all litigation incident to the reappraisal and classification of imported goods, including the defense of all suits in the Court of Customs and Patent Appeals', and with 28 C.F.R. 0.48 which designates the Chief, Customs Section, 'to accept service of notices of appeals to the Court of Customs and

Patent Appeals and all pleadings and other papers filed in the Customs Court, when the United States is an adverse Party in any customs litigation'.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Any record pertaining to any case or matter in the Civil Division may be disseminated to any other component of the Department of Justice, including the Federal Bureau of Investigation and the United States Attorney offices, for use in connection with the consideration of that case or matter or any other case or matter under consideration by the Civil Division or any other component of the Department of Justice.

Certain information contained in the record may also be disseminated to the U.S. Customs Service, the Department of the Treasury, the International Trade Commission, the Department of State, or any other agency of the Government whose decision is being challenged in a case assigned to the Customs Section for disposition. A record maintained in this system of records may be disseminated as a routine use of such record as follows: (1) in any case in which there is an indication of a violation or potential violation of law, whether civil, criminal or regulatory in nature, the record in question may be disseminated to the appropriate Federal, state, local or foreign agency charged with the responsibility for investigating or prosecuting such violation or charged with enforcing or implementing such law; (2) in the course of investigating the potential or actual violation of any law, whether civil, criminal or regulatory in nature, or during the course of a trial or hearing, or the preparation for a trial or hearing for such violation, a record may be disseminated to a Federal, state, local or foreign agency, or to an individual or organization, if there is reason to believe that such agency, individual or organization possesses information relating to the investigation, trial or hearing and the dissemination is reasonably necessary to elicit such information or to obtain the cooperation of a witness or an informant; (3) a record relating to a case or matter may be disseminated in an appropriate Federal, state, local or foreign court or grand jury proceeding in accordance with established constitutional, substantive, or procedural law or practice; (4) a record relating to a case or matter may be disseminated to a Federal, state, or local administrative or regulatory proceeding or hearing in accordance with the procedures governing such proceeding or hearing; (5) a record relating to a case or matter may be disseminated to an actual or potential party or his attorney for the purpose of negotiation or discussion of such matters as settlement of the case or matter, plea bargaining, or formal or informal discovery proceedings; (6) a record relating to a case or matter that has been referred by an agency for investigation, prosecution, or enforcement; or that involves a case or matter within the jurisdiction of an agency, or where the agency or officials thereof are a party to litigation or where the agency or officials may be affected by a case or matter, may be disseminated to such agency to notify the agency of the status of the case or matter or of any decision or determination that has been made, or to make such other inquiries and reports as are necessary during the processing of the case or matter; (7) a record relating to a person held in custody pending or during arraignment, trial, sentence or extradition proceedings, or after conviction or after extradition proceedings, may be disseminated to a Federal, state, local or foreign prison, probation, parole, or pardon authority, or to any other agency or individual concerned with the maintenance, transportation, or release of such a person; (8) a record relating to a case or matter may be disseminated to a foreign country pursuant to an international treaty or convention entered into and ratified by the United States or to an executive agreement; (9) a record may be disseminated to a Federal, state, local, foreign, or international law enforcement agency to assist in the general crime prevention and detection efforts of the recipient agency or to provide investigative leads to such agency; (10) a record may be disseminated to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant or other benefit by the requesting agency, to the extent that the information relates to the requesting agency's decision on the matter; (11) a record may be disseminated to the public, news media, trade associations, or organized groups, when the purpose of the dissemination is educational or informational, provided that the record does not contain any information identifiable to a specific individual other than is necessary to identify the matter or where the information has previously been filed in a judicial or administrative office, including the clerk of the court; (12) a record may be disseminated to a foreign country, through the United States Department of State or directly to the representative of such country, to the extent necessary to assist such country in civil or criminal proceedings in which the United States



or one of its officers or agencies has an interest; (13) a record that contains classified national security information and material may be disseminated to persons who are engaged in historical research projects, or who have previously occupied policy making positions to which they were appointed by the President, in accordance with the provisions 28 CFR 17.60; (14) copies of the summons (protest and reappraisal appeals, where appropriate) and the communication logs are made available to employees of the private contractor who services the data processing system in New York for the purpose of enabling such employees to extract all pertinent information from said documents so that such information may be encoded and converted to punch card form.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** (1) The case files utilize standard file jackets or envelopes and are maintained in standard file cabinets; (2) The alphabetical index cards are maintained in standard file cabinets; (3) The communication logs are maintained in looseleaf binders and, when not being currently referred to, are also maintained in standard file cabinets; (4) The information in the data processing system is maintained on tapes stored in the Data Services Center.

**Retrievability:** (1) The case files must be retrieved by number. The file number may be ascertained from the alphabetical index when the name of any non-government litigant is known. (2) The information contained in the data processing system may be retrieved through the retrieval tool activated by an attorney making a request for a report to the computer technician who codes the request on a form in the format of the retrieval language. The action words of the retrieval reports are: List—lists the case numbers of the cases which satisfy certain criteria such as plaintiff's name, counsel's name, court, number, merchandise, competing statutory provisions, Government counsel. Write—output and the full history for any case specified by case number. Write\*—a limited case history for any case specified by case number. Count—tallying the number of cases in the data base contained in the request.

**Safeguards:** (1) Information contained in the system is unclassified. However, only attorneys in the Customs Section who have responsibility for the case may properly obtain a case file. Correspondence and telephone calls about particular cases are referred to the attorney having responsibility for the case. In the attorney's absence, another attorney covering for the absent attorney or the Chief of the Section may respond to the telephone call or correspondence. The index files and the communication logs are utilized only by the personnel of the Customs Section in locating or verifying information contained in the system. (2) Information from the data processing system may be obtained only by attorneys on the basis of requests made in writing on a proper form supplied by the Customs Section. The request is made of the computer technician. Normally these requests are mailed to the technician in charge at the Data Services Center, but occasionally the request may be related telephonically by the computer technician.

**Retention and disposal:** (1) Closed case files are sent to the Federal Records Center for retention in accordance with the authorized Records Disposal Schedule for the classification of the case. Such schedules are approved by the National Archives. After the designated period is passed, the file is destroyed. The communication logs are sent to the Federal Records Center for retention in accordance with the authorized records disposal schedule after five years. After the designated period those records are also destroyed. The index cards, however, are not purged. (2) Periodically, the inactive cases will be purged from the main tape in the data processing system and transferred to the historical tape where the information will be retained

indefinitely. There is a provision in the data processing system to delete and remove an entire case history from the main or historical tape. This may be done on request from the Chief of the Customs Section and such removed cases will not be saved or written on any other tape.

**System manager(s) and address:** Assistant Attorney General, Civil Division, U.S. Department of Justice, 10th and Constitution Avenue, N.W., Washington, D.C. 20530.

**Notification procedure:** Address inquiries to Assistant Attorney General, Civil Division, U.S. Department of Justice, 10th and Constitution Avenue, N.W., Washington, D.C. 20530.

**Record access procedures:** A request for information concerning the cases of the Customs Section of the Civil Division should be submitted in writing, with the envelope and letter clearly marked "Privacy Access Request". The request should include the file number and/or the names of any non-government litigant known to the requestor. The requestor should also provide a return address for transmitting the information. Such access request should be submitted to the System Manager listed above.

**Contesting record procedures:** Individuals desiring to contest or amend information maintained in the system should direct their request to the Assistant Attorney General, Department of Justice, 10th and Constitution Avenue, N.W., Washington, D.C. 20530. The request should clearly state what information is being contested, the reasons for contesting it, and the proposed amendment to the information sought.

**Record source categories:** All litigants involved in the cases of this Division are sources of information. Such information is either contained in the record material in the case files or has been extracted from that record material and put on communication logs and/or index cards.

**Systems exempted from certain provisions of the act:** None.

#### JUSTICE/DAG-002

**System name:** Freedom of Information and Privacy Appeals Index.

**System location:** Office of the Deputy Attorney General, United States Department of Justice, 10th and Constitution Avenue, N.W., Washington, D.C. 20530.

**Categories of individuals covered by the system:** The system encompasses all individuals who submit administrative appeals under the Freedom of Information or Privacy Acts and initial requests for access to records located in the Office of the Attorney General, Deputy Attorney General or Associate Attorney General.

**Categories of records in the system:** The system contains copies of administrative requests, appeals and other related correspondence filed under the Freedom of Information and Privacy Acts and copies are filed sequentially by date of receipt based on a numerical identifier assigned to each appeal. Also included are index cards which list the name of the appellant and the numerical identifier assigned.

**Authority for maintenance of the system:** The system was established and is maintained to enable the Office of the Deputy Attorney General to comply with the reporting requirements set forth in 5 U.S.C. 552 and 552a.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** These records are maintained for the purpose of processing administrative requests and appeals under the Freedom of Information and Privacy Acts and to comply with the reporting requirements of those Acts.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**



**Storage:** These records are stored in file folders in cabinets.

**Retrievability:** These folders are filed by the number assigned to each.

**Safeguards:** These records are stored in cabinets in a lockable room.

**Retention and disposal:** These folders are kept indefinitely.

**System manager(s) and address:** Director, Office of Privacy and Information Appeals, Office of the Deputy Attorney General: United States Department of Justice; 10th and Constitution Avenue, N.W.; Washington, D.C. 20530.

**Notification procedure:** Same as the System Manager.

**Record access procedures:** Same as the System Manager.

**Contesting record procedures:** Same as the System Manager.

**Record source categories:** Those individuals who submit certain requests and all appeals under the Freedom of Information and Privacy Acts.

**Systems exempted from certain provisions of the act:** None.

# JUSTICE/DEA-008

**System name:** Investigative Reporting and Filing System.

**System location:** Drug Enforcement Administration; 1405 I Street NW., Washington, D.C. 20537. Also, field offices. Sec. Appendix 1 for list of addresses.

## Categories of individuals covered by the system:

- A. Drug offenders.
- B. Alleged drug offenders.
- C. Persons suspected of drug offenses.
- D. Confidential informants.
- E. Defendants.
- F. Witness.
- G. Non-implicated persons with pertinent knowledge of some circumstance or aspect of a case or suspect. These are pertinent references of fact developed by personal interview or third party interview and are recorded as a matter for which a probable need for recall will exist. In the regulatory portion of the system, records are maintained on the following categories of individuals: (a) Individuals registered with DEA under the Comprehensive Drug Abuse Prevention and Control Act of 1970; (b) Responsible officials of business firms registered with DEA; (c) Employees of DEA registrants who handle controlled substances or occupy positions of trust related to the handling of controlled substances; (d) Applicants for DEA registration and their responsible employees.

**Categories of records in the system:** The Investigative Reporting and Filing System includes, among other things, a system of records as defined in the Privacy Act of 1974. Individual records, i.e. items of information on an individual may be decentralized in separate investigative file folders. Such records as well as certain other records on persons and subjects not covered by the act, are made retrievable and are retrieved by reference to the following subsystems.

A. The Narcotics and Dangerous Drugs Information System (NADDIS) consists of two centralized automated indexes and machine records on subjects cited in and extracted from investigative reports. The two indexes represent a name index and a number index which are used to access one or more specific records for examination. The system serves as both an index to the more voluminous written reports upon which it is based and as an autonomous means for developing investigative leads and aids in selecting source materials for studies of a strategic nature. The system is accessible by telecommunications by appropriately equipped DEA headquarters and field offices. Records which comprise the system are also accessed by special computer runs. These runs are typically generated from selection criteria which cannot be utilized (input) via the telecommunications equipment. Bulk products generated via off-line runs may be formatted on computer tape, in printout or on microfiche depending on the needs of the user.

Direct references to the discrete file folders in which the source reports are filed are provided within each record. Therefore, the NADDIS records point to the more comprehensive manual reports maintained centrally at Headquarters. Records are retrievable by name and by certain identifying numbers in the on-line mode and by virtually any record data element in the off-line mode.

B. Manual name indices covering regional and district investigative activities are maintained by DEA field offices. A residual card index is retained at DEA headquarters that predates the automated central index. The items of information on the manual index records are extracted only from investigative reports and point to the more

comprehensive information in pertinent investigative file folders. The records in the field office indices are sub-sets of the central automated and manual indices. Records are retrievable by name only by this manual technique. Four basic categories of files are maintained within the Investigative Reporting and Filing System. DEA does not maintain a dossier type file in the traditional sense on an individual. Instead, the files are compiled on separate investigations, topics and on a functional basis for oversight and investigative support: (a) Criminal Investigative Case Files; (b) General Investigative Files, Criminal and Regulatory; (c) Regulatory Audit and Investigative Files; (d) Confidential Informant Files.

The basic document contained in these files is a multi-purpose report of investigation (DEA-6) on which investigative activities and findings are rigorously documented. The reports pertain to the full range of DEA criminal drug enforcement and regulatory investigative functions that emanate from the Comprehensive Drug Prevention and Control Act of 1970. Within the categories of files listed above, the general file category includes preliminary investigations of a criminal nature, certain topical or functional aggregations and reports of pre-registrant inspections/investigations. The case files cover targeted conspiracies, trafficking situations and formal regulatory audits and investigations. Frequently the criminal drug cases are the logical extension of one or more preliminary investigations. The distinction between the case file and general file categories, therefore, is based on internal administrative policy and should not be construed as a differentiation of investigation techniques or practices. These files, except for Confidential Informant Files, contain also adopted reports received from other agencies to include items that comprise, when indexed, individual records within the meaning of the Act. The central files maintained at DEA Headquarters include, in general, copies of investigative reports and most of the supporting documents that are generated or adopted by DEA Headquarters and field offices.

**Authority for maintenance of the system:** This system is established and maintained to enable DEA to carry out its assigned law enforcement and regulatory functions under the Comprehensive Drug Abuse Prevention and Control Act of 1970. (Pub. L. 91-513), Reorganization Plan No. 2 of 1973, and to fulfill United States obligations under the Single Convention on Narcotics Drugs.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** This system may be used as a data source or reference facility for numerous summary, management and statistical reports produced by the Drug Enforcement Administration. Only on rare occasions do such reports contain identifiable individual records. Information contained in this system is provided to the following categories of users as a matter of routine use for law enforcement and regulatory purpose: (a) Other Federal law enforcement and regulatory agencies; (b) State and local law enforcement and regulatory agencies; (c) Foreign law enforcement agencies with whom DEA maintains liaison; (d) The Department of Defense and Military Departments; (e) The Department of State; (f) U.S. intelligence agencies concerned with drug enforcement; (g) The United Nations; (h) Interpol; (i) To individuals and organizations in the course of investigations to elicit information.

In addition, disclosures are routinely made to the following categories for the purpose stated: (a) To federal agencies for national security clearance purposes and to federal and state regulatory agencies responsible for the licensing or certification of individuals in the fields of pharmacy and medicine; (b) To the Office of Management and Budget upon request in order to justify the allocation of resources; (c) To State and local prosecutors for assistance in preparing cases concerning criminal and regulatory matters; (d) To the news media for public information purposes; (e) To respondents and their attorneys for purposes of discovery, formal and informal, in the course of an adjudicatory, rule-making, or other hearing held pursuant to the Controlled Substances Act of 1970.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress.** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is subject of the record.



Release of information to the National Archives and Records Service: A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** Administration regulations include detailed instructions for the preparation, adoption, handling, dissemination, indexing of individual records, storage, safeguarding of investigative reports and the accounting of disclosure of individual records.

**Storage:** 1. The Headquarters central files and the field office subsets of the Investigative Reporting and Filing System are maintained in standard file folders. Standard formats are employed. Manual indices are maintained using standard index record formats.

2. The Narcotics and Dangerous Drugs Information subset is stored electronically on the Department of Justice Information System separate from DEA Headquarters.

**Retrievability:** Access to individual records is gained by reference to either the automated or manual indices. Retrievability is a function of the presence of items in the index and the matching of names in the index with search argument names or identifying numbers in the case of the automated system. Files identified from field office indices are held by the field office and Headquarters. Files identified from the automated index may not be held by the interested office, but the originators of such files are identified. In addition a number of telecommunication terminals have been added to the existing network.

**Safeguards:** The Investigative Reporting and Filing System is reported by both physical security methods and dissemination and access controls. Fundamental in all cases is that access to investigative information is limited to those persons or agencies with a demonstrated and lawful need to know for the information in order to perform assigned functions.

1. Physical security when investigative files are attended is provided by responsible DEA employees. Physical security when files are unattended is provided by the secure locking of material in approved containers or facilities. The selection of containers or facilities is made in consideration of the sensitivity or National Security Classification, as appropriate, or the files and the extent of security guard and/or surveillance afforded by electronic means.

2. Protection of the automated index is provided by physical, procedural and electronic means. The Master file resides on the Department of Justice Computer System and is physically attended or guarded on a full-time basis. Access or observation to active telecommunications terminals is limited to those with a demonstrated need to know for retrieval information. Surreptitious access to an unattended terminal is precluded by a complex sign-on procedure. The procedure is provided only to authorized DEA employees. For certain terminals, access is further restricted by cryptological equipment.

3. An automated log of queries is maintained for each terminal. Improper procedure results in no access. Terminals are signed-off after use. The terminals are otherwise located in locked facilities after normal working hours.

4. The dissemination of investigative information on an individual outside the Department of Justice is made in accordance with the routine uses as described herein or otherwise in accordance with the conditions of disclosure prescribed by the Act. The need to know of the recipient is determined in both cases by DEA as a prerequisite of the release.

**Retention and disposal:** Records contained within this system except for those in general files are retained for fifty-five (55) years. Records in general files are retained for twenty (20) years.

**System manager(s) and address:** Assistant Administrator for Enforcement; Drug Enforcement Administration; 1405 Eye Street, NW., Washington, D.C. 20537.

**Record source categories:** (a) DEA personnel; (b) Cooperating individuals; (c) Suspects and defendants; (d) Federal, State and local law enforcement and regulatory agencies; (e) Other Federal agencies; (f) Foreign law enforcement agencies; (g) Business records by subpoena; (h) Drug and chemical companies; (i) Concerned citizens.

**Systems exempted from certain provisions of the Act:** The Attorney General has exempted this system from subsections (c) (3) and (4), (d), (e) (1), (2), and (3), (e)(4)(G), (H), (e) (5) and (8), (f), (g), (h) of the Privacy Act pursuant to U.S.C. 552a (j) and (k). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553 (b), (c) and (e) and have been published in the Federal Register.

## JUSTICE/LEAA-002

**System name:** Law Enforcement Education System.

**System location:** Law Enforcement Assistance Administration, 633 Indiana Avenue NW., Washington, D.C. 20531.

**Categories of individuals covered by the system:** Recipients of LEAA Law Enforcement Education Loans and Grants.

**Categories of records in the system:** LEEP Master Computer File; LEEP Promissory Note File; LEAA Form 03.

**Authority for maintenance of the system:** The system is established and maintained to 42 U.S.C. 3746.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** To contractors for coding and statistical analysis, educational institutions for record reconciliation, IRS and references listed on application for address verification, referees in bankruptcy for claim action, State and local agencies to verify eligibility.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress.** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Information maintained in the system is stored on computer magnetic tape, discs and folders.

**Retrievability:** Information is retrievable by name of recipient and social security number.

**Safeguards:** Computerized information is safeguarded and protected by computer password key and limited access. Noncomputerized data is safeguarded in file room which is locked after business hours. Access is limited to LEAA authorized personnel.

**Retention and disposal:** Computerized records are kept indefinitely. Uncollected loans/grants are transferred to GAO as soon as determined uncollectable. Cancelled or repaid loan/grants are closed at end of fiscal year, held three years, sent to Federal Records Center and destroyed in accordance with instructions from GAO.

**System manager(s) and address:** Comptroller; Law Enforcement Assistant Administrator, 633 Indiana Avenue NW., Washington, D.C. 20531.

**Notification procedure:** Same as above.

**Record access procedures:** A request for access to a record from this system shall be made in writing, with the envelope and the letter clearly marked "Privacy Access Request." Include in the request the name and personal identifier number. Access requests will be directed to the System Manager listed above.

**Contesting record procedures:** Individuals desiring to contest or amend information maintained in the system should direct their request to System Manager listed above, stating clearly and concisely what information is being contested, the reasons for contesting it, and the proposed amendment to the information sought.

**Record source categories:** Sources of Information are the recipients of loans/grants and their educational institutions.

**Systems exempted from certain provisions of the act:** None.

**Drug Enforcement Administration Accounting System (DEAA-SII).** JUSTICE/DEA-016: Published at 43 FR 25883 on June 15, 1978. No formal, final adoption notice was issued. Therefore, notice is hereby given that no comments were received and the amended system is adopted.

## JUSTICE/DEA-016

**System name:** Drug Enforcement Administration Accounting System (DEAAS II).



**System location:** Drug Enforcement Administration, 1405 Eye Street NW., Washington, D.C. 20537. Also field offices. See Appendix 1 for list of addresses.

**Categories of individuals covered by the system:** All individuals who submit vouchers requesting payment for goods or services rendered, except payroll vouchers for DEA employees. These include vendors, contractors, experts, witnesses, court reporters, travelers, relocated employees, etc.

**Categories of records in the system:** All vouchers paid except payroll vouchers for DEA employees. In addition, all advance of funds issued to DEA travelers.

**Authority for maintenance of the system:** The system is established and maintained in accordance with the Budget and Accounting Procedures Act of 1950 as amended, 31 U.S.C. 66 and 31 U.S.C. 200(a).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** After payment of the vouchers, the accounting data is used for the purpose of internal management reporting and external reporting to agencies such as OMB, U.S. Treasury, and the GAO.

**Release of Information to the News Media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of Information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of Information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Manual voucher files are maintained alphabetically by payee's name. Travel advance information and other budget and accounting data are maintained by an online computerized file. Information on travel advances is stored by employee identification number; other budget and accounting data is maintained by obligation number or other program identifier.

**Retrievability:** Information from manual voucher files is retrieved by using the name of the payee. Travel advance information is retrieved by employee identification number; other budget and accounting data is retrieved by obligation number or other program identifier.

**Safeguards:** Information contained in the system is unclassified. It is safeguarded in accordance with organizational rules and procedures. Access to manual voucher files is restricted to employees on a need to know basis. Information that is retrievable by terminals can be retrieved only by authorized employees of the Department of Justice who have been issued user identification numbers.

**Retention and disposal:** The payment documents are retained at this location for three fiscal years (current and two prior years). The records are then shipped to a Federal Records Center for storage in accordance with the General Record Schedule published by the General Services Administration. In the computerized file for travel advances, only the last two transactions in any particular account are retained in the file. Old transactions are automatically purged as new transactions are entered.

**System manager(s) and address:** Controller, Drug Enforcement Administration, 1405 Eye Street NW., Washington, D.C. 20537.

**Notification procedure:** Inquiries should be addressed to Freedom of Information Unit, Drug Enforcement Administration, 1405 Eye Street NW., Washington, D.C. 20537.

**Record access procedures:** Same as "Notification Procedure" above.

**Contesting record procedures:** Same as "Notification Procedure" above.

**Record source categories:** Submitted by the payee involved.

**Systems exempted from certain provisions of the act:** None.

JUSTICE/FBI-001

**System name:** National Crime Information Center (NCIC).

**System location:** Federal Bureau of Investigation; J. Edgar Hoover Bldg., 10th and Pennsylvania Avenue NW., Washington, D.C. 20535.

**Categories of individuals covered by the system:** A. Wanted Persons: 1. Individuals for whom Federal warrants are outstanding. 2. Individuals who have committed or have been identified with an offense which is classified as a felony or serious misdemeanor under the existing penal statutes of the jurisdiction originating the entry and felony or misdemeanor warrant has been issued for the individual with respect to the offense which was the basis of the entry. Probation and parole violators meeting the foregoing criteria. 3. A "Temporary Felony Want" may be entered when a law enforcement agency has need to take prompt action to establish a "want" entry for the apprehension of a person who has committed, or the officer has reasonable grounds to believe has committed, a felony and who may seek refuge by fleeing across jurisdictional boundaries and circumstances preclude the immediate procurement of a felony warrant. A "Temporary Felony Want" shall be specifically identified as such and subject to verification and support by a proper warrant within 48 hours following the initial entry of a temporary want. The agency originating the "Temporary Felony Want" shall be responsible for subsequent verification or re-entry of a permanent want.

B. Individuals who have been charged with serious and/or significant offenses.

C. Missing Persons: 1. A person of any age who is missing and who is under proven physical/mental disability or is senile, thereby subjecting himself or others to personal and immediate danger. 2. A person of any age who is missing under circumstances indicating that his disappearance was not voluntary. 3. A person of any age who is missing and in the company of another person under circumstances indicating that his physical safety is in danger. 4. A person who is missing and declared unemancipated as defined by the laws of his state of residence and does not meet any of the entry criteria set forth in 1, 2, or 3 above.

**Categories of records in the system:** A. Stolen Vehicle File: 1. Stolen vehicles. 2. Vehicles wanted in conjunction with felonies or serious misdemeanors. 3. Stolen vehicle parts, including certificates of origin or title.

B. Stolen License Plate File: 1. Stolen or missing license plate.

C. Stolen/Missing Gun File: 1. Stolen or missing guns, 2. Recovered gun, ownership of which has not been established.

D. Stolen Article File.

E. Wanted Person File: Described in "Categories of individuals covered by the system: A. Wanted Persons".

F. Securities File: 1. Serially numbered stolen, embezzled, counterfeited, missing securities. 2. "Securities" for present purposes of this file are currency (e.g. bills, bank notes) and those documents or certificates which generally are considered to be evidence of debt (e.g. bonds, debentures, notes) or ownership of property (e.g. common stock, preferred stock), and documents which represent subscription rights, warrants) and which are of those types traded in the securities exchanges in the United States, except for commodities futures. Also included are warehouse receipts, travelers checks and money orders.

G. Boat File.

H. Computerized Criminal History File: A cooperative Federal-State program for the interstate exchange of criminal history record information for the purpose of facilitating the interstate exchange of such information among criminal justice agencies.

I. Missing Person File: Described in "Categories of individuals covered by the system: C. Missing Persons".

**Authority for maintenance of the system:** The system is established and maintained in accordance with Title 28, United States Code, Section 534 and Title 28—Judicial Administration, Chapter 1—Department of Justice (Order No. 601-75) Part 20—Criminal Justice Information Systems.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Data in NCIC files is exchanged with and for the official use of authorized officials of the Federal Government, the States, cities, and penal and other institutions in accordance with title 28, U.S. code, section 534. The data is exchanged through NCIC lines to Federal criminal justice agencies, criminal justice agencies in the 50 States, the District of Columbia, and Puerto Rico. Additionally, data contained in the various "want files," i.e., the stolen vehicle file, stolen license plate file, stolen/missing gun file, stolen article file, wanted person file, securities file, and boat file may be accessed by the Royal Canadian Mounted Police. Dissemination of criminal history record information is set forth in Title 28 of the Code of Federal Regulations—Judicial Administration, Chapter 1—Department of Justice (Order No. 601-75).



Part 20—Criminal Justice Information Systems, Subpart C, Section 20.33.

Release of information to the news media: Information permitted to be released to the news media and the public pursuant to 28 C.F.R. 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

Release of information to Members of Congress: Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

Release of information to the National Archives and Records Service: A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

**Storage:** Information maintained in the NCIC system is stored electronically for use in a computer environment.

**Retrievability:** On-line access to data in NCIC is achieved by using the following search descriptors. 1. Vehicle file: (a) Vehicle identification number; (b) License plate number; (c) NCIC number (unique number assigned by the NCIC computer to each NCIC record). 2. License Plate file: (a) License plate number; (b) NCIC number. 3. Gun File: (a) Serial number of gun; (b) NCIC number. 4. Article File: (a) Serial number of article; (b) NCIC number. 5. Wanted Person File: (a) Name and one of the following numerical identifiers date of birth, FBI number (number assigned by the Federal Bureau of Investigation to an arrest fingerprint record), Social Security number (It is noted the requirements of the Privacy Act with regard to the solicitation of Social Security numbers have been brought to the attention of the members of the NCIC system), Operator's license number (driver's license number), Miscellaneous identifying number (military number or number assigned by Federal, state, or local authorities to an individual's record, Originating agency case number, (b) Vehicle or license plate known to be in the possession of the wanted person; (c) NCIC number (unique number assigned to each NCIC record). 6. Securities File: (a) Type, serial number, denomination of security; (b) Type of security and name of owner of security; (c) Social Security number of owner of security, (d) NCIC number. 7. Boat File: (a) Registration document number; (b) Hull serial number; (c) NCIC number. 8. Computerized Criminal History File: (a) Name, sex, race, and date of birth; (b) FBI number, (c) State identification number; (d) Social Security number; (e) Miscellaneous number. 9. Missing Person file—Same as "Wanted Person" File.

**Safeguards:** Data stored in the NCIC is documented criminal justice agency information and access to that data is restricted to duly authorized criminal justice agencies. The following security measures are the minimum to be adopted by all criminal justice agencies having access to the NCIC Computerized Criminal History File. These measures are designed to prevent unauthorized access to the system data and/or unauthorized use of data obtained from the computerized file.

1. Computer Centers: a. The criminal justice agency computer site must have adequate physical security to protect against any unauthorized personnel gaining access to the computer equipment or to any of the stored data. b. Since personnel at these computer centers can access data stored in the system, they must be screened thoroughly under the authority and supervision of an NCIC control terminal agency. (This authority and supervision may be delegated to responsible criminal justice agency personnel in the case of a satellite computer center being serviced through a state control terminal agency.) This screening will also apply to non-criminal justice maintenance or technical personnel. c. All visitors to these computer centers must be accompanied by staff personnel at all times. d. Computers having access to the NCIC must have the proper computer instructions written and other built-in controls to prevent criminal history data from being accessible to any terminals other than authorized terminals. e. Computers having access to the NCIC must maintain a record of all transactions against the criminal history file in the same manner the NCIC computer logs all transactions. The NCIC identifies each specific agency entering or receiving information and maintains a record of those transactions. This transaction record must be monitored and reviewed on a regular basis to detect any possible

misuse of criminal history data. f. Each state control terminal shall build its data system around a central computer, through which each inquiry must pass for screening and verification. The configuration and operation of the center shall provide for the integrity of the data base.

2. Communications: a. Lines/channels being used to transmit criminal history information must be dedicated solely to criminal justice use, i.e., there must be no terminals belonging to agencies outside the criminal justice system sharing these lines/channels. b. Physical security of the lines/channels must be protected to guard against clandestine devices being utilized to intercept or inject system traffic.

3. Terminal Devices Having Access to NCIC: a. All agencies having terminals on the system must be required to physically place these terminals in secure locations within the authorized agency. b. The agencies having terminals with access to criminals history must have terminal operators screened and restrict access to the terminal to a minimum number of authorized employees. c. Copies of criminal history data obtained from terminal devices must be afforded security to prevent any unauthorized access to or use of that data. d. All remote terminals on NCIC Computerized Criminal History will maintain a hard copy of computerized criminal history inquiries with notations of individual making request for record (90 days).

**Retention and disposal:** Unless otherwise removed, records will be retained in file as follows:

1. Vehicle File: a. Unrecovered stolen vehicle records (including snowmobile records) which do not contain vehicle identification numbers (VIN) therein, will be purged from file 90 days after the end of the license plate's expiration year as shown in the record. Unrecovered stolen vehicle records (including snowmobile records) which contain VIN's will remain in file for the year of entry plus 4. b. Unrecovered vehicles wanted in conjunction with a felony will remain in file for 90 days after entry. In the event a longer retention period is desired, the vehicle must be reentered. c. Unrecovered stolen VIN plates, certificates of origin or title, and serially numbered stolen vehicle engines or transmissions will remain in file for the year of entry plus 4.

2. License Plate File: Unrecovered stolen license plates not associated with a vehicle will remain in file for one year after the end of the plate's expiration year as shown in the record.

3. Gun File: a. Unrecovered weapons will be retained in file for an indefinite period until action is taken by the originating agency to clear the record. b. Weapons entered in file as "recovered" weapons will remain in file for the balance of the year entered plus 2.

4. Article File: Unrecovered stolen articles will be retained for the balance of the year entered plus one year.

5. Wanted Person File: Persons not located will remain in file indefinitely until action is taken by the originating agency to clear the record (except "Temporary Felony Wants", which will be automatically removed from file after 48 hours).

6. Securities File: Unrecovered, stolen, embezzled, counterfeited or missing securities will be retained for the balance of the year entered plus 4, except for travelers checks and money orders, which will be retained for the balance of the year entered plus 2.

7. Boat File: Unrecovered stolen boats will be retained in file for the balance of the year entered plus 4.

8. Missing Person File: Will remain in the file until the individual is located or, in the case of unemancipated persons, the individual reaches the age of emancipation as defined by laws of his state.

9. Computerized Criminal History File: When an individual reaches age of 80.

**System manager(s) and address:** Director, Federal Bureau of Investigation; J. Edgar Hoover F.B.I. Building, 9th and Pennsylvania Avenue N.W., Washington, D.C. 20535.

**Notification procedure:** Same as the above.

**Record access procedures:** It is noted the Attorney General is exempting this system from the access and contest procedures of the Privacy Act. However, the following alternative procedures are available to a requester. The procedures by which an individual may obtain a copy of his Computerized Criminal History are as follows:

If an individual has a criminal record supported by fingerprints and that record has been entered in the NCIC CCH File, it is available to that individual for review, upon presentation of appropriate identification, and in accordance with applicable State and Federal administrative and statutory regulations.

Appropriate identification includes being fingerprinted for the purpose of insuring that he is the individual that he purports to be. The record on file will then be verified as his through comparison of fingerprints.



Procedure 1. All requests for review must be made by the subject of his record through a law enforcement agency which has access to the NCIC CCH File. That agency within statutory or regulatory limits can require additional identification to assist in securing a positive identification.

2. If the cooperating law enforcement agency can make an identification with fingerprints previously taken which are in file locally and if the FBI identification number of the individual's record is available to that agency, it can make an on-line inquiry of NCIC to obtain his record on-line or, if it does not have suitable equipment to obtain an on-line response, obtain the record from Washington, D.C., by mail. The individual will then be afforded the opportunity to see that record.

3. Should the cooperating law enforcement agency not have the individual's fingerprints on file locally, it is necessary for that agency to relate his prints to an existing record by having his identification prints compared with those already on file in the FBI or possibly, in the State's central identification agency.

**Contesting record procedures:** The subject of the requested record shall request the appropriate arresting agency, court, or correctional agency to initiate action necessary to correct any stated inaccuracy in his record or provide the information needed to make the record complete.

**Record source categories:** Information contained in the NCIC system is obtained from local, State, Federal and international criminal justice agencies.

**Systems exempted from certain provisions of the act:** The Attorney General has exempted this system from subsections (c) (3) and (4), (d), (e) (1), (2) and (3), (e)(4) (G), (H), (e)(8) (f), (g) and (m) of the Privacy Act pursuant to 5 U.S.C. 552a(j). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553 (b), (c) and (e) and have been published in the Federal Register.

#### JUSTICE/FBI-002

**System name:** The FBI Central Records System.

**System location:** a. Federal Bureau of Investigation, J. Edgar Hoover FBI Building, 10th and Pennsylvania Avenue NW., Washington, D.C. 20535; b. 59 field divisions (see Appendix); c. 14 Legal Attaches (see Appendix).

**Categories of individuals covered by the system:** a. Individuals who relate in any manner to official FBI investigations including, but not limited to suspects, victims, witnesses, and close relatives and associates that are relevant to an investigation.

b. Applicants for and current and former personnel of the FBI and persons related thereto that are considered relevant to an applicant investigation, personnel inquiry, or persons related to personnel matters.

c. Applicants for and appointees to sensitive positions in the United States Government and persons related thereto that are considered relevant to the investigation.

d. Individuals who are the subject of unsolicited information, who offer unsolicited information, request assistance, and make inquiries concerning record material, including general correspondence, contacts with other agencies, businesses, institutions, clubs, the public and the news media.

e. Individuals, associated with administrative operations or services including pertinent functions, contractors and pertinent persons related thereto.

(All manner of information concerning individuals may be acquired in connection with and relating to the varied investigative responsibilities of the FBI which are further described in "Categories of Records in the System." Depending on the nature and scope of the investigation this information may include, among other things, personal habits and conduct, financial information, travel and organizational affiliation of individuals. The information collected is made a matter of record and placed in FBI files.)

**Categories of records in the system:** The FBI Central Records System—The FBI utilizes a central records system of maintaining its investigative, personnel, applicant, administrative, and general files. This system consists of one numerical sequence of subject matter files, an alphabetical index to the files, and a supporting abstract system to facilitate processing and accountability of all important mail placed in file. Files kept in FBI field offices are also structured in the same manner, except they do not utilize an abstract system.

The FBI has 204 classifications used in its basic filing system. They pertain primarily to Federal violations over which the FBI has investigative jurisdiction. However, included in the 204 classifications are personnel, applicant, and administrative matters to facilitate the overall filing scheme. These classifications are as follows (the

word "obsolete" following the name of the classification indicates that documents are no longer being filed under this heading (although information is maintained under this heading)):

1. Training Schools; National Academy Matters; FBI National Academy Applicants. Covers general information concerning the FBI National Academy, including background investigations of individual candidates.

2. Neutrality Matters. Title 18, United States Code, Sections 956 and 958-962; Title 22, United States Code, Sections 1934 and 401.

3. Overthrow or Destruction of the Government. Title 18, United States Code, Section 2385.

4. National Firearms Act; Federal Firearms Act; State Firearms Control Assistance Act; Unlawful Possession or Receipt of Firearms. Title 26, United States Code, Sections 5801-5812; Title 18, United States Code, Sections 921-928; Title 18, United States Code, Sections 1201-1203.

5. Income Tax. Covers violations of Federal income tax laws reported to the FBI. Complaints are forwarded to the Commissioner of the Internal Revenue Service.

6. Interstate Transportation of Strikebreakers. Title 18, United States Code, Section 1231.

7. Kidnaping. Title 18, United States Code, Sections 1201 and 1202.

8. Migratory Bird Act. Title 18, United States Code, Section 43; Title 16, United States Code, Sections 703 through 718.

9. Extortion. Title 18, United States Code, Sections 876, 877, 875, and 873.

10. Red Cross Act. Title 18, United States Code, Sections 706 and 917.

11. Tax (Other than Income). This classification covers complaints concerning violations of Internal Revenue laws as they apply to other than alcohol, social security and income and profits taxes, which are forwarded to the Internal Revenue Service.

12. Narcotics. This classification covers complaints received by the FBI concerning alleged violations of Federal drug laws. Complaints are forwarded to the Administrator, Drug Enforcement Administration (DEA), or the nearest district office of DEA.

13. Miscellaneous. Section 125, National Defense Act: Prostitution: Selling Whiskey Within Five Miles Of An Army Camp. 1920 only. Subjects were alleged violators of abuse of U.S. flag, fraudulent enlistment, selling liquor and operating houses of prostitution within restricted bounds of military reservations. Violations of Section 13 of the Selective Service Act (Conscription Act) were enforced by the Department of Justice as a war emergency measure with the Bureau exercising jurisdiction in the detection and prosecution of cases within the purview of that Section.

14. Sedition. Title 18, United States Code, Sections 2387, 2388, and 2391.

15. Theft from Interstate Shipment. Title 18, United States Code, Section 659; Title 18, United States Code, Section 660; Title 18, United States Code, Section 2117.

16. Violation Federal injunction (obsolete). FBI records do not provide an explanation of the nature of this classification.

17. Veterans Administration Matters. Title 18, United States Code, Sections 287, 289, 290, 371, or 1001; and Title 38, United States Code, Sections 787(a), 787(b), 3405, 3501, and 3502.

18. May Act. Title 18, United States Code, Section 1384.

19. Censorship Matter (obsolete). Pub. L. 354, 77th Congress.

20. Federal Grain Standards Act. 1920 only. Subjects were alleged violators of contracts for sale, shipment of interstate commerce, Section 5, U.S. Grain Standards Act.

21. Food and Drugs. This classification covers complaints received concerning alleged violations of the Food, Drug and Cosmetic Act; Tea Act; Import Milk Act; Caustic Poison Act; and Filled Milk Act. These complaints are referred to the Commissioner of the Food and Drug Administration or the field component of that Agency.

22. National Motor Vehicle Traffic Act. 1922-27. Subjects possible violators of the National Motor Vehicle Theft Act; Automobiles seized by Prohibition Agents.

23. Prohibition. This classification covers complaints received concerning bootlegging activities and other violations of the alcohol tax laws. Such complaints are referred to the Bureau of Alcohol, Tobacco and Firearms, Department of the Treasury, or field representatives of that Agency.

24. Profiteering. 1920-42. Subjects are possible violators of the Lever Act—Profiteering in food and clothing or accuse company was subject of file. Bureau conducted investigations to ascertain profits.

25. Selective Service Act; Selective Training and Service Act. Title 50, United States Code, Section 462; Title 50, United States Code, Section 459.



26. Interstate Transportation of Stolen Motor Vehicle; Interstate Transportation of Stolen Aircraft. Title 18, United States Code, Sections 2311 (in part), 2312, and 2313.

27. Patent Matter. Title 35, United States Code.

28. Copyright Matter. Title 17, United States Code, Sections 104 and 105.

29. Bank Fraud and Embezzlement. Title 18, United States Code, Sections 212, 213, 215, 334, 655-657, 1004-1006, 1008, 1009, 1014, and 1306; Title 12, United States Code, Section 1725(g).

30. Interstate Quarantine Law. 1922-25. Subjects alleged violators of Act of February 15, 1893, as amended, regarding interstate travel of persons afflicted with infectious diseases. Cases also involved unlawful transportation of animals, Act of February 2, 1903. Referrals were made to Public Health Service and the Department of Agriculture.

31. White Slave Traffic Act. Title 18, United States Code, Sections 2421-2424.

32. Identification (Fingerprint Matters). This classification covers general information concerning identification (fingerprint) matters.

33. Uniform Crime Reporting. This classification covers general information concerning the Uniform Crime Reports, a periodic compilation of statistics of criminal violations throughout the United States.

34. Violation of Lacey Act. 1922-43. Unlawful transportation and shipment of black bass and fur seal skins.

35. Civil Service. This classification covers complaints received by the FBI concerning Civil Service matters which are referred to the United States Civil Service Commission in Washington or regional offices of that Agency.

36. Mail Fraud. Title 18, United States Code, Section 1341.

37. False Claims Against the Government. 1921-22. Subjects submitted claims for allotment, vocational training, compensation as veterans under the Sweet Bill. Letters were generally referred elsewhere (Veterans Bureau). Violators apprehended for violation of Article No. 1, War Risk Insurance Act.

38. Application For Pardon To Restore Civil Rights. 1921-35. Subjects allegedly obtained their naturalization papers by fraudulent means. Cases later referred to Immigration and Naturalization Service.

39. Falsely Claiming Citizenship. Title 18, United States Code, Sections 911 and 1015(a)(b).

40. Passport and Visa Matter. Title 18, United States Code, Sections 1541-1546.

41. Explosives (obsolete). Title 50, United States Code, Sections 121 through 144.

42. Deserter; Deserter, Harboring. Title 10, United States Code, Sections 808 and 885.

43. Illegal Wearing of Uniforms; False Advertising or Misuse of Names, Words, Emblems or Insignia; Illegal Manufacture, Use, Possession, or Sale of Emblems and Insignia; Illegal Manufacture, Possession, or Wearing of Civil Defense Insignia; Miscellaneous, Forging or Using Forged Certificate of Discharge from Military or Naval Service; Miscellaneous, Falsely Making or Forging Naval, Military, or Official Pass; Miscellaneous, Forging or Counterfeiting Seal of Department or Agency of the United States; Misuse of the Great Seal of the United States or of the Seals of the President or the Vice President of the United States; Unauthorized Use of "Johnny Horizon" Symbol; Unauthorized Use of "Smokey Bear" Symbol. Title 18, United States Code, Sections 702, 703, and 704; Title 18, United States Code, Sections 701, 705, 707, and 710; Title 36, United States Code, Section 182; Title 50, Appendix, United States Code, Sections 2284; Title 46, United States Code, Section 249; Title 18, United States Code, Sections 498, 499, 506, 709, 711, 711a, 712, 713, and 714; Title 12, United States Code, Sections 1457 and 1723a; Title 22, United States Code, Section 2518.

44. Civil Rights; Civil Rights, Election Laws, Voting Rights Act, 1965. Title 18, United States Code, Sections 241, 242, and 245; Title 42, United States Code, Section 1973; Title 18, United States Code, Section 243; Title 18, United States Code, Section 244.

45. Crime on the High Seas (Includes stowaways on boats and aircraft). Title 18, United States Code, Sections 7, 13, 1243, and 2199.

46. Fraud Against the Government; Anti-Kickback Statute; Dependent Assistance Act of 1950; False Claims, Civil; Federal-Aid Road Act; Lead and Zinc Act; Public Works and Economic Development Act of 1965; Renegotiation Act, Criminal; Renegotiation Act, Civil; Trade Expansion Act of 1962; Unemployment Compensation Statutes; Economic Opportunity Act. Title 50, United States Code, Section 1211 et seq.; Title 31, United States Code, Section 231; Title 41, United States Code, Section 119; Title 40, United States Code, Section 489.

47. Impersonation. Title 18, United States Code, Sections 912, 913, 915, and 916.

48. Postal Violation (Except Mail Fraud). This classification covers inquiries concerning the Postal Service and complaints pertaining to the theft of mail. Such complaints are either forwarded to the Postmaster General or the nearest Postal Inspector.

49. National Bankruptcy Act. Title 18, United States Code, Sections 151-155.

50. Involuntary Servitude and Slavery. U.S. Constitution, 13th Amendment; Title 18, United States Code, Sections 1581-1588, 241, and 242.

51. Jury Panel Investigations. This classification covers jury panel investigations which are requested by the appropriate Assistant Attorney General. These investigations can be conducted only upon such a request and consist of an indices and arrest check.

52. Theft, Robbery, Embezzlement, Illegal Possession or Destruction of Government Property. Title 18, United States Code, Sections 641, 1024, 1660, 2112, and 2114.

53. Excess Profits On Wool. 1918. Subjects possible violators of Government Control of Wool Clip of 1918.

54. Customs Laws and Smuggling. This classification covers complaints received concerning smuggling and other matters involving importation and entry of merchandise into and the exportation of merchandise from the United States. Complaints are referred to the nearest district office of the U.S. Customs Service or the Commissioner of Customs, Washington, D.C.

55. Counterfeiting. This classification covers complaints received concerning alleged violations of counterfeiting of U.S. coins, notes, and other obligations and securities of the Government. These complaints are referred to either the Director, U.S. Secret Service, or the nearest office of that Agency.

56. Election Laws. Title 18, United States Code, Sections 241, 242, 245, 591, 592, 593, 594, 595, 596, 597, 598, 599, 600, 601, 602, 603, 604, 605, 606, and 607; Title 42, United States Code, Section 1973; Title 26, United States Code, Sections 9012 and 9042; Title 2, United States Code, Sections 431, 432, 433, 434, 435, 436, 437, 439, and 441.

57. War Labor Disputes Act (obsolete). Pub. L. 89-77th Congress.

58. Bribery; Conflict of Interest. Title 18, United States Code, Sections 201-203, 205-211; Pub. L. 89-4 and 89-136.

59. World War Adjusted Compensation Act. 1924-44. Bureau of Investigation was charged with the duty of investigating alleged violations of all sections of the World War Adjusted Compensation Act (Pub. L. 472, 69th Congress (H.R. 10277)) with the exception of section 704.

60. Anti-Trust. Title 15, United States Code, Sections 1-7, 12-27, and 13.

61. Treason or Misprison of Treason. Title 18, United States Code, Sections 2381, 2382, 2389, 2390, 756, and 757.

62. Administrative Inquiries. Misconduct Investigations of Officers and Employees of the Department of Justice and Federal Judiciary; Census Matters (Title 13, United States Code, Sections 211-214, 221-224, 304, and 305) Domestic Police Cooperation; Eight-Hour-Day Law (Title 40, United States Code, Sections 321, 322, 325a, 326); Fair Credit Reporting Act (Title 15, United States Code, Sections 1681q and 1681r); Federal Cigarette Labeling and Advertising Act (Title 15, United States Code, Section 1333); Federal Judiciary Investigations; Kickback Racket Act (Title 18, United States Code, Section 874); Lands Division Matter; Other Violations and/or Matters; Civil Suits—Miscellaneous; Soldiers' and Sailors' Civil Relief Act of 1940 (Title 50, Appendix, United States Code, Sections 510-590); Tariff Act of 1930 (Title 19, United States Code, Section 1304); Unreported Interstate Shipment of Cigarettes (Title 15, United States Code, Sections 375 and 376); Fair Labor Standards Act of 1938 (Wage and Hour Law) (Title 29, United States Code, Sections 2010219); Conspiracy (Title 18, United States Code, Section 371 (formerly Section 88, Title 18, United States Code); effective September 1, 1948).

63. Miscellaneous—Nonsubversive. This classification concerns correspondence from the public which does not relate to matters within FBI jurisdiction.

64. Foreign Miscellaneous. This classification is a control file utilized as a repository for intelligence information of value identified by country. More specific categories are placed in classifications 108-113.

65. Espionage. Attorney General Guidelines on Foreign Counterintelligence; Internal Security Act of 1950; Executive Order 11905.

66. Administrative Matters. This classification covers such items as supplies, automobiles, salary matters and vouchers.

67. Personnel Matters. This classification concerns background investigations of applicants for employment with the FBI.



68. Alaskan Matters (obsolete). This classification concerns FBI investigations in the Territory of Alaska prior to its becoming a State.
69. Contempt of Court. Title 18, United States Code, Sections 401, 402, 3285, 3691, 3692; Title 10, United States Code, Section 847; and Rule 42, Federal Rules of Criminal Procedure.
70. Crime on Indian Reservation; Inducing Conveyance of Indian Trust Land; Crime on Government Reservation; Embezzlement or Theft of Indian Property. Title 18, United States Code, Sections 7 and 13.
71. Bills of Lading Act. Title 49, United States Code, Section 121.
72. Obstruction of Criminal Investigations. Title 18, United States Code, Sections 1503 through 1510.
73. Application for Pardon After Completion of Sentence and Application for Executive Clemency. This classification concerns the FBI's background investigation in connection with pardon applications and requests for executive clemency.
74. Perjury. Title 18, United States Code, Sections 1621, 1622, and 1623.
75. Bondsmen and Sureties. Title 18, United States Code, Section 1506.
76. Escaped Federal Prisoner; Escape and Rescue; Probation Violator; Parole Violator; Mandatory Release Violator. Title 18, United States Code, Sections 751-757, 1072; Title 18, United States Code, Sections 3651-3656; and Title 18, United States Code, Sections 4202-4207, 5037, and 4161-4166.
77. Applicants (Special Inquiry, Departmental and Other Government Agencies, except those having special classifications). This classification covers the background investigations conducted by the FBI in connection with the aforementioned positions.
78. Illegal Use of Government Transportation Requests. Title 18, United States Code, Sections 287, 495, 508, 641, 1001 and 1002.
79. Missing Persons. This classification covers the FBI's Identification Division's assistance in the locating of missing persons.
80. Laboratory Research Matters. At FBI Headquarters this classification is used for Laboratory research matters. In field office files this classification covers the FBI's public affairs matters and involves contact by the FBI with the general public, Federal and State agencies, the Armed Forces, corporations, the news media and other outside organizations.
81. Gold Hoarding. 1933-45. Gold Hoarding investigations conducted in accordance with an Act of March 9, 1933 and Executive Order issued August 28, 1933. Bureau instructed by Department to conduct no further investigations in 1935 under the Gold Reserve Act of 1934. Thereafter, all correspondence referred to Secret Service.
82. War Risk Insurance (National Service Life Insurance) (obsolete). This classification covers investigations conducted by the FBI in connection with civil suits filed under this statute.
83. Court of Claims. This classification covers requests for investigation of cases pending in the Court of Claims from the Assistant Attorney General in charge of the Civil Division of the Department of Justice.
84. Reconstruction Finance Corporation Act (obsolete). Title 15, United States Code, Chapter 14.
85. Home Owner Loan Corporation (obsolete.) This classification concerned complaints received by the FBI about alleged violations of the Home Owners Loan Act, which were referred to the Home Owners Loan Corporation. Title 12, United States Code, Section 1464.
86. Federal Lending and Insurance Agencies. Title 15, United States Code, Section 645; Title 18, United States Code, Sections 212, 213, 215, 216, 217, 657, 658, 1006, 1011, 1013, 1014, 1907, 1908 and 1909.
87. Interstate Transportation of Stolen Property (Fraud by Wire, Radio, or Television). Title 18, United States Code, Sections 2311, 2314, 2315, and 2318.
88. Unlawful Flight to Avoid Prosecution, Custody, or Confinement; Unlawful Flight to Avoid Giving Testimony. Title 18, United States Code, Sections 1073 and 1074.
89. Assaulting or Killing a Federal Officer, Congressional Assassination Statute. Title 18, United States Code, Sections 111, 1114, 2232.
90. Irregularities in Federal Penal Institutions. Title 18, United States Code, Sections 1791 and 1792.
91. Bank Burglary; Bank Larceny; Bank Robbery. Title 18, United States Code, Section 2113.
92. Anti-Racketeering; A. R., Lea Act; A. R., Interference with Government Communications System; A. R., Hobbs Act. Title 18, United States Code, Section 3237.
93. Ascertaining Financial Ability. This classification concerns requests by the Department of Justice for the FBI to ascertain a person's ability to pay a claim, fine or judgment obtained against him by the United States Government.
94. Research Matters. This classification concerns all general correspondence of the FBI with private individuals which does not involve any substantive violation of Federal law.
95. Laboratory Cases (Examination of Evidence in Other Than Bureau Cases). This classification concerns non-FBI cases where a duly constituted State, county or a municipal law enforcement agency in a criminal matter has requested an examination of evidence by the FBI Laboratory.
96. Alien Applicant (obsolete). Title 10, United States Code, Section 310.
97. Registration Act. Title 18, United States Code, Section 951; Title 22, United States Code, Sections 611-621; Title 50, United States Code, Sections 851-857.
98. Sabotage. Title 18, United States Code, Sections 2151-2156; Title 50, United States Code, Section 797.
99. Plant Survey (obsolete). This classification covers a program where in the FBI inspected industrial plants for the purpose of making suggestions to the operators of those plants to prevent espionage and sabotage.
100. Domestic Security. This classification covers investigations by the FBI in the domestic security field.
101. Hatch Act (obsolete). Pub. L. 252, 76th Congress.
102. Voorhis Act. Title 18, United States Code, Section 1386.
103. Interstate Transportation of Stolen Cattle. Title 18, United States Code, Sections 2311, 2316 and 2317.
104. Servicemen's Dependents Allowance Act of 1942 (obsolete). Pub. L. 625, 77th Congress, Sections 116-119.
105. Foreign Counterintelligence Matters. Attorney General Guidelines on Foreign Counterintelligence. Executive Order 11905.
106. Alien Enemy Control; Escaped Prisoners of War and Internees. 1944-55. Suspects were generally suspected escaped prisoners of war, members of foreign organizations, failed to register under the Alien Registration Act. Cases ordered closed by Attorney General after alien enemies returned to their respective countries upon termination of hostilities.
107. Denaturalization Proceedings (obsolete). This classification covers investigations concerning allegations that an individual fraudulently swore allegiance to the United States or in some other manner illegally obtained citizenship to the U.S., Title 8, United States Code, Section 738.
108. Foreign Travel Control (obsolete). This classification concerns security-type investigations wherein the subject is involved in foreign travel.
109. Foreign Political Matters. This classification is a control file utilized as a repository for intelligence information concerning foreign political matters broken down by country.
110. Foreign Economic Matters. This classification is a control file utilized as a repository for intelligence information concerning foreign economic matters broken down by country.
111. Foreign Social Conditions. This classification is a control file utilized as a repository for intelligence information concerning foreign social conditions broken down by country.
112. Foreign Funds. This classification is a control file utilized as a repository for intelligence information concerning foreign funds broken down by country.
113. Foreign Military and Naval Matters. This classification is a control file utilized as a repository for intelligence information concerning foreign military and naval matters broken down by country.
114. Alien Property Custodian Matter (obsolete). Title 50, United States Code, Sections 1 through 38. This classification covers investigations concerning ownership and control of property subject to claims and litigation under this statute.
115. Bond Default; Bail Jumper. Title 18, United States Code, Sections 3146-3152.
116. Department of Energy Applicant; Department of Energy, Employee. This classification concerns background investigations conducted in connection with employment with the Department of Energy.
117. Department of Energy, Criminal. Title 42, United States Code, Sections 2011-2281; Pub. L. 93-438.
118. Applicant, intelligence Agency (obsolete). This classification covers applicant background investigations conducted of persons under consideration for employment by the Central Intelligence Group.
119. Federal Regulations of Lobbying Act. Title 2, United States Code, Sections 261-270.
120. Federal Tort Claims Act. Title 28, United States Code, Sections 2671 to 2680. Investigations are conducted pursuant to specific request from the Department of Justice in connection with cases in



which the Department of Justice represents agencies sued under the Act.

121. Loyalty of Government Employees (obsolete). Executive Order 9835.

122. Labor Management Relations Act, 1947. Title 29, United States Code, Sections 161, 162, 176-178 and 186.

123. Special Inquiry, State Department, Voice of America (U.S. Information Center) (Pub. L. 402, 80th Congress). This classification covers loyalty and security investigations on personnel employed by or under consideration for employment for Voice of America.

124. European Recovery Program (International Cooperation Administration), formerly Foreign Operations Administration, Economic Cooperation Administration or E.R.P., European Recovery Programs; A.I.D., Agency for International Development (obsolete). This classification covers security and loyalty investigations of personnel employed by or under consideration for employment with the European Recovery Program. Pub. L. 472, 80th Congress.

125. Railway Labor Act; Railway Labor Act—Employer's Liability Act. Title 45, United States Code, Sections 151-163 and 181-188.

126. National Security Resources Board, Special Inquiry (obsolete). This classification covers loyalty investigations on employees and applicants of the National Security Resources Board.

127. Sensitive Positions in the United States Government, Pub. L. 266 (obsolete). Pub. L. 266, 81st Congress.

128. International Development Program (Foreign Operations Administration). This classification covers background investigations conducted on individuals who are to be assigned to duties under the International Development Program.

129. Evacuation Claims (obsolete). Pub. L. 886, 80th Congress.

130. Special Inquiry, Armed Forces Security Act (obsolete). This classification covers applicant-type investigations conducted for the Armed Forces security agencies.

131. Admiralty Matter. Title 46, United States Code, Sections 741 to 752 and 781 to 799.

132. Special Inquiry, Office of Defense Mobilization (obsolete). This classification covers applicant-type investigations of individuals associated with the Office of Defense Mobilization.

133. National Science Foundation Act, Applicant (obsolete). Pub. L. 507, 81st Congress.

134. Security Informants. This classification concerns individuals who provide information to the FBI concerning Foreign Counterintelligence matters.

135. PROSAB (Protection of Strategic Air Command Bases of the U.S. Air Force). This classification covered contacts with individuals with the aim to develop information useful to protect bases of the Strategic Air Command.

136. American Legion Contact (obsolete). This classification covered liaison contacts with American Legion officers.

137. Criminal Informants. This classification concerns individuals who furnish information to the FBI concerning criminal violations on a continuing and confidential basis.

138. Loyalty of Employees of the United Nations and Other Public International Organizations. This classification concerns FBI investigations based on referrals from the Civil Service Commission where in a question or allegation has been received regarding the applicant's loyalty to the U.S. Government as described in Executive Order 10422.

139. Interception of Communications (Formerly, Unauthorized Publication or Use of Communications). Title 47, United States Code, Section 605; Title 47, United States Code, Section 501; Title 18, United States Code, Sections 2510-2513.

140. Security of Government Employees; S.G.E., Fraud Against the Government. Executive Order 10450.

141. False Entries in Records of Interstate Carriers. Title 47, United States Code, Section 220; Title 49, United States Code, Section 20.

142. Illegal Use of Railroad Pass. Title 49, United States Code, Section 1.

143. Interstate Transportation of Gambling Devices. Title 15, United States Code, Sections 1171 through 1180.

144. Interstate Transportation of Lottery Tickets. Title 18, United States Code, Section 1301.

145. Interstate Transportation of Obscene Matter; Broadcasting Obscene Language. Title 18, United States Code, Sections 1462, 1464 and 1465.

146. Interstate Transportation of Prison-Made Goods. Title 18, United States Code, Sections 1761 and 1762.

147. Federal Housing Administration Matters. Title 18, United States Code, Sections 1010, 709, 657 and 1006; Title 12, United States Code, Sections 1715 and 1709.

148. Interstate Transportation of Fireworks. Title 18, United States Code, Section 836.

149. Destruction of Aircraft or Motor Vehicles. Title 18, United States Code, Sections 31 through 35.

150. Harboring of Federal Fugitives, Statistics.

151. (Referral cases received from CSC under Pub. L. 298). Agency for International Development; Department of Energy (Civil Service Commission); National Aeronautics and Space Administration; National Science Foundation; Peace Corps; Action; U.S. Arms Control and Disarmament Agency; World Health Organization; International Labor Organization; U.S. Information Agency. This classification covers referrals from the Civil Service Commission where an allegation has been received regarding an applicant's loyalty to the U.S. Government. These referrals refer to applicants from Peace Corps, Department of Energy, National Aeronautics and Space Administration, Nuclear Regulatory Commission, United States Arms Control and Disarmament Agency and the United States Information Agency.

152. Switchblade Knife Act. Title 15, United States Code, Sections 1241 through 1244.

153. Automobile Information Disclosure Act. Title 15, United States Code, Sections 1231, 1232 and 1233.

154. Interstate Transportation of Unsafe Refrigerators. Title 15, United States Code, Sections 1211 through 1214.

155. National Aeronautics and Space Act of 1958. Title 18, United States Code, Section 799.

156. Welfare and Pension Plans Disclosure Act. Title 29, United States Code, Sections 1021-1029, 1111, 1131, and 1141; Title 18, United States Code, Sections 644, 1027, and 1954.

157. Extremist Matters; Civil Unrest. This classification concerns FBI responsibility for reporting information on civil disturbances or demonstrations. The FBI's investigative responsibility is based on the Attorney General's Guidelines for Reporting on Civil Disorders and Demonstrations Involving a Federal Interest which became effective April 5, 1976.

158. Labor-Management Reporting and Disclosure Act of 1959 (Security Matter) (obsolete). Pub. L. 86-257, Section 504.

159. Labor-Management Reporting and Disclosure Act of 1959 (Investigative Matter). Title 29, United States Code, Sections 501, 503, 504, 522, and 530.

160. Federal Train Wreck Statute. Title 18, United States Code, Section 1992.

161. Special Inquiries for White House, Congressional Committee and Other Government Agencies. This classification covers investigations requested by the White House, Congressional committees or other Government agencies.

162. Interstate Gambling Activities. This classification covers information acquired concerning the nature and scope of illegal gambling activities in each field office.

163. Foreign Police Cooperation. This classification covers requests by foreign police for the FBI to render investigative assistance to such agencies.

164. Crime Aboard Aircraft. Title 49, United States Code, Sections 1472 and 1473.

165. Interstate Transmission of Wagering Information. Title 18, United States Code, Section 1084.

166. Interstate Transportation in Aid of Racketeering. Title 18, United States Code, Section 1952.

167. Destruction of Interstate Property. Title 15, United States Code, Sections 1281 and 1282.

168. Interstate Transportation of Wagering Paraphernalia. Title 18, United States Code, Section 1953.

169. Hydraulic Brake Fluid Act (obsolete); 76 Stat. 437, Pub. L. 87-637.

170. Extremist Informants (obsolete). This classification concerns individuals who provided information on a continuing basis on various extremist elements.

171. Motor Vehicle Seat Belt Act (obsolete). Pub. L. 88-201, 80th Congress.

172. Sports Bribery. Title 18, United States Code, Section 224.

173. Public Accommodations, Civil Rights Act of 1964 Public Facilities, Civil Rights Act of 1964 Public Education, Civil Rights Act of 1964 Employment, Civil Rights Act of 1964. Title 42, United States Code, Section 2000; Title 18, United States Code, Section 245.

174. Explosives and Incendiary Devices; Bomb Threats (Formerly, Bombing Matters; Bombing Matters, Threats). Title 18, United States Code, Section 844.

175. Assaulting the President (or Vice President) of the United States. Title 18, United States Code, Section 1751.

176. Anti-riot Laws. Title 18, United States Code, Section 245.



177. Discrimination in Housing. Title 42, United States Code, Sections 3601-3619 and 3631.

178. Interstate Obscene or Harassing Telephone Calls. Title 47, United States Code, Section 223.

179. Extortionate Credit Transactions. Title 18, United States Code, Sections 891-896.

180. Desecration of the Flag. Title 18, United States Code, Section 700.

181. Consumer Credit Protection Act. Title 15, United States Code, Section 1611.

182. Illegal Gambling Business; Illegal Gambling Business, Obstruction; Illegal Gambling Business; Forfeiture. Title 18, United States Code, Section 1955; Title 18, United States Code, Section 1511.

183. Racketeer, Influence and Corrupt Organizations. Title 18, United States Code, Sections 1961-1968.

184. Police Killings. This classification concerns investigations conducted by the FBI upon written request from local Chief of Police or duly constituted head of the local agency to actively participate in the investigation of the killing of a police officer. These investigations are based on a Presidential Directive dated June 3, 1971.

185. Protection of Foreign Officials and Officials Guests of the United States. Title 18, United States Code, Sections 112, 970, 1116, 1117 and 1201.

186. Real Estate Settlement Procedures Act of 1974. Title 12, United States Code, Section 2602; Title 12, United States Code, Section 2606; and Title 12, United States Code, Section 2607.

187. Privacy Act of 1974, Criminal. Title 5, United States Code, Section 552a.

188. Crime Resistance. This classification covers FBI efforts to develop new or improved approaches, techniques, systems, equipment and devices to improve and strengthen law enforcement as mandated by the Omnibus Crime Control and Safe Streets Act of 1968.

189. Equal Credit Opportunity Act. Title 15, United States Code, Section 1691.

190. Freedom of Information/Privacy Acts. This classification covers the creation of a correspondence file to preserve and maintain accurate records concerning the handling of a requests for records submitted pursuant to the Freedom of Information-Privacy Acts.

191. False Identify Matters. This classification covers the FBI's study and examination of criminal elements efforts to create false identities.

192. Hobbs Act—Financial Institutions. Title 18, United States Code, Section 1951.

193. Hobbs Act—Commercial Institutions. Title 18, United States Code, Section 1951; and Title 47, United States Code, Section 506.

194. Hobbs Act—Corruption of Public Officials. Title 18, United States Code, Section 1951.

195. Hobbs Act—Labor Related. Title 18, United States Code, Section 1951.

196. Fraud by Wire. Title 18, United States Code, Section 1343.

197. Civil Actions or Claims Against the Government. This classification covers all civil suits involving FBI matters and most administrative claims filed under the Federal Tort Claims Act arising from FBI activities.

198. Crime on Indian Reservations. Title 18, United States Code, Sections 1151, 1152, and 1153.

199. Foreign Counterintelligence—Terrorism. Attorney General Guidelines on Foreign Counterintelligence. Executive Order 11905.

200. Foreign Counterintelligence Matters. Attorney General Guidelines on Foreign Counterintelligence. Executive Order 11905.

201. Foreign Counterintelligence Matters. Attorney General Guidelines on Foreign Counterintelligence. Executive Order 11905.

202. Foreign Counterintelligence Matters. Attorney General Guidelines on Foreign Counterintelligence. Executive Order 11905.

203. Foreign Counterintelligence Matters. Attorney General Guidelines on Foreign Counterintelligence. Executive Order 11905.

204. Federal Revenue Sharing. This classification covers FBI investigations conducted where the Attorney General has been authorized to bring civil action whenever he has reason to believe that a pattern or practice of discrimination in disbursement of funds under the Federal Revenue Sharing statute exists.

Files kept in FBI Field Offices—Field offices maintain certain records that are not contained at FBI Headquarters that include files, index cards, and related material pertaining to cases in which there was no prosecutive action undertaken; perpetrators of violations not developed during investigation; or investigation revealed allegations were unsubstantiated or not within the investigative jurisdiction of

the Bureau. These investigations were closed in field offices and correspondence not forwarded to FBI headquarters.

Duplicate records and records which extract information reported in the main files are also kept in the various divisions of the FBI to assist them in their day-to-day operation. These records are lists of individuals which contain certain biographic data, including physical description and photograph. They may also contain information concerning activities of the individual as reported to FBIHQ by the various field offices. The establishment of these lists is necessitated by the needs of the Divisions to have immediate access to pertinent information duplicative of data found in the Central Records without the delay caused by a time-consuming manual search of central indices. The manner of segregating these individuals varies depending on the particular needs of the FBI Division. The information pertaining to individuals who are a part of the list is derivative of information contained in the Central Records System. These duplicative records fall into the following categories:

(1) Listings of individual used to assist in the location and apprehension of individuals for whom legal process is outstanding (fugitives);

(2) Listings of individuals used in the identification of particular offenders in cases where the FBI has jurisdiction. These listings include various photograph albums and background data concerning persons who have been formerly charged with a particular crime and who may be suspect in similar criminal activities; and photographs of individuals who are unknown but suspected of involvement in a particular criminal activity, for example, bank surveillance photographs;

(3) Listings of individuals as part of an overall criminal intelligence effort by the FBI. This would include photograph albums, lists of individuals known to be involved in criminal activity, including theft from interstate shipment, interstate transportation of stolen property, and individuals in the upper echelon of organized crime;

(4) Listings of individuals in connection with the FBI's mandate to carry out Presidential directives on January 8, 1943, July 24, 1950, December 15, 1953, and February 18, 1976, which designated the FBI to carry out investigative work in matters relating to espionage, sabotage, and foreign counterintelligence. These listings may include photograph albums and other listings containing biographic data regarding individuals. This would include lists of identified and suspected foreign intelligence agents and informants;

(5) Special indices duplicative of the central indices used to access the Central Records System have been created from time to time in conjunction with the administration and investigation of major cases. This duplication and segregation facilitate access to documents prepared in connection with major cases.

Some of the information contained in the main files has also been extracted and placed in computer to enable various divisions to retrieve information more rapidly by avoiding the need for a manual search for information maintained in the main files. For example, since investigation of major frauds requires the Agent to collect and organize massive volumes of evidence and other investigative information, the FBI is using computers to do this in a more accurate and expeditious manner. Additionally, Agents occasionally seize evidence in computerized form and FBI computers are used to organize and sort the information in preparation for trial.

Also, personnel type information dealing with such matters as attendance and production and accuracy requirements is maintained by some divisions.

(The following chart identifies various listings or indexes maintained by the FBI which have been or are being used by various divisions of the FBI in their day-to-day operations. The chart identifies the list by name, description, and use, and where maintained, i.e., FBI Headquarters and/or Field Office. The number in parenthesis in the field office column indicates the number of field offices which maintain these. The chart indicates, under "status of index," those indexes which are in current use (designated by the word "active") and those which are no longer being used, although maintained (designated by the word "inactive"). There are 24 separate indices which are classified in accordance with existing regulations and are not included in this chart. The following indices are no longer being used by the FBI and are being maintained at FBIHQ pending receipt of authority to destroy: Black Extremist Photo Index; Black Panther Party Photo Index; Black United Front Index; Communist Party Photo Album (National); Communist Party Photo Album (Regional); Fugitive Alert File; Pimp Photo Album; Reserve Index; Security Index; Security Photo Album; Top Hoodlum Album; Top Jewel Thief Index; and Wounded Knee Album.



Title of Index	Description and use	Status of Index	Maintained at—	
			Headquarters	Field Office
Administrative Index (ADEX) .....	Consists of cards with descriptive data on individuals who were subject to investigation in a national emergency because they believed to constitute a potential or actual threat to the internal security of the United States. When ADEX was started in 1971, it was made up of people who were formerly on the Security Index, Reserve Index, and Agitator Index. The index is maintained in two separate locations in FBI Headquarters. ADEX was discontinued in January 1976. The computer section of the FBI has in storage on computer tape all the individuals who were on ADEX when it was discontinued.	Inactive.....	Yes.....	Yes (29)
Associates of DEA Class I Narcotics Violators Listing .....	Consists of a computer listing of individuals whom DEA has identified as associates of Class I Narcotics Violators.	Active.....	Yes.....	Yes (59)
Background Investigation Index—Department of Justice .....	Consists of cards on persons who have been the subject of a full field investigation in connection with their consideration for employment in sensitive positions with Department of Justice, such as U.S. Attorney, Federal judge, or a high level Departmental position.	Active.....	Yes.....	No
Background Investigation Index—White House, Other Executive Agencies, and Congress .....	Consists of cards on persons who have been the subject of a full field investigation in connection with their consideration for employment in sensitive positions with the White House, Executive agencies (other than the Department of Justice) and the Congress.	Active.....	Yes.....	No
Bank Fraud and Embezzlement Index .....	Consists of individuals who have been the subject of "Bank Fraud and Embezzlement" investigation. This file is used as an investigative aid.	Active.....	No.....	Yes (1)
Bank Robbery Album .....	Consists of photos of bank robbers, burglars, and larceny subjects. In some field offices it will also contain pictures obtained from local police departments of known armed robbers and thus potential bank robbers. This index is used to develop investigative leads in bank robbery cases and may also be used to show to witnesses of bank robberies. It is usually filed by race, height, and age. This index is also maintained in one resident agency (a suboffice of a field office).	Active.....	No.....	Yes (47)
Bank Robbery Nickname Index.....	Consists of nicknames used by known bank robbers. The index card on each would contain the real name and method of operation and are filed in alphabetical order.	Active.....	No.....	Yes (1)
Bank Robbery Note File.....	Consists of photographs of notes used in bank robberies in which the suspect has been identified. This index is used to help solve robberies in which the suspect has not been identified but a note was left. The note is compared with the index to try to match the sentence structure and handwriting for the purpose of identifying possible suspects.	Active.....	Yes.....	No
Bank Robbery Suspect Index.....	Consists of a control file or index cards with photos, if available, or bank robbers of burglars. In some field offices these people may be part of the bank robbery album. This index is generally maintained and used in the same manner as the bank robbery album.	Active.....	No.....	Yes (33)
Bombing Suspect, Explosives and Incendiary Devices File .....	Consists of cards in most cases on people who have the propensity for violence and the potential for using explosives or incendiary devices. It is used in investigating unknown subject bombing cases.	Active.....	No.....	Yes (27)
Car Ring Case Photo Album .....	Consists of photos of subject and suspects involved in a large car theft ring investigation. It is used as an investigative aid.	Active.....	No.....	Yes (3)
Car Ring Case Photo Album and Index .....	Consists of photos of subjects and suspects involved in a large car theft ring investigation. The card index maintained in addition to the photo album contains the names and addresses appearing on fraudulent title histories for stolen vehicles. Most of these names appearing on these titles are fictitious. Both the photo album and card indexes are used as an investigative aid.	Active.....	No.....	Yes (1)
Car Ring Case Toll Call Index .....	Consists of cards with information on persons who subscribe to telephone numbers to which toll calls have been placed by the major subjects of a large car theft ring investigation. It is maintained numerically by telephone number. It is used to facilitate the development of probable cause for a court-approved wiretap.	Active.....	No.....	Yes (2)
Car Ring Theft Working Index .....	Contains cards on individuals involved in car ring theft cases on which the FBI laboratory is doing examination work.	Active.....	Yes.....	No
Cartage Album .....	Consists of photos with descriptive data of individuals who have been convicted of theft from interstate shipment or interstate transportation of stolen property where there is a reason to believe they may repeat the offense. It is used in investigating the above violations.	Active.....	No.....	Yes (3)
Channelizing Index .....	Consists of cards with the names and case file numbers of people who are frequently mentioned in informant reports. The index is used to facilitate the distributing or channeling of informant reports to appropriate files.	Active.....	No.....	Yes (9)
Check Circular File .....	Consists of fliers filed numerically in a control file on fugitives who are notorious fraudulent check passers and who are engaged in a continuing operation of passing checks. The fliers which include the subject's name, photo, a summary of the subject's method of operation and other identifying data is used to alert other FBI field offices and business establishments which may be the victims of bad checks.	Active.....	Yes.....	Yes (43)
Classified Alphabetical Retrieval and Reference Index .....	Contains cards on foreign nationals, U.S. citizens and hostile intelligence service targets identified by highly sensitive sources. It is used only for reference and retrieval purposes.	Active.....	Yes.....	No



Title of Index	Description and use	Status of Index	Maintained at—	
			Headquarters	Field Office
Computerized Telephone File (CTNF) Intelligence.	Consists of a computer listing of telephone numbers (and subscriber's names and addresses) alleged to have been used by extremists and revolutionaries. These numbers were matched with other telephone numbers discovered during investigations to determine possible connections with known extremists and revolutionaries. This information was gathered from telephone company toll records. The index was developed to facilitate FBI efforts to locate persons it classified as extremists and revolutionaries. The FBI discontinued using this computerized information in February 1975 but the computer tapes of the following individuals and organizations are in storage: (1) Al Fatah (an Arab terrorist group), (2) Weatherman, (3) New Left, and (4) Black and other ethnic extremists.	Inactive.....	Yes.....	No
Computerized Telephone File (CTNF) Organized Crime and Gambling.	Consists of computerized listing of telephone numbers (and subscriber's name and address) utilized by organized crime and gambling figures. These numbers are matched with telephone numbers obtained through subpoena in subsequent investigations, usually to determine possible connections with organized crime and gambling figures. Subscriber's name and address to telephone numbers of telephones used by known organized crime and gambling figures are retrievable through this index.	Active.....	Yes.....	Yes (27)
Confidence Game (Flim Flam) Album	Consists of photos with descriptive information on individuals who have been arrested for confidence games and related activities. It is used as an investigative aid.	Active.....	No.....	Yes (4)
Copyright Matters Index.....	Consists of cards of individuals who are film collectors and film titles. It is used as a reference in the investigation of copyright matters.	Active.....	No.....	Yes (1)
Criminal Intelligence Index.....	Consists of cards with name and file number of individuals who have become the subject of an antiracketeering investigation. The index is used as a quick way to ascertain file numbers and the correct spelling of names. This index is also maintained in one resident agency.	Active.....	No.....	Yes (2)
Criminal Informant Index.....	Consists of cards containing identity and brief background information on all active and inactive informants furnishing information in the criminal area.	Active.....	Yes.....	No
DEA Class I Narcotics Violators Listing.	Consists of a computer listing of narcotic violators—persons known to manufacture, supply, or distribute large quantities of illicit drugs—with background data. It is used by the FBI in their role of assisting DEA in disseminating intelligence data concerning illicit drug trafficking. This index is also maintained in two resident agencies.	Active.....	Yes.....	Yes (59)
Deserter Index.....	Contains cards with the names of individuals who are known military deserters. It is used as an investigative aid.	Active.....	No.....	Yes (4)
Evidence Control Index.....	Consists of cards maintained by the FBI laboratory containing the names of suspects victims, etc., in matters which are currently under examination or have undergone examination within the last 3 years. The index is used to facilitate the efficient management of evidence examinations.	Active.....	Yes.....	No
Extremist Informant Index.....	Consists of cards with identity and background data on all inactive extremist informants. It was used as a reference to aid in the supervision of the informant program. This index has discontinued in November 1976.	Inactive.....	Yes.....	No
Extremist Photo Album.....	Consists of photos mounted on pages containing descriptions of known extremist fugitives and informants. All persons in the Key Extremist program were included in this album. Used for ready reference and fugitive identification. This photo album was discontinued in January 1977.	Inactive.....	Yes.....	Yes (20)
False Identities Index.....	Contains cards with the names of deceased individuals whose birth certificates have been obtained by other persons for possible false identification uses and in connection with which the FBI laboratory has been requested to perform examinations.	Active.....	Yes.....	No
False Identities Program List.....	Consists of a listing of names of deceased individuals whose birth certificates have been obtained after the person's death, and thus whose names are possibly being used for false identification purposes. The listing is maintained as part of the FBI's program to find persons using false identities for illegal purposes.	Active.....	No.....	Yes (31)
False Identity Photo Album.....	Consists of names and photos of people who have been positively identified as using a false identification. This is used as an investigative aid in the FBI's investigation of false identities.	Active.....	No.....	Yes (2)
FBI Wanted Persons Index.....	Consists of cards on persons being sought on the basis of Federal warrants covering violations which fall under the jurisdiction of the FBI. It is used as a ready reference to identify those fugitives.	Active.....	Yes.....	No
Foreign Counterintelligence (FCI) Asset Index.	Consists of cards with identity background data on all active and inactive operational and informational assets in the foreign counterintelligence field. It is used as a reference aid of the FCI Asset program.	Active.....	Yes.....	No
Fraud Against the Government Index	Consists of individuals who have been the subject of a "fraud against the Government" investigation. It is used as an investigative aid.	Active.....	No.....	Yes (1)
Fugitive Bank Robbers File.....	Consists of fliers on bank robbery fugitives filed sequentially in a control file. FBI Headquarters distributes to the field offices fliers on bank robbers in a fugitive status for 15 or more days to facilitate their location.	Active.....	Yes.....	Yes (43)
Fuerzas Armadas De Liberacion Nacional (FALN) Index.	Consists of cards on people interviewed in connection with or suspected of belonging to this Puerto Rican nationalist terrorist group which has claimed responsibility for numerous bombings. It is used to investigate bombings.	Active.....	No.....	Yes (3)
Gambling Case Listing.....	Consists of a listing of persons under investigation for gambling on which the FBI laboratory has provided assistance since 1969.	Active.....	Yes.....	No



Title of Index	Description and use	Status of Index	Maintained at—	
			Headquarters	Field Office
General Security Index .....	Contains cards on all persons that have been the subject of a security classification investigation by the FBI field office. These cards are used for general reference purposes.	Active .....	No .....	Yes (1)
Hoodlum License Plate Index .....	Consists of cards with the license plates numbers and descriptive data on known hoodlums and cars observed in the vicinity of hoodlum homes. It is used for quick identification of such persons in the course of investigation. The one index which is not fully retrievable is maintained by a resident agency.	Active .....	No .....	Yes (3)
Identification Order Fugitive Flyer File .....	Consists of fliers filed numerically in a control file. When immediate leads have been exhausted in fugitive investigations and a crime of considerable public interest has been committed, the fliers are given wide circulation among law enforcement agencies throughout the United States and are posted in post offices. The fliers contain the fugitive's photograph, fingerprints, and description.	Active .....	Yes .....	Yes (49)
Informant Index .....	Consists of cards with the name, symbol numbers, and brief background information on the following categories of active and inactive informants: top echelon criminal informants, security informants, criminal informants, operational and informational assets, extremist informants (discontinued), plant informant—informants on and about certain military bases (discontinued), and potential criminal informants.	Active .....	No .....	Yes (59)
Informants in Other Field Offices, Index of .....	Consists of cards with names and/or symbol numbers of informants in other FBI field offices that are in a position to furnish information that may be of value to other field offices. Basic background information would also be included on the index card.	Active .....	No .....	Yes (15)
Interstate Transportation of Stolen Aircraft Photo Album .....	Consists of photos and descriptive data on individuals who are suspects known to have been involved in interstate transportation of stolen aircraft. It is used as an investigative aid.	Active .....	No .....	Yes (1)
IRS Wanted List .....	Consists of one-page fliers from IRS on individuals with background information who are wanted by IRS for tax purposes. It is used in the identification of persons wanted by IRS.	Active .....	No .....	Yes (11)
Jewish Defense League (JDL) Photo Album .....	Consists of photos and basic data on JDL members. It is maintained as an investigative aid.	Active .....	No .....	Yes (1)
Key Activist Program Photo Album .....	Consists of photos mounted on pages containing descriptive data on selected individuals advocating civil disobedience and other unlawful and disruptive acts. It was used to intensify the investigative effort on those persons. This index was discontinued in February 1975.	Inactive .....	Yes .....	Yes (47)
Key Extremist Program Listing .....	Contains a listing of selected individuals who were under investigation for extremist activities and on whom investigation was to be intensified. Individuals included those who traveled extensively and called for civil disobedience and unlawful or disruptive acts. It was used to intensify the investigative effort on those persons. This index was discontinued in February 1975.	Inactive .....	Yes .....	No
Kidnapping Book .....	Consists of data, filed chronologically, on kidnappings that have occurred since the early fifties. The victims' names and the suspects, if known, would be listed with a brief description of the circumstances surrounding the kidnapping. The file is used as a reference aid in matching up prior methods of operation in unsolved kidnapping cases.	Active .....	Yes .....	No
Klan Membership Index .....	Consists of cards of members of the United Klans of America, Inc. It is used to readily identify Klan members. One field office has destroyed its Klan index subsequent to our review.	Active .....	No .....	Yes (3)
Known Check Passers Album .....	Consists of photos with descriptive data of persons known to pass stolen, forged, or counterfeit checks. It is used as an investigative aid.	Active .....	No .....	Yes (4)
Known Gambler Index .....	Consists of cards with names, descriptive data, and sometimes photos of individuals who are known bookmakers and gamblers. The index is used in organized crime and gambling investigations. Subsequent to GAO's review, and at the recommendation of the inspection team at one of the two field offices where the index was not fully retrievable, the index was destroyed and thus is not included in the total.	Active .....	No .....	Yes (5)
La Cosa Nostra (LCN) Membership Index .....	Contains cards on individuals having been identified as members of the LCN index. The cards contain personal data and pictures. The index is used solely by FBI agents for assistance in investigating organized crime matters.	Active .....	Yes .....	Yes (55)
Leased Line Letter Request Index .....	Contains cards on individuals and organizations who are or have been the subject of a national security electronic surveillance where a leased line letter was necessary. It is used as an administrative and statistical aid.	Active .....	Yes .....	No
Mail Cover Index .....	Consists of cards containing a record of all mail covers conducted on individuals and groups since about January 1973. It was used for reference in preparing mail cover requests. This index was discontinued in 1975.	Inactive .....	Yes .....	No
Military Deserter Index .....	Consists of cards containing the names of all military deserters where the various military branches have requested FBI assistance in locating. It is used as an administrative aid.	Active .....	Yes .....	No
National Bank Robbery Album .....	Consists of fliers on bank robbery suspects filed sequentially in a control file. When an identifiable bank camera photograph is available and the case has been under investigation for 30 days without identifying the subject, FBIHQ sends a flier to the field offices to help identify the subject.	Active .....	Yes .....	Yes (42)



Title of Index	Description and use	Status of Index	Maintained at—	
			Headquarters	Field Office
National Fraudulent Check File .....	Contains photographs of the signatures on stolen and counterfeit checks. It is filed alphabetically but there is no way of knowing if the names are real or fictitious. The index is used to help solve stolen check cases by matching checks obtained in such cases against the index to identify a possible suspect.	Active .....	Yes .....	No
National Security Electronic Surveillance Card File (Institutions).	Contains cards on individuals and organizations on whom a National Security Electronic Surveillance has been instituted. It is used as an administrative and statistical aid.	Active .....	Yes .....	No
National Security Electronic Surveillance Card File (Requests).	Contains cards on individuals and organizations on whom a National Security Electronic Surveillance has been requested. It is used as an administrative and statistical aid.	Active .....	Yes .....	No
National Security Index .....	Consists of cards containing the writing, printing, typewriting, and foreign language writing usually of people known to be involved in revolutionary activities. This index is used to try to associate unsolved matters such as comparing a note left in a bombing, back to the file to identify a possible suspect.	Active .....	Yes .....	No
Night Depository Trap Index .....	Contains cards with the names of persons who have been involved in the theft of deposits made in bank night depository boxes. Since these thefts have involved various methods, the FBI uses the index to solve such cases by matching up similar methods to identify possible suspects.	Active .....	Yes .....	No
Organized Crime Photo Album .....	Consists of photos and background information on individuals involved in organized crime activities. The index is used as a ready reference in identifying organized crime figures within the field offices' jurisdiction.	Active .....	No .....	Yes (13)
Photospread Identification Elimination File.	Consists of photos of individuals who have been subjects and suspects in FBI investigations. It also includes photos received from other law enforcement agencies. These pictures can be used to show witnesses of certain crimes.	Active .....	No .....	Yes (14)
Prostitute Photo Album .....	Consists of photos with background data on prostitutes who have prior local or Federal arrests for prostitution. It is used to identify prostitutes in connection with investigations under the White Slave Traffic Act.	Active .....	No .....	Yes (4)
Puerto Rican Socialist Part (PSP) Album.	Consists of photos of members in a leadership of influential position who are known to travel on PSP business. It is maintained as a ready reference to assist in the coverage of the travel of these individuals.	Active .....	No .....	Yes (1)
Real Estate Listings Computer Print-out.	Consists of a computer printout maintained by name of (a particular city's) real estate listings in Multiple Listing Service for 1974-1975. It was used in connection with an investigation.	Inactive .....	No .....	Yes, Springfield, Ill.
Revolutionary Communist Party (RCP) Photo Album.	Consists of photos mounted on pages containing descriptions of individuals who are leaders and activists in the RCP. The RCP, formerly the Revolutionary Union, has been identified by the FBI to be a Marxist-Leninist-Maoist revolutionary organization which calls for a violent revolution in the United States. This index is used as an investigative aid.	Active .....	Yes .....	Yes (23)
Revolutionary Union Photo Album (RU).	See description and use for RCP Album. This album was discontinued after the RU changed its name and an updated photo album was created titled (RCP).	Inactive .....	Yes .....	Yes (4)
Royal Canadian Mounted Police (RCMP) Wanted Circular File.	Consists of a control file of individuals with background information of persons wanted by the RCMP. It is used to notify the RCMP if an individual is located.	Active .....	No .....	Yes (17)
Seattle Liberation Front (SLF) Photo Album.	Consists of photos with background information on extremists who are fugitives, informants who travel in behalf of the group, members and sympathizers of the SLF. It is used for ready identification of individuals involved.	Active .....	No .....	Yes (1)
Security of Government Employees Index.	Contains cards on persons whom the FBI, for security or loyalty purposes, has investigated under Executive Orders 9835 and 10450. The index is used to facilitate the location of the subject's file.	Active .....	Yes .....	No
Security Informant Index .....	Consists of cards containing identity and brief background information on all active and inactive informants furnishing information in the criminal area.	Active .....	Yes .....	No
Security Subjects Control Index .....	Consists of cards containing the names and case file numbers of individuals who have been subject to security investigations check. It is used as a reference source.	Active .....	No .....	Yes (1)
Security telephone Number Index .....	Contains cards with telephone subscriber information subpoenaed from the telephone company in any security investigation. It is maintained numerically by the last three digits in the telephone number. It is used for general reference purposes in security investigations.	Active .....	No .....	Yes (1)
Selective Service Violators Index .....	Contains cards on individuals being sought on the basis of Federal warrants for violation of the Selective Service Act.	Active .....	Yes .....	No
Skyjack Fugitive Album .....	Contains photographs with descriptive data of fugitives wanted for skyjacking. It is used as a reference aid in cases where the fugitive may reenter the United States.	Active .....	Yes .....	Yes (5)
Sources of Information Index .....	Consists of cards on individuals and organizations such as banks, motels, local governments that are willing to furnish information to the FBI with sufficient frequency to justify listing for the benefit of all agents. It is maintained to facilitate the use of such sources.	Active .....	No .....	Yes (10)
Special Services Index .....	Contains cards of prominent individuals who are in a position to furnish assistance in connection with FBI investigative responsibilities.	Active .....	No .....	Yes (28)
Stolen Checks and Fraud by Wire Index.	Consists of cards on individuals involved in check and fraud by wire violations. It is used as an investigative aid.	Active .....	No .....	Yes (1)



Title of Index	Description and use	Status of Index	Maintained at—	
			Headquarters	Field Office
Stop Notices Index.....	Consists of cards on names of subjects or property where the field office has placed a stop at another law enforcement agency or private business such as pawn shops in the event information comes to the attention of that agency concerning the subject or property. This is filed numerically by investigative classification. It is used to insure that the agency where the stop is placed is notified when the subject is apprehended or the property is located or recovered.	Active.....	No.....	Yes (43)
Surveillance Locator Index.....	Consists of cards with basic data on individuals and businesses which have come under physical surveillance in the city in which the field office is located. It is used for general reference purposes in antiracketeering investigations.	Inactive.....	Yes.....	Yes (2)
Symbionese Liberation Army (SLA) Index.....	Contains cards with mixed subject entries such as individuals, weapons, vehicles, etc., thought to have a connection with the SLA. It was used to tabulate and retrieve data relating to SLA activities.	Inactive.....	Yes.....	Yes (2)
Telephone Number Index—Gamblers.....	Contains information on persons identified usually as a result of a subpoena for the names of subscribers to particular telephone numbers or toll records for a particular phone number of area gamblers and bookmakers. The index cards are filed by the last three digits of the telephone number. The index is used in gambling investigations.	Active.....	No.....	Yes (2)
Telephone Subscriber and Toll Record Check Index.....	Contains cards with information on person identified as the result of a formal request or subpoena to the phone company for the identity of subscribers to particular telephone numbers. The index cards are filed by telephone number and would also include identity of the subscriber, billing parties identity, subscribers address, date of request from the telephone company, and file number.	Active.....	No.....	Yes (1)
Thieves, Couriers, and Fences Photo Index.....	Consists of photos and background information on individuals who are or are suspected of being thieves, couriers, or fences based on their past activity in the area of interstate transportation of stolen property. It is used as an investigative aid.	Active.....	No.....	Yes (4)
Toll Record Request Index.....	Contains cards on individuals and organizations on whom toll records have been obtained in national security related cases and with respect to which FBIHQ had to prepare a request letter. It is used primarily to facilitate the handling of repeat requests on individuals listed.	Active.....	Yes.....	No
Top Burglar Album.....	Consists of photos and background data of known and suspect top burglars involved in the area of interstate transportation of stolen property. It is used as an investigative aid.	Active.....	No.....	Yes (4)
Top Echelon Criminal Informant Program (TECIP) Index.....	Consists of cards containing identity and brief background information on individuals who are either furnishing high level information in the organized crime area or are under development to furnish such information. The index is used primarily to evaluate, corroborate, and coordinate informant information and to develop prosecutive data against racket figures under Federal, State, and local statutes.	Active.....	Yes.....	No
Top Ten Program File.....	Consists of fliers, filed numerically in a control file, on fugitives considered by the FBI to be 1 of the 10 most wanted. Including a fugitive on the top 10 usually assures a greater national news coverage as well as nationwide circularization of the flier.	Active.....	Yes.....	Yes (44)
Top Thief Program Index.....	Consists of cards of individuals who are professional burglars, robbers, or fences dealing in items likely to be passed in interstate commerce or who travel interstate to commit the crime. Usually photographs and background information would also be obtained on the index card. The index is used as an investigative aid.	Active.....	No.....	Yes (27)
Truck Hijack Photo Album.....	Contains photos and descriptive data of individuals who are suspected truck hijackers. It is used as an investigative aid and for displaying photos to witnesses and/or victims to identify unknown subjects in hijacking cases.	Active.....	No.....	Yes (4)
Truck Thief Suspect Photo Album.....	Consists of photos and background data on individuals previously arrested or are currently suspects regarding vehicle theft. The index is used as an investigative aid.	Active.....	No.....	Yes (1)
Traveling Criminal Photo Album.....	Consists of photos with identifying data of individuals convicted of various criminal offenses and may be suspects in other offenses. It is used as an investigative aid.	Active.....	No.....	Yes (1)
Veterans Administration (VA)/Federal Housing Administrative Matters (FHA) Index.....	Consists of cards of individuals who have been subject of an investigation relative to VA and FHA matters. It is used as an investigative aid.	Active.....	No.....	Yes (1)
Wanted Fliers File.....	Consists of fliers, filed numerically in a control file, on badly wanted fugitives whose apprehension may be facilitated by a flier. The flier contains the names, photograph, aliases, previous convictions, and a caution notice.	Active.....	Yes.....	Yes (46)
Weatherman Fugitive Lead Index.....	Consists of cards of individuals contacted in connection with attempts to apprehend Weatherman fugitives.	Active.....	No.....	Yes (1)
Weatherman Photo Album.....	Consists of photos and descriptions of individuals associated with "Weatherman" activities for use as a reference aid in "Weatherman" investigations.	Active.....	Yes.....	Yes (51)
Wheelindex.....	Contains the nicknames and case file numbers of organized crime members. It is used in organized crime investigations.	Active.....	No.....	Yes (1)
White-Collar Crime Index—SBA Loans.....	Consists of a computer printout of individuals who received an SBA loan in one county because of a flood in 1973. This was used to help determine which loan to investigate for possible fraud.	Inactive.....	No.....	Yes, Springfield, Ill.
White House Special Index.....	Contains cards on all potential White House appointees, staff members, guests, and visitors that have been referred to the FBI by the White House security office for a records check to identify any adverse or derogatory information. This index is used to expedite such checks in view of the tight time frame usually required.	Active.....	Yes.....	No
Witness Protection Program Index.....	Contains cards on individuals who have been furnished a new identity by the U.S. Justice Department because of their testimony in organized crime trials. It is used primarily to notify the U.S. Marshal's Service when information related to the safety of a protected witness comes to the FBI's attention.	Active.....	Yes.....	Yes (39)



Authority for maintenance of the system: Federal Records Act of 1950, the Constitution of the United States, various provisions of U.S. Code, Executive Orders and Presidential directives.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The records contained in this system are utilized by the FBI in support of its mission to conduct investigations within its jurisdiction and for various administrative purposes. Information from these files is disseminated to appropriate Federal, State, local, and foreign agencies where the right and need to have access to this information exists—For example, to assist in the general crime prevention and detection efforts of the recipient agency. Information is also disseminated to these agencies and to individuals and organizations, where such dissemination is necessary to elicit information from such agencies and individuals. Information from this system is also disseminated during appropriate legal proceedings. For example, witness interviews are made available to defendants pursuant to the Jencks Act during Federal criminal trials. In the event that a system of records maintained by this agency to carry out its functions indicated a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, State, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto. A record from this system of records may be disclosed as a "routine use" to a Federal, State or local agency maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefit. A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license grant or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter. For example, in discharging its obligations under Executive Order 10450, this agency would disseminate record information as a direct result of a name check request submitted by another government agency. A record relating to an actual or potential civil or criminal violation of title 17, United States Code, may be disseminated to a person injured by such violation to assist him/her in the institution or maintenance of a suit brought under such title. Background and descriptive information on Federal fugitives is disseminated to the general public and the news media in an effort to bring about the apprehension of these wanted individuals. News releases are also disseminated to the public and the news media concerning apprehensions of FBI fugitives and other notable accomplishments. Additionally, public source information is distributed on a continuing basis, upon request, to the general public and representatives of the media. Upon specific approval of the Director, information may be disseminated from this system to individuals in the private sector in extenuating circumstances in order to protect life or property. Information which relates to foreign counter-intelligence matters may be disseminated to individuals in the private sector with the specific authority of the Attorney General where he deems it necessary in order for the Federal Bureau of Investigation (FBI) to fulfill its statutory responsibilities to investigate espionage in the United States. The FBI has received inquiries from private citizens and Congressional offices in behalf of constituents seeking assistance in locating such individuals as missing children or heirs to estates. Where the need is acute and where it appears FBI files may be the only lead in locating the individual, consideration will be given to furnishing relevant information to the inquiring individual. Information will be provided only in those instances where it can be determined from the information at hand that the individual being sought would want the information to be furnished, e.g. an heir to a large estate. Information with regard to missing children will not be provided where they have reached their majority. The decision to make any dissemination under these circumstances can be made only by the Director, and this authority cannot be delegated.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress.** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Files are maintained in hardcopy form, computer tape, and microfilm.

**Retrievability:** The FBI General Index must be searched to determine what information, if any, the FBI may have in its files. The index cards are on all manner of subject matters, but primarily a name index of individuals. It should be noted the FBI does not index all individuals that furnish information or names developed in an investigation. Only that information that is considered pertinent and relevant and essential for future retrieval, is indexed. In certain major cases most persons contacted are indexed in order to facilitate the proper administrative handling of a large volume of material. The FBI is in the process of automating its "Central Records System" and, therefore, the retrieval of certain data will be accomplished by utilizing certain computer peripheral equipment such as CRT (Cathode Ray Tube) video screens, and printers. This will basically involve certain personnel information, general index information, and the abstracting system. Automation in no way changes the "Central Records System"; it only facilitates access more effectively and efficiently.

**Safeguards:** Records are maintained in a restricted area and are accessed only by FBI employees. All FBI employees receive a complete background investigation prior to being hired. All employees are cautioned about divulging confidential information or any information contained in FBI files. Failure to abide by this provision violates Department of Justice regulations and may violate certain statutes providing maximum severe penalties of a ten thousand-dollar fine or 10 years' imprisonment or both. Employees that resign or retire are also cautioned about divulging information acquired in the job.

**Retention and disposal:** The Bureau, by its investigative mandate, collects and maintains information from a wide variety of sources. The records support the Bureau's investigative and administrative needs and its obligation to act as a clearinghouse under Executive Order 10450 regarding the security of Government employees. An active destruction program includes microfilming of certain files over 10 years old and researching files, to determine whether they contain sufficient historical, research, investigative, or intelligence value to warrant their retention. The Code of Federal Regulations, Title 41, and Title 44 of the U.S. Code set forth Records Management procedures to be followed by government agencies in relation to their records. All agencies are required to retain any material made or received during the course of public business which has been preserved or is appropriate for preservation. Accordingly, disposition of records material must be in accordance with established regulations. Subsequent destruction is accomplished through authority granted by National Archives and Records Service, GSA, utilizing either the General Records Schedules or a specific request for record destruction which is approved by the Archivist. Records are also destroyed or returned to source as a result of Court Order. Subsequent to January 27, 1975, a Congressional moratorium on all destruction, and a later decision rendered on further retention of security and intelligence material, has substantially reduced the tangible effects of the destruction program.

**System manager(s) and address:** Director, Federal Bureau of Investigation; Washington, D.C. 20535.

**Notification procedure:** Same as above.

**Record access procedures:** A request for access to a record from the system shall be made in writing with the envelope and the letter clearly marked "Privacy Access Request". Include in the request your full name, complete address, date of birth, place of birth, notarized signature, and other identifying data you may wish to furnish to assist in making a proper search of our records. Also include the general subject matter of the document or its file number. The requester will also provide a return address for transmitting the information. Access requests will be directed to the Director, Federal Bureau of Investigation, Washington, D.C. 20535.

**Contesting record procedures:** Individuals desiring to contest or amend information maintained in the system should also direct their



request to the Director, Federal Bureau of Investigation, Washington, D.C. 20535, stating clearly and concisely what information is being contested, the reasons for contesting it, and the proposed amendment to the information sought.

**Record source categories:** The FBI, by the very nature and requirement to investigate violations of law within its investigative jurisdiction and its responsibility for the internal security of the United States, collects information from a wide variety of sources. Basically it is the result of investigative efforts and information furnished by other Government agencies, law enforcement agencies, and the general public, informants, witnesses, and public source material.

**Systems exempted from certain provisions of the act:** The Attorney General has exempted this system from subsections (c) (3) and (4), (d), (e) (1), (2) and (3), (e) (4) (G) and (H), (e) (5) and (8), (f), (g) and (m) of the Privacy Act pursuant to 5 U.S.C. 552a (j) and (k). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553 (b), (c) and (e) and have been published in the Federal Register.

#### Appendix of Field Divisions for the Federal Bureau of Investigation Field Office

502 U.S. Post Office and Court House, Albany, N.Y. 12207.  
4303 Federal Office Building, Albuquerque, N. Mex. 87101.  
Room 500, 300 North Lee Street, Alexandria, Va. 22314.  
Room 238, Federal Building, Anchorage, Alaska 99510.  
275 Peachtree Street, N.E., Atlanta, Ga. 30303.  
7142 Ambassador Road, Baltimore, Md. 21207.  
Room 1400-2121 Building, Birmingham, Ala. 35203.  
John F. Kennedy Federal Office Building, Boston, Mass. 02203.  
Room 1400-111 West Huron Street, Buffalo, N.Y. 14202.  
115 U.S. Court House and Federal Building, Butte, Mont. 59701.  
1120 Jefferson Standard Life Building, Charlotte, N.C. 28202.  
Room 905, Everett McKinley Dirksen Building, Chicago, Ill. 60604.  
415 U.S. Post Office and Court House Building, Cincinnati, Ohio 45202.  
3005 Federal Office Building, Cleveland, Ohio 44199.  
1529 Hampton Street, Columbia, S.C. 29201.  
Room 200, 1810 Commerce Street, Dallas, Tex. 75201.  
Room 18218, Federal Office Building, Denver, Colo. 80202.  
Patrick V. McNamara Building, 477 Michigan Avenue, Detroit, Mich. 48226.  
202 U.S. Court House Building, El Paso, Tex. 79901.  
Kalaniana'ole Federal Building, Room 4307, 300 Ala Moana Boulevard, Honolulu, Hawaii 96850.  
6015 Federal Building and U.S. Court House, Houston, Tex. 77002.  
575 North Pennsylvania Street, Indianapolis, Ind. 46202.  
800 Unifirst Federal Savings & Loan Building, Jackson, Miss. 39205.  
414 U.S. Court House and Post Office Building, Jacksonville, Fla. 32202.  
Room 300-U.S. Courthouse, Kansas City, Mo. 64106.  
Room 800, 1111 Northshore Drive, Knoxville, Tenn. 37919.  
Room 2-011, Federal Office Building, Las Vegas, Nev. 89101.  
215 U.S. Post Office Building, Little Rock, Ark. 72201.  
11000 Wilshire Boulevard, Los Angeles, Calif. 90024.  
Room 502, Federal Building, Louisville, Ky. 40202.  
841 Clifford Davis Federal Building, Memphis, Tenn. 38103.  
3801 Biscayne Boulevard, Miami, Fla. 33137.  
Room 700, Federal Building and U.S. Court House, Milwaukee, Wis. 53202.  
392 Federal Building, Minneapolis, Minn. 55401.  
520 Federal Building, Mobile, Ala. 36602.  
Gateway I, Market Street, Newark, N.J. 07101.  
770 Chapel Building, New Haven, Conn. 06510.  
701 Loyola Avenue, New Orleans, La. 70113.  
201 East 69th Street, New York, N.Y. 10021.  
Room 300, 870 Military Highway, Norfolk, Va. 23502.

50 Penn Place, N.W., 50th at Pennsylvania, Oklahoma City, Okla. 73118.  
1010 Federal Office Building, Omaha, Nebr. 68102.  
8th Floor, Federal Office Building, 600 Arch Street, Philadelphia, Pa. 19106.  
2721 North Central Avenue, Phoenix, Ariz. 85004.  
1300 Federal Office Building, Pittsburgh, Pa. 15222.  
Crown Plaza Building, Portland, Oreg. 97201.  
200 West Grace Street, Richmond, Va. 23220.  
Federal Building, 2800 Cottage Way, Sacramento, Calif. 95825.  
2704 Federal Building, St. Louis, Mo. 63103.  
3203 Federal Building, Salt Lake City, Utah 84138.  
433 Federal Building, Box 1630, San Antonio, Tex. 78296.  
Federal Office Building, Room 6531, 88 Front Street, San Diego, Calif. 92188.  
450 Golden Gate Avenue, San Francisco, Calif. 94102.  
U.S. Courthouse and Federal Building, Room 526, Hato Rey, P.R. 00918.  
5401 Paulson Street, Savannah, Ga. 31405.  
915 Second Avenue, Seattle, Washington 98174.  
535 West Jefferson Street, Springfield, Ill. 62702.  
Room 610, Federal Office Building, Tampa, Fla. 33602.  
506 Old Post Office Building, Washington, D.C. 20535.  
Federal Bureau of Investigation Academy, Quantico, Va. 22135.

#### Legal Attache (All c/o the American Embassy for the Cities Indicated):

Bern, Switzerland.  
Bonn, Germany (Box 310, APO, New York 09080).  
Buenos Aires, Argentina.  
Caracas, Venezuela (APO, New York 09893).  
Hong Kong, B.C.C. (FPO, San Francisco 96659).  
London, England (Box 40, FPO, New York 09510).  
Madrid, Spain (APO, New York 09285).  
Manila, Philippines (APO, San Francisco 96528).  
Mexico City, Mexico.  
Ottawa, Canada.  
Paris, France (APO, New York 09777).  
Rome, Italy (APO, New York 09794).  
Tokyo, Japan (APO, San Francisco 96503).

#### JUSTICE/FBI-009

**System name:** Identification Division Records System.

**System location:** Federal Bureau of Investigation: J. Edgar Hoover Bldg.; 10th and Pennsylvania Avenue, N.W.; Washington, D.C. 20535.

**Categories of individuals covered by the system:** A. Individuals fingerprinted as a result of arrest or incarceration by Federal, State or local law enforcement agencies.

B. Persons fingerprinted as a result of Federal employment applications, military service, alien registration and naturalization purposes and individuals desiring to have their fingerprints placed on record with the FBI for personal identification purposes.

**Categories of records in the system:** A. Criminal fingerprint cards and related criminal justice information submitted by authorized agencies having criminal justice responsibilities.

B. Civil fingerprint cards submitted by Federal agencies and civil fingerprint cards submitted by persons desiring to have their fingerprints placed on record for personal identification purposes.

C. Identification records sometimes referred to as 'rap sheets' which are compilations of criminal history information pertaining to individuals who have criminal fingerprint cards maintained in the system.

D. An alphabetical name index pertaining to each individual whose fingerprints are maintained in the system. The criminal records and the civil records are maintained in separate files and each file has an alphabetical name index related to the data contained therein.

**Authority for maintenance of the system:** The system is established, maintained and used under authority granted by 28 U.S.C. 534 and P.L. 92-544 (86 Stat. 1115). The authority is also codified in 28 C.F.R. 0.85 (b), and (j).



**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The FBI operates the Identification Division Records System to perform identification and criminal history record information functions for federal, state, local, and foreign criminal justice agencies, and for noncriminal justice agencies, and other entities where authorized by Federal statute, state statute pursuant to Public Law 92-544 (86 Stat. 1115), Presidential executive order, or regulation of the Attorney General of the United States. In addition, identification assistance is provided in disasters and for other humanitarian purposes. Dissemination is also conducted in accordance with Public Law 94-29, known as the Securities Acts Amendments of 1975.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 C.F.R. 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress.** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (MARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Information in the system is stored manually in file cabinets either in its natural state or on microfilm. In addition, some of the information is stored electronically in converting the manual system to an automated system.

**Retrievability:** (1) All information in the system is retrievable by technical fingerprint classification index and positive identification is effected only by comparison of the unique characteristics obtained from fingerprint impressions submitted for search against the fingerprint cards maintained within the system.

(2) An auxiliary means of retrieval is through the alphabetical same indexes which contain names of the individuals, their birth date, other physical descriptors and the individuals' technical fingerprint classifications and FBI numbers, if such have been assigned.

(3) The name of an individual and his FBI number may assist in retrieval of information about that individual from within the system. Since July, 1971, all individuals whose fingerprints have been placed in the criminal file have been assigned unique FBI numbers. Prior to July, 1971, all individuals who had two or more fingerprint cards in the criminal file were assigned FBI numbers.

**Safeguards:** Information in the system is unclassified. Disclosure of information from within the system is made only to authorized recipients upon authentication and verification of the right to access the system by such persons and agencies. The physical security and maintenance of information within the system is provided by FBI rules, regulations and procedures.

**Retention and disposal:** (1) The Archivist of the United States has approved the destruction of records maintained in the criminal file when the records indicate individuals have reached 80 years of age and the destruction of records maintained in the civil file when the records indicate individuals have reached 75 years of age.

(2) Fingerprint cards and related arrest data in the system are destroyed seven years following notification of the death of an individual whose record is maintained within the system.

(3) Fingerprint cards submitted by state and local criminal justice agencies are returned upon requests of the submitting agencies. The return of a fingerprint card under this procedure results in the deletion from the system of all arrest information related to that fingerprint card.

(4) Fingerprint cards and related arrest data are removed from the Identification Division Records System upon receipt of Federal court orders for expunctions when accompanied by necessary identifying information. Recognizing lack of jurisdiction of local and state courts over an entity of the Federal Government, the Identification Division Records System, as a matter of comity, returns fingerprint cards and related arrest data to local and state criminal justice agencies upon receipt of orders of expunction directed to such agencies by local

and state courts when accompanied by necessary identifying information.

**System manager(s) and address:** Director, Federal Bureau of Investigation, 10th and Pennsylvania Avenue N.W., Washington, D.C. 20535.

**Notification procedure:** Address inquiries to the System Manager. The Attorney General has exempted the Identification Division Records System from compliance with subsection (d) of the Act.

**Record access procedures:** The Attorney General has exempted the Identification Division Records System from compliance with subsection (d) of the Act. However, pursuant to 28 C.F.R. 16.30-34, and Rules and Regulations promulgated by the Department of Justice on May 20, 1975 at 40 Fed. Reg. 22114 (Section 20.34) for Criminal Justice Information Systems, an individual its permitted access to his identification record maintained in the Identification Division Records System and procedures are furnished for correcting or challenging alleged deficiencies appearing therein.

**Contesting record procedures:** Same as the above.

**Record source categories:** See Categories of Individuals.

**Systems exempted from certain provisions of the act:** The Attorney General has exempted this system from subsections (c)(3) and (4), (d), (c)(1), (2) and (3), (c)(4)(G), (H), (e)(5) and (8), (f), (g) and (m) of the Privacy Act pursuant to 5 U.S.C. 552a (j). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553(b), (c) and (e) and have been published in the Federal Register.

# JUSTICE/INS-001

**System name:** The Immigration and Naturalization Service Index System, which consists of the following subsystems:

- A. Agency information control record index.
- B. Alien address reports index and records.
- C. Alien enemy index and records.
- D. Automobile decal parking identification system for employees.
- E. Centralized index and records (Master Index).
- F. Congressional Mail Unit Correspondence control index.
- G. Document vendors and alters index.
- H. Enforcement indexes.
  1. Group one. (a) contact index. (b) Informant index. (c) Anti-smuggling index (general). (d) Criminal, immoral, narcotic, racketeer, and subversive index. (e) Suspect third party index.
  2. Group two. (a) Air detail office index. (b) Anti-smuggling information centers, Canadian and Mexican borders. (c) Border Patrol Academy index. (d) Boarder Patrol sectors general index. (e) Fraudulent Document Center index.
- I. Examinations indexes.
  1. Application and petition system.
  2. Correspondence control index.
  3. Service lookout system.
- J. Extension training program enrollees.
- K. Finance Section indexes.
  1. Accounts with creditors.
  2. Accounts with debtors.
- L. Freedom of Information correspondence control index.
- M. Intelligence indexes.
- N. Microfilmed manifest records.
- O. Naturalization and citizenship indexes.
  1. Naturalization and citizenship docket cards.
  2. Examiners docket lists of petitioners for naturalization.
  3. Master docket list of petitions for naturalization pending one year or more.
- P. Personnel investigations index and records.
- Q. Property issued to employees.
- R. Security access clearance index.
- S. White House and Attorney General correspondence control index.
- T. Health record system.
- U. Personal data card system.
- V. Compassionate cases system.
- W. Emergency reassignment index.
- X. Alien documentation, identification and telecommunications (ADIT) system.

**System location:** A. Central Office: 425 Eye Street NW., Washington, D.C. 20536.

B. Regional Offices: (1) Burlington, Vt.; (2) Fort Snelling, Twin Cities, Minn.; (3) Dallas, Tex.; (4) San Pedro, Calif.

C. District offices in the United States: (1) Anchorage, Alaska; (2) Atlanta, Ga.; (3) Baltimore, Md.; (4) Boston, Mass.; (5) Buffalo, N.Y.; (6) Chicago, Ill.; (7) Cleveland, Ohio; (8) Dallas, Tex.; (9) Denver,



Colo.; (10) Detroit, Mich.; (11) El Paso, Tex.; (12) Hartford, Conn.; (13) Helena, Mont.; (14) Honolulu, Hawaii; (15) Houston, Tex.; (16) Kansas City, Mo.; (17) Los Angeles, Calif.; (18) Miami, Fla.; (19) Newark, N.J.; (20) New Orleans, La.; (21) New York, N.Y.; (22) Omaha, Nebr.; (23) Philadelphia, Pa.; (24) Phoenix, Ariz.; (25) Portland, Maine; (26) Portland, Oreg.; (27) St. Albans, Vt.; (28) St. Paul, Minn.; (29) San Antonio, Tex.; (30) San Diego, Calif.; (31) San Francisco, Calif.; (32) San Juan, P.R.; (33) Seattle, Wash.; (34) Washington, D.C.

D. District offices in foreign countries: (1) Hong Kong, B.C.C.; (2) Mexico City, Mexico; (3) Rome, Italy.

E. Sub-offices: (1) Agana, Guam; (2) Albany, N.Y.; (3) Cincinnati, Ohio; (4) Hammond, Ind.; (5) Harlingen, Tex.; (6) Las Vegas, Nev.; (7) Louisville, Ky.; (8) Memphis, Tenn.; (9) Milwaukee, Wis.; (10) Norfolk, Va.; (11) Oklahoma City, Okla.; (12) Pittsburgh, Pa.; (13) Providence, R.I.; (14) Reno, Nev.; (15) St. Louis, Mo.; (16) Salt Lake City, Utah; (17) Spokane, Wash.

F. Border Patrol Sector Headquarters: (1) Blaine, Wash.; (2) Buffalo, N.Y.; (3) Chula Vista, Calif.; (4) Del Rio, Tex.; (5) Detroit, Mich.; (6) El Centro, Calif.; (7) El Paso, Tex.; (8) Grand Forks, N. Dak.; (9) Havre, Mont.; (10) Houlton, Maine; (11) Laredo, Tex.; (12) Livermore, Calif.; (13) Marfa, Tex.; (14) McAllen, Tex.; (15) Miami, Fla.; (16) New Orleans, La.; (17) Ogdensburg, N.Y.; (18) Spokane, Wash.; (19) Swanton, Vt.; (20) Tucson, Ariz.; (21) Yuma, Ariz.

G. Border Patrol Academy, Los Fresnos, Tex.; Federal Law Enforcement Training Center (FLETC), Glynco, Ga.

H. Charlotte Amalie, St. Thomas, V.I.

I. Sub-offices in foreign countries: (1) Athens, Greece; (2) Frankfurt, Germany; (3) Naples, Italy; (4) Palermo, Palermo, Italy; (5) Rome, Italy; (6) Tokyo, Japan; (7) Vienna, Austria.

J. El Paso Intelligence Center (EPIC), El Paso, Tex.

Addresses of offices are listed in the telephone directories of the respective cities listed above under the heading "United States Government, Immigration and Naturalization Service."

Categories of individuals covered by the system: A. Agency information control record index (Location A, supra). Individuals named or referenced in documents classified for national security reasons.

B. Alien address reports index and records (Location A, supra). Aliens required to report addresses each January.

C. Alien enemy index and records (Location A, supra).

1. Alien enemies who were interned during World War II.

2. Americans of Japanese ancestry (Nisei) who returned to Japan and, during World War II, either accepted employment by the Japanese Government or became naturalized in Japan.

D. Automobile decal parking identification for employees (Location B-4, supra). Current INS employees who have the privilege of parking their cars on government premises.

E. Centralized index and records (Master Index) (Locations A, C, D, E, and I, supra).

1. Individuals covered by provisions of the immigration and nationality laws of the United States.

2. Individuals who are under investigation, were investigated in the past, or who are suspected of violating the criminal or civil provisions of treaties, statutes, Executive orders, and Presidential proclamations administered by the Immigration and Naturalization Service, and witnesses and informants having knowledge of such violations.

F. Congressional Mail Unit correspondence control index (Location A, supra).

1. Individuals named in correspondence received, including INS employees and past employees; Federal, State, and local officials; and members of the general public.

2. Individuals named in reports or correspondence received, as individuals investigated in the past or under active investigation, or suspected of violations of the criminal or civil provisions of statutes enforced by INS, including Presidential proclamations and Executive orders relating thereto, and witnesses and informants having knowledge of violations.

G. Document vendors and alterers index (Location B-4; duplicates in several INS offices in the Western and Southern regions). Individuals who are alleged immigration law violators involved in the supply of fraudulent documents.

H. Enforcement indexes.

1. Group one (Locations A, B, C, and E, supra): (a) Contact index; (b) Informant index; (c) Anti-smuggling index (general); (d) Criminal, immoral, narcotic, racketeer and subversive indexes; (e) Suspect third party index.

(1) Individuals who are in a position to know, learn of, and assist in locating aliens illegally in the United States; (2) individuals who have significant knowledge of foreign or domestic organizations subversive in nature and are willing to appear as Government witnesses

or cooperate with INS on a continuing basis; (3) individuals who are known or suspected of being professional arrangers, transporters, harborers, and smugglers of aliens; who operate or conspire to operate with others to facilitate the surreptitious entry of an alien over a coastal or land border of the United States; and witnesses having knowledge of such matters; (4) individuals who are known or suspected of being habitual or notorious criminals, immoral, narcotic violators or racketeers, or subversive functionaries or leaders; (5) individuals who are known or believed to be engaged in fraud operations involving the preparation and submission of visa petitions and other applications for benefits administered by INS; or the preparation and submission of applications for immigrant visas and/or Department of Labor certifications, or the filing of false United States birth registrations for alien children to enable them to pose as citizens or to enable parents who are immigrant visa applicants to evade the labor certification requirements.

2. Group two. (a) Air detail office index (Location J, supra). Individuals who are pilots and/or owners of private aircraft flying between the United States and foreign countries; individuals who engage in or are suspected of being engaged in illegal activity such as alien smuggling or entry without inspection.

(b) Anti-smuggling information centers, Canadian and Mexican borders (Locations: northern border, F-19, supra; southern border, J, supra). Individuals who are known or suspected of being smugglers or transporters of illegal aliens.

(c) Border Patrol Academy index (Location G, supra). Students or former students at the Border Patrol Academy; INS officers attending advanced training classes at the Academy or the Federal Law Enforcement Training Center (FLETC).

(d) Border Patrol sectors general index (Locations F, supra). Past or present INS employees; individuals who are law violators, witnesses, contacts, informants, members of the general public, Federal, state, county, and local officials.

(e) Fraudulent Document Center index (Location J, supra). Individuals who are members of the general public, notaries public, state and local birth registration officials and employees, immigration law violators, vendors of documents, donors of documents, midwives, and witnesses. Also included in the system are names and information about fictitious persons used by counterfeiters or alterers of citizenship documents.

3. Enforcement correspondence control index (Location A, supra).

(a) Individuals named in correspondence received, including employees, past employees, and others; (b) individuals named in documents, reports, or correspondence as individuals under current or past investigation, suspended of violation of the criminal or civil provisions of the statutes enforced by INS, including Executive orders and Presidential proclamations, and witnesses and informants having knowledge of violations.

I. Examinations indexes (Location A supra; duplicates in some local offices):

1. Application and petition index: individuals who have filed or assisted in filing petitions to classify aliens for the issuance of immigrant visas.

2. Correspondence control index: members of the general public.

3. Service lookout system: violators or suspected violators of the criminal or civil provisions of statutes enforced by INS.

J. Extension training program enrollees (Location A, supra). INS employees and other Federal agency employees enrolled in extension training program courses administered by INS.

K. Finance Section indexes (Locations A and B, supra): (1) Accounts with creditors; (2) Accounts with debtors.

(a) Individuals who are indebted to the United States Government for goods, services, or benefits or for administrative fines and assessments.

(b) Employees who have received travel advances or overpayments from the United States Government, who are in arrears in their accounts, or who are liable for damage to Government property.

(c) Vendors who have furnished supplies, material, equipment, and services to the Government.

(d) Employees, witnesses and special deportation attendants who have performed official travel.

(e) Employees and other individuals who have a valid claim against the Government.

L. Freedom of Information correspondence control index (Locations A, B, C, D, E, F, G, H, and I, supra). Individuals who request access to or copies of records maintained by INS, under the provisions of the Freedom of Information Act (5 U.S.C. 552).

M. Intelligence indexes (Locations A and B, supra). Individuals who have or are suspected of having violated the criminal or civil provisions of the statutes enforced by INS.



N. Microfilmed manifest records (Locations A, C-26, C-10, C-20, and C-29, supra). Individuals who have arrived or departed by aircraft or vessel at a United States port.

O. Naturalization and citizenship indexes (Locations C and E, supra, except E-6, E-7, E-8, and E-13).

1. Naturalization and citizenship docket cards. Individuals seeking benefits under Title III of the Immigration and Nationality Act of 1958, as amended.

2. Examiners' docket lists of petitioners for naturalization. Petitioners for naturalization and their beneficiaries.

3. Master docket list of petitioners for naturalization pending one year or more. Petitioners for naturalization and their beneficiaries.

P. Personnel investigations index and records (Location A, supra). Employees, former employees, other Government agency employees designated to perform immigration functions, witnesses, informants, and certain other persons having contacts with INS operations.

Q. Property issued to employees (Locations A, B, C, E, and F, supra). INS employees who have been issued property for use in performance of official duties.

R. Security access clearance index (Location A, supra). Current INS employees who have been cleared for access to documents and materials classified in the interest of national security.

S. White House and Attorney General correspondence control index (Location A, supra). Individuals named in or originating correspondence referred to INS by staff of the White House or Attorney General.

T. Health record system (Location A, supra). Persons at Location A who have requested health services or required emergency treatment.

U. Personal data card system (Locations A and B, supra). Employees and former employees of INS.

V. Compassionate cases system (Locations A and B, supra). INS employees who have requested transfers for personal reasons.

W. Emergency reassignment index (Locations B, C, E, and F, supra). INS employees who would be reassigned to other duty stations in case of emergency.

X. Alien documentation, identification, and telecommunications (ADIT) system (Location A, supra). Aliens lawfully admitted for permanent residence, commuters and other authorized frequent border crossings, and nonimmigrant persons other than transients.

**Categories of records in the system:** A. Agency information control record index. This system contains reference and locator data on the following kinds of documents:

1. Top secret and secret materials classified as national security information, including all copies prepared from controlled documents originated, received, or transmitted by INS officers.

2. Confidential material originated by other agencies and referred to INS, including all copies prepared from controlled documents.

3. All investigative reports, responses to security checks, and intelligence material received from sources within the Department of Justice and other Federal intelligence sources.

B. Alien address report index and records. This system contains an index and copies of Form I-53, Alien Address Report, required to be filed January 1 of each year by aliens in the United States.

C. Alien enemy index and records. This system contains a microfilm index and files containing various forms, reports, and other information.

D. Automobile decal parking identification system for employees. This system contains a list by number of each Department of Justice decal car sticker issued to local INS employees.

E. Centralized index and records (Master Index). The system consists of index records and related records and files containing various forms, applications and petitions for benefits under the immigration and nationality laws, reports of investigation, statements, reports, correspondence, and memorandums. Records which may be accessed electronically are limited to index and file locator data including name, identifying number, date and place of birth, date and port of entry, coded status transaction data, and location of relating records or files.

F. Congressional Mail Unit correspondence control index. This system contains a locator record for each report or piece of correspondence received, reflecting the name of the individual and the number of the subject file in which specific information concerning the individual is maintained.

G. Document vendors and alterers index. This system consists of "mug book" photos of alleged immigration law violators involved in the supply of fraudulent documents, and data relating to the pictured violators including name, aliases, vital statistics, method of operation, list of convictions, present location, and source material.

H. Enforcement indexes. 1. Group one. (a) Contact index; (b) Informant index; (c) Antismuggling index (general); (d) Criminal, immoral, narcotic, racketeer and subversive indexes; (e) Suspect third party index. These systems of records are maintained on Form G-598, Contact Record; Form G-169, Informant Record; Form G-170, Smuggler Information Index Card; and other index cards containing reference and file locator data on the individuals, including in some cases biographic data, address, and a brief description of activities.

2. Group two. (a) Air detail office index. The primary record in the system is Form I-92A, Report of Private Aircraft Arrival, which is executed by the inspecting official upon arrival of a private aircraft from foreign territory. There are also index cards, forms, investigative reports, records, and correspondence on aircraft arrivals, failures to report for inspection, and known or suspected alien smuggling operations using aircraft; and microfiche indexes containing names of owners of aircraft of United States registry.

(b) Anti-smuggling information centers, Canadian and Mexican borders. This system contains Form G-170, Smuggler Information Index Card, other index cards, and correspondence relating to anti-smuggling activities. These indexes are in loose leaf form and are distributed to Border Patrol offices in the border areas.

(c) Border Patrol Academy index. This system contains general information and correspondence regarding each student's academic progress in training. The information is maintained on the following forms: SW-91, Probationary Achievement Report; SW-91A, Scholastic Grade Worksheet; SW-91B, IOBTC Achievement Report-Immigration Inspector; SW-91C, IOBTC Achievement Report-Investigator; SW-96, Class Rating Form; SW-128, Training Data; SW-282, Registration Information Form; SW-446, Conduct and Efficiency Report of Probationary Employee-5 1/2 and 10 Months Exam Grades.

(d) Border Patrol sectors general index. This system contains indexes, forms, reports, and records relating to activities of the Border Patrol, including Form I-44, Record of Apprehension of Seizure; Form I-326, Prosecution Reports; Form I-263A and I-263B, Record of Sworn Statement; Form I-195, Criminal Prosecution Control Card; Form I-263W, Record of Sworn Statement-Witness; Form I-236, Prosecution Reports; Form G-170, Smuggler Information Index Card; Form G-296, Report of Violation of Section 239, Immigration and Nationality Act; Form G-330, Notice of Action Information; Form G-445, Conduct and Efficiency Evaluation of Probationary Appointees; Form G-598, Contact Record. This system also contains copies of correspondence and memorandums between INS offices and outside agencies and individuals, as well as photographs of some violators of the immigration laws of individuals suspected of being involved in immigration law violations.

(e) Fraudulent Document Center index. This system contains birth certificates, baptismal certificates, and other identification documents used by aliens to support their fraudulent claims to United States citizenship. Most of the documents are genuine; however, there are also counterfeit and altered documents in the system. Also contained in the system are cross indexes, investigative reports, and records of individuals involved in fraud schemes or whose documents have been put to fraudulent usage.

3. Enforcement correspondence control index. This system contains reference and locator information on documents, reports, and correspondence received in the offices of the Associate Commissioner, Enforcement. Records are maintained on Form G-617, Correspondence Control Card, and Form CO-147, Call-up Index—Domestic Control.

1. Examinations indexes. 1. Application and petition system. This system contains petitioners' names, date and place of birth, names of prior spouses, immigration "A" number if an alien, and date of marriage if married; beneficiary's names, date and place of birth, immigration "A" number if any, names of spouses, and nationality code, and the names, dates, and places of birth of any children; name of the person administering the oath or preparing the form, if other than a Government employee.

2. Correspondence control index. This system contains reference and locator information on documents, reports and correspondence received in the office of the Associate Commissioner, Examinations. Records are maintained on Form G-617, Correspondence Control Card.

3. Service lookout system. This system contains names and reference data on violators, alleged violators, and suspected violators of the criminal or civil provisions of the statutes enforced by INS.

J. Extension training program enrollees. This system contains correspondence and records of each enrollee's test scores; dates of actions such as mailing of lesson materials, test results, and certificates of completion; and dates of receipt of tests.



K. Finance Section indexes. 1. Accounts with creditors. Records are vendors' invoices, purchase orders, travel vouchers, and claims filed by appropriation for the fiscal year from which payment is chargeable.

2. Accounts with debtors. Records consist of bills for inspection services performed under the Act of March 2, 1931; fees, fines, penalties, and deportation expenses assessed pursuant to the Immigration and Nationality Act; and employee indebtedness for travel advances, for the unofficial use of Government facilities and services, for damage to or loss of Government property, and for erroneous or overpayment of compensation for travel expenses.

L. Freedom of Information correspondence control index. Records are kept on Form G-617, Correspondence Control Card, and include reference and locator information on each request for information received under the Freedom of Information Act.

M. Intelligence indexes. Records include reference and locator information on documents, reports, bulletins, and correspondence; records are categorized by name, violation, and activity.

N. Microfilmed manifest records. The system contains microfilmed indexes and arrival and departure manifests with brief biographical data and facts of arrival and departure. Arrival records for certain ports date from 1891, and departure records date from 1900. Records are not complete, as some records were destroyed and not microfilmed.

O. Naturalization and citizenship indexes. 1. Naturalization and citizenship docket cards. Docket cards are 3X5 or 5X8 index cards for each applicant, beneficiary, or petitioner, recording type of application, date of receipt, file and/or petition number, court number where petition for naturalization was filed, and reference number of the individual's case file.

2. Examiners' docket lists of petitioners for naturalization. Records are maintained on Form N-476, Examiner's Docket List, and record court of naturalization jurisdiction, petition number, petition filing date, court number, name of petitioner, name of beneficiary, proposed recommendation by the naturalization examiner, reasons for continuance, and reference number of the individual's case file.

3. Master docket lists of petitions for naturalization pending one year or more. Records are maintained on Form N-476, Examiner's Docket List, and contain reference and locator information on petitions pending for one year or longer.

P. Personnel investigations index and records. Index records for closed cases or cases under active investigation contain reference and locator information including names, locations, and allegations. Two relating sets of temporary work folders contain reports, documents, and correspondence.

Q. Property issued to employees. Records are maintained on Form G-570, Record-Receipt—Property Issued to Employees, which lists name, description of property, serial number, dates issued and returned, employee's initials, and supervisor's initials.

R. Security access clearance index. Records are kept on 3X5 index cards listing employee's name, dates when clearances were granted, and levels of clearance. Related records and reports are maintained by the Office of Management and Finance, Department of Justice.

S. White House and Attorney General correspondence control index. Records are maintained on Form G-617, Correspondence Control Card, and contain reference and locator information on correspondence addressed to the President and the Attorney General which has been referred to INS for appropriate attention.

T. Health record system. Records are kept on 5X7 index cards listing name, date, and treatment given.

U. Personal data card system. Records are kept on Form G-74, Personal Data Card, for each employee or former employee. Information includes name, date of birth, height, weight, sex, blood type, photograph, and color of hair and eyes.

V. Compassionate cases system. Records are kept on 3X5 index cards containing employee's name, position, grade, present location, date of request, date circulated to committee, disposition, and (when applicable) new location of employee. Relating records include the employee's request; Form G-410, Employee Qualification-Skills Inventory; local and regional recommendations, medical statements (where applicable), records of committee actions, and response to employee.

W. Emergency reassignment index. Records are kept on Form G-560, Emergency Activity Project Assignment. Information includes name, age, grade, title, official station, residence, telephone number, and emergency assignment activity.

X. Alien documentation, identification and telecommunications (ADIT) system. Records consist of formatted data base records of personal and biographical information such as name, date of birth, picture and fingerprint coordinates, height, mother's first name, father's first name, city/town/village of birth.

Authority for maintenance of the system: A. General, applicable to all Service index system, includes but is not limited to: Sections 103, 265 and 290 and Title III of the Immigration and Nationality Act, hereinafter referred to as the Act (66 Stat. 163), as amended (8 U.S.C. 1103; U.S.C. 135; 8 U.S.C. 1360), and the regulations pursuant thereto.

B. Specific, applicable to some of the indexes, including but not limited to:

1. Executive Order 11652, and 28 CFR 17.79—Agency control information record index, and Access clearance information system.

2. 31 U.S.C. 66a—Finance Section indexes.

3. Title III of the Act, as amended (8 U.S.C. section 1401 through 1503), and the regulations promulgated thereunder—Naturalization and citizenship indexes.

4. Sections 235 and 287 of the Act, as amended (8 U.S.C. 1225; and 8 U.S.C. 1357), and the regulations promulgated pursuant thereto—Personnel investigations.

5. Section 231 of the Act, as amended (8 U.S.C. 1221)—Microfilmed manifest records.

6. 40 U.S.C. 483—Property management system.

7. 5 U.S.C. 4113—Extension training program.

8. 5 U.S.C. 552. The Freedom of Information Act requires certain record keeping; this system was established and is maintained in order to enable INS to comply with this requirement.

9. 5 U.S.C. 301—Health Record System, Personal Data Card System, and Compassionate Cases System.

10. Executive Order 11490—Emergency Reassignment Index.

11. Section 204, 214, and 290 of the Act, as amended (8 U.S.C. 1154, 1184, 1360)—Application and petition system.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: This system of records is used to serve the public by providing data for responses, when authorized, to written inquiries, complaints, and so forth. It is also used to administer the management, operational, and enforcement activities of the Service. The records are used by officers and employees of the Service and the Department of Justice in the administration and enforcement of the immigration and nationality laws, and related statutes, including the processing of applications for benefits under these laws, detecting violations of these laws, and for referrals for prosecution.

A. Relevant information contained in this system of records maintained by the Service to carry out its functions may be referred, as a routine use, to clerks and judges of courts exercising naturalization jurisdiction for the purpose of filing petitions for naturalization and to enable such courts to determine eligibility for naturalization or grounds for revocation of naturalization.

B. Relevant information contained in this system of records maintained by the Service to carry out its functions may be referred, as a routine use, to the Department of State in the processing of petitions or applications for benefits under the Immigration and Nationality Laws Act, and all other immigration and nationality laws, including treaties and reciprocal agreements.

C. Relevant information contained in this system of records maintained by the Service to carry out its functions may be provided, as a routine use, to other federal, state, and local government law enforcement and regulatory agencies, foreign governments, the Department of Defense, including all components thereof, the Department of State, the Department of the Treasury, the Central Intelligence Agency, the Selective Service System, the United States Coast Guard, the United Nations, INTERPOL, and individuals and organizations during the course of investigation in the processing of a matter or a proceeding within the purview of the immigration and nationality laws, to elicit information required by the Service to carry out its functions and statutory mandates.

D. In the event that this system of records maintained by the Service to carry out its functions indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in this system of records may be referred, as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto.

E. In the event that this system of records maintained by the Service to carry out its functions indicates a violation or potential violation of the immigration and nationality laws, or of a general statute within Service jurisdiction, or by regulation, rule, or order issued pursuant thereto, the relevant records in this system of records may be disclosed, as a routine use, in the course of presenting



evidence to a court, magistrate, or administrative tribunal and to opposing counsel in the course of discovery.

F. A record from this system of records may be disclosed, as a routine use, to a federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

G. A record from this system of records may be disclosed, as a routine use, to a federal, state or local agency maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to a decision of this Service concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefit.

H. Indication of a violation or potential violation of the laws of another nation, whether civil or criminal, may be referred to the appropriate foreign agency charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing such laws; indication of any such violation or potential violation may also be referred to international organizations engaged in the collection and dissemination of intelligence concerning criminal activity.

I. Relevant information contained in this system of records may be disclosed, as a routine use, to the Office of Management and Budget in connection with the review of private relief legislation as set forth in OMB Circular No. A-19 at any stage of the legislative coordination and clearance process as set forth in that Circular.

J. A record from this system may be disclosed to other Federal agencies for the purpose of conducting national intelligence and security investigations.

K. Information contained in this system or records may be disclosed to an applicant, petitioner or respondent or to his or her attorney or representative (as defined in 8 CFR 1.1(j)) in connection with any proceeding before the Service.

Release of information to the news media: Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

Release of information to Members of Congress. Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Generally, index records and cards are stored in manually operated index machines, file drawers, and boxes; other information is stored manually as paper records in file folders at locations A, B, C, E, F, and H, supra. Inactive files are stored at Federal Records Centers. Exceptions are as follows:

A. Alien address report index and records: Forms I-53, Alien Address Report, are microfilmed in the order in which they are received. An index is maintained on microfiche and on computer-readable magnetic tape.

B. Alien documentation, identification, and telecommunications (ADIT) system information is stored on magnetic tape and disks. Original forms completed by the individuals are filed with other records described for the subsystem "Centralized index and records."

C. Alien enemy index: Index records are maintained on microfilm. Actual files are stored in Federal Records Centers.

D. Centralized index and records: Most records are paper documents stored in file folders. Those index records which can be accessed electronically are stored on magnetic disk and tape.

E. Enforcement indexes: Original index cards and records are stored in manually operated file drawers and machines. Some records are also maintained in the automated system published in a notice entitled "JUSTICE/DEA-INS-111, Automated Intelligence Records Systems (Pathfinder)."

F. Examinations indexes: (1) Application and petition system records are stored on magnetic media at the Department of Justice Data Management Service. Original paper forms completed by the individuals are filed with other records in the subsystem called "Centralized

index and records." (2) Service lookout system records are maintained on magnetic tape and in printed looseleaf reference books at ports of entry.

**Retrievability:** Generally, records are indexed and retrievable by name and/or "A" or "C" file number. Exceptions are as follows:

A. Air detail office index system: Aircraft data is filed in numerical sequence, within each calendar year.

B. Intelligence indexes: Records are retrieved by name within organization, activity, or type of violation.

C. Examiners' docket lists of petitioners for naturalization, and Master docket lists of petitions for naturalization pending one year or more, are filed chronologically for each court exercising naturalization jurisdiction. Relating records are filed by petition number.

**Access controls:** Records are safeguarded in accordance with Department of Justice rules and procedures. INS offices are located in buildings under security guard, and access to premises is by official identification. All records are stored in spaces which are locked outside of normal office hours. Many records are stored in cabinets or machines which are locked outside of normal office hours. Access to automated systems is controlled by restricted password for use of remote terminals in secured areas.

**Retention and disposal:** Several general rules apply to many subsystems of records:

A. Alien registration records are retained for 100 years from the closing date or date of last action.

B. Correspondence control cards (forms G-617) are normally retained for one year following the year in which created.

C. Correspondence portions of subject files are normally retained no longer than two years. Records are then either microfilmed or destroyed by burning.

D. Records in policy portions of subject files are retained indefinitely.

E. Indexes and records not specifically mentioned are retained only so long as they serve a useful purpose.

F. Records are destroyed by shredding, burning, or as provided in disposal schedules.

Exceptions to the general practices are as follows:

A. Alien documentation, identification, and telecommunications (ADIT) system records are maintained until naturalization, death, or other material change in status of the individual, or until the registration card is relinquished.

B. Air detail office index: Forms I-92A are retained for five years.

C. Border Patrol trainee examination papers are destroyed six months after the trainee officer completes his probationary year.

D. Centralized index records stored on magnetic disk and tape are updated periodically and maintained for the life of the relating record. Original index cards are microfilmed, then destroyed.

E. Compassionate cases system records are retained for three years after completion of action.

F. Congressional Mail Unit correspondence control index records are retained for three years.

G. Emergency reassignment index records are destroyed upon the transfer, separation, retirement, or death of the employee.

H. Enforcement indexes relating to law violators and witnesses are retained for three years. Routine investigations records are destroyed when the investigation is closed. Correspondence control records are destroyed after final action on the subject matter.

I. Examinations indexes: (1) Application and petition system records are deleted from the automated data base five years after the date of last activity. Inactive records will be stored on magnetic tape for an additional five years. (2) Service lookout system records are deleted five years after insertion, unless removed at an earlier date or reinserted by the listing agency.

J. Finance Section indexes: accounts with creditors and debtors are retained for two years from the close of the fiscal year to which they relate and then are transferred to Federal Records Centers for storage and disposition.

K. Freedom of Information correspondence control index cards and records of requests under appeal or litigation are retained until completion of the action. Other index records of FOIA requests are retained for one year following the year in which created.

L. Health records are retained for six years after the date of the last entry.

M. Intelligence indexes: records are maintained indefinitely.

N. Naturalization examiners, docket lists and master docket lists are retained for two years. Naturalization and citizenship docket cards are purged after applications are rejected, closed, granted, or denied; or petitions for naturalization are granted, denied, or non-filed.

O. Microfilmed manifest records are retained permanently.



P. Personal data cards are retained for three years after the employee is separated (Location A, supra). In regional offices (Location B, supra), records are destroyed after the employee is separated.

Q. Personnel investigations records are destroyed at the close of the fiscal year following the year of investigation. However, Operation Clean Sweep records are being retained until the program is terminated. Records of criminal investigations are retained as long as the information serves a useful purpose.

R. Security access clearance index records are destroyed upon the separation, death, or retirement of the employee.

S. White House and Attorney General correspondence control index cards are retained for one year beyond the expiration of the term of the President.

**System manager(s) and address:** A. The system manager, Service-wide, is the Associate Commissioner, Management (Location A, supra).

B. The Associate Commissioner, Management, is the sole manager of the following subsystems:

1. Agency information control record index.
2. Alien address reports index and records.
3. Alien documentation, identification and telecommunications (ADIT) system.
4. Alien enemy index.
5. Centralized index and records (Master Index).
6. Congressional Mail Unit correspondence control index.
7. Document vendors and alterers index.
8. Enforcement correspondence control index.
9. Examinations indexes: (1) Application and petition system; (2) Correspondence control index; (3) Service lookout system.
10. Finance Section indexes.
11. Freedom of Information correspondence control index.
12. Health record system.
13. Intelligence indexes.
14. Microfilmed manifest records.
15. Property issued to employees.
16. Security access clearance index.
17. White House and Attorney General correspondence control index.

C. The following officials are system managers for special subsystems:

1. Automobile decal parking identification for employees: Deputy Regional Commissioner (Location B-4, supra).
2. Enforcement indexes, group one (Contact index; Informant index; Antismuggling index (general); Criminal, immoral, narcotic, racketeer and subversive indexes; Suspect third party index); the ranking Service officer of the offices in which the indexes are maintained (Locations A, B, C, and E, supra).
3. Enforcement indexes, group two: (a) Air detail office index: Deputy Director (Location J, supra). (b) Antismuggling information centers: (1) Canadian border, Chief Patrol Agent (Location F-19, supra); (2) Mexican Border, Deputy Director (Location J, supra). (c) Border Patrol Academy index: Chief Patrol Agent (Location G, supra). (d) Border Patrol sectors general index: Chief Patrol Agent (Location F, supra). (e) Fraudulent Document Center index (Location J, supra).
4. Compassionate cases system: Associate Commissioner, Management (Location A, supra); Regional Commissioners (Location B, supra).
5. Emergency reassignment index: Regional Commissioners (Location B, supra); District Directors (Location C, supra); Officers in charge (Location E, supra); Chief Patrol Agents (Location F, supra).
6. Extension training program enrollees: Chief, Employee Development Branch, Office of Assistant Commissioner, Personnel (Location A, supra).
7. Naturalization and citizenship indexes: (a) Naturalization and citizenship docket cards, and Examiners' docket lists of petitioners for naturalization: District Directors (Location C, supra); Officers in Charge (Location E, supra, except E-6, E-7, E-8, and E-13). (b) Master docket lists of petitions for naturalization pending one year or more: The Associate Commissioner, Management (Location A, supra); Regional Commissioners (Location B, supra); District Directors (Location C, supra); Officers in charge (Location E, supra, except E-6, E-7, E-8, and E-13).
8. Personal data card system: Associate Commissioner, Management (Location A, supra); Regional Commissioners (Location B, supra).

**Notification procedure:** A. Inquiries should be addressed to the system managers listed above, except for the following subsystems:

1. Finance Section indexes: address inquiries to the INS office at which business was conducted.

2. Freedom of Information correspondence control index: address inquiries to the INS office nearest the requester's place of residence, or (if known) the INS office where the record is located.

B. Systems totally exempt from disclosure pursuant to 5 U.S.C. 552a (j) and (k) are listed below:

1. Agency information control record index.
2. Document vendors and alterers index.
3. Emergency reassignment index.
4. Enforcement indexes, group one: (a) Contact index. (b) Informant index. (c) Anti-smuggling index (general). (d) Criminal, immoral, narcotic, racketeer, and subversive indexes. (e) Suspect third party index.
5. Enforcement indexes, group two: Anti-smuggling information centers, Canadian and Mexican borders.
6. Examinations indexes: Service lookout system.
7. Intelligence indexes.

**Record access procedures:** In all cases, requests for access to a record from any record subsystem shall be in writing or in person. If a request for access is made in writing, the envelope and letter shall be clearly marked "Privacy Access Request." The requester shall include a description of the general subject matter and if known, the relating file number. To identify a record relating to an individual, requester should provide the individual's full name; date and place of birth; alien, citizen, or employee identification number; and, if appropriate, the date and place of entry into or departure from the United States. The requester shall also provide a return address for transmitting the information.

Most of the subsystems of records contain information which the Attorney General has exempted from disclosure pursuant to 5 U.S.C. 552a (j) and (k), and records which are classified pursuant to Executive order. Each requester will be accorded access to the records relating to himself only to the extent that such records are not within the scope of exemptions and are not classified.

**Contesting record procedures:** Any individual desiring to contest or amend information maintained in the system should direct his request to the INS office nearest his residence or to the office in which he believes a record concerning him may exist. The request should state clearly what information is being contested, the reasons for contesting it, and the proposed amendment to the information.

**Record source categories:** Basic information contained in INS records is supplied by individuals on Department of State and INS applications and reports. Other information comes from inquiries and/or complaints from members of the general public and members of the Congress; referrals of inquiries and/or complaints directed to the White House or Attorney General; INS reports of investigation, sworn statements, correspondence and memorandums; official reports, memorandums, and written referrals from other government agencies, including Federal, state, and local, and from various courts and regulatory agencies; information from foreign government agencies and international organizations; and personnel and administrative applications and forms.

**Systems exempted from certain provisions of the act:** The Attorney General has exempted this system from subsections (c) (3) and (4); (d); (e) (1), (2), and (3); (e)(4) (G), (H), and (I); (e) (5) and (8); (f); (g); and (h) of the Privacy Act. These exemptions apply to the extent that information in the subsystems is subject to exemption pursuant to 5 U.S.C. 552a (j) and (k). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553 (b), (c), and (e) and have been published in the Federal Register as additions to Title 28, Code of Federal Regulations (28 CFR 16.99).

#### JUSTICE/LEAA-002

**System name:** Law Enforcement Education System

**System location:** Law Enforcement Assistance Administration; 633 Indiana Avenue, N.W.; Washington, D.C. 20531.

**Categories of individuals covered by the system:** Recipients of LEAA Law Enforcement Education Loans and Grants.

**Categories of records in the system:** LEEP Master Computer File; LEEP Promissory Note File; LEAA Form 03.

**Authority for maintenance of the system:** The system is established and maintained pursuant to 42 U.S.C. 3746.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** To contractors for coding and statistical analysis, educational institutions for record reconciliation, IRS and references listed on application for address verification, referees in bankruptcy for claim action, State and local agencies to verify eligibility.



Release of information to the news media: Information permitted to be released to the news media and the public pursuant to 28 C.F.R. 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

Release of information to Members of Congress: Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

Release of information to the National Archives and Records Service: A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Information maintained in the system is stored on computer magnetic tape, discs and folders.

**Retrievability:** Information is retrievable by name of recipient and social security number.

**Safeguards:** Computerized information is safeguarded and protected by computer password key and limited access. Noncomputerized data is safeguarded in file room which is locked after business hours. Access is limited to LEAA authorized personnel.

**Retention and disposal:** Computerized records are kept indefinitely. Uncollected loans/grants are transferred to GAO as soon as determined uncollectable. Cancelled or repaid loan/grants are closed at end of fiscal year, held three years, sent to Federal Records Center and destroyed in accordance with instructions from GAO.

**System manager(s) and address:** Comptroller; Law Enforcement Assistance Administration; 633 Indiana Avenue, N.W.; Washington, D.C. 20531.

**Notification procedure:** Same as above.

**Record access procedures:** A request for access to a record from this system shall be made in writing, with the envelope and the letter clearly marked "Privacy Access Request." Include in the request the name and personal identifier number. Access requests will be directed to the System Manager listed above.

**Contesting record procedures:** Individuals desiring to contest or amend information maintained in the system should direct their request to System Manager listed above, stating clearly and concisely what information is being contested, the reason for contesting it, and the proposed amendment to the information sought.

**Record source categories:** Sources of Information are the recipients of loans/grants and their educational institutions.

**Systems exempted from certain provisions of the act:** None.

#### JUSTICE/LEAA-008

**System name:** Civil Rights Investigative System.

**System location:** Law Enforcement Assistance Administration; 633 Indiana Avenue NW.; Washington, D.C. 20531.

**Categories of individuals covered by the system:** Complaints of discrimination by individuals affected by the agency program for which the agency has compliance responsibility, grantees, subgrantees, contractors, subcontractors, employees, and applicants.

**Categories of records in the system:** Civil Rights Complaint Control Logs; Civil Rights Litigation Reference Files.

**Authority for maintenance of the system:** 42 U.S.C. 3766(c); E.O. 11246 (3 C.F.R. 173) as amended by E.O. 11375.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Investigation of complaints and to obtain compliance with Civil Rights laws. Users of the data are State Planning Agencies, State Governors and Attorneys General, Criminal Justice Agencies, Office of Federal Contract Compliance, Equal Employment Opportunity Commission, Office of Federal Revenue Sharing, and a United States Commission on Civil Rights; Department of Health, Education, and Welfare; States Civil Rights Offices; and Law Enforcement Assistance Administration researchers for purposes of evaluation technical assistance and training.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 C.F.R. 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific

information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Information in the system is stored in file folders and on index cards.

**Retrievability:** Information is retrieved by name of respondent and complainants.

**Safeguards:** Information is kept in locked file cabinets and combination safe. Access is limited to investigative personnel.

**Retention and disposal:** Complaint control logs are destroyed upon completion of action on the inquiry or complaint. Complaint case files thereafter are not retrievable by name, number, or other information identifiable to the individual. Other investigative information is destroyed four years after the investigation is completed.

**System manager(s) and address:** Office of Civil Rights Compliance; Law Enforcement Assistance Administration; 633 Indiana Avenue NW.; Washington, D.C. 20531.

**Notification procedure:** Same as the above.

**Record access procedures:** A request for access to a record containing civil rights investigatory material shall be made in writing with the envelope and letter clearly marked "Privacy Access Request" to the Civil Rights System Manager listed above.

**Contesting record procedures:** Individuals desiring to contest or amend information maintained in the system should direct their request to the System Manager listed above, stating clearly and concisely what information is being contested, the reasons for contesting it, and the proposed amendment to the information sought.

**Record source categories:** The information contained in this system was received from individual complainants, witnesses, grant files, respondents, official State and Federal records.

**Systems exempted from certain provisions of the act:** None.

#### JUSTICE/OMF-015

**System name:** EEO (Equal Employment Opportunity) Volunteer Representative Roster.

**System location:** U.S. Department of Justice; 10th and Constitution Avenue, N.W.; Washington, D.C. 20530.

**Categories of individuals covered by the system:** Employees of the Department of Justice who have volunteered to serve as EEO representatives.

**Categories of records in the system:** The roster provides the representative's name, position, title, organization, office address and telephone number.

**Authority for maintenance of the system:** The roster was established and is maintained pursuant to the following authorities: 5 CFR Part 713, 28 CFR 42.2(a), and Department of Justice Order 1713.5 (October 30, 1973).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The roster is used by Department personnel and applicants for Department jobs who have filed or contemplate filing discrimination complaints based on race, color, religion, sex, national origin, age, or physical limitation.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 C.F.R. 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of person privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the member or staff requests the information



on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Records are maintained in file folders.

**Retrievability:** Information from the roster is retrieved by name.

**Safeguards:** Access to the roster is limited to those persons whose official duties require such access and to Justice Department employees and applicants for employment with the Department who have filed or contemplate filing discrimination complaints.

**Retention and disposal:** Information contained in the roster is retained for the duration of an individual's services as a volunteer EEO representative.

**System manager(s) and address:** Director Equal Opportunity Programs Staff; Office of Management and Finance; U.S. Department of Justice; 10th and Constitution Avenue, N.W.; Washington, D.C. 20530.

**Notification procedure:** Same as System Manager.

**Record access procedures:** Same as Notification.

**Contesting record procedures:** Same as Notification.

**Record source categories:** Information in the file is voluntarily provided by employees who wish to serve as volunteer EEO representatives.

**Systems exempted from certain provisions of the act:** None.

#### JUSTICE/PRC-001

**System name:** Docket, Scheduling and Control.

**System location:** Records are maintained at each of the Regional Offices for inmates incarcerated in and persons under supervision in each region, except for the National Appeals Board docket maintained in Washington. All requests for records should be made to the appropriate regional office or Headquarters at the following address: United States Parole Commission, Scott Plaza II, Industrial Highway, 6th Floor, Philadelphia, Pa. 19113; United States Parole Commission, 3500 Greenbriar Parkway, Building 300, Atlantic, Ga. 30331; United States Parole Commission, 320 First Street, Washington, D.C. 20537, ATTN: National Appeals Board, United States Parole Commission, KCI Bank Building, 8800 Northwestern 112th Street, Kansas City, Mo. 64153. United States Parole Commission, 3883 Turtle Creek Boulevard, Suite I, Dallas, Tex. 75219. United States Parole Commission, 330 Primrose Drive, 5th Floor Burlingame, Calif. 94010.

**Categories of individuals covered by the system:** Current and former inmates under the custody of the Attorney General who have become eligible for parole. Former inmates includes those presently under supervision as parolees or mandatory releases and those against who a revocation warrant has been issued.

**Categories of records in the system:** (a) Docket sheets—Each region and the National Appeals Board in Washington maintain a cumulative series of docket sheets in time sequence showing Commission action. Principal data elements are name and register number of inmate, offense, sentence, and previous and present Action. The appeal docket includes the date and type of appeal in addition to much of the above data. These provide a continual running record of the basic data elements per inmate and former inmate. (b) Hearing schedules—When inmates become eligible for parole through operation of law, their names appear on an eligibility list prepared by the Bureau of Prisons, for initial parole hearings. Inmates denied parole are "continued" by the Commission to future dates for review hearings or records reviews. There is a legal requirement for record reviews of certain inmates at the one-third point of their sentences. Other types of hearings and reviews are provided for in the Code of Federal Regulations as part of parole rescission or revocation procedures. All of the different types of hearings and reviews are placed on schedules for panels of examiners to process when they visit the various institutions or hold "local" hearings. The data elements are similar to those on the docket but indicate the number and type of hearing or review to be held instead of the result.

**Authority for maintenance of the system:** 18 U.S.C. 4201-4218, 5005-5041, 28 CFR Part O, Subpart V, and 28 CFR Part 2.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** (a) The dockets provide

the basis of answering basic inquiries, mostly from within the Parole Commission, as to when a hearing came up for an individual and what action was taken. The schedules indicate to examiners and prison staff the specific hearings and reviews to be prepared for and held.

(b) In the event that material in this system indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute, or by regulation, rule or order issued pursuant thereto, the relevant records may be referred to the appropriate agency, whether Federal, State, local or foreign, charged with responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto.

(c) A record from this system of records may be disclosed to a Federal, State or local agency maintaining civil, criminal or other relevant information if necessary to obtain information relevant to an agency decision concerning parole matters.

(d) A record from this system may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

(e) Internal users—Employees of the Department of Justice who have a need to know the information in the performance of their duties.

(f) External users—As noted above, on occasion employees of Federal, State and local enforcement, correctional, prosecutive, or other agencies, and courts may have access to this information.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the U.S. Parole Commission unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the U.S. Parole Commission, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and in response to a communications from the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Information stored in the system is on sheets of paper, one item per line, stored in folders or binders. An experimental program to store such data on tape, disk, or microfiche using ADP technology, is in the beginning stages.

**Retrievability:** Name, register number, date, institution, Commission action.

**Safeguards:** Copies of dockets and schedules are not disseminated outside of Commission offices and Bureau of Prisons installations. They are available only to Commission and bureau employees on a "need to know" basis. Information therefrom may be given outside the Department as indicated in the "Routine Uses." If so, a letter will be written covering the item disclosed, date, and identity of the recipient. If information must be given over the phone due to urgency, the caller will be identified beforehand and details of the call recorded.

**Retention and disposal:** Records in this system are kept for five (5) years after the effective date of the schedule or date of the last item recorded on the docket. They are then shredded.

**System manager(s) and address:** Herman Levy, Attorney-Management Analyst, United States Parole Commission, 320 First Street NW., Room 342; Washington, D.C. 20537.

**Notification procedure:** Address inquiries to Regional Director at appropriate location. For general inquiries, address system manager. The Attorney General has exempted this system from compliance with the provisions of subsection (d), under the provisions of subsection (j).

**Record source categories:** 1) Bureau of Prisons files; 2) Parole Commission and Bureau of Prison's employees; 3) Court Records; 4) Parole Commission inmate files.



Systems exempted from certain provisions of the act: The Attorney General has exempted this system from subsections (c) (3) and (4), (d), (e) (2) and (3), (e)(4) (G) and (H), (e)(8), (f) and (g) of the Privacy Act pursuant to 5 U.S.C. 552a(j)(2). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553 (b), (c) and (e) and have been published in the Federal Register.

#### JUSTICE/PRC-002

**System name:** Freedom of Information Act Record System.

**System location:** Records may be retained at any of the Regional Offices as indicated in the Inmate and Supervision Files System and the Headquarter's Office. All requests for records may be made to the Central Office, United States Parole Commission, 320 First Street NW., Washington, D.C. 20537, ATTN: Executive Assistant to Chairman, or to the appropriate Regional Office.

**Categories of individuals covered by the system:** Current and former inmates under the custody of the Attorney General, including former inmates on supervision.

**Categories of records in the system:** (1) Administrative requests and responses to requests for information and records under 5 U.S.C. 552, and appeals from denials of data; (2) Final orders of Commission following all parole rescission and revocation hearings, record reviews, and appeals are maintained in the Freedom of Information Act reading room at Commission headquarters with names and register numbers removed to protect individual privacy of inmates and persons on supervision. Final decisions in labor and pension cases are maintained in said reading room.

**Authority for maintenance of the system:** 5 U.S.C. 552.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The system is used: (a) to maintain records concerning the processing and determination of requests for information made pursuant to the Freedom of Information Act 5 U.S.C. 552; and make final orders available in a reading room pursuant to 5 U.S.C. 552; (b) to provide documentation of receipt and processing requests for information made pursuant to the Freedom of Information Act if needed for processing contested denials of release of data; (c) to furnish information to employees of the Department of Justice who have a need for information from the system in performance of their duties; (d) to maintain a count of requests and method of compliance as required by Freedom of Information Act.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the U.S. Parole Commission unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress.** Information contained in systems of records maintained by the U.S. Parole Commission not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and in response to a communication from the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Information maintained in the system is stored on documents.

**Retrievability:** Documents are indexed by name and/or register number. Final orders in the reading room are indexed by type, and within each type the source (Region or National Appeals Board).

**Safeguards:** Information is stored in file cabinets in rooms supervised by day and locked at night and are made available to Commission personnel and other Department of Justice employees on a "need to know" basis. Each requestor may see his own file. The public may use the reading room.

**Retention and disposal:** Records in this system are retained for a period of ten (10) years after expiration of sentence, then destroyed by shredding.

**System manager(s) and address:** General Counsel, United States Parole Commission, 320 First Street NW., Washington, D.C. 20537.

**Notification procedure:** Same as the above.

**Record access procedures:** Same as the above.

**Contesting record procedures:** Same as the above.

**Record source categories:** (1) Inmates and persons on supervision; (2) Department of Justice employees.

**Systems exempted from certain provisions of the act:** None.

**Inmate and Supervision Files, JUSTICE/PRC-003:** Published at 43 FR 35404 on August 9, 1978. The U.S. Parole Commission proposed a new routine use. Comments are due by September 8, 1978.

#### JUSTICE/PRC-003

**System name:** Inmate and Supervision Files.

**System location:** Records are maintained at each of the Commission's Regional Offices for inmates incarcerated in and persons under supervision in each region. Records are housed temporarily at the Commission's Headquarters Office located at 320 First Street, Washington, D.C. 20537 when used by the National Appeals Board or other Headquarter's personnel. Prior to the first parole hearing, the inmate's file is maintained at the institution at which he is incarcerated. All requests for records should be made to the appropriate regional office at the following addresses: U.S. Parole Commission, Scott Plaza II, Industrial Highway, Sixth Floor, Philadelphia, Pa. 19113. U.S. Parole Commission, 3500 Greenbriar Parkway, Building 0300, Atlanta, Ga. 30331. U.S. Parole Commission, KCI Bank Building, 8800 112th Street NW., Kansas City, Mo. 64153. U.S. Parole Commission, 3883 Turtle Creek Boulevard, Suite 1, Dallas, Tex. 75219. U.S. Parole Commission, 330 Primrose Drive, Fifth Floor, Burlingame, Calif. 94010.

**Categories of individuals covered by the system:** Current and former inmates under the custody of the Attorney General. Former inmates include those presently under supervision as parolees or mandatory releasees.

**Categories of records in the system:** 1. Computation of sentence and supportive documentation.

2. Correspondence concerning pending charges, and wanted status, including warrants.

3. Requests from other Federal and non-Federal law enforcement agencies for notification prior to release.

4. Records of the allowance, forfeiture, withholding and restoration of good time.

5. Information concerning present offense, prior criminal background, sentence, and parole from the U.S. Attorneys, the Federal Courts, and Federal prosecuting agencies.

6. Identification Data, physical description, photograph and fingerprints.

7. Order of designation of institution of original commitment.

8. Records and reports of work and housing assignments.

9. Program selection, assignment and performance adjustment/progress reports.

10. Conduct records.

11. Social background.

12. Educational data.

13. Physical and mental health data.

14. Parole Commission applications, appeal documentation, orders actions, examiner's summaries, transcripts or tapes of hearings, guideline evaluation documents, parole or mandatory release certificates, statements of third parties for or against parole, special reports on youthful offenders and adults required by statute and related documents.

15. Correspondence regarding release planning, adjustment and violations.

16. Transfer orders.

17. Mail and visit records.

18. Personal property records.

19. Safety reports and rules.

20. Release processing forms and certificates.

21. Interview request forms from inmates.

22. General correspondence.

23. Copies of inmate court petitions and other court documents.

24. Reports of probation officers, Commission correspondence with former inmates and others, and Commission orders and memoranda dealing with supervision and conditions of parole or mandatory release.

25. If an alleged parole violation exists, correspondence requesting a revocation warrant, warrant application, warrant, instructions as to service, detainers and related documents.

**Authority for maintenance of the system:** 18 U.S.C. 4201-4218, 5005-5041, 28 CFR Part O, Subpart V, and 28 CFR Part 2.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** (a) The file is the "working tool" used by Parole Commission examiners to frame the ques-



tions at the inmates initial hearing. After that hearing, it is placed in the appropriate regional office where it provides the principle information source for decisions necessary during the pre-release stage (before parole), the review hearing or record review, and the post release stage (when supervision takes place). It is sent temporarily to Commission Headquarters when appeals come before the National Appeals Board or when needed by counsel and others on the Headquarters Staff. It is used by employees at all levels including Commission members to provide the information for decision making in every area of Commission responsibility. Files of released inmates are used to make statistical studies of subjects related to parole and revocation.

(b) The system is used to provide information source to officers and employees of the Department of Justice who have a need for the information in the performance of their duties.

(c) The system is used to provide information source for disclosure of information that are matters solely of general public record, such as offense, sentence data, release date, etc. Names are not disclosed when information is so provided.

(d) The system is used to provide informational source for responding to inquiries from Federal inmates involved, their families or representatives, or Congressional inquiries.

(e) Internal Users—Employees of the Department of Justice who have a need to know information in the performance of their duties.

(f) External Users—U.S. Probation Officers, who supervise parolees and mandatory releasees and U.S. District Court judges on rare occasions when Commission action is attacked in litigation. Very rarely, to enforcement authorities outside of the Department of Justice.

(g) In the event that material in this system indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute, or by regulation, rule or order issued pursuant thereto, the relevant records may be referred to the appropriate agency, whether Federal, State, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order, issued pursuant thereto.

(h) A record from this system may be disclosed to a Federal, State or local agency maintaining civil, criminal or other relevant information if necessary to obtain information relevant to an agency decision relating to current or former inmates under supervision.

(i) A record from this system may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant or other benefit by the requesting agency to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

(j) A record from this system may be disclosed to a person or to persons who may be exposed to harm through contact with a particular parolee or mandatory releasee if it is deemed by a Commissioner to be reasonably necessary to give notice that such danger exists.

Release of information to the news media: Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the U.S. Parole Commission unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

Release of information to Members of Congress: Information contained in systems of records maintained by the U.S. Parole Commission, not otherwise required to be released pursuant to 5 USC 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and in response to a communication from the individual who is the subject of the record.

Release of information to the National Archives and Records Service: A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 USC 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Information maintained in the system is stored on papers fastened into file jackets and a minimal amount is on cards stored in card file drawers. Active files and card indices are located in each region; inactive files are at the Washington Federal Records Center and the card index to inactive files is at Board Headquarters in Washington. An experimental program to store such data on tape, disk or microfiche using ADP technology is in the beginning stages.

**Retrievability:** All data is indexed by name and/or register number. When ADP technology is used in the future, such data may be available by Social Security Number, FBI identification number, or other indices.

**Safeguards:** Within the Department of Justice, routine use is made available to employees only on a "need to know" basis. Files are stored in rooms which are supervised by day and locked at night. Data from files for recipients outside of the Parole Commission and Bureau of Prisons is conveyed by letter so that a record exists. When files are sent they are covered by a letter with a follow-up on return of the file. Such disclosure is infrequent, and is within the Federal enforcement-prosecution-judicial area only.

**Retention and disposal:** Records in this system are retained for a period of ten (10) years after expiration of sentence, then destroyed by electronic means or shredding.

**System manager(s) and address:** Herman Levy, Attorney-Management Analyst, United States Parole Commission, 320 First Street NW., Room 342, Washington, D.C. 20537.

**Notification procedure:** Address inquiries to Regional Director at appropriate location. For general inquiries, address System Manager. The Attorney General has exempted this system from compliance with the provisions of Subsection (d) under the provisions of Subsection (j).

**Record source categories:** 1. Individual inmate; 2. Federal law enforcement agencies and personnel; 3. State and Federal probation services; 4. Non-Federal law enforcement agencies; 5. Educational institutions; 6. Hospital or medical sources; 7. Relatives, friends and other interested individuals or groups in the community; 8. Former or future employers; 9. Evaluations, observations, reports, and findings of institution supervisors, counselors, board and committees, Parole Commission examiners, Parole Commission Members; 10. Federal Court records; 11. U.S. Bureau of Prisons personnel and records.

**Systems exempted from certain provisions of the act:** The Attorney General has exempted this system from subsections (c) (3) and (4), (d), (e) (2) and (3), (e)(4) (G) and (H), (e)(8), (f) and (g) of the Privacy Act pursuant to 5 U.S.C. 55a(j)(2). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553 (b), (c) and (e) and have been published in the Federal Register.

#### JUSTICE/PRC—004

**System name:** Labor and Pension Case, Legal File and General Correspondence System.

**System location:** All Labor and Pension cases, and Legal file and some general correspondence material is located at: Commission Headquarters, 320 First Street NW., Washington, D.C. 20537. The balance of the general correspondence material is located at the Commission's Regional Offices, the addresses of which are specified in the Inmate and Supervision System.

**Categories of individuals covered by the system:** All applicants for exemptions under 29 U.S.C. 504 and 29 U.S.C. 1111; all persons litigating with the U.S. Parole Commission, all persons corresponding with the Commission on subjects not amenable to being filed in an inmate or supervision file identified by an individual, and all Congressmen inquiring about constituents.

**Categories of records in the system:** The Commission processes applications of persons convicted of certain crimes for exemptions to allow their employment in the Labor field under 29 U.S.C. 504 of by Employee Benefit Plans under 29 U.S.C. 1111. The files contain memoranda, correspondence, and legal documents with information of a personnel nature, i.e., family history, employment history, income and wealth, etc., and of a criminal history nature, i.e., record of arrests and convictions, and details as to the crime which barred employment. The final decision of the Commission in each case is a public document under the Freedom of Information Act. The Counsel's Office of the Parole Commission maintains work files for each inmate or person on supervision who is litigating with the Commission. These files contain personnel and criminal history type data regarding inmates, and internal communications among attorneys, Members and others developing the Commission's legal position in these cases. Files of the Commission's correspondence with Congressmen who inquire about groups of constituents who have paroles or revocations pending or other subjects are maintained in the Chairman's Office and in the regions. Files of correspondence, notes, and memoranda concerning parole revocation and related problems are also maintained in those locations. Some of this material duplicates material in the inmate files and contains personnel-criminal history type information about individuals.

**Authority for maintenance of the system:** These files are maintained pursuant to 18 U.S.C. 4201-4218, 5005-5041, 28 CFR Part O, Subpart



V, 28 CFR Parts 2 and 4, 29 U.S.C. 504, 1111, and all statutory sections and procedural rules allowing inmates, persons under supervision, or others to litigate with the Parole Commission.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Within the Parole Commission material in this system is used respectively by Counsel's Office staff and Commission Members in processing exemption applications. The legal file material is used by Counsel's Office staff in asserting the litigation position of the Commission. The general correspondence is used by the Commission personnel in responding to Congressmen, and by Commission Members and others in transacting the day-to-day business of the Commission. Final pension and labor case decisions are used by the Commission, the Justice, and Labor Departments, and the public to establish precedents in this field of litigation in the event that material in this system indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute, or by regulation, rule or order issued pursuant thereto, the relevant records may be referred to the appropriate agency, whether Federal, State, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto. A record from this system of records may be disclosed to a Federal, State or local agency maintaining civil, criminal or other relevant information if necessary to obtain information relevant to an agency decision relating to pension or labor matters. A record from this system may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the U.S. Parole Commission unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the U.S. Parole Commission, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and in response to a communication from the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** All data is on documents or other papers in bound files. Labor and pension case material is in Counsel's Office or the Chairman's Office at Headquarters, except for final decisions which are in the Freedom of Information Act reading room. Legal files are in Counsel's Office at Headquarters, general correspondence is in the Chairman's Office, the office of his staff at Headquarters, and the offices of each regional director. Files are in file cabinets.

**Retrievability:** Labor, pension, and legal file material is indexed or filed by name of applicant or litigant, respectively. General correspondence is indexed or filed by subject, time sequence or individuals to whom the items refer.

**Safeguards:** Material is available only to Commission employees on a "need to know" basis. Storage locations are supervised by day and locked at night. Only disclosure made therefrom is to other agencies of the Department of Justice, the U.S. Probation Office, Federal enforcement agencies or the Congress. Disclosure to Congressmen in response to inquiries concerning constituents is subject to the exemptions of the Freedom of Information Act. The Commission Decisions in labor and pension cases are public information under the Freedom of Information Act.

**Retention and disposal:** Records are maintained for 10 years and are shredded or destroyed electronically thereafter.

**System manager(s) and address:** Herman Levy; Attorney/Management Analyst, United States Parole Commission, 320 First Street NW., Room 342, Washington, D.C. 20537.

**Record source categories:** a. Applicants for exemptions under 29 U.S.C. 504 and 29 U.S.C. 1111; b. U.S. Department of Labor; c.

Administrative Law Judges and others connected with labor or pension cases; d. Litigants proceeding against Parole Commission; e. The Commission's legal staff and other Commission personnel; f. Congressmen and others making inquiries of Commission; g. Commission Members and employees responding to inquiries, corresponding with others, preparing speeches, policy statements and other means of contact with other branches of the Federal Government, State, and local governments, and the public.

**Systems exempted from certain provisions of the act:** The Attorney General has exempted this system from subsections (c) (3) and (4), (d), (e) (2) and (3), (4) (G) and (H), (c) (8), (f) and (g) of the Privacy Act pursuant to 5 U.S.C. 552a(j)(2). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553 (b), (c) and (e) and have been published in the Federal Register.

#### JUSTICE/PRC-005

**System name:** Office Operation and Personnel System.

**System location:** At each regional office as indicated in the "Inmate and Supervision File System Report" and at the U.S. Parole Commission, 320 First Street NW., Washington, D.C. 20537.

**Categories of individuals covered by the system:** Present and former Commission Members and employees of the U.S. Parole Commission.

**Categories of records in the system:** Personnel records, leave records, property schedules, budgets and actual expense figures, obligation schedules, expense and travel vouchers, and the balance of the usual paperwork to run a Government office efficiently.

**Authority for maintenance of the system:** All statutory sections, CFR sections, and CSC, GSA, and OMB directives establishing procedures for government personnel, financial, and operational functions.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Day-to-day activity involving personnel, financial. Procurement, maintenance, recordkeeping, mail delivery, and management functions.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the U.S. Parole Commission, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and in response to a communication from the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Records are in paper files or on computer printouts. They are stored in operations areas of offices.

**Retrievability:** Data of a personal nature is in employee personnel files, used by Commission personnel on a "need to know" basis. Each employee has a right to see his own file on request. Other files are used by Commission personnel on a "need to know" basis.

**Safeguards:** Files are supervised by appropriate personnel during the working day and are in locked rooms at night.

**Retention and disposal:** Subject to applicable CSC, OMB, DOJ, and GSA regulations.

**System manager(s) and address:** Executive Assistant to the Chairman, U.S. Parole Commission, 320 First Street NW., room 354B, Washington, D.C. 20537.

**Notification procedure:** Same as the above.

**Record access procedures:** Same as the above.

**Contesting record procedures:** Same as the above.

**Record source categories:** Parole Commission employees, Office of Management and Finance. All other contributing Government agencies.

**Systems exempted from certain provisions of the act:** None.

#### JUSTICE/PRC-006

**System name:** Statistical, Educational and Developmental System.



**System location:** Parole Commission Headquarters, 320 First Street, 3d Floor, Washington, D.C. 20537.

**Categories of individuals covered by the system:** Any inmate or former inmate under custody of the Attorney General including former inmates supervised as parolees or mandatory releasees.

**Categories of records in the system:** All records as described in the Workload Record, Decision Result, and Annual Report System plus data on additional input forms known as Revocation Data Sheets, Parole Decision Information Sheet, certain follow-up forms and the Salient Factor Worksheet Form. These forms include criminal history-type data elements regarding specific individuals selected from the above category of individual. This data is either organized and processed by hand or is input into a computer through punchcard, and has been used to provide the following one-time reports in pamphlet-text form: a) Administrative Review of Parole Selection and Revocation decisions; b) Parole Decisionmaking, a Salient Factor Score; c) Effect of Representation at Parole Hearings; d) Parole Decisionmaking—Structuring Discretion; e) Time Served and Release Performance—A Federal Sample and certain additional reports, all available in the public reading room. The data base collected as described in this and the preceding system will be used to prepare studies on similar or related subjects in the future. It has recently been used to develop revocation guidelines similar to parole guidelines. Items collected for this data base may change depending on the subject matter of new studies to be undertaken by the Commission.

**Authority for maintenance of the system:** 18 U.S.C. 4201-4218, 5005-5041, 28 CFR Part O, Subpart V, 28 CFR Part 2.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** a. Internal—Develop methodology for a more scientific determination of parolability and revocability, methodology to comply with changing concepts of due process, and methodology to select persons to be released from prison who will be less likely to recidivate.

b. External—Add to the general body of knowledge in the parole area of criminology, and provide educational material for other parole boards, and members of the criminal justice and academic communities interested in this subject. Published pamphlets in text form are prepared on subjects of interest in this area of criminology and are circulated freely. They contain no references to individuals, either by name, address, register number or other means of identification. They do not contain recognizable fact situations, descriptions, or other writings through which identification of any individual within the present or former jurisdiction of the Parole Commission can be made.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the U.S. Parole Commission unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the U.S. Parole Commission not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and in response to a communication from the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Data is in input forms, IBM card decks and on computer tape. It is stored as described in the preceding system description. Pamphlet text reports are public documents stored in offices, libraries, and in bookshelves, and in the public reading room.

**Retrievability:** Information by name, register number or FBI identification number may be retrieved from the input forms, card decks, or tape. This material is used only by authorized parole board research personnel on a "need to know" basis and is data processed only by authorized Bureau of Prisons personnel. Material is not retrieved in identifiable form except that computer produced "hard copy" may be used as a temporary expedient to prepare a report. The final pamphlet text reports and material resulting from studies are used by Commission personnel for internal purposes and the public externally. None of this material contains any reference to an individual. One source form, the Salient Factor Worksheet, which

contains information retrievable as to one individual is made available to that individual if requested under the Freedom of Information Act.

**Safeguards:** See "Safeguards" of preceding system regarding input forms, IBM cards or tape. Reports in pamphlet form are not safeguarded.

**Retention and disposal:** See "Retention and Disposal" of preceding system. The studies in pamphlet form are not disposed of on schedule. Some will be maintained perpetually in archives.

**System manager(s) and address:** Research Director, U.S. Parole Commission, 320 First Street NW., Room 366, Washington, D.C. 20537.

**Record source categories:** a. Commission inmate files; b. Docket Sheets; c. Commission Notices of Action, orders and documentation following hearings; d. Commission warrant applications and warrants; e. General Commission records and data; f. Enforcement agency records regarding former inmates.

**Systems exempted from certain provisions of the act:** The Attorney General has exempted this system from subsections (c) (3) and (4), (d), (e) (2) and (3), (e)(4) (G) and (H), (e)(8), (f), and (g) of the Privacy Act pursuant to 5 U.S.C. 552a (j)(2). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553 (b), (c), and (e) and have been published in the Federal Register.

#### JUSTICE/PRC—007

**System name:** Workload Record, Decision Result, and Annual Report System.

**System location:** U.S. Parole Commission Headquarters, 320 First Street, 3d Floor, Washington, D.C. 20537.

**Categories of individuals covered by the system:** Any inmate and parolee or mandatory releasee who has been the subject of a decision for the period covered in the report for which the data is used (prior month, prior quarter, or prior year).

**Categories of records in the system:** Certain original input forms indicate the inmate or person under supervision by name and register number and give the date and specific statistical detail as to the decision made. They include criminal history type of information regarding the persons in question. Types of decisions covered in order of the form numbers above are after hearing or record review, after recommendation, after Regional Appeal, after National Appeal, and after a decision reopening and modifying. The data is input into a computer through punchcards and is used to provide the following: (a) A monthly report of workload containing number and type of hearings per region further broken out by institutions within regions and type of sentence; (b) A quarterly report on decision results indicating, among other statistics, number and type of decisions within, above, and below guidelines broken out by examiners making the decisions; (c) Together with hand posted data on other items of statistical value, this data is being used to create the Annual Report of the Commission.

**Authority for maintenance of the system:** 18 U.S.C. 4201-4218, 5005-5041, 28 CFR Part O, Subpart V, 28 CFR Part 2.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** (a) These records are used internally to analyze work product, the performance of evaluators, and various types of procedures and hearings and to evaluate the guidelines themselves.

(b) These records are used to prepare an annual report to the Attorney General, and Congress and the public indicating in quantitative and qualitative terms Commission activity and accomplishment.

(c) In the event that material in this system indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute, or by regulation, rule or order issued pursuant thereto, the relevant records may be referred to the appropriate agency, whether Federal, State, local, or foreign charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, rule, regulation or order issued pursuant thereto.

(d) A record from this system of records may be disclosed to a Federal, State, or local agency maintaining civil, criminal or other relevant information if necessary to obtain information relevant to Parole Commission matters.

(e) A record from this system may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant or other benefit by the



requesting agency, to the extent that information is relevant and necessary to the requesting agency's decision on the matter.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the U.S. Parole Commission unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the U.S. Parole Commission not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and in response to a communication from the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper input forms are stored in folders only until information from them is punched into cards. Data is stored principally on punch cards and plans are being developed to convert it to tape storage. Monthly and quarterly reports in the form of computer printouts are filed in folders. Annual report is in book form and stored in library shelves.

**Retrievability:** Data in this system can be retrieved by inmate's name and register number from the original input forms, IBM card decks, and planned tape substitute for card decks. It is only retrieved by region, by examiner, by type of decision made or hearing held, by relation to the guidelines and other similar means except for individual case retrievability in the guideline section of the quarterly report. Except for this, there is no output from this system now produced in which any information is identifiable by the name or register number of any person. Such identification exists in the input and storage data area.

**Safeguards:** Data on forms and IBM cards and/or tape retrievable by individual is stored in the Research Sections Office in cabinets. Research personnel (all selected Commission employees) supervise this data by day and use it on a "need to know" basis. The room where it is stored is locked outside of office hours, and the entire Headquarters building is guarded and secured. Monthly and quarterly reports are for use of the Chairman, his Executive Assistant and Commission Members and professional personnel. No information thereon is retrievable as pertaining to any individual except certain breakdowns by Parole Commission employee examiners and by inmate in the guideline section of the quarterly reports. These printouts are stored in the Commission Headquarters offices, all of which are supervised by day, locked at night, and are in a secured building. The Annual Report contains no information identifiable by individual and is a public document.

**Retention and disposal:** Completed input forms—1. Until data is keypunched into IBM cards—usually 1 month after forms are completed. They are then destroyed; 2. IBM card decks or planned tape substitute—10 years after preparation, cards will be destroyed—tape degaussed; 3. Printouts of annual and quarterly reports—10 years; 4. Annual Reports—Some copies retained perpetually in Archives.

**System manager(s) and address:** Executive Assistant to the Chairman, Room 354-B, U.S. Parole Commission, 320 First Street NW., Washington, D.C. 20537.

**Record source categories:** (a) Commission inmate files; (b) Docket sheets; (c) Commission notices of action, orders and documentation following hearings; (d) Commission warrant applications and warrants; (e) General Commission records and data.

**Systems exempted from certain provisions of the act:** The Attorney General has exempted this system from subsections (c) (3) and (4), (d), (e) (2) and (3), (c)(4) (G) and (H), (e)(8), (f), and (g) of the Privacy Act pursuant to 5 U.S.C. 552a(j)(2). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553 (b), (c) and (e) and have been published in the Federal Register.

#### JUSTICE/USA—005

**System name:** Civil Case Files.

**System location:** Ninety-four United States Attorneys' Offices (See attached Appendix).

**Categories of individuals covered by the system:** (a) Individuals being investigated in anticipation of Civil suits; (b) Individuals in-

involved in Civil suits; (c) Defense Counsel(s); (d) Information sources; (e) Individuals relevant to the development of Civil suits.

**Categories of records in the system:** (a) All Civil Cases Files (USA-34); (b) Docket Cards (USA-116); (c) Civil Debtor Cards—(USA-117b); (d) Civil Case Activity Card (USA-164); (e) Civil Debtor Activity Card (USA-166); (f) 3 X 5 Index Cards; (g) Caseload Printouts; (h) General Correspondence re: Civil Cases; (i) Reading Files re: Civil Cases; (j) Information Source File; (k) Attorney Assignment sheets; (l) Telephone records; (m) Miscellaneous Investigative files; (n) Lands Condemnation files (Appraisal and Negotiator Reports); (o) Tax Case Resource File; (p) Material in Civil File related to Criminal cases arising out of Civil Proceedings; (q) Search Warrants; (r) Files unique to District; (s) Civil Miscellaneous Correspondence File.

**Authority for maintenance of the system:** These systems are established and maintained pursuant to 5 U.S.C. 301 and 44 U.S.C. 3101.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** A record maintained in this system of records may be disseminated as a routine use of such record as follows:

(a) in any case in which there is an indication of a violation or potential violation of law, civil, or regulatory in nature, the record in question may be disseminated to the appropriate Federal, State, local or foreign agency charged with the responsibility for investigating, defending or pursuing such violation, civil claim or remedy, or charged with enforcing, defending or implementing such law;

(b) in the course of investigating the potential or actual violation or civil liability of any government action or law, civil, or regulatory in nature, or during the course of a trial or hearing or the preparation for a trial or hearing for such civil action, a record may be disseminated to a Federal, State, local, or foreign agency, or to an individual or organization, if there is reason to believe that such agency, individual, or organization possesses information relating to the investigation or civil action trial, or hearing and the dissemination is reasonably necessary to elicit such information or to obtain the cooperation of a witness or an agency;

(c) a record relating to a case or matter may be disseminated in an appropriate Federal, State, local, or foreign court or grand jury proceeding in accordance with established constitutional, substantive, or procedural law or practice;

(d) a record relating to a case or matter may be disseminated to a Federal, State, or local administrative or regulatory proceeding or hearing in accordance with the procedures governing such proceeding or hearing;

(e) a record relating to a case or matter may be disseminated to an actual or potential party or his attorney for the purpose of negotiation or discussion on such matters as settlement of the case or matter, or informal discovery proceedings;

(f) a record relating to a case or matter that has been referred by an agency for investigation, civil action, or enforcement, or that involves a case or matter within the jurisdiction of an agency, may be disseminated to such agency to notify the agency of the status of the case or matter or of any decision or determination that has been made, or to make such other inquiries and reports as are necessary during the processing of the case or matter;

(g) a record relating to a case or matter may be disseminated to a foreign country pursuant to an international treaty or convention entered into and ratified by the United States or to an executive agreement;

(h) a record may be disseminated to a Federal, State, local, foreign, or international law enforcement agency to assist in the general crime prevention and detection efforts of the recipient agency or to provide investigative leads to such agency or to assist in general civil matters or cases;

(i) a record may be disseminated to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance as is required, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information relates to the requesting agency's decision on the matter;

(j) a record may be disseminated to the public, news media, trade associations, or organized groups, when the purpose of the dissemination is educational or informational, such as descriptions of types or courses of action or distinctive or unique modus operandi, provided that the record does not contain any information identifiable to a specific individual other than such modus operandi;

(k) a record may be disseminated to a foreign country, through the United States Department of State or directly to the representative of such country, to the extent necessary to assist such country in general crime prevention, the pursuit of general civil, regulatory or admin-



istrative civil actions or to provide investigative leads to such country, or assist in the location and/or returning of witnesses and other evidence;

(l) a record that contains classified national security information and material may be disseminated to persons who are engaged in historical research projects, or who have previously occupied policy making provisions to which they were appointed by the President, in accordance with the provisions codified in 28 CFR 17.60.

(m) a record relating to an actual or potential civil or criminal violation of title 17, United States Code, may be disseminated to a person injured by such violation to assist him in the institution or maintenance of a suit brought under such title.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of this record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** All information, except that specified in this paragraph, is recorded on basic paper/cardboard material, and stored within manila file folders, within metal file cabinets, electric file/card retrievers or safes. Some material is recorded and stored on magnetic tape, card or other data processing type storage matter for reproduction later into conventional formats.

**Retrievability:** Information is retrieved primarily by name of person, case number, complaint number or court docket number. Information within this system of records may be accessed by various U.S. Attorneys' offices by means of cathode-ray tube terminals (CRT's).

**Safeguards:** Information in the system is both confidential and non-confidential and located in file cabinets in the United States Attorney offices. Some materials are located in locked file drawers and safes, and others in unlocked file drawers. Offices are locked during non-working hours and are secured by either Federal Protective Service, United States Postal Service, or private building guards. Information that is retrievable by CRT's within various U.S. Attorneys' offices requires user identification numbers which are issued to authorized employees of the Department of Justice.

**Retention and disposal:** Records are maintained and disposed of in accordance with Department of Justice retention plans.

**System manager(s) and address:** System Manager for the system in each office is the Administrative Officer/Assistant, for the U.S. Attorney for each district. (See attached appendix.)

**Notification procedure:** Address inquiries to the System Manager for the judicial district in which the case or matter is pending. (See attached appendix.)

**Record access procedures:** The major part of the information maintained in this system is exempt from this requirement under 5 U.S.C. 552a (j)(2), (k)(1) and/or (k)(2). To the extent that this system is not subject to exemption, it is subject to access. A determination as to examination shall be made at the time a request for access is received. A request for access to a record from this system shall be made in writing, with the envelope and the letter clearly marked "Privacy Access Request." Include in the request the name of the individual involved, his birth date and place, or any other identifying number or information which may be of assistance in locating the record and the name of the case or matter involved, if known. The requester will also provide a return address for transmitting the information. Access requests will be directed to the System Manager. (See attached appendix.)

**Contesting record procedures:** The major part of the information maintained in this system is exempt from this requirement under 5 U.S.C. 552(a) (j)(2), (k)(1) and/or (k)(2). To the extent that this system is not subject to exemption, it is subject to contest. A determination as to exemption shall be made at the time a request for contest

is received. Individuals desiring to contest or amend information maintained in the system should direct their request to the System Manager (see attached appendix) stating clearly and concisely what information is being contested, the reasons for contesting it, and the proposed amendment to the information sought.

**Record source categories:** Sources of information contained in this system include, but are not limited to: investigative reports of Federal, State, and local law enforcement, civil litigation, regulatory and administrative agencies; client agencies of the Department of Justice; other non-Department of Justice investigative agencies; forensic reports; statements of witnesses and parties; verbatim transcripts of deposition and court proceedings; data, memoranda and reports from the court and agencies thereof; and the work product of Assistant United States Attorneys, Department of Justice attorneys and staff, and legal assistants working on particular cases.

**Systems exempted from certain provisions of the act:** The Attorney General has exempted this system from subsections (c) (3) and (4), (d), (e) (1), (2) and (3), (e)(4) (G) and (H), (e)(5) and (8), (f), (g) and (h) of the Privacy Act pursuant to 5 U.S.C. 552a(j)(2) and (k) (1) and (2). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553 (b), (c) and (e) and have been published in the Federal Register.

### PART III

New systems of records established after publication of the 1977 Compilation are listed below. They are reprinted in full text following the listing.

JUSTICE/CIV-004, Swine Flu Administrative Claim File System

JUSTICE/CRS-001, Management Information System (MIS)

JUSTICE/CRM-025, Tax Disclosure Index File and Associated Records

JUSTICE/INS-002, Application and Petition Tracking System (APTS)

JUSTICE/INS-003, Position Accounting/Control System (PACS)

Swine Flu Administrative Claim File System, JUSTICE/CIV-004: Published at 43 FR 38120, on August 25, 1978. If no comments are received by October 25, 1978 the new system will be adopted.

### JUSTICE/CIV-004

**System name:** Swine Flu Administrative Claim File System.

**System location:** Civil Division, U.S. Department of Justice 521 12th Street NW., No. 804, Washington, D.C. 20530.

**Categories of individuals covered by the system:** Any and all parties making administrative claims for damages resulting from the administration of the swine flu vaccine, whose claims have been referred by the Department of Health, Education, and Welfare for handling by the Civil Division, will have identifying data contained in this system.

**Categories of records in the system:** (1) The main record of the system is the administrative claim file which is retained on each claim under the jurisdiction of the Civil Division and constitutes the official record of the Department of Justice. All record material relating to a claim is retained in the file. Each claim is assigned a number in sequential order from the date of the filing. (2) Alphabetical and numerical indices are utilized as a means of access to the proper file by the cross-referencing of the names of all claimants with the file number. Index cards are used in these indices. (3) A Docket Card Index is maintained on each claim in order to follow the progress of all swine flu claims and to obtain statistical data for periodic and fiscal reports. However, all information contained on the cards has been taken from the record material contained in the official file.

**Authority for maintenance of the system:** General authority to maintain the system is contained in 5 U.S.C. 301 and 44 U.S.C. 3101. The particular system was established by authority of 28 CFR 0.77(f) which authority was delegated to the Civil Division pursuant to a memorandum from the Deputy Attorney General, dated July 17, 1974.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Any record pertaining to any swine flu administrative claim in the Civil Division may be disseminated to any other component of the Department of Justice, including the FBI and the United States Attorneys' Offices, for use in connection with the consideration of that claim or matter or any other claim, case or matter under consideration by the Civil Division or any other component of the Department of Justice. A record maintained in this system of records may be disseminated as a routine use of such record as follows: (1) a record relating to a claim or



matter that has been referred by the Department of Health, Education, and Welfare for investigation, or that involves a claim or matter within the jurisdiction of an agency, or where the agency or officials thereof are a party to litigation or where the agency or officials may be affected by a claim or matter may be disseminated to such agency to notify the agency of the status of the claim or matter or any decision or determination that has been made, or to make such other inquiries and reports as are necessary during the processing of the claim or matter; (2) a record may be disseminated to the public, news media, trade associations, or organized groups, when the purpose of the dissemination is educational or informational, provided that the record does not contain any information identifiable to a specific individual other than that necessary to identify the matter and is not an unwarranted invasion of privacy or where the information has previously been filed in a judicial or administrative office, including the clerk of the court; (3) in any claim in which there is an indication of a violation or potential violation of law, whether civil, criminal or regulatory in nature, the record in question may be disseminated to the appropriate federal, state, local or foreign agency charged with the responsibility for investigating or prosecuting such violation or charged with enforcing or implementing such law; (4) in the course of investigating the potential or actual violation of any law, whether civil, criminal or regulatory in nature, or during the course of a trial or hearing, or the preparation for a trial or hearing for such violation, a record may be disseminated to a federal, state local or foreign agency, or to an individual or organization, if there is reason to believe that such agency, individual or organization possesses information relating to the investigation, trial or hearing and the dissemination is reasonably necessary to elicit such information or to obtain the cooperation of a witness or an informant; (5) a record relating to a claim or matter may be disseminated in an appropriate federal, state, local, or foreign court or grand jury proceeding in accordance with established constitutional, substantive, or procedural law or practice; (6) a record relating to a claim or matter may be disseminated to a federal, state, or local administrative or regulatory proceeding or hearing in accordance with the procedures governing such proceeding or hearing; (7) a record relating to a claim or matter may be disseminated to an actual or potential party or his attorney for the purpose of negotiation or discussion of such matters as settlement of the claim or matter, or for formal or informal discovery proceedings.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information of Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** (1) The claim files utilize standard file jackets and are retained in standard file cabinets; (2) the alphabetical and numerical index cards, as well as the docket cards, are retained in standard file cabinets.

**Retrievability:** The files and docket cards must be retrieved by file number. The file number can be ascertained from the alphabetical index if the name of the administrative claimant is known.

**Safeguards:** Information contained in the system is unclassified. No personalized information about a claim or claimant will be given to anyone other than the claimant, his attorney, or authorized representative. Requests for such information will not be given by telephone unless the caller can provide sufficient information to identify himself as one authorized to receive personalized information. Nonpersonal or generalized information will be given to any requester. Information in the system is regarded as sensitive pursuant to Department rules and procedures. Department rules and procedures are in force to insure that only Departmental attorneys and their authorized agents have access to the information.

**Retention and disposal:** When a claim file is closed by the legal section, it is sent to the Federal Records Center for retention in accordance with the authorized Record Disposal Schedule for the classification of the case. Such schedules are approved by the National Archives. After the designated period has passed, the file is destroyed. However, the index and docket cards are not purged and are retained for as long as practicable.

**System manager(s) and address:** Assistant Attorney General, Civil Division, U.S. Department of Justice, 10th and Constitution Avenue NW., Washington, D.C. 20530.

**Notification procedure:** Address inquiries to Chief, Torts Section, Civil Division, U.S. Department of Justice, 10th and Constitution Avenue NW., Washington, D.C. 20530.

**Record access procedures:** A request for information concerning the swine flu administrative claims of the Civil Division should be submitted in writing, with the envelope and letter clearly marked "Privacy Act Request". The request should include the file number and/or names of any claimants known to the requester. The requester should also provide a return address for transmitting the information. Such access requests should be submitted to the System Manager listed above. Requests may also be made by telephone. In such cases the caller will be referred to the attorney of record. The attorney, in turn, may require an official written request.

**Contesting record procedures:** Individuals desiring to contest or amend information maintained in the system should direct their request to the System Manager listed above. The request should clearly state what information is being contested, the reasons for contesting it, and the proposed amendment to the information sought.

**Record source categories:** All swine flu claimants are sources of information. Such information is either contained in the record material in the case files or has been extracted from that record material and put onto docket and index cards.

**Systems exempted from certain provisions of the act:** None.

**Management Information System (MIS) JUSTICE/CRS-001:** Published at 43 FR 33351 on July 31, 1978. If no comments are received by September 29, 1978, the new system will be adopted.

#### JUSTICE/CRS-001

**System name:** Management Information System.

**System location:** Community Relations Service, U.S. Department of Justice, 550 11th Street NW., Washington, D.C. 20530.

**Categories of individuals covered by the system:** Conciliators and Mediators of the Community Relations Service of the U.S. Department of Justice.

**Categories of records in the system:** The file contains the names of CRS employees, their case assignments, and the time allocated to each assignment. In addition, information reflecting the current status and handling of the case is included within the system.

**Authority for maintenance of the system:** The file is established to effect the purposes of 42 U.S.C. 2000g-1, 2000g-3 (1970).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The file is used by CRS personnel as a basis for preparing daily, weekly, and monthly activity reports for internal management. It is also used by the Administrative Office to prepare time efficiency analyses on CRS personnel. In addition, the file will be consulted in order to prepare budget requests and reports to the Associate Attorney General, the Attorney General, and to Congress.

**Release of Information to the News Media and the Public:** Information from the system of records may be made available to the news media and the public, unless such release would violate 42 U.S.C. 2000g-2, or would constitute an unwarranted invasion of personal privacy.

**Release of Information to Members of Congress:** Information contained in the system, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of, and at the request of, the individual who is the subject of the record unless such release would violate 42 U.S.C. 2000g-2.

**Release of Information to the National Archives and Records Service:** A record from the system of records may be disclosed to the National Archives and Records Service (NARS) for records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906, unless such disclosure would violate 42 U.S.C. 2000g-2.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**



**Storage:** Automated records are maintained on magnetic disk at the Department of Justice Data Management Service, and on tape at the Community Relations Service, 550 11th Street NW., Washington, D.C.

**Retrievability:** Information is retrieved by a variety of key words including, but not limited to, the name of the employee, geographic location, and subject matter of the record.

**Safeguards:** Information maintained in the system is safeguarded and protected in accordance with Department rules and procedures governing the handling of computerized information. Only individuals specifically authorized by the Director of CRS will have access to the computer through a single terminal located in an office occupied during the day and locked at night. Access to the information in the system will be limited to those CRS employees whose official duties require such information.

**Retention and disposal:** Records classified by the subject's name are retained until 60 days after the record subject leaves the employment of the Community Relations Service and are then deleted from the system.

**System manager(s) and address:** Deputy Director, Community Relations Service, U.S. Department of Justice, Todd Building, 550 11th Street NW., Washington, D.C. 20530.

**Notification procedure:** Address inquiries to Office of Chief Counsel, Community Relations Service, U.S. Department of Justice, 550 11th Street NW., Washington, D.C. 20530.

**Record access procedures:** In all cases, requests for access to a record shall be in writing, by mail or in person. If request for access is made by mail, the envelope and letter shall be clearly marked "Privacy Act Request." The requester shall include a description of the record requested and, if known, the case file number(s). To identify a record relating to an individual, the requester must provide the individual's full name, date and place of birth, employee identification number, and date of employment and duty assignment station(s) while employed by the Community Relations Service.

**Contesting record procedures:** Any individual desiring to contest or amend information maintained in the system should direct his or her request to the Deputy Director, Community Relations Service, U.S. Department of Justice, Todd Building, 550 11th Street NW., Washington, D.C. 20530. The request should state clearly what information is being contested, the reason for contesting, and the proposed amendment to the information.

**Record source categories:** The records are prepared by the conciliator/mediator or, in exceptional cases, by his or her supervisor.

**Systems exempted from certain provisions of the act:** None.

#### JUSTICE/CRM-025

**System name:** Tax Disclosure Index File and Associated Records.

**System location:** U.S. Department of Justice, Criminal Division, 10th & Constitution Avenue, N.W., Washington, D.C. 20530.

**Categories of individuals covered by the system:** Taxpayers about whom the Criminal Division has requested and/or obtained disclosure of tax material from the Internal Revenue Service pursuant to 26 U.S.C. 6103.

**Categories of records in the system:** The system contains all requests for tax material, any such material provided by the Internal Revenue Service or duplicated or extracted by the Criminal Division from such material, and related records necessary to the application for and/or safeguarding of such material received on or after January 1, 1977.

**Authority for maintenance of the system:** This system is established and maintained pursuant to 26 U.S.C. 6103(p)(4).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** A record maintained in this system of records may be disseminated as a routine use of such record (A) to any officer or employee of the Criminal Division who is personally and directly engaged in preparation for any proceeding (or investigation which may result in such proceeding) pertaining to the enforcement of a specifically designated Federal criminal statute not involving tax administration or to the enforcement of a Federal criminal statute in any matter involving tax administration; and (B) to any person outside of the Criminal Division where such dissemination is or reasonably appears to be in furtherance of proper preparation for any proceeding (or investigation which may result in such proceeding) pertaining to the enforcement of a specifically designated Federal criminal statute not involving tax administration or to the enforcement of a Federal criminal statute in any manner involving tax administration. Such dissemination shall be permitted to the extent authorized under 26 U.S.C. 6103(h), 26 U.S.C. 6103(i), 26 CFR

404.6103(h)(2)-1, 26 CFR 404.1603(i)-(1), and Rule 6(e), FR Crim. P. The above mentioned routine uses include the following:

(1) dissemination to an attorney of the Criminal Division assigned responsibility with respect to an above-mentioned proceeding or an investigation which may result in such proceeding;

(2) dissemination to an officer or employee of the Department of Justice with supervisory or clerical responsibility with respect to an above-mentioned proceeding, or an investigation which may result in such proceeding;

(3) dissemination to an officer or employee of another Federal agency (as defined at 5 U.S.C. 551(1)) with investigative, supervisory, or clerical responsibility working under the direction and control of such attorneys of the Department of Justice as are assigned responsibility with respect to an above-mentioned proceeding, or an investigation which may result in such proceeding;

(4) dissemination to a taxpayer to whom such record relates, or to such taxpayer's legal representative, to properly interview, consult, depose, or interrogate or otherwise obtain information relevant to an above-mentioned proceeding, or an investigation which may result in such proceeding, from such taxpayer or his legal representative;

(5) dissemination during the course of an investigation which may result in an above-mentioned proceeding to any witness who may be called on to give evidence in such a proceeding to properly interview, consult, depose, or interrogate or otherwise obtain relevant information from such potential witness;

(6) dissemination to any person having special knowledge or technical skills (such as handwriting analysis, photographic development, sound recording enhancement, or voice identification) to properly obtain the services of such person in an above-mentioned proceeding, or an investigation which may result in such proceeding;

(7) dissemination to an officer or employee of the Department of Justice, another Federal agency (as defined at 5 U.S.C. 551(1)), or a foreign government to properly utilize international contacts and judicial assistance in an above-mentioned proceeding, or an investigation which may result in such proceeding;

(8) dissemination to an officer or employee of the Internal Revenue Service to obtain additional disclosure pursuant to 26 U.S.C. 6103 in an above-mentioned proceeding, or an investigation which may result in such proceeding;

(9) dissemination to a Federal grand jury;

(10) dissemination to an officer or employee of the Department of Justice to obtain a court order to compel testimony pursuant to 18 U.S.C. 6002 and 6003 in any above-mentioned proceeding, or an investigation which may result in such proceeding;

(11) dissemination to any party to an above-mentioned proceeding, to properly conduct negotiations concerning, or obtain authorization for, disposition of the proceeding, in whole or in part, or to obtain stipulations of fact in connection with the proceeding;

(12) dissemination to a judicial or administrative body (such as the United States Parole Commission) in an above-mentioned proceeding or in preparation therefore, or in any post-conviction proceeding resulting from an above-mentioned proceeding;

(13) dissemination to a court reporter in an above-mentioned proceeding, or as required, in preparation therefore.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** A record contained in this system is stored manually on index cards and in file jackets.

**Retrievability:** A record is retrieved by name of the taxpayer in indices maintained in individual sections of the Criminal Division.

**Safeguards:** Records are safeguarded in accordance with Department of Justice rules and procedures. Buildings in which records are located are under security guard, and access to premises is by official identification. Records are stored in spaces which are locked outside of normal office hours and in safe type filing cabinets which are locked when not being accessed. Access to such cabinets is limited to Division personnel whose duties and responsibilities require such access and to whom access may be permitted. A record of access is kept which indicates the name of each person who gains access to material from the secured area and the date and time of each access.

**Retention and disposal:** Records not retained are returned to the Internal Revenue Service or rendered undisclosable in accordance with 26 U.S.C. 6103(p)(4)(f)(ii). Currently there are no provisions for disposal of records contained in this system that are not returned to the Internal Revenue Service or are otherwise rendered undisclosable.

**System manager(s) and address:** Assistant Attorney General, Criminal Division, U.S. Department of Justice, 10th Street and Constitution Avenue, NW., Washington, D.C. 20530.



**Notification procedure:** The major part of this system is exempted from this requirement under 5 U.S.C. 552a(j)(2). Inquiry concerning the system should be addressed to the system manager listed above.

**Record access procedures:** The major part of this system is exempted from this requirement under 5 U.S.C. 552a(j)(2). To the extent that this system of records is not subject to exemption, it is subject to access and contest. A determination as to exemption shall be made at the time a request for access is received. A request for access to a record contained in this system shall be made in writing, with the envelope and the letter clearly marked 'Privacy Access Request.' Include in the request the name of the individual involved, his birth date and place or any other identifying number or information which may be of assistance in locating the record, the name of the case or matter involved, if known, and the name of the judicial district involved, if known. The requestor will also provide a return address for transmitting the information. Access requests will be directed to the system manager listed above. Records in this system are exempt from the access provisions of the Act in accordance with the applicable exemption notice.

**Contesting record procedures:** Taxpayers desiring to contest or amend information maintained in the system should direct their request to the system manager listed above, stating clearly and concisely what information is being contested, the reasons for contesting it, and the proposed amendment to the information sought. Records in this system are exempt from the contesting provisions of the Act in accordance with the applicable exemption notice.

**Record source categories:** Sources of information contained in this system are the Internal Revenue Service, Federal investigative agencies, Federal prosecutors, and personnel of the Criminal Division, Department of Justice.

**Systems exempted from certain provisions of the act:** The Attorney General has exempted this system from subsections (c)(3) and (4), (d), (e)(1), (2) and (3), (e)(4)(G), and (H) and (I), (e)(5) and (8), (f), and (g) of the Privacy Act pursuant to 5 U.S.C. 552a(j)(2). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553 (b), (c) and (e) and have been published in the Federal Register.

#### JUSTICE/INS-002

**System name:** Application/Petition Tracking System (APTS).

**System location:** District offices of the Immigration and Naturalization Service, as detailed in JUSTICE/INS-001.

**Categories of individuals covered by the system:** Individuals who have filed applications or petitions for benefits under the Immigration and Nationality Act, as amended.

**Categories of records in the system:** Name of applicant or petitioner, date and country of birth, alien identification number, form number of application or petition, date filed or received in INS office, control number, status, and location of relating file or records.

**Authority for maintenance of the system:** Section 103 of the Immigration and Nationality Act, as amended (8 U.S.C. 1103).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Information in this system will be used by employees of the Immigration and Naturalization Service to determine the status of the pending application or petition and to locate relating files and other records promptly. This system of records is used to serve the public by providing data for responses, when authorized, to inquiries, complaints, and so forth.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in a system of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Information in this system of records is maintained on magnetic disk.

**Retrievability:** Records are retrieved by the name or alien identification number of the applicant or petitioner, or the control number of the application or petition. Access to the system is restricted to employees of the Immigration and Naturalization Service responsible for the control, processing, and adjudication of petitions and applications. Method of access is by keyboard terminals located in areas restricted to authorized INS personnel.

**Safeguards:** This system of records is safeguarded and protected in accordance with Department of Justice and INS rules and procedures.

**Retention and disposal:** Records will be deleted from the automated data base ninety (90) days after final decision on the application or petition.

**System manager(s) and address:** Associate Commissioner, Management, Central Office, Washington, D.C.; District Directors in district offices in the United States where this system of records is located.

**Notification procedure:** Inquiries should be addressed to the District Director of the Immigration and Naturalization Service office where the application or petition was filed. If the filing location is not known, inquiries may be addressed to the Associate Commissioner, Management, Central Office, Immigration and Naturalization Service, 425 Eye Street NW., Washington, D.C. 20536.

**Record access procedures:** In all cases, requests for access to a record from this system of records shall be in writing or in person. If request for access is made in writing, the envelope and letter shall be clearly marked "Privacy Access Request." The requester shall include a description of the general subject matter and, if known, the relating file number. To identify a record relating to an individual, the requester should provide the individual's full name; date and place of birth; alien, citizen, or employee identification number; and, if appropriate, the date and place of entry into or departure from the United States. The requester shall also provide a return address for transmitting the information.

**Contesting record procedures:** Any individual desiring to contest or amend information maintained in the system should direct his request to the INS office nearest his residence or the office in which he believes a record concerning him may exist. The request should state clearly what information is being contested, the reasons for contesting it, and the proposed amendment to the information.

**Record source categories:** All information is entered from the original application or petition forms completed by the individuals, with the addition of the date of filing or receipt by INS, a control number assigned at the time of receipt, section assignments, and status information updated by each division or unit handling the pending matter.

**Systems exempted from certain provisions of the act:** None.

**Position Accounting/Control System (PACS), JUSTICE/INS-003:** Published at 43 FR 7061 on February 17, 1978. No formal, final adoption notice was issued. Therefore, notice is hereby given that no comments were received and the new system is now adopted.

#### JUSTICE/INS-003

**System name:** Position Accounting/Control System (PACS).

**System location:** Central Office, Immigration and Naturalization Service, 425 I Street NW., Washington, D.C.

**Categories of individuals covered by the system:** Employees of the Immigration and Naturalization Service.

**Categories of records in the system:** A. Position data: position number; category code; organization code; position title; pay plan; series; grade; description; accounting classification code; active/inactive code; fund control number; amount authorized; hours authorized; new program element code; input control number; input transaction code; date position created or vacated; SF-52 date; announcement date and number; Entered on Duty (EOD) date; projected vacant date; date last classified; date Position Management Committee (PMC) approved; date position last audited or reviewed; date of transaction; position appeal date, if any; union coverage code; appealed to code; position freeze code; competitive level code; remarks code.

B. Payroll data: Social Security Account Number (SSAN); pay period number; payroll subobject code; last pay period amount and hours; cumulative amount and hours; accrual amount and hours; prior month YTD amount and hours; payroll current/prior/manual (C/P/M) code.

C. Personnel data: position number; organization code; position title; payplan; series; grade; description; accounting classification code; incumbent's name, Social Security Account Number, next



Quality Step Increase (QSI) date; nature of action; transaction date; FLSA exemption code; and effective date.

**Authority for maintenance of the system:** Section 103 of the Immigration and Nationality Act, as amended (8 U.S.C. 1103); Delegation of Authority to Departments (5 U.S.C. 301); Position Management Systems and Employment Ceilings, Bureau of the Budget Circular No. A-64 (June 28, 1965; January 2, 1970).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Information in this system is used for reports to INS managers of position authorization and cost data by geographic area, organizational unit, program activity, and budget allocation, including the composition of the INS work force (on-board strength and vacancies); status of each vacancy; turnover and occupancy rate statistics; aggregate position data by grade level, organization unit, program activity, type of position, etc.; actual costs for each position and projected position costs for the next fiscal year; and authorization of positions through funds control and periodic review mechanisms.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in a system of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Automated records are maintained on magnetic disk and tape at the Department of Justice Data Management Service. All other records are maintained as paper documents at the Central Office, 425 I Street NW., Washington, D.C. and four regional personnel offices.

**Retrievability:** Records are retrieved by position number, organization code, accounting classification code, or program element code.

**Access controls:** Access to the system is restricted to employees of the Immigration and Naturalization Service responsible for position accounting and management. Biweekly reports are distributed only to authorized INS personnel. Remote terminals for additional access are located in areas restricted to authorized INS personnel.

**Safeguards:** The data in the automated system of records is safeguarded and protected in accordance with Department of Justice and INS rules and procedures. Paper forms are stored in metal file cabinets which are locked outside of normal duty hours.

**Retention and disposal:** records are deleted from the automated data base within 60 days after termination of the position authorization. Employee personnel information in the automated data base is deleted when the position becomes vacant. The data base is updated biweekly to maintain accurate, current information on position status and characteristics.

**System manager:** Associate Commissioner, Management.

**Notification procedure:** Inquiries should be addressed to the Associate Commissioner, Management, Immigration and Naturalization Service, 425 I Street NW., Washington, D.C. 20536.

**Record access procedures:** In all cases, requests for access to a record shall be in writing, by mail or in person. If request for access is made by mail, the envelope and letter shall be clearly marked "Privacy Access Request." The requester shall include a description of the subject matter and, if known, the relating file number. To identify a record relating to an individual, requester should provide the individual's full name, date and place of birth, employee identification number, and, if known, position number. The requester shall also provide a return address for transmitting the information.

**Contesting record procedures:** Any individual desiring to contest or amend information maintained in the system should direct his request to the Associate Commissioner, Management. The request should

state clearly what information is being contested, the reasons for contesting it, and the proposed amendment to the information.

**Record source categories:** Position management data is obtained from official records in INS personnel offices. Payroll data is obtained from the computerized Department of Justice Payroll System (JUSTICE/OMF-003). Personnel management data is obtained from the Department of Justice Personnel System (JUNIPER).

**Systems exempted from certain provisions of the act:** None.

#### PART IV

Systems of records which the Department now proposes to amend are listed below. They are reprinted in full text following the listing. Interested persons are invited to submit comments to the Administrative Counsel, Office of Management and Finance, Room 1118, Department of Justice, Washington, D.C. 20530. All comments must be received by October 30, 1978. If no comments are received within thirty days, the amendments will be adopted as set forth. No oral hearings are contemplated.

JUSTICE/ATR-008, Freedom of Information/Privacy Requester/Subject Index File

JUSTICE/CRT-004, Registry of Names of Interested Persons Desiring Notification of Submissions Under Section 5 of the Voting Rights Act

JUSTICE/CRT-007, Files on Employment Civil Rights Matters Referred by the Equal Employment Opportunity Commission

JUSTICE/CRT-008, Files on Correspondence Relating to Civil Rights Matters from Persons Outside the Department of Justice

JUSTICE/CRT-009, Civil Rights Division Employees Travel Reporting

JUSTICE/DEA-005, Controlled Substances Act Registration Records

JUSTICE/DEA-007, International Intelligence Data Base

JUSTICE/DEA-010, Office of Internal Security Records

JUSTICE/DEA-027, Drug Enforcement Administration Employee Profile System

JUSTICE/DEA-999, Drug Enforcement Administration Appendix 1, List of Record Location Addresses

JUSTICE/FBI-003, Bureau Mailing Lists

JUSTICE/FBI-006, Electronic Surveillance (Elsur Indices)

JUSTICE/OMF-002, Controlled Substances Act Nonpublic Records

JUSTICE/OMF-003, Department of Justice Payroll System

JUSTICE/OMF-007, Legal and General Administration Accounting System

JUSTICE/OMF-008, Security Clearance Information System (SCIS)

JUSTICE/OMF-009, Justice Data Management Service Center Utilization Report

JUSTICE/OMF-010, Document Information System (DIS)

JUSTICE/OMF-011, Justice Data Management Service Center Tape Library System

JUSTICE/OMF-016, Inter-Divisional Information System (IDIS) (A Non-operational, Deactivated System)

JUSTICE/OMF-017, Department of Justice Controlled Parking Records

JUSTICE/OPA-001, Executive Clemency Files

JUSTICE/BOP-001, Custodial and Security Record System

JUSTICE/BOP-003, Industrial Inmate Employment Record System

JUSTICE/BOP-004, Inmate Administrative Remedy Record System

JUSTICE/BOP-005, Inmate Central Records System

JUSTICE/BOP-007, Inmate Physical and Mental Health Record System

JUSTICE/BOP-008, Inmate Safety and Accident Compensation Record System

JUSTICE/BOP-009, Federal Tort Claims Act Record System Freedom of Information/Privacy Requester/Subject Index File.

JUSTICE/ATR-008: Review indicates that information of a sensitive nature cannot be accessed by individual identifier and, therefore, there is no need to exempt this system of records from the provisions of the Privacy Act.



The entire system, amended to show that this system is not exempted from any provision of the Privacy Act, is reprinted below.

#### JUSTICE/ATR-008

**System name:** Freedom of Information/Privacy Requester/Subject Index File.

**System location:** U.S. Department of Justice, 10th and Constitution Avenue, N.W., Washington, D.C. 20530.

**Categories of individuals covered by the system:** Individuals who have requested information under the Freedom of Information and Privacy Acts from files maintained by the Antitrust Division and individuals about whom material has been requested under the above acts.

**Categories of records in the system:** This system contains an index record of every request under the Freedom of Information and Privacy Acts made to the Antitrust Division since November, 1974, including all request letters and our responses.

**Authority for maintenance of the system:** Authority for maintaining this system exists under 44 U.S.C. 3101.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** This index is maintained for ready reference by Division personnel for the identification of the subject matter of and persons originating Freedom of Information and Privacy Act requests. Such reference is utilized in aid of access to files, maintained by the Freedom of Information and Privacy Unit, for purposes of reference to requests on appeal, questions concerning pending or terminated requests, and compliance with requests similar or identical to past requests.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Information in this system is maintained on index cards.

**Retrievability:** Information in the system is retrieved by reference to the names of present and past requesters and names of individuals about whom information is requested under the Freedom of Information and Privacy Act.

**Safeguards:** Information contained in the system is unclassified. During duty hours access to this system is monitored and controlled by Antitrust Division personnel in the area where the system is maintained. The area is locked during non-duty hours.

**Retention and disposal:** Indefinite.

**System manager(s) and address:** Freedom of Information and Privacy Acts Control Officer, Antitrust Division, U.S. Department of Justice, 10th and Constitution Avenue, N.W., Washington, D.C. 20530.

**Notification procedure:** Address inquiries to the Assistant Attorney General, Antitrust Division, U.S. Department of Justice, 10th and Constitution Avenue, N.W., Washington, D.C. 20530.

**Record access procedures:** Request for access to a record from this system should be made in writing and be clearly identified as a "Privacy Access Request." Included in the request should be the name of the individual having made the Freedom of Information request and/or the individual about whom the records were requested. Requesters should indicate a return address. Requests will be directed to the System Manager shown above.

**Contesting record procedures:** Individuals desiring to contest or amend information maintained in the index should direct their request to the System Manager and state clearly and concisely what information is being contested, the reasons for contesting it, and the proposed amendment to the information sought.

**Record source categories:** Source of the information maintained in the system are those records derived from the receipt and processing of Freedom of Information and Privacy Act requests.

**Systems exempted from certain provisions of the act:** None.

**Registry of Names of Interested Persons Desiring Notification of Submissions Under Section 5 of the Voting Rights Act, JUSTICE/CRT-004:** Revisions to this system of records effect a change in the system location and correction of a typographical error under the category entitled, "Routine uses of records. . ." The text reprinted below reflects these modifications.

#### JUSTICE/CRT-004

**System name:** Registry of Names of Interested Persons Desiring Notification of Submissions under Section 5 of the Voting Rights Act.

**System location:** U.S. Department of Justice, Civil Rights Division, 10th and Constitution Avenue, N.W., Washington, D.C. 20530.

**Categories of individuals covered by the system:** Persons who have requested that the Attorney General send them notice of submissions under Section 5 of the Voting Rights Act of 1965, 42 U.S.C. 1973c.

**Categories of records in the system:** The Registry contains the name, address and the telephone numbers of interested persons and, where appropriate, the area or areas with respect to which notification was requested by such persons.

**Authority for maintenance of the system:** 28 C.F.R. 51.13; 42 U.S.C. 1973c; 5 U.S.C. 301 and 28 U.S.C. 509, 510.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Registry is used to identify persons interested in receiving notice of Section 5 submissions and to comply with their requests. The Registry may be used to notify the persons listed therein of any proposed changes in the "Procedures for the Administration of Section 5 of the Voting Rights Act of 1965," 28 C.F.R. 51-1 et seq., and to solicit their comments with respect to any such proposed changes.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 C.F.R. 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Names are stored in a card file system.

**Retrievability:** Records in this system are retrievable by the names of interested persons or organizations.

**Safeguards:** Information in the system is safeguarded in accordance with Departmental rules and procedures governing access, production and disclosure of any materials contained in its official files.

**Retention and disposal:** An individual or organizational name is retained in the Registry until such time as that person or organization requests that the name be deleted.

**System manager(s) and address:** Chief, Voting Section; Civil Rights Division; U.S. Department of Justice; Washington, D.C. 20530.

**Notification procedure:** Address inquiries to: Assistant Attorney General; Civil Rights Division; U.S. Department of Justice; Washington, D.C. 20530.

**Record access procedures:** This system contains no information about any individual other than as described in Category of Record above. Persons whose names appear on the Registry may have access thereto or have their names and other information pertaining to them deleted or modified upon a request of the same nature as indicated in 28 C.F.R. 51.13.

**Contesting record procedures:** Same as the above.

**Record source categories:** Sources of information in the Registry are those persons or organizations whose names appear therein by



virtue of their having requested inclusion in the Registry pursuant to 28 C.F.R. 51.13.

**Systems exempted from certain provisions of the act:** None.

Files on Employment Civil Rights Matters Referred by the Equal Employment Opportunity Commission, JUSTICE/CRT-007: Revisions to this system of records effect a change in the system location and clarify information concerning storage of the records. The latter change has been italicized for public convenience. The text reprinted below reflects these modifications.

#### JUSTICE/CRT-007

**System name:** Files on Employment Civil Rights Matters Referred by the Equal Employment Opportunity Commission.

**System location:** U.S. Department of Justice; Civil Rights Division, 10th and Constitution Avenue NW.; Washington, D.C. 20530.

**Categories of individuals covered by the system:** Persons seeking employment or employed by a state or a political subdivision of a state who have filed charges alleging discrimination in employment with the Equal Employment Opportunity Commission (hereinafter EEOC) which have resulted in a determination by EEOC that there is probable cause to believe that such discrimination has occurred, and attempts by EEOC at conciliation have failed.

**Categories of records in the system:** The system may contain copies of charges filed with EEOC; copies of EEOC's "determination" letters, letters of transmittal from and to EEOC, analyses or evaluations summarizing the charge and other materials in the EEOC file, internal memoranda, attorney notes, and copies of "right to sue" letters issued by the Civil Rights Division.

**Authority for maintenance of the system:** The system is maintained pursuant to 44 U.S.C. 3101 and in order to accomplish the Civil Rights Division's responsibility under 28 CFR 0.50 to enforce Federal Statutes affecting civil rights including 42 U.S.C. 2000e-5(f) and 2000e-6.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The system is used by employees and officials of the Department to make decisions regarding prosecution of alleged instances of employment discrimination, to issue "right to sue" letters on behalf of individuals; to make policy and planning determinations; to prepare annual budget requests and justifications; to prepare statistical reports on the work product of the Employment and Education Sections and to carry out other authorized internal functions of the Department. If the Department has determined to initiate an investigation or litigate a matter referred by EEOC, the records pertaining to that matter are not contained in this system. Such records and their routine uses are described under the notice for the system named: Central Civil Rights Division Index File and Associated Records.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress.** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Information in the system is stored manually on index cards and file jackets which are maintained by the *Employment Section, Civil Rights Division. If the charge relates to a public educational agency or institution and was filed before September 1977, such information may be maintained by the Education Section, Civil Rights Division.*

**Retrievability:** Information is retrieved primarily by using the appropriate Department of Justice file number, or the name of the charging party, or the state in which the alleged discrimination occurred.

**Safeguards:** Information in the system is unclassified. It is safeguarded and protected in accordance with departmental rules and procedures.

**Retention and disposal:** There are no provisions for the disposal of the records in the system although such procedures are under active consideration.

**System manager(s) and address:** Assistant Attorney General, Civil Rights Division, U.S. Department of Justice, Washington, D.C. 20530.

**Notification procedure:** Same as the above

**Record access procedures:** A request for access to a record from this system shall be made in writing with the envelope and letter clearly marked "Privacy Access Request." The request should indicate the state where the alleged employment discrimination took place and the employer to which the charge was related. The requester will also provide a return address for transmitting the information. Access requests will be directed to the System Manager listed above.

**Contesting record procedures:** Individuals desiring to contest or amend information maintained in the system should direct their request to the System Manager listed above, stating clearly and concisely what information is being contested, the reasons for contesting it, and the proposed amendment to the information sought. Disclosure of part of the materials in this system may be prohibited by 42 U.S.C. 2000e(e) and 44 U.S.C. 3508. Part of this system is exempted from access and contest under 5 U.S.C. 552(k)(2).

**Record source categories:** Sources of information in this system are charging parties, information compiled and maintained by EEOC, and employees and officials of the Department of Justice responsible for the disposition of the referral request.

**Systems exempted from certain provisions of the act:** The Attorney General has exempted this system from subsection (d) of the Privacy Act pursuant to 5 U.S.C. 552a(k)(2). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553(b), (c) and (e) and have been published in the Federal Register.

Files on Correspondence Relating to Civil Rights Matters from Persons Outside the Department of Justice, JUSTICE/CRT-008: Revisions to this system of records effect changes in the system location, storage, and retrievability categories. The method of storing and retrieving the records has expanded to include a word processing system which will store names and dates pertaining to citizen correspondence and permit easy retrieval. The changes in the storage and retrievability categories have been italicized for public convenience. The text reprinted below reflects the modifications.

#### JUSTICE/CRT-008

**System name:** Files on Correspondence Relating to Civil Rights Matters from Persons Outside the Department of Justice.

**System location:** U.S. Department of Justice; Civil Rights Division; 10th and Constitution Avenue NW.; Washington, D.C. 20530.

**Categories of individuals covered by the system:** Persons communicating in written form in person or by telephone, including complaints, requests for information or action, or expressions of opinion regarding civil rights matters.

**Categories of records in the system:** The system contains original correspondence regarding civil rights matters from persons, cover letters or notes from persons referring original correspondence to the Department, attorney or other employee notes regarding the correspondence, and copies of Civil Rights Division's responses to the original correspondence.

**Authority for maintenance of the system:** This system of records is maintained pursuant to 44 U.S.C. 3101 and in the ordinary course of fulfilling the responsibilities assigned to the Civil Rights Division under the provisions of 28 CFR 0.50.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:**

A. The system is used by employees and officials of the Department to respond to incoming correspondence, to compile statistics for use in preparing budget requests, to insure proper disposition of incoming mail, to determine the status and content of responses to correspondence, to respond to inquiries from Division personnel, Office of Legislative Affairs and Congressional offices regarding the status of correspondence, and to carry out other authorized functions of the Department.

B. Information in the system regarding individual pieces of correspondence may be provided to Members of Congress upon request in instances where the Member making the request referred the correspondence in question to the Department.



Release of information to the news media: Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

Release of information to Members of Congress. Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

Release of information to the National Archives and Records Service: A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Records in the system are primarily index cards and original letters or copies thereof. They are stored manually and by automated office equipment.

**Retrievability:** Information may be retrieved through use of a card index file system and/or automated office equipment which is subdivided into indexes (1) arranged according to the name of citizens that corresponded with the Department and (2) arranged according to the name of Members of Congress or White House staff Members who have referred correspondence to the Department.

**Safeguards:** Information in the system is unclassified. It is safeguarded and protected in accordance with Departmental rules and procedures.

**Retention and disposal:** There are no provisions for disposal of the records in this system although such procedures are currently under active consideration.

**System manager(s) and address:** Assistant Attorney General; Civil Rights Division; U.S. Department of Justice; Washington, D.C. 20530.

**Notification procedure:** Same as the above.

**Record access procedures:** A request for access to a record from this system shall be made in writing, with the envelope and the letter clearly marked 'Privacy Access Request.' The request should include the name of the correspondent, his address or the name of the Member of Congress or White House staff member who referred the correspondence to the Department, if known, the Department of Justice file number, if known, and the date of the correspondence. The requester will also provide a return address for transmitting the information. Access requests will be directed to the System Manager listed above.

**Contesting record procedures:** Individuals desiring to contest or amend information maintained in the system should direct their request to the System Manager listed above, stating clearly and concisely what information is being contested, the reasons for contesting it, and the proposed amendment to the information sought.

**Record source categories:** Sources of information contained in this system are the original correspondents, persons referring original correspondence to the Department, and employees and officials of the Department responsible for the disposition of the correspondence.

**Systems exempted from certain provisions of the act:** None.

Civil Rights Division Employees Travel Reporting, JUSTICE/CRT-009: Revisions to this system of records effect a change in the system location and a correction in the form number assigned to a travel authorization form in the category of records maintained. The text reprinted below reflects these changes.

#### JUSTICE/CRT-009

**System name:** Civil Rights Division Employees Travel Reporting

**System location:** U.S. Department of Justice, 10th & Constitution Avenue NW., Washington, D.C. 20530.

**Categories of individuals covered by the system:** Employees of the Civil Rights Division who have traveled on official assignments for the Civil Rights Division.

**Categories of records in the system:** The system contains information, concerning travel expenditures, which was recorded by Division employees on travel authorization forms (Form OBD-1) and travel voucher forms (Form OBD-157) and submitted to the Fiscal

Unit of the Civil Rights Division, from Fiscal Year 1972 to the present.

**Authority for maintenance of the system:** This system is maintained in the ordinary course of meeting the responsibilities assigned the Civil Rights Division under 28 CFR 0.50, 0.51.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The records in this system are used to make monthly reports to the Executive Office, Civil Rights Division, and to the Fiscal Unit, Civil Rights Division, for use in controlling and reviewing Division expenditures. Copies of individual's reports may be disclosed to the individual when appropriate forms are not submitted following a return from travel status.

Release of information to the news media: Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

Release of information to Members of Congress. Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

Release of information to the National Archives and Records Service: A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Records in the system are stored on magnetic tape and on computer punch cards, and on monthly reports printed on computer. Individual vouchers and travel authorization forms are stored in file jackets.

**Retrievability:** Records in this system are retrievable by the names of present and former Division employees who have filed travel authorization forms or travel voucher forms.

**Safeguards:** Information in the system is unclassified. It is safeguarded and protected in accordance with Departmental rules and procedures.

**Retention and disposal:** There are no provisions for disposal of the records in the system.

**System manager(s) and address:** Executive Officer, Civil Rights Division, United States Department of Justice, Washington, D.C. 20530.

**Notification procedure:** Same as the above.

**Record access procedures:** Requests by former employees for access to records in this system may be made in writing with the envelope and letter clearly marked 'Privacy Act Request'. The request should clearly state the dates on which official travel was taken. The requester should also provide a return address for transmitting the information. Access requests will be directed to the System Manager. Present employees may request access by contacting the System Manager directly.

**Contesting record procedures:** Individuals desiring to contest or amend information maintained in the system should direct their request to the System Manager listed above, stating clearly and concisely what information is being contested, the reason for contesting it, and the proposed amendment to the information sought.

**Record source categories:** Sources of information are the Civil Rights Division employees filing travel authorization and travel voucher forms.

**Systems exempted from certain provisions of the act:** None.

Controlled Substances Act Registration Records, JUSTICE/DEA-005: Revisions to this system of records effect changes in the retrievability and the retention and disposal categories. The retrievability category is amended to reflect that applications are now filed by the date processed rather than by the date the application was submitted; that the names are not indexed within each batch; and that the microfiche system is now maintained by state. The retention and disposal category is amended to reflect that records retired to the Federal Records Center are now destroyed after eight years instead of after five years. The text reprinted below reflects these modifications.



## JUSTICE/DEA-005

**System name:** Controlled Substances Act Registration Records (CSA).

**System location:** Drug Enforcement Administration; 1405 I Street, N.W., Washington, D.C. 20537. Also, field offices. See Appendix 1 for list of addresses.

**Categories of individuals covered by the system:** Records are maintained on the following categories of individuals registered under the Controlled Substances Act including registrants doing business under their individual name rather than a business name: (A) Physicians and related practitioners; (B) Dentists; (C) Veterinarians; (D) Persons conducting research with controlled substances; (E) Importers of controlled substances; (F) Exporters of controlled substances; (G) Manufacturers of controlled substances; (H) Distributors of controlled substances; (I) Pharmacies.

**Categories of records in the system:** The Controlled Substances Act Registration Records are maintained in a manual system which contains the original of the application for registration under 224, 224a, 224b, 225, 226, 227, 268, and 363, order forms (DEA-222's) and any correspondence concerning a particular registrant. In addition, the same basic data is maintained in an automated system for quick retrieval.

**Authority for maintenance of the system:** The Drug Enforcement Administration is required under the Comprehensive Drug Abuse Prevention and Control Act of 1970 (Public Law 91-513) to register all handlers of controlled substances.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Controlled Substances Act Registration Records produce special reports as required for statistical analytical purposes. Disclosures of information from this system are made to the following categories of users for the purposes stated: (A) Other Federal law enforcement and regulatory agencies for law enforcement and regulatory purposes; (B) State and local law enforcement and regulatory agencies for law enforcement and regulatory purposes; (C) Persons registered under the Controlled Substances Act (Public Law 91-513) for the purpose of verifying the registration of customers and practitioners.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** The automated portion of this system is maintained on magnetic tape and the manual portion is by batch.

**Retrievability:** The automated system is retrieved by name and registration number. The manual portion is filed in batches by date the application was processed. A microfiche system of the names by State is maintained for quick reference purposes. In addition, a number of telecommunication terminals have been added to the existing network.

**Safeguards:** This system of records is maintained in DEA Headquarters which is protected by twenty-four hour guard service and electronic surveillance. Access to the building is restricted to DEA employees and those persons transacting business within the building who are escorted by DEA employees. Access to the system is restricted to DEA personnel on a need-to-know basis. A specific computer program is necessary to extract information. Information that is retrievable by terminals requires user identification numbers which are issued to authorized employees of the Department of Justice.

**Retention and disposal:** Records in the manual portion of the system are retired to the Federal Records Center after one year and destroyed after eight years. The automated data is stored in the

Department of Justice Computer Center and destroyed after five years.

**System manager(s) and address:** Director, Office of Compliance and Regulatory Affairs, Drug Enforcement Administration; 1405 I Street, N.W., Washington, D.C. 20537.

**Notification procedure:** Inquiries should be addressed to Freedom of Information Unit, Drug Enforcement Administration; 1405 I Street, N.W., Washington, D.C. 20537. Inquiries should include inquirer's name, date of birth, and social security number.

**Record access procedures:** Same as the above.

**Contesting record procedures:** Same as the above.

**Record source categories:** Information contained in this system of records is obtained from: (A) Registrants under the Controlled Substances Act (Public Law 91-513); (B) DEA Compliance Investigators.

**Systems exempted from certain provisions of the act:** The Attorney General has exempted this system from subsections (c)(3), (d), (e)(4)(G) and (H), (f) of the Privacy Act pursuant to 5 U.S.C. 552a(k). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553(b), (c), and (e) and have been published in the Federal Register.

**International Intelligence Data Base, JUSTICE/DEA-007:** Revisions to this system of records effect a change in the routine use of the records. The Cabinet Committee on International Narcotics Control has been deleted as a routine user. The Committee has been replaced by committees and working groups under the Strategy Council on Drug Abuse. Changes have been italicized for public convenience. The text reprinted below reflects this change.

## JUSTICE/DEA-007

**System name:** International Intelligence Data Base

**System location:** Drug Enforcement Administration, 1405 I Street N.W., Washington, D.C. 20537. Also, field offices. See Appendix 1 for list of addresses.

**Categories of individuals covered by the system:** Known and suspected drug traffickers.

**Categories of records in the system:** (A) Intelligence reports; (B) Investigative reports; (C) Subject files.

**Authority for maintenance of the system:** This system is maintained for law enforcement and intelligence purposes pursuant to the Comprehensive Drug Abuse Prevention and Control Act of 1970, Reorganization Plan No. 2 of 1973 and the Single Convention on Narcotic Drugs.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** This system is maintained to further criminal investigations through the collation, analysis and dissemination of intelligence information. This system produces the following reports: a) Tactical, operational and strategic intelligence reports; b) Major organizational reports; c) Network analysis; d) Trafficker profiles; e) Intelligence briefs on prior experience with individuals, firms, countries, etc.; f) Country profiles; g) Country Intelligence Action Plans; h) Current Situational reports; i) Special reports as requests; j) Drug patterns and trends and drug trafficking from source to U.S. distributors.

In addition, information is provided to the following categories of users for law enforcement purposes on a routine basis: A) Other Federal law enforcement agencies; B) State and local law enforcement agencies; C) Foreign law enforcement agencies with whom DEA maintains liaison; D) U.S. Intelligence and Military Intelligence Agencies involved in drug enforcement; E) U.S. Department of State; F) *Committees and working groups under the Strategy Council on Drug Abuse.*

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in



records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** These records are maintained in standard case files and on index cards.

**Retrievability:** The system is indexed by name and subject category and retrieved by use of a card file index.

**Safeguards:** This system of records is maintained at DEA Headquarters which is protected by twenty-four hour guard service and electronic surveillance. Access to the building is restricted to DEA employees and those persons transacting business within the building who are escorted by DEA employees. In addition, all records contained in this system are stored in GSA approved security containers. Access to the System is restricted to authorized DEA personnel with Secret Clearance or above.

**Retention and disposal:** The Records contained in this system are currently retained for an indefinite period.

**System manager(s) and address:** Assistant Administrator for Intelligence; Drug Enforcement Administration, 1405 I Street NW., Washington, D.C. 20537.

**Notification procedure:** Inquiries should be addressed to Freedom of Information Unit, Drug Enforcement Administration, 1405 I Street NW., Washington, D.C. 20537. Inquiries should include inquirer's name, date of birth, and social security number.

**Record access procedures:** Same as Notification Procedure.

**Contesting record procedures:** Same as Notification Procedure.

**Record source categories:** A) Other Federal Agencies; B) State and local law enforcement agencies; C) Foreign law enforcement agencies; D) Confidential informants.

**Systems exempted from certain provisions of the act:** The Attorney General has exempted this system from subsections (c)(3) and (4), (d), (e)(1), (2) and (3), (e)(4)(G), (H), (e)(5) and (8), (f), (g), and (h) of the Privacy Act pursuant to 5 U.S.C. 552a(j) and (k). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553(b), (c), and (e) and have been published in the Federal Register.

**Office of Internal Security Records, JUSTICE/DEA-010:** A new routine use (E) is added to provide for the release of information to Federal, state and local governmental agencies who are conducting suitability for employment investigations on current or prospective employees. The new routine use has been italicized for public convenience. The text reprinted below reflects the new routine use.

#### JUSTICE/010

**System name:** Office of Internal Security Records.

**System location:** Drug Enforcement Administration, 1405 I Street NW., Washington, D.C. 20537. Also, field offices. See Appendix 1 for list of addresses.

**Categories of individuals covered by the system:** A) DEA employees, past and present; B) Applicants for employment with DEA; C) Drug offenders, alleged drug offenders, and persons suspected of drug offenses; D) Offenders, alleged offenders, and persons suspected of committing Federal and state crimes broadly characterized as corruption or integrity offenses; E) Confidential informants; F) Witnesses; G) Non-implicated persons with pertinent knowledge of circumstances or aspects with pertinent knowledge of circumstances or aspects of a case or suspect. These are pertinent references of fact developed by personal interview or third party interview and are recorded as a matter for which a probable need will exist.

**Categories of records in the system:** A) Investigative reports with supporting memoranda and work papers relating to investigations of individuals and situations. B) General files which include, among other things, supporting memoranda and work papers and miscellaneous memoranda relating to investigations of and the purported existence of situations and allegations about individuals. C) Audit and inspection reports of inspections of DEA offices, personnel, and situations. D) Zero files containing general correspondence and memoranda relating to the subject matter of the categories of individuals covered by the system.

**Authority for maintenance of the system:** Reorganization Plan No. 1 of 1968 and 5 U.S.C. 301.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Information contained in this system is provided to the following categories of users as a matter of routine uses for law enforcement and regulatory purposes: A. Other Federal law enforcement and regulatory agencies; B. State and local law enforcement and regulatory agencies; C. Foreign law,

enforcement agencies with whom DEA maintains liaison; D. The Department of State; E. The Department of Defense and Military Departments; F. U.S. Intelligence agencies concerned with drug enforcement; G. The United Nations; H. Interpol; I. To individuals and organizations in the course of investigations to elicit information.

In addition, disclosures are routinely made to the following categories for the purposes stated: A. To Federal agencies for national security clearance purposes and to Federal and state regulatory agencies responsible for the licensing or certification of individuals in the fields of pharmacy and medicine; B. To the Office of Management and Budget upon request in order to justify the allocation of resources; C. To state and local prosecutors for assistance in preparing cases concerning criminal and regulatory matters; D. To the news media for public information purposes; E. To Federal, State and local governmental agencies who are conducting suitability for employment investigations on current or prospective employees.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** These records are maintained in standard investigation folders.

**Retrievability:** These records are retrieved by use of a card index maintained alphabetically by employee name.

**Safeguards:** These records are maintained at DEA Headquarters which is protected by twenty-four hour guard service and electronic surveillance. Access to the building is restricted to DEA employees and those persons transacting business within the building who are escorted by DEA employees. Access to the system is restricted to employees of the Office of Internal Security and upper level management officials. The records are stored in safe-type combination lock file cabinets.

**Retention and disposal:** These records are maintained for 55 years.

**System manager(s) and address:** Chief Inspector, Drug Enforcement Administration, 1405 I Street NW., Washington, D.C. 20537.

**Notification procedure:** Inquiries should be addressed to Freedom of Information Unit, Drug Enforcement Administration, 1405 I Street NW., Washington, D.C. 20537. Inquiries should include inquirer's name, date of birth, and social security number.

**Record access procedures:** Same as Notification Procedure.

**Contesting record procedures:** Same as Notification Procedure.

**Record source categories:** A) DEA Investigations; B) Federal, State and local law enforcement agencies; C) Cooperating individuals.

**Systems exempted from certain provisions of the act:** The Attorney General has exempted this system from subsections (c)(3) and (4), (d), (e)(1), (2) and (3), (e)(4)(G), (H), (e)(5) and (8), (f), (g), (h) of the Privacy Act pursuant to 5 U.S.C. 552a(j) and (k). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553(b), (c) and (e) and have been published in the Federal Register.

**DEA Employee Profile System, JUSTICE/DEA-027:** The system manager for this system has changed. This change is reflected in the text reprinted below.

#### JUSTICE/DEA-027

**System name:** DEA Employee Profile System (DEPS)

**System location:** Drug Enforcement Administration, 1405 I Street NW., Washington, D.C. 20537.

**Categories of individuals covered by the system:** DEA employees.

**Categories of records in the system:** The following eight categories of information will be maintained in the system:

1. Personal identification



2. Work experience
3. Language & geographical areas
4. Formal education
5. Special skills
6. Record of training
7. Consideration for vacancies
8. Awards

**Authority for maintenance of the system:** This system is maintained to effectively place and assign employees to positions to further the mandates of the Comprehensive Drug Abuse Prevention and Control Act of 1970.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The records will be used principally by the Personnel Management Division. Selected data will be forwarded by this personnel section to the Career Development Board and operational units throughout DEA for the purpose of:

1. Identifying employees with particular skills or qualifications for assignment to special projects.
2. Identification of candidates for overseas assignments who have specific language skills.
3. Insuring that the Career Development Board will be reviewing the entirety of an applicant's background.
4. Calculating DEA's human resources on hand and to project more accurately future resource needs and capabilities.

Information from this system will not be disseminated outside of DEA.

Release of information to the National Archives and Records Service: A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** These records will be maintained on magnetic tape and a disk storage device.

**Retrievability:** The information in this system can be retrieved by the individual's name, special skills information, special knowledge information or by some combination of the above information.

**Safeguards:** The records of the system will be maintained at DEA Headquarters which is protected by twenty-four hour guard service and electronic surveillance. Access to the building is restricted to DEA employees and those transacting business within the building who are escorted by DEA employees. In addition, the area where the tapes and disks are stored is a secured area and access is restricted to those employees who have business in the area and those non-DEA people who are transacting business within the area and escorted by a DEA employee. Inquiries to the system are only made by the written request of the Chief, Personnel Management Division.

**Retention and disposal:** Records in this system are retained as long as the individual is employed by DEA.

**System manager(s) and address:** Chief, Personnel Management Division, Drug Enforcement Administration, 1405 I Street NW., Washington, D.C. 20537.

**Notification procedure:** Inquiries should be addressed to Freedom of Information Unit, Drug Enforcement Administration, 1405 I Street NW., Washington, D.C. 20537. Inquiries should include inquirer's name, date of birth, and social security number.

**Record access procedures:** Same as Notification Procedure.

**Contesting record procedures:** Same as Notification Procedure.

**Record source categories:**

1. DEA employee
2. Servicing personnel Office
3. The Justice Uniform Personnel System (Juniper)

**Systems exempted from certain provisions of the act:** None.

The following Drug Enforcement Administration (DEA) list of record location addresses has been revised:

#### JUSTICE/DEA-999

DEA Appendix 1—List of record location addresses. Copies of all or part of any system of records published by the Drug Enforcement Administration pursuant to 5 U.S.C. 552a may be maintained at the DEA field offices listed below. However, procedures for processing inquiries concerning DEA systems of records have been centralized in DEA Headquarters. Inquiries concerning all DEA systems of records should be addressed to:

Freedom of Information Unit

Drug Enforcement Administration  
1405 I Street, NW.,  
Washington, D.C. 20537.

#### Drug Enforcement Administration Field Offices Region 1

Boston regional Office  
JFK Federal Building  
Room G-64  
Boston, Massachusetts 02203

Portland District Office  
U.S. Courthouse Building  
156 Federal Street  
P.O. Box 451  
Portland, Maine 04111

Burlington District Office  
P.O. Box 327  
Essex Junction, Vermont 05452  
Concord District Office  
Federal Building & Post Office  
55 Pleasant Street  
P.O. Box 1314  
Concord, New Hampshire 03301

Providence District Office  
Post Office & Federal Building  
Room 232  
Exchange Terrace  
Providence, Rhode Island 02903

Hartford District Office  
450 Maine Street  
Room 628-E  
Hartford, Connecticut 06103

#### Region 2

New York Regional Office  
555 West 57th Street  
New York, New York 14202

Buffalo District Office  
268 Main Street, Suite 300  
Buffalo, New York, 14202

Long Island District Office  
2 Huntington Quadrangle  
Melville, New York 11746

Montreal District Office  
P.O. Box 65, Postal Station  
Disjardins  
Consulate General of the U.S.A.  
Montreal, Quebec, Canada HSB 161

Rouses Point District Office  
P.O. Box 38  
Rouses Point, New York 12979

Albany District Office  
Leo W. O'Brien Federal Building  
Clinton Avenue & Pear Street,  
Room 746  
Albany, New York 12207

JFK Airport District Office  
P.O. Box 361  
JFK Airport Station  
Jamaica, New York 11430



Toronto District Office  
U.S. Consulate General  
360 University Avenue  
Toronto, Canada M5G 1S4

Newark District Office  
Federal Office Building  
970 Broad Street  
Newark, New Jersey 07101

New York DEA Drug Task Force  
555 West 57th Street  
Suite 1700  
New York, New York 10019

## Region 3

Philadelphia Regional Office  
William J. Green Federal Building  
600 Arch Street  
Room 10224  
Philadelphia, Pennsylvania 19106

Pittsburgh District Office  
Federal Building  
1000 Liberty Avenue  
Room 2306  
Pittsburgh, Pennsylvania 15222

Wilmington District Office  
Courthouse, Customs House &  
Federal Office Building  
844 King Street  
Room 5305  
Wilmington, Delaware 19801

Baltimore District Office  
955 Federal Building  
31 Hopkins Plaza  
Baltimore, Maryland 21201

Charleston District Office  
22 Capital Street P.O. Box 1146  
Charleston, West Virginia 25324

Greensboro District Office  
925 West Market Street  
Room 111  
Greensboro, North Carolina 27401

Norfolk District Office  
870 North Military Highway  
Suite 301  
Norfolk, Virginia 23502

Washington District Office  
400 Sixth Street S.W.  
Room 2558  
Washington, D.C. 20024

Wilmington District Office  
3909-D Oleander Drive  
Lambe Young Building  
Wilmington, North Carolina 28401

## Region 5

Miami Regional Office  
8400 N.W. 53rd Street  
Miami, Florida 33166

Atlanta District Office  
United Family Life Building  
230 Houston Street, N.E.  
Suite 200  
Atlanta, Georgia 30303

Charleston District Office  
334 Meeting Street  
Room 630  
Charleston, South Carolina 29403

Columbia District Office  
2611 Forest Drive  
P.O. Box 702  
Columbia, South Carolina 29202

Jacksonville District Office  
4077 Woodcock Drive  
Suite 210  
Jacksonville, Florida 32207

Orlando District Office  
235 Whooping Loop  
Altomonte Springs, Florida 32701

San Juan District Office  
Housing Investment Building  
Suite 154  
416 Ponce de Leon Avenue  
Hato Rey, Puerto Rico 00919

Savannah District Office  
430 Mall Boulevard  
Suite C  
Savannah, Georgia 31406

Tampa District Office  
700 Twiggs Street  
Suite 400  
Tampa, Florida 33602

West Palm Beach District Office  
701 Clematis Street  
Room 253  
West Palm Beach, Florida 33402

Kingston District Office  
DEA/Justice  
U.S. Embassy, Kingston, Jamaica  
c/o Dept. of State  
Washington, D.C. 20502

## Region 6

Detroit Regional Office  
357 Federal Building  
231 West Lafayette  
Detroit, Michigan 48226

Cleveland District Office  
601 Rockwell  
Room 300  
Cleveland, Ohio 44114

Cincinnati District Office  
Federal Office Building  
550 Main Street  
P.O. Box 1196  
Cincinnati, Ohio 45201



Columbus District Office  
Federal Office Building  
85 Marconi Boulevard  
Room 120  
Columbus, Ohio 43215

Grand Rapids District Office  
310 Federal Building, U.S.  
Courthouse  
110 Michigan NW  
Grand Rapids, Michigan 49502

## Region 7

Chicago Regional Office  
1800 Dirksen Federal Building  
219 South Dearborn Street  
Chicago, Illinois 60604

Indianapolis District Office  
575 N. Pennsylvania  
Room 267  
Indianapolis, Indiana 46204

Milwaukee District Office  
Federal Building & U.S.  
Courthouse  
517 East Wisconsin  
Room 232  
Milwaukee, Wisconsin 53202

Springfield District Office  
2nd Floor, Edwards Building  
528 S. 5th Street  
Springfield, Illinois 62701

Hammond District Office  
Federal Building  
507 State Street  
Room 407  
Hammond, Indiana 46302

## Region 8

New Orleans Regional Office  
1001 Howard Avenue, Suite 1800  
New Orleans, Louisiana 70113

Birmingham District Office  
236 Goodwin Crest  
Suite 520  
Birmingham, Alabama 35209

Little Rock District Office  
One Union National Plaza  
Suite 850  
Little Rock, Arkansas 72201

Shreveport District Office  
500 Fanin Street  
Federal Building, U.S. Courthouse  
Room 8A20  
P.O. Box 105  
Shreveport, Louisiana 71102

Jackson District Office  
First Federal Building  
525 East Capitol Street  
P.O. Box 22631  
Jackson, Mississippi 39205

Nashville District Office  
U.S. Courthouse Annex  
Room 929  
8th & Broadway  
P.O. Box 1189  
Nashville, Tennessee 37202

Memphis District Office  
Federal Building  
167 North Main Street  
Room 401  
Memphis, Tennessee 38103

Baton Rouge District Office  
4560 North Boulevard  
Suite 118  
Baton Rouge, Louisiana 70806

Mobile District Office  
2 Office Park  
Suite 216  
Mobile, Alabama 36609

## Region 10

Kansas City Regional Office  
1150 Grand Avenue Suite 400  
Kansas City, Missouri 64106

Des Moines District Office  
U.S. Courthouse  
P.O. Box 1784  
Des Moines, Iowa 50309

Duluth District Office  
Federal Building, U.S. Courthouse  
515 West First Street  
P.O. Box 620  
Duluth, Minnesota 55801

Minneapolis District Office  
Federal Building  
110 South Fourth Street  
Room 402  
Minneapolis, Minnesota 55401

Omaha District Office  
New Federal Building  
215 North 17th Street  
P.O. Box 661  
Downtown Station  
Omaha, Nebraska 68101

Fargo District Office  
657 2nd Avenue  
Room 225  
Fargo, North Dakota 58102

Sioux Falls District Office  
400 S. Philips  
Room 309  
Sioux Falls, South Dakota 57102

St. Louis District Office  
Suite 300  
Chromalay Plaza  
120 S. Central Avenue  
St. Louis, Missouri 63105

Wichita District Office



202 West First Street  
Room 505  
Wichita, Kansas 67201

## Region 11

Dallas Regional Office  
1880 Regal Row  
Dallas, Texas 75235

Brownsville District Office  
2100 Boca Chica Boulevard  
Suite 305  
Brownsville, Texas 78520

Corpus Christi District Office  
723 Upper N. Broadway  
P.O. Box 2443  
Corpus Christi, Texas 78403

Del Rio District Office  
3605 Highway 90, West  
P.O. Drawer 1247  
Del Rio, Texas 78840

Eagle Pass District Office  
342 Rio Grande Street  
Room 102  
Eagle Pass, Texas 78852

El Paso District Office  
4110 Rio Bravo  
Suite 100  
El Paso, Texas 79902

Houston District Office  
1540 Esperson Building  
815 Walker Street  
Houston, Texas 77002

Laredo District Office  
P.O. Drawer 2307  
Laredo, Texas 78041

Midland District Office  
100 East Wall Street  
P.O. Drawer 2668  
Midland, Texas 79701

McAllen District Office  
3017 N. 10th Street  
P.O. Box 338  
McAllen, Texas 78501

Oklahoma City District Office  
Old Federal Building  
215 N.W. 3rd Street  
Room 250  
Oklahoma City, Oklahoma 73102

San Antonio District Office  
4th Floor, 1800 Central Building  
1802 N.E. Loop 410  
San Antonio, Texas 78217

Tulsa District Office  
333 W. 4th Street  
Room 3335  
Tulsa, Oklahoma 74103

Austin District Office

55 N. Interregional Highway  
P.O. Box 8  
Austin, Texas 78767

Lubbock District Office  
3302 67th Street  
Building No. 2  
Lubbock, Texas 79413

## Region 12

Denver Regional Office  
U.S. Customs House  
Room 336  
P.O. Box 1860  
Denver, Colorado 80201

Cheyenne District Office  
Federal Center  
2120 Capitol Avenue  
Room 8020  
Cheyenne, Wyoming 82001

Albuquerque District Office  
First National Bank Building East  
5301 Central Avenue, N.E.  
Albuquerque, New Mexico 87108

Las Cruces District Office  
P.O. Box 399  
Las Cruces, New Mexico 88001

Phoenix District Office  
Valley Bank Center  
Suite 1980  
201 North Central  
Phoenix, Arizona 85073

Tucson District Office  
Tucson International Airport  
P.O. Box 27063  
Tucson, Arizona 85726

San Luis District Office  
P.O. Box 445  
San Luis, Arizona 85349

Nogales District Office  
P.O. Box 39  
Mile Post 41/2  
U.S. Highway 89  
Nogales, Arizona 85621

Douglas District Office  
2130 15th Street  
P.O. Drawer 1119  
Douglas, Arizona 85607

Salt Lake City District Office  
Federal Building  
125 South State Street  
Room 2218  
Salt Lake City, Utah 84138

## Region 13

Seattle Regional Office  
221 1st Avenue West  
Suite 200  
Seattle, Washington 98119



Anchorage District Office  
Loussac-Sogn Building  
429 D Street  
Room 306  
Anchorage, Alaska 99501

Blaine District Office  
170 C Street  
P.O. Box 1680  
Blaine, Washington 98230

Eugene District Office  
Federal Building  
211 East 7th Avenue  
Room 230  
Eugene, Oregon 97401

Boise District Office  
American Reserve Building  
2404 Bank Drive  
Suite 212  
Boise, Idaho 83705

Great Falls District Office  
1111 14th Street South  
P.O. Box 2887  
Great Falls, Montana 59403

Portland District Office  
Terminal Sales Building  
Suite 706  
1220 S.W. Morrison  
Portland, Oregon 97205

Spokane District Office  
U.S. Courthouse  
920 W. Riverside  
P.O. Box 1504  
Spokane, Washington 99210

Vancouver, B.C. District Office  
DEA/Justice  
American Consulate General  
1199 West Hastings Street  
Vancouver, B.C., Canada V6E2Y4

#### Region 14

Los Angeles Regional Office  
350 South Giguero Street  
Suite 800  
Los Angeles, California 90017

San Francisco District Office  
Federal Building  
Room 12425  
San Francisco, California 94102

San Diego District Office  
402 West 35th Street  
National City, California 92050

Calexico District Office  
640 C Imperial Avenue  
P.O. Box 728  
Calexico, California 92231

Las Vegas District Office  
Federal Building and U.S.  
Courthouse  
300 Las Vegas Boulevard South

P.O. Box 16023  
Las Vegas, Nevada 89101

Fresno District Office  
2110 Merced Street  
Room 203  
Fresno, California 93721

Honolulu District Office  
300 Ala Moana Boulevard  
Honolulu, Hawaii 96815

Tecate District Office  
Port of Entry-Tecate  
P.O. Box 67  
Tecate, California 92080

Sacramento District Office  
P.O. Box 255097  
Sacramento, California 95825

Guam District Office  
P.O. Box 2137  
Agana, Guam 96910

#### Region 15

Mexico City Regional Office  
DEA/Justice  
American Embassy  
Apartado Postal 88 Bis  
Mexico 1, D.F., Mexico

Guadalajara District Office  
DEA/Justice  
American Consulate General  
Apartado Postal 1-1 Bis  
Guadalajara, Jalisco, Mexico

Hermosillo District Office  
DEA/Justice  
American Consulate General  
Apartado Postal 972  
Hermosillo, Sonora, Mexico

Mazatlan District Office  
DEA/Justice  
American Consulate  
Apartado Postal 321  
Mazatlan, Sinaloa, Mexico

Merida District Office  
SAIC Department of State  
Washington, D.C. 20521

Monterrey District Office  
DEA/Justice  
c/o Department of State  
Washington, D.C. 20521

San Jose District Office  
DEA/Justice  
American Embassy  
APO N.Y., N.Y. 09883

Guatemala District Office  
American Embassy  
APO N.Y., N.Y. 09891

#### Region 16



Bankok Regional Office  
Drug Enforcement Administration  
American Embassy  
APO San Francisco, California 96346

Chiang Mai District Office  
Drug Enforcement Administration  
Box C  
APO San Francisco 96348

Hong Kong District Office  
DEA/Justice  
American Consulate General  
Box 30  
FPO San Francisco, California 96659

Kuala Lumpur District Office  
DEA/Justice  
Department of State  
Kuala Lumpur  
Washington, D.C. 20520

Vientiane District Office  
DEA/Justice  
APO San Francisco, California 96352

Singapore District Office  
DEA/Justice  
FPO San Francisco, California 96699

DEA/Justice  
APO San Francisco, California 96243

Songkhla District Office  
DEA/Justice  
American Consulate  
APO San Francisco, California 96346

Manila District Office  
DEA/Justice  
American Embassy  
APO San Francisco, California 96528

Jakarta District Office  
DEA/Justice  
American Embassy  
APO San Francisco, California 96356

Taipei District Office  
DEA/Justice  
American Embassy  
APO San Francisco, California 96263

Sukiran/Okinawa District Office  
DEA/Justice  
P.O. Box 792  
APO San Francisco, California 96331

Tokyo District Office  
DEA/Justice  
American Embassy  
APO San Francisco, California 96503

Seoul District Office  
DEA/Justice ext. 4260  
American Embassy  
APO San Francisco, California 96301

#### Region 17

Paris Regional Office

DEA/Justice  
American Embassy  
APO New York, New York 09777

Marseilles District Office  
DEA/Justice  
American Embassy (m)  
APO New York, New York 09777

Vienna District Office  
DEA/Justice  
American Embassy Vienna  
Department of State  
Washington, D.C. 20520

Brussels District Office  
DEA/Justice  
American Embassy  
APO New York, New York 09667

London District Office  
DEA/Justice  
American Embassy  
Box 40  
FPO New York, New York 09510

Ankara District Office  
DEA/Justice  
American Embassy  
APO New York, New York 09254

Istanbul District Office  
DEA/Justice  
American Consulate General  
APO New York, New York 09224

Izmir District Office  
DEA/Justice  
American Consulate General  
APO New York, New York 09224

Beirut District Office  
DEA/Justice  
Department of State Pouch Mail  
Washington, D.C. 20520

Kabul District Office  
DEA/Kabul  
Department of State Pouch Mail  
Washington, D.C. 20520

Tehran District Office  
DEA/Justice  
American Embassy  
Box 2000  
APO New York, New York 09205

Islamabad District Office  
DEA/Islamabad  
Department of State Pouch Mail  
Washington, D.C. 20520

New Delhi District Office  
DEA/Delhi  
Department of State Pouch Mail  
Washington, D.C. 20520

Karachi District Office  
DEA/Karachi  
Department of State Pouch Mail  
Washington, D.C. 20520



Bonn District Office  
DEA/Justice  
American Embassy  
Box 290  
APO New York, New York 09080

Frankfurt District Office  
DEA/Justice  
American Consulate General  
APO New York, New York 09757

Nice District Office  
DEA/Justice  
American Embassy (N)  
APO New York, New York 09777

Rabat District Office  
DEA/Justice  
U.S. Embassy B.P. 120  
Rabat, Morocco

Cairo District Office  
DEA/Justice  
American Embassy  
Cairo, Egypt

Hamburg District Office  
DEA/Justice  
American Consulate General  
Box 2  
APO New York, New York 09069

Munich District Office  
DEA/Justice  
American Consulate General  
APO New York, New York 09180

Rome District Office  
DEA/Justice  
Consulta 301  
APO New York, New York 09794

Copenhagen District Office  
DEA/Justice  
American Embassy  
APO New York, New York 09170

Milan District Office  
DEA/Justice  
American Consulate General  
APO New York, New York 09698

The Hague District Office  
DEA/Justice  
American Consulate General  
APO New York, New York 09159

Madrid District Office  
DEA/Justice  
American Embassy  
APO New York, New York 09285

Barcelona District Office  
DEA/Justice  
American Consulate General  
APO New York, New York 09285

South American Region  
Caracas District Office  
DEA/Justice  
American Embassy  
APO New York, New York 09893

Buenos Aires District Office  
DEA/Justice  
American Embassy  
APO New York, New York 09871

Ascuncion District Office  
DEA/Justice  
American Embassy

Ascuncion Paraguay  
Department of State Pouch Mail  
Washington, D.C. 20520

Bogota District Office  
DEA/Justice  
American Embassy  
APO New York, New York 09676

Brazilia District Office  
DEA/Justice  
American Embassy  
APO New York, New York 09676

Guayaquil District Office  
DEA/Justice  
U.S. Consulate  
Guayaquil, Ecuador  
Department of State Pouch Mail  
Washington, D.C. 20520

La Paz District Office  
DEA/Justice  
American Embassy  
APO New York, New York 09867

Lima District Office  
DEA/Justice  
American Embassy  
APO New York, New York 09865

Montevideo District Office  
DEA/Justice  
American Embassy  
Montevideo, Uruguay  
Department of State Pouch Mail  
Washington, D.C. 20520

Panama District Office  
DEA/Justice  
American Embassy  
P.O. Box 2016  
Balboa, Canal Zone

Quito District Office  
DEA/Justice  
American Embassy  
Quito, Ecuador  
Department of State Pouch Mail  
Washington, D.C. 20520

Santiago District Office  
DEA/Justice  
American Embassy  
APO New York, New York 09869

Regional Laboratories  
Special Testing & Research Lab  
Watergate Research Park  
7704 Old Springhouse Road  
McLean, Virginia 22101

Mid Atlantic Regional Lab  
460 New York Avenue, N.W.  
Washington, D.C. 20537

Northeast regional Lab  
555 West 57th Street  
New York, New York 10019

Southeast Regional Lab  
5205 N. W. 84th Avenue  
Miami, Florida 33166

North Central Regional Lab  
500 U.S. Customs House  
610 South Canal Street  
Chicago, Illinois 60607

South Central Regional Lab  
1114 Commerce Street  
Room 1020  
Dallas, Texas 75202

Southwest Regional Lab  
410 West 35th Street



National City, California 92050  
Western Regional Lab  
450 Golden Gate Avenue  
Box 46075  
San Francisco, California 92102

Ottawa Office  
DEA/Justice  
U.S. Embassy  
100 Wellington Street  
Ottawa, Ontario, Canada  
KIP-STI

Special Project Division  
Aircraft Section  
(Addison Texas)  
DEA/Justice  
P.O. Box 534

El Paso Intelligence Center  
2211 E. Missouri  
Suite 200  
El Paso, Texas 79903

Field Offices of Inspection  
Northeast Field Office of  
Internal Security  
Suite 208  
222 South Marginal Road  
Fort Lee, New Jersey 07024

Western Field Office of Internal  
Security  
P.O. Box 807  
Main Office  
Los Angeles, California 90053

South Central Field Office of  
Internal Security  
P.O. Box 907  
Addison, Texas 75001

North Central Field Office of  
Internal Security  
219 S. Dearborn  
Room 422

Chicago, Illinois 60604

Southeast Field Office of  
Internal Security  
P.O. Box 660316

Miami Springs, Florida 33166

Mid-Atlantic Field Office of  
Internal Security  
1325 K Street N.W.  
Washington, D.C. 20537

Bureau Mailing Lists, JUSTICE/FBI-003: This system is revised to more accurately describe the nature of the system. The modifications to the system notice constitute amplifications rather than an alternation or expansion of the scope of the records. The clarifications incorporated into the system notice have been italicized for public convenience. The text reprinted below reflects these modifications.

#### JUSTICE/FBI-003

System name: Bureau Mailing Lists.

System location: Federal Bureau of Investigation, J. Edgar Hoover Bldg., 10th and Pennsylvania Ave., NW., Washington, D.C. 20535, 59 field divisions and 13 Legal Attaches (See Appendix to 002).

Categories of individuals covered by the system: *With regard to lists maintained at FBI Headquarters*, individuals who have requested receipt of Bureau material and who meet established criteria (basically law enforcement or closely related areas). *With regard to lists maintained in field divisions or Legal Attaches*, individuals and organizations who may be in position to furnish assistance to the FBI's law enforcement efforts.

Categories of records in the system: Name, address and business affiliation, if appropriate.

Authority for maintenance of the system: Title 5 U.S. Code, Section 301 and Title 44, U.S. Code, Section 3101.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For mailing of FBI material whenever necessary. For example, various fugitive publications are furnished to local law enforcement agencies.

Release of information to the news media: Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

Release of information to Members of Congress: Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the member's behalf when the member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

Release of information to the National Archives and Records Service: A record from this system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Computerized and 3 x 5 index card. *In field divisions most mailing lists are maintained on addressograph.*

Retrievability: ID number in computer, alphabetically for card index and addressograph.

Safeguards: *Computer records are maintained in limited access space by the Technical Services Division. Manual records are likewise maintained in limited access space and available only to FBI personnel.*

Retention and disposal: Revised on a monthly basis. *In field divisions the lists are revised at least annually and when necessary.*

System manager(s) and address: Director, FBI, Washington, D.C. 20535.

Notification procedure: Director, FBI, Washington, D.C. 20535

Record access procedures: Inquiry addressed to Director, FBI, Washington, D.C. 20535.

Contesting record procedures: Same as the above.

Record source categories: *The mailing list information is based either on information supplied by the individual or public source data.*

Systems exempted from certain provisions of the act: None.

Electronic Surveillance (Elsur Indices), JUSTICE/FBI-006: This system is revised to more accurately describe the nature of the system. The modifications to the system notice constitute amplifications rather than an alteration or expansion of the scope of the records. The clarifications incorporated into the system notice have been italicized for public convenience. The text reprinted below reflects these modifications.

#### JUSTICE/FBI-006

System name: Electronic Surveillance (Elsur) Indices.

System location: Federal Bureau of Investigation, J. Edgar Hoover Bldg., 10th and Pennsylvania Ave., NW., Washington, D.C. 20535. *Those field offices which have conducted electronic surveillances also maintain this index. See appendix to System 002.*

Categories of individuals covered by the system: Individuals who have been the targets of direct electronic surveillance coverage by the FBI, who have participated in conversations monitored by an FBI electronic installation, *or who have been known to have owned, leased, or licensed premises on which the FBI has conducted an electronic surveillance, and names of persons mentioned during conversations between persons being monitored. Not all field office electronic surveillance indices contain this last category of individuals.*

Categories of records in the system: The Elsur Indices are maintained on 3 x 5 cards, where set forth the name of each person monitored or the proprietor of premises on which an electronic surveillance was conducted by the FBI since January 1, 1960, a source number to identify the electronic surveillance, the date the conversation occurred, the location of the field office which conducted the monitoring, and the address and/or telephone number where the electronic surveillance occurred.

Authority for maintenance of the system: The Elsur Indices were initiated in October, 1966, at the instructions of the Department of Justice. The authority for the maintenance of these records is Title 5, Section 301, USC, which grants the Attorney General the authority



to issue rules and regulations prescribing how Department of Justice information can be employed. Title 18, USC, Section 2519, also sets forth recordkeeping requirements.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Elsur Indices are utilized: (1) To respond to judicial inquiries about possible electronic surveillance coverage of witnesses, defendants, or attorneys involved in Federal court proceedings, and (2) To enable the Government to certify whether a person regarding whom court-order authority is being sought for electronic coverage has ever been so covered in the past. The actual users of the indices are always employees of the FBI.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** The records are maintained manually on 3 x 5 cards.

**Retrievability:** Names are indexed alphabetically. Telephones monitored are indexed by telephone number. Locations monitored in which a person has been known to have a proprietary interest are indexed by address.

**Safeguards:** They are maintained in a guarded room at all times, with a special locking system for off-duty hours when they are not in use.

**Retention and disposal:** Until advised to the contrary by the Department, the courts or Congress, these indices will be maintained indefinitely.

**System manager(s) and address:** Director, Federal Bureau of Investigation, Washington, D.C. 20535.

**Notification procedure:** Same as the above.

**Record access procedures:** Inquiry addressed to Director, FBI, Washington, D.C. 20535.

**Contesting record procedures:** Same as the above.

**Record source categories:** See Category of Individual.

**Systems exempted from certain provisions of the act:** The Attorney General has exempted this system from subsections (c) (3) and (4), (d), (e) (1), (2) and (3), (e)(4) (G), (H), (e) (5) and (8), (f), (g) and (m) of the Privacy Act pursuant to 5 U.S.C. 552a (j). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553 (b), (c) and (e) and have been published in the Federal Register.

**Controlled Substance Act Nonpublic Records, JUSTICE/OMF-002:** Revisions to this system of records effect editorial changes which are necessary because of a recent internal reorganization. Other minor editorial corrections are also reflected in the text reprinted below.

#### JUSTICE/OMF-002

**System name:** Controlled Substances Act Nonpublic Records.

**System location:** U.S. Department of Justice, 10th and Constitution Avenue NW., Washington, D.C. 20530.

**Categories of individuals covered by the system:** Persons who have been convicted for the first time of violating Section 404(a) of the Controlled Substances Act (Public Law 91-513), i.e. persons who have knowingly or intentionally possessed a controlled substance except as authorized by the act.

**Categories of records in the system:** Arrest records of law enforcement agencies, which include personal data, photographs, fingerprints, copies of court orders, DOJ-330 Request for Non-Public Records and/or DOJ-329 Certificate of Expungement.

**Authority for maintenance of the system:** This system is established and maintained in accordance with the Controlled Substances Act, Public Law 91-513 Sec. 404, 21 U.S.C. 844.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** These records are retained by the Department of Justice and are available only to a Federal court upon a Federal court order issued to the Attorney General demanding such records for use by said court in determining whether or not a person qualified under Public Law 91-513 Sec. 404(b), 21 U.S.C. 844(b).

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** These records are stored in locked file cabinets.

**Retrievability:** These records are indexed by the name of the offender.

**Safeguards:** Access to these records is restricted to the Chief, Records Maintenance and Disposition Section and the assistant to the Chief.

**Retention and disposal:** Although these records will ultimately be destroyed by shredding, the establishment of a disposal schedule is still pending.

**System manager(s) and address:** Director, Administrative Programs Management Staff, Office of Management and Finance, U.S. Department of Justice, 10th & Constitution Avenue NW., Washington, D.C. 20530.

**Notification procedure:** Same as the System Manager.

**Record access procedures:** Same as the System Manager.

**Contesting record procedures:** Same as the System Manager.

**Record source categories:** Law enforcement agencies and courts.

**Systems exempted from certain provisions of the act:** The Attorney General has exempted the system from subsection (d) of the Privacy Act pursuant to 5 U.S.C. 552a(j)(2). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553 (b), (c) and (e) and have been published in the Federal Register.

**Department of Justice Payroll System, JUSTICE/OMF-003:** Revisions to this system of records effect changes in the system location and system manager(s) and address categories which are necessary because of a recent internal reorganization. In addition, other minor editorial changes are reflected in the text reprinted below.

#### JUSTICE/OMF-003

**System name:** Department of Justice Payroll System.

**System location:** Categories of records within the Payroll System of Records are kept at the following locations: (1) Justice Employee Data Service; 425 I Street, N.W.; Washington, D.C. 20530; (2) Justice Data Management Service; 425 I Street, N.W.; Washington, D.C. 20530; (3) at various time and attendance recording and processing stations around the world; (4) at computerized record off-site backup facilities; and (5) at various Federal Records Centers.

**Categories of individuals covered by the system:** (1) Current DOJ employees with the exception of those employed within the FBI and; (2) Many past DOJ employees with the exception of those that served within the FBI.

**Categories of records in the system:** A. Payroll Master Employee Records: These are machine-readable records containing information on current pay and leave status for individuals serviced by the automated payroll accounting system.

B. Bond, Allotment and Check Mailing Records: These are machine-readable records containing information on Savings Bond de-



ductions, savings account allotments, and net check mailing requested by the employee.

**C. History of Earning Records:** These are machine-readable records containing information on earnings, leave and other pay related activities during a two-year period.

**D. Automated Retirement Records:** These are machine-readable records containing information relevant to the Civil Service Retirement System. These records will be used to automatically generate Individual Retirement Records (SF-2806) upon an employee's separation.

**E. Revised Social Security Numbers Records:** These are machine-readable records containing the new and old social security number for employees whose current social security number is different from that previously entered into the automated system.

**F. Employee Pay Records:** These are manila folders containing all source documents, correspondence and other papers in support of an active employee's pay, leave and allowances.

**G. Active Retirement Records:** These are manual records maintained on active employees to facilitate timely compliance with requirements of the Civil Service Retirement System. Upon separation, the original SF-2806 is forwarded to the Civil Service Commission and a copy is filed in the Employee Pay Record (F above). This category of records will eventually be replaced by the automated retirement records (D above).

**H. Former Employee Pay Records:** These records are the Employee Pay Records (F above) for employees that have been separated, transferred or retired. In addition to information contained in the Employee Pay Records, these records include information related to the retirement, separation or transfer.

**I. Employee Death Records:** These records are the Employee Pay Records (F above) for employees that died while on active duty with Department of Justice. In addition to information contained in the Employee Pay Records, these records include information related to the employee's death and the settlement of pending pay and allowances.

**J. Returned Check Records:** These records are a manual log for recording and controlling checks issued to employees that were returned to the Justice Employee Data Service because they were undelivered, erroneous or cancelled prior to conversion to cash.

**K. Time and Attendance Report:** These records contain information on an employee's attendance and use of leave in a particular pay period. They are also used to indicate leave adjustments and balances. The standard form number is DOJ-296.

**Authority for maintenance of the system:** The head of each executive agency is responsible for establishing and maintaining an adequate payroll system, covering pay, leave, and allowances, as a part of the system of accounting and internal control of the Budget and Accounting Procedures Act of 1950, as amended, 31 U.S.C. 66, 66a and 200(a).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Purpose: The purpose of each use of categories of records within the DOJ Payroll System of Records is to enable the administration of the payroll function and related financial matters in accordance with applicable laws and regulations and to comply with the requirements of the Comptroller General.

#### System Uses:

**A.** Authorize, prepare and document payment to all Department employees covered by the DOJ Payroll System entitled to be paid, with consideration given to all authorized deductions from gross pay.

**B.** Specify and document proper disposition of all authorized deductions from gross pay.

**C.** Prepare adequate and reliable payroll reports needed for (1) management, (2) budget, (3) support of payments, (4) the conduct and accounting of payroll related employee services, (5) control and documentation of payroll system operation, and (6) to meet external reporting requirements.

**D.** Support effective communications on payroll matters between the Department of Justice and its present and former employees.

**E.** Support proper coordination of pay, leave and allowance operations with personnel functions and other related activities.

**F.** Support adequate control over all phases and segments of the payroll system including leave accounting.

**G.** Support appropriate integration of the payroll system with the Departmental accounting systems.

**H.** Records maintained in this system shall include providing a copy of an employee's Department of the Treasury Form W-2, Wage and Tax Statement of the State, City, or other local jurisdiction which is authorized to tax the employee's compensation. The record will be provided in accordance with a withholding agreement be-

tween the State, City, or other local jurisdiction and the Department of the Treasury pursuant to 5 USC 5516, 5517, and 5520 or in the absence thereof, in response to a written request from an appropriate official of the taxing jurisdiction to the System Manager listed below. The request must include a copy of the applicable statute authorizing the taxation of compensation and should indicate whether the authority of the jurisdiction to tax the employee is based on place of residence, place of employment, or both. However, the social security numbers will only be provided to state or local taxing authorities which meet the criteria of the Privacy Act.

**I.** Provide permanent record of actions taken pertinent to the administration of pay leave and allowances.

**J.** Support legal investigations of suspected fraud.

**Categories of Users:** Records are accessed by users on a need or right to know basis. A category of users may have potential access under more than one use above.

**A.** Present or former employees serviced by the DOJ Payroll System.

**B.** Justice Employees Data Service Staff.

**C.** Department of the Treasury disbursing offices.

**D.** Department of Justice budget and accounting offices.

**E.** Department of Justice personnel offices.

**F.** Employee supervisors.

**G.** Employee administrative offices.

**H.** Federal, state and local taxing authorities.

**I.** Federal Employees Health Benefits carriers.

**J.** Employee organization offices participating in dues allotment program.

**K.** Financial organizations participating in savings account allotment program.

**L.** Financial organizations participating in net pay to checking account program.

**M.** State human resource offices administering unemployment compensation programs.

**N.** General Accounting Office and internal audit staffs.

**O.** Federal, state or local law enforcement agencies (in support of legal investigations of suspected fraud).

**P.** Other Federal agencies requiring information as specified in applicable laws or regulations, e.g., Civil Service Commission.

**Q.** Heirs, executors and legal representatives of beneficiaries.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 C.F.R. 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service.** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Various categories of records are stored on different mediums. Categories A, B and E are on magnetic discs. Categories C and D are on magnetic tape. All other records are maintained in paper form.

**Retrievability:** Categories of records on magnetic media are retrievable by employee social security number which is maintained to comply with Internal Revenue requirements. Records in paper form are retrievable by employee name and social security number.

**Safeguards:** The principal current safeguard for payroll records is guard force screening of individuals entering buildings within which records are kept. More stringent security practices and procedures are under development.

**Retention and disposal:** Payroll records retention and disposal are in accordance with General Schedule 2 promulgated by the General Services Administration.

**System manager(s) and address:** Director; Central Management Services Staff; Office of Management and Finance; U.S. Department of Justice; 10th and Constitution Avenue, N.W., Washington, D.C. 20530.



**Notification procedure:** A request for notification of the existence of records upon an individual shall be made in writing by the individual or legal designate, with the envelope and the letter clearly marked 'Privacy Notification Request'. Include in the request the name of the system of records, the individual's full name and social security number while employed with the Department of Justice, the organization within which employed (if available), and whether the individual is a current or former employee. The requestor shall include a return address for the notification response. If the request is submitted by other than the subject individual, indicate the authority under which the information is sought. The request must be signed by the subject individual and, if applicable, by the legal designee. Address inquiries to the System Manager.

**Record access procedures:** A request for access to records from this system shall be made in writing by the subject individual or legal designee, with the envelope and the letter clearly marked 'Privacy Access Request'. Include in the request the name of the system of records, the legal name and social security number of the data subject, the organization within which the individual is a current or former employee. The requestor shall also provide a return address for transmitting the information. Access requests will be directed to the System Manager listed above.

**Contesting record procedures:** Individuals desiring to contest or amend information maintained in the system of records should direct their request to the System Manager listed above, stating clearly and concisely what information is being contested, the reason for contesting it, and the proposed amendment to the information sought. If the request is submitted by other than the subject individual, indicate the authority under which the information is sought. The request must be signed by the subject individual and, if applicable, by the legal designee.

**Record source categories:** Information contained within the DOJ Payroll System of Records is obtained from the following sources:

A. **Subject Individual:** Information collected from the subject individual generally consists of that necessary to administer allotments, deductions or other services requested by the individual.

B. **Personnel Office:** Information collected from the personnel office generally consists of employment status information which provides the legal basis upon which valid payments are computed.

C. **Time and Attendance Clerk:** Information collected from this clerk generally consists of an accounting of the individual's presence or absence from the duty station and the usage of leave.

D. **Supervisor or Administrative Officer:** Information collected from these officers generally consists of leave authorizations and information concerning the individual's duty station.

E. **Financial Institutions or Employee Organizations:** Information collected from institutions or organizations generally consists of that necessary to insure the timely and accurate forwarding to the institution or organization of monies allotted to an account at the institution or organization by the subject individual.

F. **Previous Federal Employer:** Information collected from the previous employer within the Federal government generally consists of leave status information at the time of separation.

G. **Other Federal Agencies:** Information collected from other Federal agencies generally consists of program information necessary to properly administer pay, leave, and allowances.

H. **Other Officials:** Information collected from other officials consists of that necessary to administer the payroll function. This may include authorization for special payments, death certificate or other documents as necessary.

**Systems exempted from certain provisions of the act:** None.

Legal and General Administration Accounting System JUSTICE/OMF-007: The system manager for this system has changed. This change is reflected in the text reprinted below.

#### JUSTICE/OMF-007

**System name:** Legal and General Administration Accounting System (LAGA).

**System location:** U.S. Department of Justice; 10th and Constitution Avenue, N.W.; Washington, D.C. 20530.

**Categories of individuals covered by the system:** All individuals who submit vouchers requesting payment for goods or services rendered, except payroll vouchers for DOJ employees. These include vendors, contractors, experts, witnesses, court reporters, travelers, relocated employees, etc.

**Categories of records in the system:** All vouchers paid except payroll vouchers for DOJ employees.

**Authority for maintenance of the system:** The system is established and maintained in accordance with the Budget and Accounting Pro-

cedures Act of 1950 as amended 31 U.S.C. 66(a) and 31 U.S.C. 200(a).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** After payment of the vouchers, the accounting data is used for the purpose of internal management reporting and external reporting to agencies such as OMB, U.S. Treasury, and the GAO.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 C.F.R. 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Prior to FY 76, voucher files were maintained alphabetically by payee's name. After FY 76, vouchers are filed by batch, controlled by schedule on which paid.

**Retrievability:** Information is retrieved primarily by using the name of the payee.

**Safeguards:** Information contained in the system is unclassified. It is safeguarded in accordance with organizational rules and procedures.

**Retention and disposal:** The payment documents are retained at this location for three fiscal years (current year and two prior years). The records are then shipped to a Federal Records Center for storage in accordance with the General Record Schedule published by the General Services Administration.

**System manager(s) and address:** Director; Financial Management Staff; Office of Management and Finance; U.S. Department of Justice; 10th & Constitution Avenue, N.W.; Washington, D.C. 20530.

**Notification procedure:** Same as the System Manager.

**Record access procedures:** Same as the System Manager.

**Contesting record procedures:** Same as the System Manager.

**Record source categories:** Submitted by the payee involved.

**Systems exempted from certain provisions of the act:** None.

**Security Clearance Information System (SCIS), JUSTICE/OMF-008:** Revisions to this system of records effect changes to the storage, retrievability, and system manager(s) and address categories. The storage and retrievability categories are revised to reflect that certain information will be stored and retrieved from a data base which has been reported by the Civil Service Commission for systems of records maintained by all Federal agencies on personnel matters. The system manager(s) and address category is revised to reflect a change which occurred as a result of a recent internal reorganization. Changes are incorporated in the text reprinted below. The changes to the storage and retrievability categories have been italicized for public convenience.

#### JUSTICE/OMF-008

**System name:** Security Clearance Information System (SCIS).

**System location:** U.S. Department of Justice; 10th and Constitution Avenue, N.W.; Washington, D.C. 20530.

**Categories of individuals covered by the system:** Current employees of the Department of Justice (excluding FBI) who have been investigated and cleared for employment, and for access to data classified for National Security reasons; B. Former employees of the Department of Justice (excluding FBI) who had been investigated and cleared for employment and for access to data classified for National Security reasons (maintained for a maximum of two years from date of termination).

**Categories of records in the system:** The system contains two sub-systems; (a) a Clearance Index Reference Record for identifying the individuals in Categories of Individuals above listing the status of the investigations, the dates of clearances, level of clearances and when appropriate, dates of termination of employment; and (b) a Character



File containing (1) Standard form 86 (U.S. Civil Service Commission), Security Investigation Data for Sensitive Position; (2) Copies of investigative reports from the Civil Service Commission and/or Federal Bureau of Investigation; (3) Correspondence related to the request for the investigation, results of the investigation, and clearance approvals for access to classified national security information and waivers; and (4) other information relating to the trustworthiness of the employee.

**Authority for maintenance of the system:** The system is established and maintained in accordance with Presidential Executive Orders 10450 (clearance for Federal employment) and 12065 (access to data classified for National Security reasons).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** (a) The investigative material compiled in this system is used for the purpose of determining the suitability, eligibility and/or qualifications of applicants for employment in the Department of Justice (except the FBI) and for sensitive positions involving access to classified information. In the event of employee transfers to other Government Agencies, this information could be reviewed by investigators of the gaining agency to expedite the employees transfer if necessary.

(b) The clearance status of the employees is certified to security officials and investigators of other U.S. Government Agencies or Departments, for liaison purposes involving access to classified material during meetings, conferences or training courses.

(c) The personal data in the system is reviewed by Central Intelligence Agency for the purposes of granting Special Intelligence access clearances to Department employees. These clearances are within the purview of the Director, Central Intelligence.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 C.F.R. 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system or records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Information contained on the Clearance Index Reference Record has been added to the automated Department of Justice personnel data base which has been reported by the Civil Service Commission under the designation of CSC/GOVT-3 at 42 FR 48738 on September 23, 1977. In conjunction with the manual Character File, the automated data is used to certify clearances on DOJ employees.

**Retrievability:** All data is retrieved by searching under the employee's name/social security account number/organization/type of clearance.

**Safeguards:** Information contained in the system is unclassified. It is safeguarded and protected in accordance with Departmental rules and procedures governing the protection of personnel records.

**Retention and disposal:** Clearance Index Reference Record cards are maintained for the tenure of employment and for a maximum of two years after termination. An employee's Character File is maintained for the tenure of employment at which time the investigation reports are returned to the investigating agency or destroyed by shredding.

**System manager(s) and address:** Director; Administrative Programs Management Staff; Office of Management and Finance; U.S. Department of Justice; 10th and Constitution Avenue N.W., Washington, D.C. 20530.

**Notification procedure:** Same as the System Manager.

**Record access procedures:** A request for access to a record from his system shall be made in writing, with the envelope and the letter clearly marked "Privacy Access Request". Include in the request the name, title and organization of the employee and the general subject matter of the inquiry. The requestor will also provide a return address for transmitting a reply. Access requests will be directed to the System Manager listed above.

**Contesting record procedures:** Individuals desiring to contest or amend information maintained in the system should direct their request to the System Manager listed above, stating clearly and concisely what information is being contested, the reasons for contesting it, and the proposed amendment to the information sought.

**Record source categories:** Sources of information contained in this system are (a) applicants for employment and employees in the Department of Justice (except FBI) and (b) those individuals (informants) contacted by the Investigators for the Civil Service Commission and Special Agents of the Federal Bureau of Investigation who furnished information in the background investigation.

**Systems exempted from certain provisions of the act:** The Attorney General has exempted this system from sections (d)(1) of the Privacy Act pursuant to 5 U.S.C. 522a (k)(5). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 533 (b), (c) and (e) and have been published in the Federal Register.

**Justice Data Management Service Center Utilization Report, JUSTICE/OMF-009:** Revisions to this system of records effect changes in the system name, system location and system manager(s) and address categories which are necessary because of a recent internal reorganization. In addition, other minor editorial changes are reflected in the text printed below.

#### JUSTICE/OMF-009

**System name:** Justice Data Management Service Center Utilization Report.

**System location:** Justice Data Management Service Center; 425 I Street NW., Washington, D.C. 20530.

**Categories of individuals covered by the system:** Personnel submitting computer jobs to run at the Justice Data Management Service.

**Categories of records in the system:** The data describes the recourse utilization of the individual jobs submitted. Certain information is also recorded which pertains to the entire computer system rather than individual jobs.

**Authority for maintenance of the system:** These records are kept for administrative convenience pursuant to 5 U.S.C. 301.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Information is used to recover costs associated with running computer jobs, to analyze the utilization of the Justice Data Management Service computer systems, detect inefficiencies and areas having high potential benefit from optimization.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member or staff requesting the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Utilization reports are provided to a designated manager for each organization which uses the Justice Data Management Service.

**Retrievability:** Information may be retrieved by name of the individual submitting computer runs.

**Safeguards:** The machine readable (magnetic tape) data is kept in the Justice Data Management Service tape library. Utilization reports are controlled by the designated individual of each using agency.

**Retention and disposal:** The machine readable data is kept indefinitely. Utilization reports are controlled by the designated individual of each using agency.

**System manager(s) and address:** Director; Central Management Services Staff; U.S. Department of Justice; Office of Management and



Finance; 10th and Constitution Avenue NW., Washington, D.C. 20530.

**Notification procedure:** Same as above.

**Record access procedures:** A request for access to a record from this system may be made in person or in writing, specifying the name of the individual submitting a computer run and the date and name of the computer run.

**Contesting record procedures:** Requests for correction should be addressed to the System Manager.

**Record source categories:** Information is collected by the IBM 360/370 Operating System and program modules developed by personnel of the Department of Justice.

**Systems exempted from certain provisions of the act:** None.

Document Information System (DIS), JUSTICE/OMF-010: Revisions to this system of records effect changes in the system name, system location and system manager(s) and address categories which necessary because of a recent internal reorganization. In addition, other minor editorial changes are reflected in the text reprinted below.

#### JUSTICE/OMF-010

**System name:** Document Information System. (DIS)

**System location:** U.S. Department of Justice; 10th and Constitution Avenue N.W., Washington, D.C. 20530.

**Categories of individuals covered by the system:** Employees of the Department of Justice who have been designated by the Attorney General as authorized to classify documents. Employees of the Department of Justice who have been delegated classifying authority by designation of the Attorney General. Individuals (mostly aliens) about whom documents exist which have been classified in the interest of national security.

**Categories of records in the system:** The system contains records of all documents classified by Department of Justice employees. The system also contains a record on all Department of Justice employees (from January 1, 1973 to present) who have or have had the authority to classify documents.

**Authority for maintenance of the system:** The system was established and is maintained pursuant to Executive Order 11652.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The system is routinely used by the Interagency Classification Review Committee, the Department of Justice Security Staff, and the Department of Justice Review Committee.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 C.F.R. 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Information is stored in machine readable form on magnetic tape. A copy of the data capture form is maintained in the originating office for two weeks, then destroyed. The original data capture form is maintained at the Department until data contained therein has been successfully processed, then the form is destroyed.

**Retrievability:** Information is retrieved in any form for all routine uses. Information may be retrieved for non-routine uses with the approval of the Director, Central Management Services Staff, and in accordance with the provisions of the Privacy Act.

**Safeguards:** Access to information contained in the system is controlled by the Director, Central Management Services Staff. Access is normally limited to routine users and members of the Central Management Services Staff, on a "need-to-know" basis.

**Retention and disposal:** Records contained in the system are retained indefinitely. The system of records is never purged and no disposal schedule is required.

**System manager(s) and address:** Director; Central Management Services Staff; Office of Management and Finance; U.S. Department of Justice; 10th and Constitution Avenue, N.W., Washington, D.C. 20530.

**Notification procedure:** Address inquiries to the System Manager.

**Record access procedures:** Same as the System Manager.

**Contesting record procedures:** Same as the System Manager.

**Record source categories:** Employees of the Department who have been designated by the Attorney General as classifying officials and employees who have been delegated classifying authority.

**Systems exempted from certain provisions of the act:** None.

Justice Data Management Service Center Tape Library System, JUSTICE/OMF-011: Revisions to this system of records effect changes in the system name, system location and system manager(s) and address categories which are necessary because of a recent internal reorganization. In addition, other minor editorial changes are reflected in the text reprinted below.

#### JUSTICE/OMF-011

**System name:** Justice Data Management Service Center Tape Library System.

**System location:** Justice Data Management Service; 425 I Street, N.W.; Washington, D.C. 20530.

**Categories of individuals covered by the system:** Personnel submitting computer jobs which create magnetic tape data sets.

**Categories of records in the system:** The data describes the contents of the magnetic tape volumes.

**Authority for maintenance of the system:** These records are kept for administrative convenience pursuant to 5 U.S.C. 301.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Information is used to control and protect the data recorded on magnetic tapes.

**Release of information to the news media:** Information permitted to be released to news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Reports can be obtained by any Justice Data Management Service user by submitting a computer job requesting the report.

**Retrievability:** Information can be obtained by name of the individual who submitted the job which created the tape resident data sets.

**Safeguards:** The machine readable data is kept within the Justice Data Management Service. Reports are controlled by the tape librarian and by the individuals receiving the reports.

**Retention and disposal:** Reports are controlled by the tape librarian and by the individuals receiving the reports.

**System manager(s) and address:** Director; Central Management Services Staff; U.S. Department of Justice; Office of Management and Finance; 10th and Constitution Avenue, N.W.; Washington, D.C. 20530.

**Notification procedure:** Same as the System Manager.

**Record access procedures:** A request for access/correction to a record from this system may be made in person or in writing specifying the serial number of the tape in question. Request should be addressed to the System Manager.

**Contesting record procedures:** Same as the above.



**Record source categories:** Information is collected by the IBM 360/370 Operating System and other program modules.

**Systems exempted from certain provisions of the act:** None.

**Inter-Divisional Information System (IDIS), JUSTICE/OMF-016** (a non-operational, deactivated system): Revisions to this system of records effect changes in the system location and system manager(s) and address categories which are necessary because of a recent internal reorganization. In addition, other minor editorial changes are reflected in the text reprinted below.

#### JUSTICE/OMF-016

**System name:** Inter-Divisional Information Systems (IDIS) (a non-operational, deactivated system).

**System location:** U.S. Department of Justice; 10th and Constitution Avenue NW.; Washington, D.C. 20530.

**Categories of individuals covered by the system:** Individuals who were allegedly involved or connected with civil disturbances or other activities.

**Categories of records in the system:** IDIS, consisted of two subsystems. The incident subsystem was used to establish a record of various events, such as meetings and demonstrations. The subject subsystem provides individual's names, biographical sketches, and organizational affiliation(s). Some cross referencing between the two subsystems exists.

**Authority for maintenance of the system:** The system is currently being maintained by reason of an agreement between the Department of Justice and members of the Committee on the Judiciary of the United States Senate.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** There are no present or anticipated operational uses of IDIS records by Department of Justice personnel. IDIS material is exclusively used to respond to inquiries from citizens who are the subject of the files and also may be used by litigants involved in court proceedings.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Information is maintained on index cards and computer produced reports stored in locked cabinets.

**Retrievability:** Index cards of the subject subsystem are filed alphabetically by individual name.

**Safeguards:** IDIS information is maintained in secured storage devices in a locked room, rendered inaccessible for any operational use and accessible by a limited number of employees who must respond to Freedom of Information Act requests and to decrees in court proceedings.

**Retention and disposal:** IDIS information will be maintained until such time as the legislative and executive branches of government agree to its disposal.

**System manager(s) and address:** Director; Central Management Services Staff; U.S. Department of Justice; Office of Management and Finance; 10th and Constitution Avenue NW., Washington, D.C. 20530.

**Notification procedure:** Address inquiries to the System Manager.

**Record access procedures:** Access to information maintained in IDIS will be granted in accordance with the procedures set forth in 28 CFR 16.41. Requests for access will be directed to the System Manager.

**Contesting record procedures:** Requests for correction of IDIS data will be processed in accordance with the procedures set forth in 28

CFR 16.53. Requests for correction will be directed to the System Manager.

**Record source categories:** Information in IDIS was derived from FBI reports and teletypes; U.S. Attorney Offices; the Bureau of Alcohol, Tobacco, and Firearms; U.S. Department of Treasury; citizen complaints; wire service clippings; and articles in periodicals.

**Systems exempted from certain provisions of the act:** None.

**Department of Justice Controlled Parking Records, JUSTICE/OMF-017:** Revisions to this system of records effect editorial changes which are necessary because of a recent internal reorganization. Other minor editorial corrections are also reflected in the text reprinted below.

#### JUSTICE/OMF-017

**System name:** Department of Justice Controlled Parking Records.

**System location:** U.S. Department of Justice; 10th Street and Constitution Avenue, N.W., Washington, D.C. 20530.

**Categories of individuals covered by the system:** Department of Justice employees who have applied for vehicle parking space which is assigned and controlled by the Department of Justice, per Department of Justice Order 2540.2D, Dec. 20, 1977.

**Categories of records in the system:** This system contains copies of Form DOJ-362, Department of Justice Parking Space Application (DOJ Space), and Form DOJ-OT-60, Department of Justice Parking Space Application (DOJ Carpool Space), which have been completed and submitted by Department of Justice employees.

**Authority for maintenance of the system:** This system is established and maintained in accordance with Federal Energy Office (FEO) memorandum of January 17, 1974, Federal Management Circular 74-1 of January 21, 1974, and Federal Energy Office memorandum of April 5, 1974, as reflected in Federal Property Management (Temporary) Regulation D-47 of May 22, 1974. Operating procedures are contained in Department of Justice Order 2450.2D, Dec. 20, 1977.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** These records are used to assign, identify and control the use of vehicle parking space for which the Department of Justice is responsible.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** These records are stored in a locked file cabinet.

**Retrievability:** These records are indexed alphabetically, by the last name of the applicant, within the organizational element.

**Safeguards:** Information contained in this system is unclassified and is disseminated on a need to know basis by the Office of the Director, Administrative Programs Management Staff, Office of Management and Finance.

**Retention and disposal:** Although these records are currently retained as long as applicants remain as employees of the Department of Justice, the establishment of a disposal schedule is still pending.

**System manager(s) and address:** Director; Administrative Programs Management Staff; Office of Management and Finance; U.S. Department of Justice; 10th Street and Constitution Avenue, N.W.; Washington, D.C. 20530.

**Notification procedure:** Same as System Manager.

**Record access procedures:** Same as System Manager.

**Contesting record procedures:** Same as System Manager.

**Record source categories:** Applications from employees.

**Systems exempted from certain provisions of the act:** None.



Executive Clemency Files, JUSTICE/OPA—001: The Office of the Pardon Attorney proposes to add one new routine use, modify another routine use, and delete a portion of the address of the Office of the Pardon Attorney and make other minor editorial changes to the system of records.

The new routine use will enable the Pardon Attorney to refer to any governmental agency for appropriate action information from Executive clemency files which indicates a violation or apparent violation of law, whether civil, criminal or regulatory in nature. The routine use pertaining to the preparation of public notices reflecting the President's action in clemency matters has been expanded to permit the release of information concerning denial of petitions of general public interest. Recitation of the system location street address has been omitted to avoid misinformation in view of the anticipated relocation of the Office of the Pardon Attorney in the coming year. The new and modified routine uses have been italicized for public convenience.

While retained in the Office of the Pardon Attorney, U.S. Department of Justice, Executive Clemency Files are files of the President of the United States which are compiled and maintained to provide for the exercise of his constitutional responsibilities pursuant to Article II, Section 2, and are not subject to the provisions of the Privacy Act of 1974. However, in compliance with the spirit of the Act, the Office of the Pardon Attorney (1) hereby notifies the public that guidelines approved by the President for handling requests for Executive clemency and disclosure of materials submitted or furnished in connection with Executive clemency are published in 28 CFR Part I and (2) publishes the following amended system notice.

#### JUSTICE/OPA—001

**System name:** Executive Clemency Files.

**System location:** Office of the Pardon Attorney; U.S. Department of Justice; Washington, D.C. 20530.

**Categories of individuals covered by the system:** Applicants for Executive clemency.

**Categories of records in the system:** The system contains the individual petitions for Executive clemency (OPA-6 or 6-15) submitted by the applicants and accompanying oath and character affidavits (DOJ-1973-06), investigatory material, evaluative reports, inter-agency and intra-agency correspondence and memoranda relating to individual petitions for clemency. The system includes Presidential Clemency Board files transferred to the Office of the Pardon Attorney upon termination of the Board's existence on September 15, 1975.

**Authority for maintenance of the system:** The system is established and maintained in accordance with the United States Constitution, Article II, section 2, Executive Order of the President dated June 16, 1893, Order No. 288-62, 27 FR 11002, November 10, 1962, as codified in 28 CFR 1.1 et seq. and E.O. 11878 dated September 10, 1975.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Executive clemency files are used to (a) enable the Attorney General to investigate each petition for Executive clemency, to review each petition and information developed by his investigation thereof and to advise the President whether, in his judgment, the request for clemency is of sufficient merit to warrant favorable action by the President; (b) prepare notices to the public of the name of each grantee of clemency, date of Presidential action, nature of clemency granted, nature of grantee's offense, date and place of sentencing, description of sentence imposed, and names of character affiants and interested members of congress; and *disclose similar information to that specified above with respect to denials of general public interest if the disclosure does not constitute an unwarranted invasion of privacy*; (c) prepare bound and indexed volumes containing photocopies of the official warrant of clemency granted each recipient of clemency as a public and official record of Presidential action; (d) upon request of the President and members of his staff, to make available to them individual clemency files; (e) upon specific request, to advise the requestor whether a named person has applied for, been granted or denied clemency, the date thereof and the nature of the clemency granted or denied; (f) upon specific request, to make closed files available for historical research purposes when in the public interest and in conformity with Department of Justice policy; and (g) *upon request or otherwise, to make any information which indicates a violation or apparent violation of law, whether civil, criminal or regulatory in nature, available to the appropriate agency, whether Federal, state, local or foreign.*

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by

the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Information maintained in the system is stored in the Office of the Pardon Attorney and in Archives.

**Retrievability:** Information is retrieved by reference to the file number assigned to the name of each applicant for clemency.

**Safeguards:** Information contained in the system is safeguarded and protected in accordance with Department of Justice Rules Governing Petitions for Executive Clemency, specifically, 28 CFR 1.6. Executive clemency files are maintained in the Office of the Pardon Attorney and are not commingled with Department of Justice records.

**Retention and disposal:** Records are stored in the Office of the Pardon Attorney and closed cases are transferred to the Federal Archives Records Center when five years old. Except for the "letter of advice" furnished to the President in connection with clemency applications and Presidential responses and cases designated by the Pardon Attorney as having significant public interest, records are destroyed after 25 years.

**System manager(s) and address:** Pardon Attorney; Office of the Pardon Attorney; Department of Justice; Washington, D.C. 20530.

**Notification procedure:** Address inquiries to the Pardon Attorney; Department of Justice; Washington, D.C. 20530.

**Record access procedures:** While the Attorney General has exempted Executive Clemency files from the access provisions of the Privacy Act, requests for discretionary releases of records contained in the system shall be made in writing with the envelope and letter clearly marked "Privacy Access Request." Include in the request the general subject matter of the document and the name of the clemency applicant in whose file it is contained. The requestor will also provide a return address for transmitting the information. Access requests will be directed to the System Manager listed above.

**Contesting record procedures:** While the Attorney General has exempted Executive Clemency files from the correction (contest and amendment) provisions of the Privacy Act, requests for the discretionary correction (contest and amendment) of records contained in this system should be directed to the System Manager listed above, stating clearly and concisely what information is being contested, the reasons for contesting it and the proposed amendment to the information sought.

**Record source categories:** Sources of information contained in this system are the individual applicants for clemency, Federal Bureau of Investigation or other official investigatory reports, Bureau of Prisons records, armed forces reports, probation or parole reports and reports from individual or non-Federal organizations, both solicited and unsolicited.

**Systems exempted from certain provisions of the act:** The Attorney General has exempted this system from subsection (d) of the Privacy Act pursuant to 5 U.S.C. 552a(j)(2). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553 (b), (c) and (e) and have been published in the Federal Register.

The following systems of records maintained by the Bureau of Prisons are revised to reflect a change in the retention and disposal periods from ten years after expiration of the subject inmate's sentence to thirty years after expiration of a sentence. The longer retention period is needed from time to time in order to continue providing information concerning former prison inmates to those former inmates, their families, governmental agencies, and under certain circumstances, to the general public. The texts reprinted below reflect this modification.

#### JUSTICE/BOP-001

**System name:** Custodial and Security Record System.



**System location:** Records may be retained at any of the Bureau's facilities, the Regional Offices and the Central Office. All requests for records may be made to the Central Office: U.S. Bureau of Prisons, 320 First Street NW., Washington, D.C. 20534.

**Categories of individuals covered by the system:** Current and former inmates under the custody of the Attorney General.

**Categories of records in the system:** (Conduct Records; 2) Escape Information; 3) Assault Information; 4) Disturbance Information; 5) Investigative Reports; 6) Social Data; 7) Bus Movements; 8) Transfers; 9) Emergency Plans; 10) Daily Activity Sheet; 11) Intelligence Information; 12) Segregation Reports and Log Book; 13) Special Offender List; 14) Physical Health Data; 15) Personal Property Records; 16) Identification and Sentence Data; 17) Records of Work and Housing Assignments; 18) Visiting and Mail Records; 19) Confidential Informant Information from Inmates, Staff, and Others; 20) Work and Study Release Information; 21) FBI Referral Record; 22) Rectal and X-ray Examination Record; 23) Phone Call Record.

**Authority for maintenance of the system:** This system is established and maintained under authority of 18 U.S.C. 4003, 4042, 4082.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of this system are (a) to provide information source and documented records of the protection given Federal inmates, and security in Federal penal facilities; (b) to provide information source to officers and employees of the Department of Justice who have a need for the information in the performance of their duties; (c) to provide information source to state and federal law enforcement officials for investigations, possible criminal prosecutions, civil court actions, or regulatory proceedings; (d) to provide information source for responding to inquiries from federal inmates involved or Congressional inquiries; (e) to provide information source for contracting or consulting correctional agencies who provide services to federal inmates; (f) to provide information relating to federal offenders to federal and state courts, court personnel, and probation officials.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Information maintained in the system is stored on documents.

**Retrievability:** Documents are indexed by name and/or register number.

**Safeguards:** Information is safeguarded in accordance with Bureau of Prisons rules governing access and release.

**Retention and disposal:** Records in this system are retained for a period of thirty (30) years after expiration of sentence, then destroyed by shredding.

**System manager(s) and address:** Chief, Management and Information Systems Group; U.S. Bureau of Prisons; 320 First Street NW., Washington, D.C. 20534.

**Notification procedure:** Address inquiries to: Director; Bureau of Prisons, 320 First Street NW., Washington, D.C. 20534. The major part of this system is exempt from this requirement under 5 U.S.C. 552a (j). Inquiries concerning this system should be directed to the System Manager listed above.

**Record access procedures:** The major part of this system is exempt from this requirement under 5 U.S.C. 552a (j). To the extent that this system of records is not subject to exemption, it is subject to access and contest. A determination as to exemption shall be made at the time a request for access is received.

**Contesting record procedures:** Same as the above.

**Record source categories:** 1) Inmates; 2) Federal Law Enforcement Agencies; 3) State and Federal Probation Services; 4) Non-Federal Law Enforcement Agencies; 5) Educational Institution (Study Release); 6) Relatives, friends, and other interested community individuals; 7) Former or Future Employers; 8) Evaluation, Observations, and Findings of Institutional Staff; 9) Foreign Law Enforcement Agencies.

**Systems exempted from certain provisions of the act:** The Attorney General has exempted this system from subsections (c)(3) and (4), (d), (e)(2) and (3), (e)(4)(H), (e)(8), (f) and (g) of the Privacy Act pursuant to 5 U.S.C. 552a (j). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553(b), (c) and (e) and have been published in the Federal Register.

#### JUSTICE/BOP—003

**System name:** Industrial Inmate Employment Record System.

**System location:** Records may be retained at any of the Bureau's facilities, the Regional Offices and the Central Office. All requests for records may be made to the Central Office: U.S. Bureau of Prisons, 320 First Street N.W., Washington, D.C. 20534.

**Categories of individuals covered by the system:** Current and former inmates under the custody of the Attorney General.

**Categories of records in the system:** 1) Inmate assignment records; 2) Time and Attendance Reports; 3) Work Performance Reports; 4) Payroll Records.

**Authority for maintenance of the system:** This system is established and maintained under authority of 31 U.S.C. 841; 18 U.S.C. 4002, 4121 et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of this system are: (a) to determine compensation of inmates pursuant to 18 U.S.C. 4002, 4126, 4125, 4121 et seq.; (b) to record employment history of an inmate within the Federal Prison Industries, Inc.; (c) to record disbursement of Federal Prison Industries, Inc., funds for payroll purposes; (d) to evaluate effectiveness of industrial training of inmates; (e) to evaluate authenticity of Federal Prison Industries, Inc., accounting records; (f) to provide information source to officers and employees of the Department of Justice who have need for information in the performance of their duties; (g) to provide information sources to state and federal law enforcement officials for investigations, possible criminal prosecution, civil court actions, or regulatory proceedings; (h) to provide information relating to federal offenders to federal and state courts, court personnel, and probation officials.

**Release of information to the news media:** Information permitted to be released to news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Information maintained in the system is stored on documents, magnetic tape, magnetic disk, tab cards, and microfilm.

**Retrievability:** 1) Documents, Tab Cards and Microfilm—Information is indexed by name and/or register number. 2) Magnetic Tape and Disk—information is indexed by Name, Register Number, Social Security Number, and FBI Number.

**Safeguards:** Information is safeguarded in accordance with Bureau of Prisons rule governing access and release.

**Retention and disposal:** Records in this system are retained for a period of thirty (30) years after expiration of sentence, then destroyed by shredding.

**System manager(s) and address:** Chief, Management and Information Systems Group; U.S. Bureau of Prisons; 320 First Street, N.W.; Washington, D.C. 20534.



**Notification procedure:** Address inquiries to: Director, Bureau of Prisons; 320 First Street, N.W.; Washington, D.C. 20534. The major part of this system is exempt from this requirement under 5 U.S.C. 552a (j). Inquiries concerning this system should be directed to the System Manager listed above.

**Record access procedures:** The major part of this system is exempt from this requirement under 5 U.S.C. 552a (j). To the extent that this system of records is not subject to exemption, it is subject to access and contest. A determination as to exemption shall be made at the time a request for access is received.

**Contesting record procedures:** Same as the above.

**Record source categories:** 1) The inmate; 2) Bureau of Prisons/Federal Prison Industries staff members; 3) U.S. Treasury Department.

**Systems exempted from certain provisions of the act:** The Attorney General has exempted this system from subsections (c)(3) and (4), (d), (e)(2) and (3), (e)(4)(H), (e)(8), (f) and (g) of the Privacy Act pursuant to 5 U.S.C. 552a (j). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553 (b), (c) and (e) and have been published in the Federal Register.

#### JUSTICE/BOP—004

**System name:** Inmate Administrative Remedy Record System.

**System location:** Records may be retained at any of the Bureau's facilities, the Regional Offices and the Central Office. All requests for records may be made to the Central Office: U.S. Bureau of Prisons, 320 First Street NW., Washington, D.C. 20534.

**Categories of individuals covered by the system:** Current and former inmates under the custody of the Attorney General.

**Categories of records in the system:** 1) ARS records include information on the current offense and sentence; 2) Prior criminal record; 3) Social background; 4) Institution adjustment; 5) Institution program data; 6) Medical information; 7) Personal property data.

**Authority for maintenance of the system:** The system is established and maintained under authority of 18 U.S.C. 4042.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of this system are: (a) to maintain records of receipt, processing and responses to grievances filed by inmates; (b) to provide source of information for reconsideration or amendment of Federal Prison System policy with regard to its operation; (c) to maintain source of information as to the exhaustion of administrative remedies for purposes of civil suits filed against the Federal Prison System by inmates; (d) to provide source of information for purposes of defending civil actions, filed against the Federal Prison System by inmates; (e) to provide source of information for statistical reports furnished to Federal Courts for purpose of determining effectiveness of the Administrative Remedy Program in reducing the backlog of cases in Federal Court; (f) furnished to employees of the Department of Justice who have a need for the information in the performance of their duties; (g) furnished to appropriate law enforcement authorities, state and federal, for investigation and possible criminal prosecution, civil court action, or regulatory proceedings.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Information maintained in the system is stored on documents.

**Retrievability:** Documents are indexed by name and/or register number.

**Safeguards:** Information is safeguarded in accordance with Bureau of Prisons rules governing access and release.

**Retention and disposal:** Records in this system are retained for a period of thirty (30) years after expiration of sentence, then destroyed by shredding.

**System manager(s) and address:** Chief, Management and Information Systems Group, U.S. Bureau of Prisons, 320 First Street NW., Washington, D.C. 20534.

**Notification procedure:** Address inquiries to: Director, Bureau of Prisons; 320 First Street NW., Washington, D.C. 20534. The major part of this system is exempt from this requirement under 5 U.S.C. 552a (j). Inquiries concerning this system should be directed to the System Manager listed above.

**Record access procedures:** The major part of this system is exempt from this requirement under 5 U.S.C. 552a (j). To the extent that this system of records is not subject to exemption, it is subject to access and contest. A determination as to exemption shall be made at the time a request for access is received.

**Contesting record procedures:** Same as the above.

**Record source categories:** 1) ARS records sources include inmates, employees; 2) U.S. Department of Justice and its Bureaus; 3) U.S. Courts.

**Systems exempted from certain provisions of the act:** The Attorney General has exempted this system from subsections (c) (3) and (4), (d), (e) (2) and (3), (e)(4)(H), (e)(8), (f) and (g) of the Privacy Act pursuant to 5 U.S.C. 552a (j). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553 (b), (c) and (e) and have been published in the Federal Register.

#### JUSTICE/BOP—005

**System name:** Inmate Central Records System.

**System location:** Records may be retained at any of the Bureau's facilities, the Regional Offices and the Central Office. All requests for records may be made to the Central Office: U.S. Bureau of Prisons; 320 First Street, N.W., Washington, D.C. 20534.

**Categories of individuals covered by the system:** Current and former inmates under the custody of the Attorney General.

**Categories of records in the system:** 1) Computation of sentence and supportive documentation; 2) Correspondence concerning pending charges, and wanted status, including warrants; 3) Requests from other federal and non-federal law enforcement agencies for notification prior to release; 4) Records of the allowance, forfeiture, withholding and restoration of good time; 5) Information concerning present offense, prior criminal background, sentence and parole from the U.S. Attorneys, the Federal Courts, and federal prosecuting agencies; 6) Identification data, physical description, photograph and fingerprints; 7) Order of designation of institution of original commitment; 8) Records and reports of work and housing assignments; 9) Program selection, assignment and performance adjustment/progress reports; 10) Conduct Records; 11) Social background; 12) Educational data; 13) Physical and mental health data; 14) Parole Board orders, actions and related forms; 15) Correspondence regarding release planning, adjustment and violations; 16) Transfer orders; 17) Mail and visit records; 18) Personal property records; 19) Safety reports and rules; 20) Release processing forms and certificates; 21) interview request forms from inmates; 22) General correspondence; 23) Copies of inmate court petitions.

**Authority for maintenance of the system:** This system is established and maintained under authority of 18 U.S.C. 4003, 4042, 4082.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of this system are (a) to provide documented records of the classification, care, subsistence, protection, discipline and programs, etc., of persons committed to the custody of the Attorney General; (b) to provide information source to officers and employees of the Department of Justice who have a need for the information in the performance of their duties; (c) to provide information source to state and federal law enforcement officials for investigations, possible criminal prosecutions, civil court actions, or regulatory proceedings; (d) to provide information source for disclosure of information that are matters solely of general public record, such as name, offense, sentence data, release date, and etc; (e) to provide information source for disclosure to contracting or consulting correctional agencies that provide correctional services for federal inmates; (f) to provide informational source for responding to inquiries from federal inmates involved or Congressional inquiries; (g) Internal Users—Employees of the Department of Justice who have a need to know information in the performance of their duties; (h) External Users—State and Federal



law enforcement officials for the purposes of investigation, possible criminal prosecution, civil court actions, and regulatory proceedings; state correctional agencies providing services to federal inmates; (i) to provide information relating to federal offenders to federal and state courts, court personnel, and probation officials.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Information maintained in the system is stored on documents, magnetic tape, magnetic disk, tab cards, and microfilm.

**Retrievability:** 1) Documents, Tab Cards and Microfilm—Information is indexed by name and/or register number. 2) Magnetic Tape and Disk—Information is indexed by Name, Register Number, Social Security Number, and FBI Number.

**Safeguards:** Information is safeguarded in accordance with Bureau of Prisons rule governing access and release.

**Retention and disposal:** Records in this system are retained for a period of thirty (30) years after expiration of sentence, then destroyed by shredding.

**System manager(s) and address:** Chief, Management and Information Systems Group, U.S. Bureau of Prisons, 320 First Street, N.W.; Washington, D.C. 20534.

**Notification procedure:** Address inquiries to: Director, Bureau of Prisons, 320 First Street, N.W.; Washington, D.C. 20534. The major part of this system is exempt from this requirement under 5 U.S.C. 552a (j). Inquiries concerning this system should be directed to the System Manager listed above.

**Record access procedures:** The major part of this system is exempt from this requirement under 5 U.S.C. 552a (j). To the extent that this system of records is not subject to exemption, it is subject to access and contest. A determination as to exemption shall be made at the time a request for access is received.

**Contesting record procedures:** Same as the above.

**Record source categories:** 1) Individual inmate, 2) Federal law enforcement agencies and personnel, 3) State and federal probation services, 4) Non-federal law enforcement agencies, 5) Educational institutions, 6) Hospital or medical sources, 7) Relative, friends and other interested individuals or groups in the community, 8) Former or future employers, 9) Evaluations, observations, reports, and findings of institution supervisors, counselors, boards and committees.

**Systems exempted from certain provisions of the act:** The Attorney General has exempted this system from subsections (c)(3) and (4), (d), (e)(2) and (3), (e)(4)(H), (e)(8), (f) and (g) of the Privacy Act pursuant to 5 U.S.C. 552a (j). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553 (b), (c) and (e) and have been published in the Federal Register.

#### JUSTICE/BOP-007

**System name:** Inmate Physical and Mental Health Record System.

**System location:** Records may be retained at any of the Bureau's facilities, the Regional Offices and the Central Office. All requests for records may be made to the Central Office: U.S. Bureau of Prisons, 320 First Street NW.; Washington, D.C. 20534.

**Categories of individuals covered by the system:** Current and former inmates under the custody of the Attorney General.

**Categories of records in the system:** 1) Medical history and examination (past and present); 2) Dental history and examination (past and present); 3) Medical information concerning deaths of inmates; 4) Offense; 5) Mental Health and Drug Abuse interview and testing data, generated in Bureau of Prisons; 6) Mental Health information

generated outside Bureau of Prisons by other corrections agencies, mental hospitals, private therapists, etc.; 7) Information as per 5 and 6 above on unsentenced individuals committed under Title 18, sections 4244 and 4246; 8) Mental Health Treatment progress notes and observations made by other staff members; 9) Urine surveillance reports of drug program participants.

**Authority for maintenance of the system:** The system is established and maintained under authority of 18 U.S.C. 4003, 4042, 4082.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of this system are: (a) to provide documented records of the diagnosis, treatment, and cure of illnesses of persons committed to the custody of the Attorney General pursuant to 18 U.S.C. 4082; (b) to provide documented records and background medical, mental, or dental history to contracting, or consulting physicians, psychologists and psychiatrists, and dentists, or other specialists, for diagnosis, treatment and cure of federal inmates; (c) to provide information source to officers and employees of the Department of Justice who have a need for the information in the performance of their duties; (d) to provide information source for disclosure to state and federal law enforcement officials for investigations, possible criminal prosecutions, civil court actions, or regulatory proceedings; (e) to provide information source for responding to inquiries from federal inmates or Congressional inquiries; (f) to provide information relating to federal offenders to federal and state courts, court personnel, and probation officials; (g) to provide medical information relevant to the treatment being provided by physicians, psychiatrists, psychologists, state and federal medical facility personnel, other medical agencies and etc., providing treatment for a pre-existing condition for ex-federal offenders.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Information maintained in the system is stored on documents, magnetic tape, magnetic disk, tab cards, and microfilm.

**Retrievability:** 1) Documents, Tab Cards, and Microfilm—Information is indexed by name and/or register number—2) Magnetic Tape and Disk—Information is indexed by name, register number, social security number, and FBI number.

**Safeguards:** Information is safeguarded in accordance with Bureau of Prisons rules governing access and release.

**Retention and disposal:** Records in this system are retained for a period of thirty (30) years after expiration of sentence, then destroyed by shredding.

**System manager(s) and address:** Chief, Management and Information Systems Group, U.S. Bureau of Prisons, 320 First Street NW.; Washington, D.C. 20534.

**Notification procedure:** Address inquiries to: Director, Bureau of Prisons, 320 First Street NW.; Washington, D.C. 20534. The major part of this system is exempt from this requirement under 5 U.S.C. 552a (j). Inquiries concerning this system should be directed to the System Manager listed above.

**Record access procedures:** The major part of this system is exempt from this requirement under 5 U.S.C. 552a (j). To the extent that this system of records is not subject to exemption, it is subject to access and contest. A determination as to exemptions shall be made at the time a request for access is received.

**Contesting record procedures:** Same as the above.

**Record source categories:** 1) Individual; 2) Hospital and/or medical sources; 3) Pre-sentence reports; 4) Other mental health care giving agencies; 5) Observation reports from other Bureau of Prisons staff.



Systems exempted from certain provisions of the act: The Attorney General has exempted this system from subsections (c)(3) and (4), (d), (e)(2) and (3), (e)(4)(H), (e)(8), (f) and (g) of the Privacy Act pursuant to 5 U.S.C. 552a (j). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553(b), (c) and (e) and have been published in the Federal Register.

#### JUSTICE/BOP—008

**System name:** Inmate Safety and Accident Compensation Record System.

**System location:** Records may be retained at any of the Bureau's facilities, the Regional Offices and the Central Office. All requests for records may be made to the Central Office: U.S. Bureau of Prisons, 320 First Street NW., Washington, D.C. 20534.

**Categories of individuals covered by the system:** Current and former inmates under the custody of the Attorney General.

**Categories of records in the system:** Inmate accident/injuries data sustained by: a) Work related accidents; b) Recreational injuries; c) Vehicle accidents; d) Assaults; e) Other non-work accident/injuries.

**Authority for maintenance of the system:** This system is established and maintained under authority of 18 U.S.C. 4042, 4126.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of this system are: (a) to provide a documented record of inmate accidents, injuries, for the purpose of measuring safety programs effectiveness; (b) to provide information source for compliance with the Occupational Safety and Health Act; (c) to provide documented records of inmate accidents, injuries, and disabilities for adjudication of claims by inmates filed pursuant to the Inmate Accident Compensation System, 18 U.S.C. 4126; Chapter III, Federal Prisons Industries, 28 CFR, Part 301; (d) furnished to employees of the Department of Justice who require information from these records for performance of their duty; (e) to provide background information and litigation reports to United States Attorneys for purpose of defending civil actions filed against the Bureau of Prisons; (f) furnished to consultant physicians, and physicians treating inmates following release from custody for the purpose of providing prior medical history in conjunction with further treatment of the individual inmate; (g) to provide documented records for disclosure to appropriate law enforcement authorities, state or federal, for investigation and possible criminal prosecution, civil court action, or regulatory proceeding; (h) to provide information source for responding to inquiries from the inmate involved or Congressional inquiries; (i) to provide information relating to federal offenders to federal and state courts, court personnel, and probation officials.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff request the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Information maintained in the system is stored on documents.

**Retrievability:** Documents are indexed by name and/or register number.

**Safeguards:** Information is safeguarded in accordance with Bureau of Prisons rules governing access and release.

**Retention and disposal:** Records in this system are retained for a period of thirty (30) years after expiration of sentence, then destroyed by shredding.

**System manager(s) and address:** Chief, Management and Information Systems Group, U.S. Bureau of Prisons, 320 First Street NW., Washington, D.C. 20534.

**Notification procedure:** Address inquiries to: Director Bureau of Prisons; 320 First Street NW., Washington, D.C. 20534. The major part of this system is exempt from this requirement under 5 U.S.C. 552a (j). Inquiries concerning this system should be directed to the System Manager listed above.

**Record access procedures:** The major part of this system is exempt from this requirement under 5 U.S.C. 552a (j). To the extent that this system of records is not subject to exemption, it is subject to access and contest. A determination as to exemptions shall be made at the time a request for access is received.

**Contesting record procedures:** Same as the above.

**Record source categories:** 1) ARS records sources include inmates, employees; 2) U.S. Department of Justice and its Bureaus; 3) U.S. Courts.

Systems exempted from certain provisions of the act: The Attorney General has exempted this system from subsections (c)(3) and (4), (d), (e)(2) and (3), (e)(4)(H), (e)(8), (f) and (g) of the Privacy Act pursuant to 5 U.S.C. 552a (j). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553(b), (c) and (e) and have been published in the Federal Register.

#### JUSTICE/BOP—005

**System name:** Inmate Safety and Accident Compensation Record System.

**System location:** Records may be retained at any of the Bureau's facilities, the Regional Offices and the Central Office. All requests for records may be made to the Central Office: U.S. Bureau of Prisons; 320 First Street, N.W.; Washington, D.C. 20534.

**Categories of individuals covered by the system:** Current and former inmates under the custody of the Attorney General.

**Categories of records in the system:** Inmate accident/injuries data sustained by: a) Work related accidents; b) Recreational injuries; c) Vehicle accidents; d) Assaults; e) Other non-work accident/injuries.

**Authority for maintenance of the system:** This system is established and maintained under authority of 18 U.S.C. 4003, 4042, 4082.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of this system are: (a) to provide a documented record of inmate accidents, injuries, for the purpose of measuring safety programs effectiveness; (b) to provide information source for compliance with the Occupational Safety and Health Act; (c) to provide documented records of inmate accidents, injuries, and disabilities for adjudication of claims by inmates filed pursuant to the Inmate Accident Compensation System, 18 U.S.C. 4126; Chapter III, Federal Prisons Industries, 28 C.F.R., Part 301; (d) furnished to employees of the Department of Justice who require information from these records for performance of their duty; (e) to provide background information and litigation reports to United States Attorneys for purpose of defending civil actions filed against the Bureau of Prisons; (f) furnished to consultant physicians treating inmates following release from custody for the purpose of providing prior medical history in conjunction with further treatment of the individual inmate; (g) to provide documented records for disclosure to appropriate law enforcement authorities, state or federal, for investigation and possible criminal prosecution, civil court action, or regulatory proceeding; (h) to provide information source for responding to inquiries from the inmate involved or Congressional inquiries; (i) to provide information relating to federal offenders to federal and state courts, court personnel, and probation officials.

**Release of information to the news media:** Information permitted to be released to news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.



**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Information maintained in the system is stored on documents, magnetic tape, magnetic disk, tab cards, and microfilm.

**Retrievability:** Documents are indexed by name and/or register number.

Information is safeguarded in accordance with Bureau of Prisons rule governing access and release.

**Retention and disposal:** Records in this system are retained for a period of thirty (30) years after expiration of sentence, then destroyed by shredding.

**System manager(s) and address:** Chief, Management and Information Systems Group; U.S. Bureau of Prisons; 320 First Street, N.W.; Washington, D.C. 20534.

**Notification procedure:** Address inquiries to: Director; Bureau of Prisons; 320 First Street, N.W.; Washington, D.C. 20534. The major part of this system is exempt from this requirement under 5 U.S.C. 552a (j). Inquiries concerning this system should be directed to the System Manager listed above.

**Record access procedures:** The major part of this system is exempt from this requirement under 5 U.S.C. 552a (j). To the extent that this system of records is not subject to exemption, it is subject to access and contest. A determination as to exemption shall be made at the time a request for access is received.

**Contesting record procedures:** Same as the above.

**Record source categories:** 1) The inmate; 2) Bureau of Prisons staff members; 3) Medical staff members and medical consultants; 4) U.S. Probation Officers; 5) Attorneys; 6) Relatives of inmates; 7) Inquiries and replies to Congressmen; 8) U.S. Attorneys.

**Systems exempted from certain provisions of the act:** The Attorney General has exempted this system from subsections (c)(3) and (4), (d), (e)(2) and (3), (e)(4)(H), (e)(8), (f) and (g) of the Privacy Act pursuant to 5 U.S.C. 552a (j). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553(b), (c) and (e) and have been published in the Federal Register.

#### JUSTICE/BOP-009

**System name:** Federal Tort Claims Act Record System.

**System location:** Records may be retained at any of the Bureau's facilities, the Regional Offices and the Central Office. All requests for records may be made to the Central Office: U.S. Bureau of Prisons; 320 First Street NW.; Washington, D.C. 20534.

**Categories of individuals covered by the system:** Current and former inmates under the custody of the Attorney General.

**Categories of records in the system:** 1) Administrative Tort claims and supporting documents; 2) Personal data; 3) Investigative reports; 4) Medical reports; 5) Property records; 6) Litigation reports; 7) Reports made in preparation of litigation; 8) Social and Criminal Background; 9) Employment History; 10) Correspondence; 11) Litigation Pleadings and Court Decisions.

**Authority for maintenance of the system:** The system is established and maintained under authority of 28 U.S.C. 2671 et seq. FTCA.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of this system are: (a) to provide information source for purposes of adjudicating claims for personal injury and property damages pursuant to the Federal Tort Claims Act, 28 U.S.C. 2675; (b) to provide information source for purposes of preparing reports concerning litigation in United States Courts under the Federal Tort Claims Act, 28 U.S.C. 2671 et seq; (c) to provide information source that is furnished to counsel for claimants under the Federal Tort Claims Act; (d) to provide information source that is furnished to medical officials when requested by claimants under the Federal Tort Claims Act; (e) to provide information source that is disclosed to employees of the Department of Justice who have a need for the information in the performance of their duties; (f) to provide information source to state and federal law enforcement officials for the purpose of investigations, possible criminal prosecutions, civil court actions, or regulatory proceedings; (g) to provide information relating to federal offenders to federal and state courts, court personnel, and probation officials.

**Release of information to the news media:** Information permitted to be released to the news media and the public pursuant to 28 CFR 50.2 may be made available from systems of records maintained by the Department of Justice unless it is determined that release of the specific information in the context of a particular case would constitute an unwarranted invasion of personal privacy.

**Release of information to Members of Congress:** Information contained in systems of records maintained by the Department of Justice, not otherwise required to be released pursuant to 5 U.S.C. 552, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information on behalf of and at the request of the individual who is the subject of the record.

**Release of information to the National Archives and Records Service:** A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Information maintained in the system is stored on documents.

**Retrievability:** Documents are indexed by name and/or register number.

**Safeguards:** Information is safeguarded in accordance with Bureau of Prisons rules governing access and release.

**Retention and disposal:** Records in this system are retained for a period of thirty (30) years after expiration of sentence, then destroyed by shredding.

**System manager(s) and address:** Chief, Management and Information Systems Group; U.S. Bureau of Prisons; 320 First Street NW.; Washington, D.C. 20534.

**Notification procedure:** Address inquiries to: Director, Bureau of Prisons; 320 First Street NW.; Washington, D.C. 20534. The major part of this system is exempt from this requirement under 5 U.S.C. 552a (j). Inquiries concerning this system should be directed to the System Manager listed above.

**Record access procedures:** The major part of this system is exempt from this requirement under 5 U.S.C. 552a (j). To the extent that this system of records is not subject to exemption, it is subject to access and contest. A determination as to exemptions shall be made at the time a request for access is received.

**Contesting record procedures:** Same as the above.

**Record source categories:** 1) Inmates; 2) Department of Justice Employees; 3) U.S. Probation Service; 4) Contract and Consulting Physicians Including Hospitals; 5) Attorneys; 6) Relatives and friends of Inmates; 7) Congress; 8) State and Federal Law Enforcement Agencies.

**Systems exempted from certain provisions of the act:** The Attorney General has exempted this system from subsections (c)(3) and (4), (d), (e)(2) and (3), (e)(4)(H), (e)(8), (f) and (g) of the Privacy Act pursuant to 5 U.S.C. 552a (j). Rules have been promulgated in accordance with the requirements of 5 U.S.C. 553(b), (c) and (e) and have been published in the Federal Register.

#### Part V

Notice is hereby given that the following systems of records are deleted for the reasons stated below.

#### JUSTICE/ATR-009

**Consumer Inquiry Index:** Published at 42 FR 53396, September 30, 1977. This system is deleted because documents in this index have been rearranged so that it is no longer possible to access records by personal identifier. Therefore, the index is no longer a system of records within the scope of the Privacy Act.

#### JUSTICE/CRT-003

**Files of Pending Applications for Clerical or Research Analyst Positions with the Civil Rights Division:** Published at 42 FR 53327, September 30, 1977. This system is deleted because all applicant files for clerical or research analyst positions are now maintained in the Department's Personnel and Training Staff. The files as maintained by the Personnel and Training Staff do not constitute a system of records.

#### JUSTICE/CRT-005

**Records Obtained by Office of Special Litigation Concerning Residents of Certain State Institutions:** Published at 42 FR 53328, September 30, 1977. This system is deleted because the records have been incorporated into the Central Civil Rights Division Index File and Associated Records, JUSTICE/CRT-001.

#### JUSTICE/CRT-006

**Files of Federal Programs Section, Civil Rights Division:** Published at 42 FR 53329 on September 30, 1977. This system is deleted



because the records have been incorporated into the Central Civil Rights Division Index File and Associated Records, JUSTICE/CRT—001.

**JUSTICE/DEA—019**

Specialized Automated Intelligence Files (NIMROD): Published at 42 FR 53308 on September 30, 1977. The NIMROD system is deleted because it consisted of data on microfiche generated entirely from the NADDIS index which is reported as a part of the Investi-

gative Reporting and File System, JUSTICE/DEA—008. A search of the NADDIS index would produce the identical data. Therefore, the NIMROD system is no longer maintained as a system of records.

**JUSTICE/OMF—014**

Directory of Organization, Functions, and Staff for Office of Management and Finance: Published at 42 FR 53426 on September 30, 1977. This system is deleted because it is no longer operational.



[8320-01]

## VETERANS ADMINISTRATION PRIVACY ACT OF 1974

### Notice of Incorporation by Reference

Agency: Veterans Administration.

Action: Incorporation by reference of Privacy Act issuances.

Summary: Federal agencies are required by the Privacy Act of 1974 to give annual notice of certain records they maintain. The notices published last year were compiled by the Office of the Federal Register into "Privacy Act Issuances—1977 Compilation." The purpose of this document is to incorporate by reference the notices that appear in "Privacy Act Issuances—1977 Compilation" and to publish in full the systems that this agency has amended since publication of the 1977 Compilation.

Dates: This document fulfills the annual notice requirements of the Privacy Act for 1978.

For further information contact: Mrs. Maureen diNunzio, Chief, Records Management Division (63), Veterans Administration, Central Office, 810 Vermont Avenue, NW, Washington, DC 20420 (202-389-3648).

### APPROVAL OF THE DIRECTOR

The notices of systems of records of this agency which appear in "Privacy Act Issuances—1977 Compilation" are incorporated by reference. The Director of the Office of the Federal Register granted approval to incorporate by reference these Privacy Act issuances on July 13, 1978. Published below is the full text of systems of records that this agency has amended since publication of the 1977 Compilation.

38VA28

**System name:** Veterans and Beneficiary Identification and Records Locator System-VA

**System location:** VA Data Processing Center, 1615 E. Woodward Street, Austin, Texas 78772, and VA Central Office, 810 Vermont Ave., N.W., Washington, D.C. 20420.

**Categories of individuals covered by the system:** Basic file: Veterans who have applied for VA benefits: Compensation, Pension, Education, U.S. Government Life Insurance, National Service Life Insurance, or burial allowance (under Title 38, United States Code, Chapters 11, 15, 19, 23, 31, and 34). Veterans' beneficiaries (spouse, child or parent) who are receiving VA benefits: Dependency and Indemnity Compensation, Pension or Education (under Title 38, United States Code, Chapters 13, 15, and 35). Veterans who receive pensions from Railroad Retirement Board. Veterans discharged from military service since January 1973. Service members who have established accounts from which future applications for VA educational benefits under Title 38, United States Code, Chapter 32 may be based.

**Adjunct file:** Microfilm copies of former manual Central Index and claim number registers; partial file of pensioners with service prior to 1930; personnel with service between 1940 and 1948 with VA insurance; and partial lists of other armed forces personnel.

**Categories of records in the system:** Veteran's service data, VA file numbers and records location and cross-references to beneficiaries.

**Authority for maintenance of the system:** Title 38, United States Code Chapter 3, Section 210(c)(1).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:**

1. Inquiry of record by the Railroad Retirement Board to obtain veteran's VA claim file number and folder location in order to get necessary information from VA Compensation, Pension or Education records in accordance with the Railroad Retirement Act of 1937 (Section 2281(i)).

2. Inquiry of record by the National Personnel Records Center (NPRC) and U.S. Army Reserve Components Personnel and Administration Center (RCPAC) to obtain the veteran's VA claim file number and folder location so that service records on file at the VA may be returned when necessary to assist in rebuilding lost military service records or for review for possible discharge up-grade or correction.

3. Inquiry of record by the National Research Council, National Academy of Sciences to obtain data for use in medical studies on veterans for VA and other agencies as approved by the Chief Medical Director.

4. Inquiry of record by the Treasury Department or the U.S. Marine Corps Finance Center to obtain veteran's claim or insurance

file number and folder location in order to get necessary data to return U.S. Savings Bonds in safekeeping.

5. Inquiry of record by Federal, State and local government agencies to assist agencies in the performance of their duties and in the development of programs that will be beneficial to claimants.

6. In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, State, local or foreign, charged with the responsibility of investigation or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto.

7. Inquiry of record by accredited service organization representatives and other approved agents and attorneys to obtain the veteran's VA claim or insurance file number and folder location in order to aid veterans in the preparation, presentation and prosecution of claims under the laws administered by the VA.

8. Disclosure may be made to a congressional office from the record of an individual in response to an inquiry from the congressional office made at the request of that individual.

9. A record containing the name(s) of present or former members of the armed services and/or their dependents may be released from this system of records under certain circumstances: (1) to any non-profit organization if the release is directly connected with the conduct of programs and the utilization of benefits under Title 38, and (2) to any criminal or civil law enforcement governmental agency or instrumentality charged under applicable law with the protection of the public health or safety if a qualified representative of such organization, agency or instrumentality has made a written request that such name(s) be provided for a purpose authorized by law; provided, further, that the record(s) will not be used for any purpose other than that stated in the request and that the organization, agency or instrumentality is aware of the penalty provision of 38 U.S.C. 3301(f).

10. Inquiry of record by research facilities to obtain data necessary to assist in medical studies on veterans for the Veteran Administration and other agencies, as approved by the Chief Medical Director, provided that the name of the veteran and other pertinent identifying data is furnished by the requester.

11. To the Department of Justice and United States Attorneys in defense or prosecution of litigation involving the United States.

12. Disclosure may be made to NARS (National Archives and Records Service) GSA (General Services Administration) in records management inspections conducted under authority of 44 U.S.C. 2904 and 2906.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Basic file is on magnetic disk with security copies of data on magnetic tape. Adjunct file is on microfilm and paper.

**Retrievability:** Name only; name and one or more numbers (Service serial number, social security number, VA claim file number, VA insurance file number); name and date of birth or service dates; number only.

**Safeguards:** Access to the authorized VA Data Processing Center (DPC), Austin, Texas, is restricted to authorized Federal employees and authorized representatives of vendors. Access to the computer room within the DPC is further restricted to especially authorized Federal employees and vendor personnel. Protection is provided by an alarm system as well as guard service. Data in the system may be accessed from authorized remote terminals via a telecommunications network maintained by General Services Administration (GSA) or one dedicated for VA use. The system recognizes authorized users by a station identifier transmitted in the data message. In addition, data in the system may be accessed by machine-readable input submitted to the DPC.

Adjunct file is accessible to personnel assigned to Identification and Records Development Service, VA Central Office and to Analysis and Control Division, VA DPC, Austin, Texas, for official use only.

**Retention and disposal:** Records are retained permanently.

**System manager(s) and address:** DIRECTOR, ADMINISTRATIVE SERVICES DIVISION (23), VA Central Office, Washington, D.C. 20420.

**Notification procedure:** Individuals seeking information concerning the existence of a record pertaining to themselves must submit a written request or apply in person to the nearest VA Regional Office or Center. Addresses for VA regional offices and centers may be found in VA Appendix 1 at the end of this document. All inquiries



must reasonably identify the benefit or system of records involved, i.e., Education, Compensation and Pension. Inquiries should include the individual's full name, VA file number and return address. If the VA file number is not available then as much of the following information as possible should be forwarded: branch of service, dates of service, service number, social security number and date of birth.

**Record access procedures:** Veterans, beneficiaries, service personnel or duly authorized representatives seeking information regarding access to and contesting of VA records may write, call or visit the nearest VA regional office or center.

**Contesting record procedures:** (See Record Access Procedures above.)

**Record source categories:** Records are obtained from VA regional offices, Railroad Retirement Board, other VA computer systems and microfilm copies of former manual central index.

#### 49VA21

**System name:** Veterans, Dependents and Beneficiaries Compensation and Pension Records—VA.

**System location:** Records are maintained at the VA regional offices, the Records Processing Center, St. Louis, and Federal Archives and Records Centers. Active records are generally maintained by the regional office having jurisdiction over the domicile of the claimant, and the servicing Data Processing Center at Hines, Illinois with subsidiary accounts receivable records located at the Data Processing Center at St. Paul, Minnesota. Address locations are listed in VA Appendix 1 at the end of this document.

**Categories of individuals covered by the system:** 1. Veterans who have applied for compensation for service-connected disability under Title 38, United States Code, Chapter 11.

2. Veterans who have applied for non-service-connected disability, under Title 38, United States Code, Chapter 15.

3. Veterans entitled to burial benefits under Title 38, United States Code, Chapter 23.

4. Widows, widowers and children who have claimed pension based on non-service-connected death under Title 38, United States Code, Chapter 15.

5. Widows, widowers and children who have claimed Death Compensation based on service-connected death under Title 38, United States Code, Chapter 11.

6. Widows, widowers and children who have claimed Dependency and Indemnity Compensation for service-connected death under Title 38, United States Code, Chapter 13.

7. Parents who have applied for Death Compensation based on service-connected death under Title 38, United States Code, Chapter 11.

8. Parents who have applied for Dependency and Indemnity Compensation for service-connected death under Title 38, United States Code, Chapter 13.

**Categories of records in the system:** Military service and medical information; applications for Compensation and Pension benefits; adjudicative actions taken to determine eligibility; medical information from VA and private hospitals and doctors; information relating to dependency, income, education, family and social relations and occupation; payment data and claimant or payee name and address.

**Authority for maintenance of the system:** Title 38, United States Code, Chapter 3, Section 210(c)(1), Title 38, United States Code, Chapters 11, 13, 15, and 23.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** 1. Transfer of payment data for preparation of benefit checks to the Treasury Department for delivery of monies due VA beneficiaries.

2. Disclosure of VA records as deemed necessary and proper to accredited service organizations, agents, and attorneys recognized under a power of attorney or declaration of representation to assist in the preparation, presentation, and prosecution of claims.

3. Transfer of information to aid collection agencies in order to conduct a credit check to determine the likelihood of success in a collection action from claimants of debts owed to VA.

4. Further transfer of collection information to the General Accounting Office for obtaining a judgment against an individual debtor or otherwise collecting debts owed to the VA.

5. In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, State, local or foreign, charged with

the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto.

6. A record from this system of records may be disclosed as a "routine use" to a Federal, State or local agency maintaining civil, criminal or other relevant information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefit.

7. A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

8. Transfer of statistical and other data to Federal, State, and local government agencies and national health organizations to assist in the development of programs that will be beneficial to claimants and to protect their rights under law and assure that they are receiving all benefits to which they are entitled.

9. A record containing medical history, diagnoses, findings, or treatment may be released from this system of records in response to a request from the superintendent of a State hospital for psychotic patients, a commissioner or head of a State department of mental hygiene, or head of a State, county or city health department, or any fee basis physician or institution in connection with authorized treatment as a VA beneficiary, provided that the name of the individual to whom the record pertains is given and that the information will be treated as confidential, as is customary in civilian professional medical practice.

10. A record from this system of records may be disclosed to a State unemployment compensation agency, in response to its request, to the extent required to determine eligibility for their benefit.

11. A record from this system of records may be disclosed to the following agencies relative to military or naval service and as to both current and historical benefit payments made by the VA: Departments of the Army, Navy and Air Force; Marine Corps; Department of Transportation (Coast Guard); Department of Health, Education and Welfare, PHS (Public Health Service), Commissioned Corps; Department of Commerce, NOAA (National Oceanic and Atmospheric Administration), Commissioned Officer Corps.

12. A record from this system of records may be disclosed to a third party to the extent necessary in the development of a potential beneficiary's claim for VA benefits (i.e., individual identifiers and other similar identifying information).

13. A record containing the name(s) and address(es) of present or former members of the armed services and/or their dependents may be released from this system of records under certain circumstances: (1) to any nonprofit organization if the release is directly connected with the conduct of programs and the utilization of benefits under Title 38, and (2) to any criminal or civil law enforcement governmental agency or instrumentality charged under applicable law with the protection of the public health or safety if a qualified representative of such organization, agency or instrumentality has made a written request that such name(s) or address(es) be provided for a purpose authorized by law; provided, further, that the record(s) will not be used for any purpose other than that stated in the request and that the organization, agency or instrumentality is aware of the penalty provision of 38 U.S.C. 3301(f).

14. The amount of pension, compensation, dependency and indemnity compensation, educational assistance allowance, retirement pay and subsistence allowance, of any beneficiary, may be released from this system of records to any person who applies for such information.

15. A record from this system of records may be disclosed to a fiduciary (including those acting in a fiduciary capacity) recognized or appointed by the VA to the extent necessary to fulfill the fiduciary's function.

16. Relevant information from this system of records may be disclosed, as a routine use: In the course of presenting evidence to a Court, magistrate, or administrative tribunal in matters of guardianship, inquests, and commitments; to private attorneys representing veterans rated incompetent in conjunction with issuance of Certificates of Incompetency; and to probation and parole officers in connection with Court required duties.

17. Relevant information from this system of records, including the nature and amount of a financial obligation, may be disclosed as a routine use, in order to assist the Veterans Administration in the collection of unpaid financial obligations owed the VA, to a debtor's employing agency or commanding officer so that the debtor-employ-



ee may be counseled by his or her Federal employer or commanding officer. This purpose is consistent with 5 U.S.C. 5514, 4 CFR 102.5, and section 206 of Executive Order 11222 of May 8, 1965 (30 FR 6469).

18. Relevant information from this system of records, including available identifying data regarding the debtor, such as name of debtor, last known address of debtor, name of debtor's spouse, social security account number of debtor, VA insurance number, VA loan number, VA claim number, place of birth and date of birth of debtor, name and address of debtor's employer or firm and dates of employment, may be disclosed to other Federal agencies, State probate courts, State drivers license bureaus, and State automobile title and license bureaus as a routine use in order to obtain current address, locator and credit report assistance in the collection of unpaid financial obligations owed the U.S. This purpose is consistent with the Federal Claims Collection Act of 1966 (Pub. L. 89-508, 31 U.S.C. 951-953) and 4 CFR Parts 101-105.

19. Release of information to a guardian ad litem in relation to his or her representation of a claimant in any legal proceeding.

20. Disclosure may be made to a congressional office from the record of an individual in response to an inquiry from the congressional office made at the request of that individual.

21. To the Department of Justice and United States Attorneys in defense or prosecution of litigation involving the United States.

22. Disclosure may be made to NARS (National Archives and Records Service) GSA (General Services Administration) in records management inspections conducted under authority of 44 U.S.C. 2904 and 2906.

23. Disclosure of medical record data, excluding name and address (unless name and address is furnished by the requester) for research purposes determined to be necessary and proper, to epidemiological and other research facilities approved by the Chief Medical Director.

24. The name(s) and address(es) of present or former personnel of the armed services and/or their dependents may be disclosed to the Department of Health, Education, and Welfare, or agency thereof, or other Federal Agency, at the written request of the head of the agency or designee of the head of that agency, for the purpose of conducting government research necessary in order to accomplish a statutory purpose of that agency.

25. Inquiry of record by research facilities to obtain data necessary to assist in medical studies on veterans for the Veterans Administration and other agencies, as approved by the Chief Medical Director, provided that the name of the veteran and other pertinent identifying data is furnished by the requester.

26. Information from this system of records which directly affects payment or potential payment of benefits to contesting claimants, including parties claiming an apportioned share of benefits may be coequally disclosed to each affected claimant upon request from that claimant in conjunction with the claim for benefits sought or received.

27. A record from this system of records may be released to the Social Security Administration, Bureau of Supplemental Security Income, as required for their determination of eligibility for or amounts of benefits, or to verify other amounts of benefits, or to verify other information with respect thereto.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper documents, microfilm, magnetic tape, disk.

**Retrievability:** (a) All VA Compensation and Pension manual records are indexed by name and VA file number. (b) All automated records are indexed by name, VA file number, payee number and type of benefit.

**Safeguards:** Physical Security: Access to VA working spaces and claims folder storage areas is restricted to VA employees on a "need to know" basis. Generally, VA file areas are locked after normal duty hours and are protected from outside access by the Federal Protective Service. Employee file records and file records of public figures or otherwise sensitive files are stored in separate locked files. Strict control measures are enforced to ensure that disclosure is limited to a "need to know" basis.

**Retention and disposal:** Individual case folders and records are retained indefinitely.

**System manager(s) and address:** DIRECTOR, COMPENSATION AND PENSION SERVICE (21), VA Central Office, Washington, D.C. 20420.

**Notification procedure:** 1. Individuals seeking information concerning the existence of a record pertaining to themselves must submit a written request or apply in person to the nearest VA regional office. Addresses for VA regional offices and centers may be found in VA Appendix 1 at the end of this document.

2. All inquiries must reasonably identify the benefit or system of records involved; i.e., Compensation and Pension. Inquiries should include the individual's full name, VA file number and return address. If the VA file number is not available, the following information should be forwarded, if known: Veteran's full name, branch of service, dates of service, service number, social security number, and date of birth.

**Record access procedures:** Veterans, beneficiaries, service organization personnel or other duly authorized representatives seeking information regarding access to and contesting of VA records may write, call or visit the nearest Veterans Administration regional office or center.

**Contesting record procedures:** (See Record Access Procedures above.)

**Record source categories:** 1. The claimant, his accredited representative or his fiduciary. 2. The service department. 3. VA hospitals and doctors, or private hospitals and doctors if written authorization is obtained from the claimant. 4. Training establishments. 5. Other Federal agencies. 6. County Clerks, Courts, and other third parties, when assistance is requested by claimant.

## 50VA22

**System name:** Veterans, Dependents, Beneficiaries and Armed Forces Personnel Education and Rehabilitation Record-VA

**System location:** Education records are maintained at the VA regional offices, Records Processing Center and Federal Archives and Records Centers. Active records will generally be maintained by the regional office having jurisdiction over the area in which the claimant resides, and at the servicing Data Processing Center at Hines, Illinois with subsidiary accounts receivable records located at the Data Processing Center at St. Paul, Minnesota. Address locations are listed in VA Appendix 1 at the end of this document.

**Categories of individuals covered by the system:** 1. Veterans who have applied for VA educational benefits under Title 38, United States Code, Chapter 31 and 34.

2. Spouse or widow(er) who has applied for VA educational benefits under Title 38, United States Code, Chapter 35.

3. Children who have applied for VA educational benefits under Title 38, United States Code, Chapter 35.

4. Service members who have applied for VA educational benefits under Title 38, United States Code, Chapter 34.

5. Service members who have applied for VA educational benefits under Title 38, United States Code, Chapter 32.

**Categories of records in the system:** Active duty separation information, medical records relating to treatment in service, applications, education and training, marital status and dependency information, current payment data and educational status relative to VA benefits. Counseling and Rehabilitation records are also maintained as necessary.

**Authority for maintenance of the system:** Title 38, United States Code, Chapter 3, Section 210(c)(1) and Chapters 31, 32, 34, 35, and 36.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** 1. Transfer of payment information to the Treasury Department to ensure delivery of benefit checks to VA beneficiaries.

2. Transfer of information to collection agencies in order to conduct a credit check to determine the likelihood of success in a collection action against individuals who owe debts to the VA.

3. Further transfer of necessary collection information to the General Accounting Office, for use in procedures incident to obtaining a judgment against the individual.

4. Forwarding of computer generated VA forms to VA approved educational institutions in order to certify individual's reenrollment and allow payment.

5. In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, State local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto.

6. A record from this system of records may be disclosed as a "routine use" to a Federal, State or local agency maintaining civil,



criminal or other relevant information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefits.

7. A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

8. Transfer of information regarding the induction, reentrance and dismissal of a disabled veteran from the vocational rehabilitation program to inform the training establishment of the actions taken.

9. Written and oral disclosure to VA approved Vocational Rehabilitation Training Establishments regarding the extent and nature of a claimant's disabilities with respect to any limitations to be imposed on the vocational program to ensure that the trainee receives the maximum benefit from training. A vast amount of discretion with respect to each disclosure is practiced.

10. Transfer of statistical and other data to Federal, State and local government agencies and national health organizations to assist in the development of programs that will be beneficial to claimants, to protect their rights under law, and to assure that they are receiving all benefits to which they are entitled.

11. The transfer of payment information to officials of schools and training establishments having VA beneficiaries when it can be determined that such a request is part of a legitimate attempt to assist the veteran or other eligible person to ascertain that correct amounts were paid. However, such information will not be provided as a routine use to school officials when the request is clearly an attempt to seek assistance in collection attempts against the veteran or eligible person.

12. Transfer to schools and other training establishments of VA education forms for completion by school and subsequent submission to the VA for processing of education and vocational rehabilitation training claims.

13. Transfer of VA education letters and form letters to schools and other training establishments which may, by necessity, contain identifying data about a veteran or eligible person in order to obtain further information from the school necessary to discharge VA responsibilities toward the veteran or eligible person.

14. Transfer of identifying information, such as name, file number and address to a school or training establishment official in order to obtain information necessary to pay the veteran or eligible person correct amounts in an expedited manner. (An example of the above is a telephone call to an OJT establishment to find out the number of hours worked.)

15. Transfer of necessary information concerning veterans and other eligible persons to State and local agencies and prospective employers for employment and/or training.

16. A record containing the name(s) and address(es) of present or former members of the armed services and/or their dependents may be released from this system of records under certain circumstances: (1) to any non-profit organization if the release is directly connected with the conduct of programs and the utilization of benefits under Title 38, and (2) to any criminal or civil law enforcement governmental agency or instrumentality charged under applicable law with the protection of the public health or safety if a qualified representative of such organization, agency or instrumentality has made a written request that such name(s) or address(es) be provided for a purpose authorized by law; provided, further, that the record(s) will not be used for any purpose other than that stated in the request and that the organization, agency or instrumentality is aware of the penalty provision of 38 U.S.C. 3301(f).

17. Relevant information from this system of records, including the nature and amount of a financial obligation, may be disclosed as a routine use, in order to assist the Veterans Administration in the collection of unpaid financial obligations owed the VA, to a debtor's employing agency or commanding officer so that the debtor-employee may be counseled by his or her Federal employer or commanding officer. This purpose is consistent with 5 U.S.C. 5514, 4 CFR 102.5, and section 206 of Executive Order 11222 of May 8, 1965 (30 FR 6469).

18. Relevant information from this system of records, including available identifying data regarding the debtor, such as name of debtor, last known address of debtor, name of debtor's spouse, social security account number of debtor, VA insurance number, VA loan number, VA claim number, place of birth and date of birth of debtor, name and address of debtor's employer or firm and dates of employ-

ment, may be disclosed to other Federal agencies, State probate courts, State drivers license bureaus, and State automobile title and license bureaus as a routine use in order to obtain current address, locator and credit report assistance in the collection of unpaid financial obligations owed the U.S. This purpose is consistent with the Federal Claims Collection Act of 1966 (Pub. L. 89-508, 31 U.S.C. 951-953) and 4 CFR Parts 101-105.

19. A record from this system of records may be disclosed to a State unemployment compensation agency, in response to its request, to the extent required to determine eligibility for their benefit.

20. A record from this system of records may be disclosed to the following agencies relative to military, naval, or air service and as to both current and historical benefit payments made by the VA: Departments of the Army, Navy, and Air Force; Marine Corps; Department of Transportation (Coast Guard); Department of Health, Education, and Welfare, PHS (Public Health Service), Commissioned Corps; Department of Commerce, NOAA (National Oceanic and Atmospheric Administration), Commissioned Officer Corps.

21. A record from this system of records may be disclosed to a third party to the extent necessary in the development of a potential beneficiary's claim for VA benefits (i.e. individual identifiers and other similar identifying information).

22. Disclosure of VA records as deemed necessary and proper to accredited service organizations, agents and attorneys recognized under a power of attorney or declaration of representation to assist in the preparation, presentation and prosecution of claims.

23. A record from this system of records may be disclosed to a fiduciary (including those acting in a fiduciary capacity) recognized or appointed by VA to the extent necessary to fulfill the fiduciary's function.

24. A record containing medical history, diagnoses, findings, or treatment may be released from this system of records in response to a request from the superintendent of a State hospital for psychotic patients, a Commissioner or head of a State department of mental hygiene or head of a State, county, or city health department or any fee basis physician or institution in connection with authorized treatment as a VA beneficiary, provided that the name of the individual to whom the record pertains is given and that the information will be treated as confidential, as is customary in civilian professional medical practice.

25. Relevant information from this system of records may be disclosed, as a routine use: In the course of presenting evidence to a court, magistrate, or administrative tribunal, in matters of guardianship, inquests and commitments; to private attorneys representing veterans rated incompetent in conjunction with issuance of Certificates of Incompetency; and to probation and parole officers in connection with Court required duties.

26. Disclosure may be made to a congressional office from the record of an individual in response to an inquiry from the congressional office made at the request of that individual.

27. The amount of pension, compensation, dependency and indemnity compensation, educational assistance allowance, retirement pay and subsistence allowance, of any beneficiary, may be released from this system of records to any person who applies for such information.

28. To the Department of Justice and United States Attorneys in defense or prosecution of litigation involving the United States.

29. Disclosure may be made to NARS (National Archives and Records Service) GSA (General Services Administration) in records management inspections conducted under authority of 44 U.S.C. 2904 and 2906.

30. Disclosure of medical record data, excluding name and address (unless name and address is furnished by the requester) for research purposes determined to be necessary and proper, to epidemiological and other research facilities approved by the Chief Medical Director.

31. The name(s) and address(es) of present or former personnel of the armed services and/or their dependents may be disclosed to the Department of Health, Education, and Welfare, or agency thereof, or other Federal agency, at the written request of the head of the agency or designee of the head of that agency, for the purpose of conducting government research necessary in order to accomplish a statutory purpose of that agency.

32. Inquiry of record by research facilities to obtain data necessary to assist in medical studies on veterans for the Veterans Administration and other agencies, as approved by the Chief Medical Director, provided that the name of the veteran and other pertinent identifying data is furnished by the requester.

33. Information from this system of records which directly affects payment or potential payment of benefits to contesting claimants, including parties claiming an apportioned share of benefits, may be coequally disclosed to each affected claimant upon request from that



claimant in conjunction with the claim for benefits sought or received.

34. A record from this system of records may be released to the Social Security Administration, Bureau of Supplemental Security Income, as required for their determination of eligibility for or amounts of benefits, or to verify other information with respect thereto.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper documents, microfilm, magnetic tape, disk.

**Retrievability:** (a) All VA education manual records are indexed by name and VA file number. (b) All automated records are indexed by name, VA file number, payee number and type of benefit.

**Safeguards:** Physical Security: Access to VA working spaces and claims folder storage areas is restricted to VA employees on a "need to know" basis. Generally, VA file areas are locked after normal duty hours and are protected from outside access by the Federal Protective Service. Employee file records and file records of public figures or otherwise sensitive files are sorted in separate locked files. Strict control measures are enforced to ensure that disclosure is limited to a "need to know" basis.

**Retention and disposal:** Education Records: Individual case folders and automated records are retained indefinitely.

**System manager(s) and address:** DIRECTOR, EDUCATION AND REHABILITATION SERVICE (22), VA Central Office, Washington, D.C. 20420.

**Notification procedure:** 1. Individuals seeking information concerning the existence of a record pertaining to themselves must submit a written request or apply in person to the nearest VA regional office. Addresses for VA regional offices and centers may be found in VA Appendix 1 at the end of this document.

2. All inquiries must reasonably identify the benefit or system of records involved; i.e., Education. Inquiries should include the individual's full name, VA file number and return address. If the VA file number is not available, the following information should be forwarded, if known: Branch of service, dates of service, service number, social security number, and date of birth.

**Record access procedures:** Veterans, beneficiaries, service personnel or duly authorized representatives seeking information regarding access to and contesting of VA records may write, call or visit the nearest Veterans Administration regional office of center.

**Contesting record procedures:** (See Record Access Procedures above.)

**Record source categories:** Educational system records are obtained from: 1. The claimant. 2. The service department. 3. Training establishments. 4. State approving agencies. 5. Other Federal agencies. 6. County Clerks, Courts, other third parties, etc. upon request for assistance by claimant.

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**System name:** TARGET-Compensation, Pension, Education and Rehabilitation Records-VA

**System location:** Records are maintained at the VA regional offices, the VA Records Processing Center, St. Louis, Missouri, and at Federal Archives and Records Centers. Active records are generally maintained by the regional office having jurisdiction over the domicile of the claimant, and the servicing Data Processing Center at Hines, Illinois with subsidiary accounts receivable records located at the Data Processing Center at St. Paul, Minnesota. Address locations are listed in VA Appendix 1 at the end of this document.

**Categories of individuals covered by the system:** 1. Veterans who have applied for compensation for service-connected disability under Title 38, United States Code, Chapter 11. 2. Veterans who have applied for non-service-connected disability, under Title 38, United States Code, Chapter 15. 3. Veterans entitled to burial benefits under Title 38, United States Code, Chapter 23. 4. Widows, widowers and children who have claimed pension based on non-service-connected death under Title 38, United States Code, Chapter 15. 5. Widows, widowers and children who have claimed death compensation based on service-connected death under Title 38, United States Code, Chapter 11. 6. Widows, widowers and children who have claimed dependency and indemnity compensation for service-connected death under Title 38, United States Code, Chapter 13. 7. Parents who have applied for death compensation based on service-connected death under Title 38, United States Code, Chapter 11. 8. Parents who have applied for dependency and indemnity compensation for service-con-

nected death under Title 38, United States Code, Chapter 13. 9. Veterans who have applied for VA educational benefits under Title 38, United States Code, Chapters 31 and 34. 10. Spouse or widow(er) who has applied for VA educational benefits under Title 38, United States Code, Chapter 35. 11. Children who have applied for VA educational benefits under Title 38, United States Code, Chapter 35. 12. Service members who have applied for VA educational benefits under Title 38, United States Code, Chapter 34. 13. Service members who have applied for VA educational benefits under Title 38, United States Code, Chapter 32.

**Categories of records in the system:** Military service and active duty separation information; medical records relating to treatment in service; medical information from VA and private hospitals and doctors; applications for compensation, pension, education and rehabilitation benefits and training; information relating to dependency, income, education, occupation, marital status, and educational status relative to VA benefits; payment data; counseling and rehabilitation records; claimant or payee name and address.

**Authority for maintenance of the system:** Title 38, United States Code, Chapter 3, Section 210(c)(1) and Chapters 11, 13, 15, 23, 31, 32, 34, 35, and 36.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** 1. Transfer of payment information to the Treasury Department to ensure delivery of benefit checks to VA beneficiaries.

2. Transfer of information to collection agencies in order to conduct a credit check to determine the likelihood of success in a collection action against individuals who owe debts to the VA.

3. Transfer of necessary collection information to the General Accounting Office, for use in procedures incident to obtaining a judgment against the individual.

4. Forwarding of computer generated VA forms to VA approved educational institutions in order to certify an individual's reenrollment and allow payment.

5. Transfer of information regarding the induction, reenrollment and dismissal of a disabled veteran from a vocational rehabilitation program to inform the training establishment of the actions taken.

6. Written and oral disclosure to a VA approved vocational rehabilitation training establishment regarding the extent and nature of a claimant's disabilities with respect to any limitations to be imposed on the vocational program to insure that the trainee receives the maximum benefit from training. A vast amount of discretion with respect to each disclosure is practiced.

7. Transfer to schools and other training establishments of VA education forms for completion by the school and subsequent submission to the VA for processing of education and vocational rehabilitation training claims.

8. The transfer of payment information to officials of schools and training establishments having VA beneficiaries when it can be determined that such a request is part of a legitimate attempt to assist the veteran or other eligible person to ascertain that correct amounts are paid. However, such information will not be provided as a routine use to school officials when the request is clearly an attempt to seek assistance in collection attempts against the veteran or eligible person.

9. Transfer of VA education letters and form letters to schools and other training establishments which may, by necessity, contain identifying data about a veteran or eligible person in order to obtain further information from the school necessary to discharge VA responsibilities toward the veteran or eligible person.

10. Transfer of identifying information, such as name, file number, and address to a school or training establishment official in order to obtain information necessary to pay the veteran or eligible person correct amounts in an expedited manner. (An example of the above is a telephone call to an On-the-Job-Training establishment to find out the number of hours worked.)

11. Transfer of necessary information concerning veterans and other eligible persons to State and local agencies and prospective employers for employment and/or training.

12. A record containing medical history, diagnoses, findings, or treatment may be released from this system of records in response to a request from the superintendent of a State hospital for psychotic patients, a commissioner or head of a State department of mental hygiene, or head of a State, County, or city health department, or any fee basis physician or institution in connection with authorized treatment as a VA beneficiary, provided that the name of the individual to whom the record pertains is given and that the information will be treated as confidential, as is customary in civilian professional medical practice.



13. A record from this system of records may be disclosed to a State unemployment compensation agency, in response to its request, to the extent required to determine eligibility for their benefit.

14. A record from this system of records may be disclosed to the following agencies relative to military, naval, or air service and as to both current and historical benefit payments made by the VA: Departments of the Army, Navy, and Air Force; Marine Corps; Department of Transportation (Coast Guard); Department of Health, Education, and Welfare, PHS (Public Health Service), Commissioned Corps; Department of Commerce, NOAA (National Oceanic and Atmospheric Administration), Commissioned Officer Corps.

15. A record from this system of records may be disclosed to a third party to the extent necessary in the development of a potential beneficiary's claim for VA benefits (i.e., individual identifiers and other similar identifying information).

16. A record containing the name(s) and address(es) of present or former members of the armed services and/or their dependents may be released from this system of records under certain circumstances: (1) to any nonprofit organization if the release is directly connected with the conduct of programs and the utilization of benefits under Title 38, and (2) to any criminal or civil law enforcement governmental agency or instrumentality charged under applicable law with the protection of the public health or safety if a qualified representative of such organization, agency or instrumentality has made a written request that such name(s) or address(es) be provided for a purpose authorized by law; provided, further, that the record(s) will not be used for any purpose other than that stated in the request and that the organization, agency or instrumentality is aware of the penalty provision of 38 U.S.C. 3301(f).

17. The amount of pension, compensation, dependency and indemnity compensation, educational assistance allowance, retirement pay and subsistence allowance, of any beneficiary, may be released from this system of records to any person who applies for such information.

18. Disclosure of VA records as deemed necessary and proper to accredited service organizations, agents and attorneys recognized under a power of attorney or declaration of representation to assist in the preparation, presentation and prosecution of claims.

19. A record from this system of records may be disclosed to a fiduciary (including those acting in a fiduciary capacity) recognized or appointed by the VA to the extent necessary to fulfill the fiduciary's function.

20. Relevant information from this system of records may be disclosed, as a routine use: in the course of presenting evidence to a Court, magistrate, or administrative tribunal, in matters of guardianship, inquests, and commitments; to private attorneys representing veterans rated incompetent in conjunction with issuance of Certificates of Incompetency; and to probation and parole officers in connection with Court required duties.

21. Transfer of statistical and other data to Federal, State and local government agencies and national health organizations to assist in the development of programs that will be beneficial to claimants, to protect their rights under law and to assure that they are receiving all benefits to which they are entitled.

22. In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, State, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto.

23. A record from this system of records may be disclosed as a "routine use" to a Federal, State or local agency maintaining civil, criminal or other relevant information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefits.

24. A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

25. Relevant information from this system of records, including the nature and amount of a financial obligation, may be disclosed as a routine use, in order to assist the Veterans Administration in the

collection of unpaid financial obligations owed the VA, to a debtor's employing agency or commanding officer so that the debtor-employee may be counseled by his or her Federal employer or commanding officer. This purpose is consistent with 5 U.S.C. 5514, 4 CFR 102.5, and section 206 of Executive Order 11222 of May 8, 1965 (30 FR 6469).

26. Relevant information from this system of records, including available identifying data regarding the debtor, such as name of debtor, last known address of debtor, name of debtor's spouse, social security account number of debtor, VA insurance number, VA loan number, VA claim number, place of birth and date of birth of debtor, name and address of debtor's employer or firm and dates of employment, may be disclosed to other Federal agencies, State probate courts, State drivers license bureaus, and State automobile title and license bureaus as a routine use in order to obtain current address, locator and credit report assistance in the collection of unpaid financial obligations owed the U.S. This purpose is consistent with the Federal Claims Collection Act of 1966 (Pub. L. 89-508, 31 U.S.C. 951-953 and 4 CFR Parts 101-105).

27. Disclosure may be made to a congressional office from the record of an individual in response to an inquiry from the congressional office made at the request of that individual.

28. Release of information to a guardian ad litem in relation to his or her representation of a claimant in any legal proceeding.

29. To the Department of Justice and United States Attorneys in defense or prosecution of litigation involving the United States.

30. Disclosure may be made to NARS (National Archives and Records Service) GSA (General Service Administration) in records management inspections conducted under authority of 44 U.S.C. 2904 and 2906.

31. Disclosure of medical record data, excluding name and address (unless name and address is furnished by the requester) for research purposes determined to be necessary and proper, to epidemiological and other research facilities approved by the Chief Medical Director.

32. The name(s) and address(es) of present or former personnel of the armed services and/or their dependents may be disclosed to the Department of Health, Education, and Welfare, or agency thereof, or other Federal agency, at the written request of the head of the agency or designee of the head of that agency, for the purpose of conducting government research necessary in order to accomplish a statutory purpose of that agency.

33. Inquiry of record by research facilities to obtain data necessary to assist in medical studies on veterans for the Veterans Administration and other agencies, as approved by the Chief Medical Director, provided that the name of the veteran and other pertinent identifying data is furnished by the requester.

34. Information from this system of records which directly affects payment of potential payment of benefits to contesting claimants, including parties claiming an apportioned share of benefits, may be coequally disclosed to each affected claimant upon request from that claimant in conjunction with the claim for benefits sought or received.

35. A record from this system of records may be released to the Social Security Administration, Bureau of Supplemental Security Income, as required for their determination of eligibility for or amounts of benefits, or to verify other information with respect thereto.

#### **Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper documents, microfilm, microfiche, magnetic tape and magnetic disk.

**Retrievability:** (a) Manual records are indexed by name and VA file number. (b) Automated records are indexed by name, VA file number, payee number and type of benefit.

**Safeguards:** 1. Physical Security: (a) Access to working spaces and claims folder file storage areas in VA regional offices and centers is restricted to VA employees on a "need to know" basis. Generally, file areas are locked after normal duty hours and are protected from outside access by the Federal Protective Service. Employee file records and file records of public figures or otherwise sensitive files are stored in separate locked files. Strict control measures are enforced to ensure that disclosure is limited to a "need to know" basis. Access to data telecommunication terminals is by authorization controlled by the site security officer. The security officer is assigned responsibility for privacy-security measures, especially for review of violations logs, information logs and control of password and badge distribution.

(b) Access to data processing centers is restricted to center employees, custodial personnel and Federal Protective Service personnel.



nel. Access to computer rooms is restricted to authorized operational personnel through electronic locking devices. All other persons gaining access to computer rooms are escorted.

2. **System Security:** (a) At Veterans Administration Regional Offices and Veterans Administration Centers, the terminal equipment has key locks, magnetic badge readers and audible alarms. Electronic keyboard locks are activated on security errors.

(b) At the data processing centers, identification of magnetic tape and disks containing data is rigidly enforced using labeling techniques. Access to programs is controlled at three levels: programming, auditing and operations.

**Retention and disposal:** 1. Individual case folders and records are retained indefinitely. 2. Automated files containing temporary working data are retained until a claim is processed to determination. 3. All other automatic files are retained indefinitely.

**System manager(s) and address:** DIRECTOR, COMPENSATION AND PENSION SERVICE (21) VA Central Office, Washington, D.C. 20420; DIRECTOR, EDUCATION AND REHABILITATION SERVICE (22) VA Central Office, Washington, D.C. 20420.

**Notification procedure:** Individuals seeking information concerning the existence of a record pertaining to themselves must submit a written request or apply in person to the nearest VA regional office. Addresses for VA regional offices and centers may be found in VA Appendix 1 at the end of this document. All inquiries must reasonably identify the benefit or system of records involved; e.g., Compensation and Pension. Inquiries should include the individual's full name, VA file number and return address. If the VA file number is not available, the following information should be forwarded, if known: veteran's full name, branch of service, dates of service, service number, social security number, and date of birth.

**Record access procedures:** Veterans, beneficiaries, service organization personnel or other duly authorized representatives seeking information regarding access to and contesting of VA records may write, call or visit the nearest Veterans Administration regional office or center.

**Contesting record procedures:** (See Record Access Procedures above). 1. The claimant, his or her accredited representative or his or her fiduciary; 2. the military service department; 3. VA hospitals and doctors, or private hospitals and doctors if written authorization is obtained from the claimant; 4. Training establishments; 5. State approving agencies; 6. Other Federal agencies; 7. County Clerks, Courts and other third parties, when assistance is requested by claimant.

#### Availability of 1977 Compilation

"Privacy Act Issuances—1977 Compilation": is available from Regional Depository Libraries at 50 locations around the country and can be examined at these libraries free of charge. The 1977 Compilation is also available at the General Services Administration Federal Information Centers, which are located at 38 central points around the country and may be examined at the Veterans Administration Central Office, 810 Vermont Avenue NW., Washington, D.C. 20420 in room 132, the Central Office Veterans Services Unit. It is also available for purchase from the Superintendent of Documents, U.S. Government Printing Office, Washington, D.C. 20402. Upon request, the Office of the Federal Register will furnish a photocopy of the full text of a particular records system published in the 1977 Compilation for a nominal fee.

#### Location of Notices in 1977 Compilation

Notices of this agency's systems of record appear in Volume II of the 1977 Compilation at 42 FR 49726, September 27, 1977. The price of this volume is 6 dollars and 75 cents.

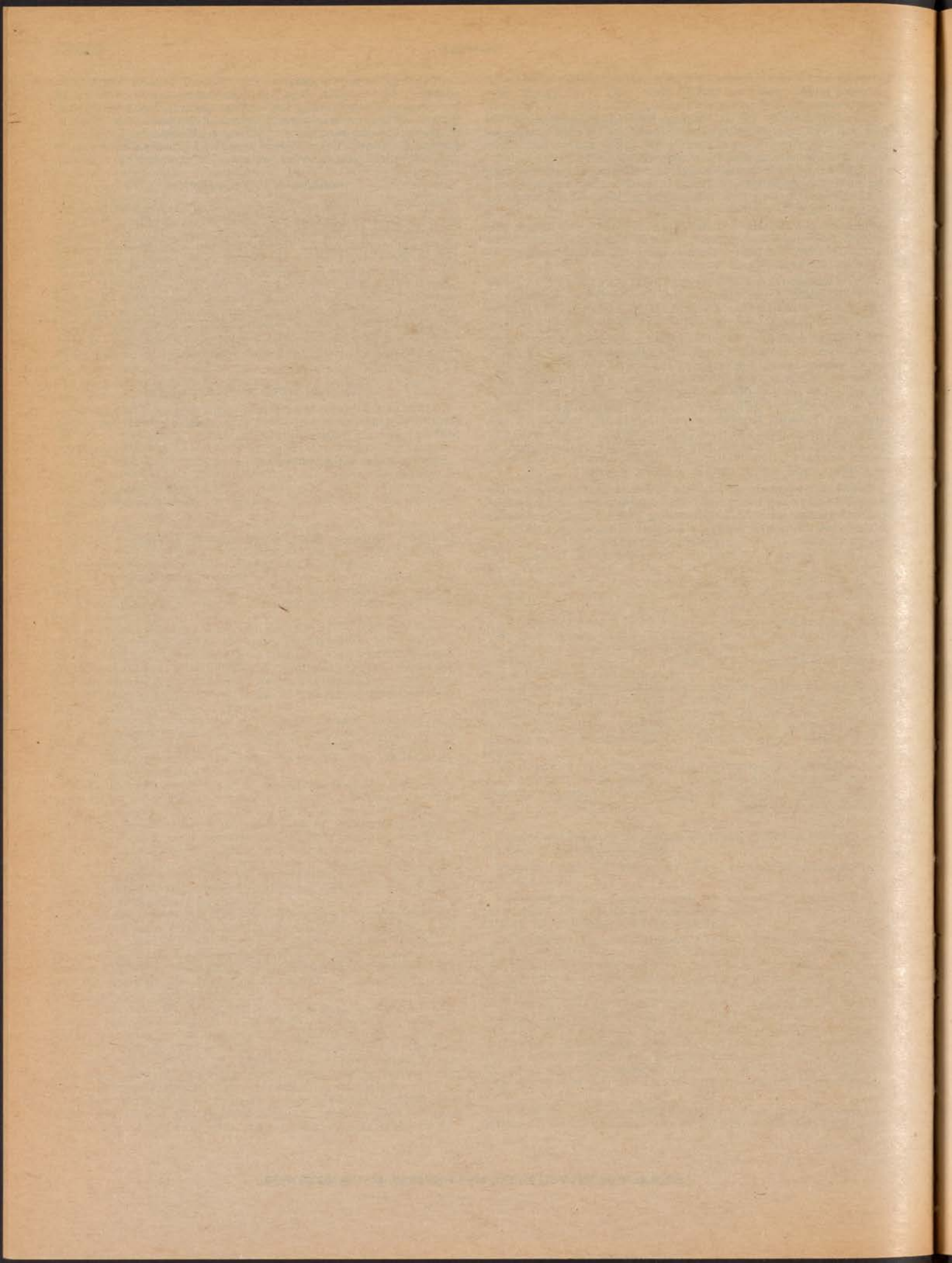
Approved: September 7, 1977.

By direction of the Administrator.

RUFUS H. WILSON,  
Deputy Administrator.

[FR Doc. 78-26098 Filed 9-27-78; 8:45 am]







Registered Property

THURSDAY, SEPTEMBER 28, 1978  
PART III



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**DEPARTMENT OF  
AGRICULTURE**  
Soil Conservation Service



**RURAL ABANDONED  
MINE PROGRAM**  
Final Rules and Regulations



[3410-16]

## Title 7—Agriculture

## CHAPTER VI—SOIL CONSERVATION SERVICE, DEPARTMENT OF AGRICULTURE

SUBCHAPTER D—LONG-TERM CONTRACTING  
PART 632—RURAL ABANDONED MINE PROGRAM

AGENCY: Soil Conservation Service (SCS), U.S. Department of Agriculture (USDA).

ACTION: Final rule.

SUMMARY: This rule establishes final Soil Conservation Service (SCS) rules and regulations for implementation of the Rural Abandoned Mine Program as authorized by Pub. L. 95-87, 91 Stat. 460 (30 U.S.C. 1236). The program provides long-term Federal technical and financial assistance to land users for the reclamation, conservation, and development of certain abandoned coal-mined lands.

EFFECTIVE DATE: October 2, 1978.

## FOR FURTHER INFORMATION CONTACT:

David P. Overholt, Director, Conservation Operations Division, Soil Conservation Service, U.S. Department of Agriculture, P.O. Box 2890, Washington, D.C. 20013, phone: 202-447-7145.

SUPPLEMENTARY INFORMATION: Title IV of Pub. L. 95-87 establishes an Abandoned Mine Reclamation Fund and provides for four reclamation programs—Federal, State, Indian, and rural lands. The Secretary of the Interior is administratively responsible for all abandoned mine programs except the rural land program. The U.S. Department of Agriculture, through the Soil Conservation Service (SCS), is responsible for the Rural Abandoned Mine Program.

Proposed rules and regulations for the Rural Abandoned Mine Program were published by SCS in the FEDERAL REGISTER on April 11, 1978 (43 FR 15312). A draft program environmental impact statement and a draft regulatory impact analysis of the proposed program also were made available for public inspection on April 14, 1978.

To maximize public benefits and treat as much of the eligible land and water as possible at minimum Federal cost, SCS intends to conduct the program in strict accord with the funding priorities specified in the law and this rule. Therefore, applications for program assistance that are of low priority will not be funded until the first priority reclamation work is completed. Reclamation work that provides the most public benefits within a pri-

ority category will have precedence over reclamation that would produce lesser public benefits. Reclamation solely for the benefit of the land owner or user will not be funded until all applications that have public benefits are funded.

SCS received 116 comments from 31 agencies and organizations on the proposed program in public hearings and letters. Seven comments definitely favored the proposed program, and none strongly objected to the program as proposed. All comments were given full consideration in developing the final rule. The full text of every comment received is on file and available for public inspection in Room 6134, South Building, U.S. Department of Agriculture, Washington, D.C.

Most comments offered specific suggestions for clarifications and improved editing. These comments were accepted and are incorporated in the final rule. In addition, SCS made several changes in the organization and content in response to comments provided by the U.S. Department of Agriculture.

These changes include total exclusion of Federal lands from program eligibility, the addition of subpriorities within each priority category, the addition of provisions to preserve or surrender crop history and allotments, and the addition of language in the cost-share rates to provide maximum flexibility in establishing rate levels that will achieve program purposes and appropriate levels of participation.

The most substantive public comments and their responses are as follows:

(1) Two comments suggested that the purpose of the Rural Abandoned Mine Program should be broadened to include reclamation of all abandoned mined lands.

*Response:* This suggestion was rejected because section 406 of Pub. L. 95-87 is subject to the requirements of section 404, which defines eligible lands and water as those "mined for coal or which were affected by such mining, wastebanks, coal processing, or other coal mining process . . ." Only reclamation activities carried out on noncoal-mined lands under the requirements of section 409 of the law, filling of voids and sealing of tunnels, which are not applicable to this program, may be done without regard to section 404.

(2) Six comments expressed concern that the proposed rules did not provide for coordination between this program and the State reclamation programs. One of these commentators also indicated that more public participation should be required through public hearings and notices.

*Response:* SCS has reworded § 632.3 to clarify SCS responsibility for coordination and to expand the program areas included in the coordination. In addition, SCS believes coordination within a State will occur primarily through the State reclamation committee, which includes a representative of the State reclamation agency. Reclamation committees will also provide opportunities for public participation on both the State and local levels. However, SCS does not believe public hearings or public notices are required for every reclamation plan or contract with individual land users. Exceptions are made if an environmental impact statement is to be prepared or if reclamation is proposed by public entities. A record of SCS decisions concerning reclamation performed under the program is available for public inspection.

(3) Four comments questioned the use of remining as a reclamation technique because it appears to conflict with the requirements of title V of Pub. L. 95-87 concerning active mining operations.

*Response:* SCS agrees. The use of remining as a reclamation technique has been deleted from the final rules. If the surface rights of abandoned coal-mined lands are under lease or easement to be remined, they are not eligible for reclamation under this program. These lands will be reclaimed under the regulations 30 CFR, Parts 715, 716, and 717, for active mining.

(4) Fourteen comments expressed concern that the term "inadequately reclaimed" as defined and used to describe eligible lands and waters would permit the reclamation of abandoned coal-mined lands that were naturally reclaimed.

*Response:* SCS agrees. "Inadequately reclaimed" has been redefined to indicate that inadequately reclaimed areas must, in their present condition, be continuing to substantially degrade the environment, damage or prevent beneficial use, or create dangers to public health and safety to be eligible for reclamation.

(5) Ten comments questioned the criteria for designating States for program participation. Several also indicated that too much emphasis was placed on the SCS inventory of abandoned coal-mined lands, and one suggested that only States with approved State reclamation plans should be designated.

*Response:* These criteria were included in the proposed rules to provide the flexibility to implement any of the program alternatives being considered in the draft program environmental impact statement. In the final environmental impact statement, SCS has selected the alternative that allows the program to operate in all States that have eligible lands and waters.



Current inventories are used only to identify the States and counties that are potentially eligible to participate in the program. However, SCS rejected the suggestion to limit the program to States having approved State reclamation plans. Some States with abandoned coal-mined lands no longer have active coal mining, other States may not be able to develop and receive approval of a State plan for several years, and some States may not have enough abandoned coal-mined lands to justify preparing a State plan. In many of these situations, except for emergency reclamation by the Office of Surface Mining, the Rural Abandoned Mine Program may be the only program available to correct continuing environmental degradation or public health and safety hazards in these States.

(6) Four comments indicated that reclamation standards were not specific enough and questioned the adequacy of SCS standards and specifications for reclamation activities.

*Response:* SCS standards for all conservation practices, including reclamation, are developed in consultation with universities, research institutions, companies, and individuals and are based on research and practical experience (7 CFR, Part 653, § 653.2). SCS is cooperating with the Office of Surface Mining in developing standards for abandoned land reclamation. SCS technical staffs continually revise and update existing standards and specifications to insure consistency with State and Federal requirements and proven technology. These standards and specifications, as applicable, are found in SCS field office technical guides and are based on the guidelines contained in the SCS National Handbook of Conservation Practices. In addition, many conservation practices use to treat conservation problems are applicable to reclamation. SCS recognizes the need for flexibility to prepare special designs on a case-by-case basis to treat unique problems on coal-mined lands. As needed, SCS will also use professional services from the private sector to prepare special designs.

(7) One comment suggested that a section should be added to the final rules to insure adequate protection of historic properties.

*Response:* SCS guidelines for complying with the Advisory Council on Historic Preservation procedures are contained in 7 CFR Part 656. These apply to all SCS activities. It is not our purpose to duplicate those requirements in these rules.

(8) One comment suggested that the procedures should indicate how areas proposed for reclamation are to be evaluated for their importance to rare and endangered species and other wildlife values. Concern was also expressed that the program would reclaim abandoned lands for agricultural uses at the expense of wildlife values.

*Response:* SCS considers wildlife values in environmental assessments. These assessments are used to help identify acceptable treatment alternatives for the land user's consideration. The land user selects the postreclamation land use within the limits of program requirements and technical feasibility. Abandoned lands that have natural vegetation and wildlife values also will be preserved by the change in the definition of inadequately reclaimed lands. See response (4). SCS policy for considering rare, threatened, and endangered species is contained in 7 CFR, Part 650, § 650.22.

(9) Two comments suggested that new subsections be added to emphasize restoration of the visual quality of abandoned lands.

*Response:* SCS agrees. Restoration of visual quality is an important public benefit that can result from reclamation. Paragraph 632.15(d) has been reworded to indicate more clearly practices for improving visual quality are eligible for cost sharing.

(10) One comment indicated that the program should be subject to the requirements of the Office of Management and Budget Circular A-95.

*Response:* Reclamation by individual land users on private lands is excluded from A-95 notification and review. However, the A-95 procedures are applicable when reclamation is carried out by public entities on public lands. In these situations, SCS, under existing policy, is to follow established A-95 review procedures.

(11) One comment expressed concern that the cost-share payments made to land users for carrying out reclamation activities would be taxable as income and program participation would be seriously reduced.

*Response:* SCS agrees. A preliminary ruling by the Internal Revenue Service indicates that cost-share payments made to land users under this program are considered to be taxable income.

(12) One comment expressed concern that prohibiting channelization would seriously limit the ability of SCS to reclaim adequately certain abandoned lands. Three other comments indicated that the definition of a stream as used in conjunction with channelization would permit channelization.

*Response:* It is not the intent of SCS to channelize streams under this program. Channelization is prohibited by law; however, the law does not define channelization. SCS has deleted the definition of a stream and defined channelization as the overall widening, deepening, realining, or construction of a protective lining over all or part of the perimeter of the channel of a

perennial stream. This definition would permit the stabilization of eroding streambanks within the boundaries of eligible lands with vegetation or riprap and would permit the removal of excessive debris or sediment without complete disruption of the stream.

A final program environmental impact statement was made available by the Environmental Protection Agency on July 7, 1978. This environmental impact statement discloses the significant cumulative environmental impacts of the selected program alternative.

A final regulatory impact analysis of the selected program alternative was made available for public inspection and review on September 12, 1978.

Both of these documents are on file for public inspection in Room 6134, South Building, U.S. Department of Agriculture, Washington, D.C.

Dated: September 21, 1978.

B. M. DAVIS,  
Administrator,  
Soil Conservation Service.

Title 7 of the Code of Federal Regulations is amended by adding a new part 632 to read as follows:

**Subpart A—General**

- 632.1 Purpose and scope.
- 632.2 Objectives.
- 632.3 Responsibilities.
- 632.4 Definitions.

**Subpart B—Qualifications**

- 632.10 Applicability.
- 632.11 Availability of funds.
- 632.12 Funding priorities.
- 632.13 Eligible lands and water.
- 632.14 Eligible land users.
- 632.15 Eligible uses and treatment of reclaimed lands.
- 632.16 Methods of applying planned land use and treatment.
- 632.17 Cost-share rates.
- 632.18 Special projects.
- 632.19 Crop history and allotments.

**Subpart C—Participation**

- 632.20 Application for assistance.
- 632.21 Reclamation plan.
- 632.22 Contracts.
- 632.23 Access to land unit and records.

**Subpart D—Cost-Share Procedures**

- 632.30 Applicability.
- 632.31 Cost-share payment.

**Subpart E—Appeals and Violations**

- 632.40 Appeals.
- 632.41 Violations.
- 632.42 Violation procedures.

**Subpart F—Environment**

- 632.50 Environmental assessment.
- 632.51 Accord with environmental laws and orders.
- 632.52 Identifying typical classes of action.

AUTHORITY: Section 406, Pub. L. 95-87; 91 Stat. 460 (30 U.S.C. 1236).



### Subpart A—General

#### § 632.1 Purpose and scope.

(a) The purpose of this part is to set forth the Soil Conservation Service (SCS) rules and regulations to carry out the Rural Abandoned Mine Program under section 406, Pub. L. 95-87; 91 Stat. 460 (30 U.S.C. 1236).

(b) The Rural Abandoned Mine Program:

(1) Through the SCS delivery system, assists land users to voluntarily develop reclamation plans and apply conservation treatment for the reclamation, conservation, and development of eligible coal-mined lands and water, and

(2) Provides cost sharing through long-term contracts according to an approved reclamation plan, to land users for establishing land use and conservation treatment on these lands.

#### § 632.2 Objectives.

(a) The objectives of the program are to protect people and the environment from the adverse effects of past coal-mining practices and to promote the development of the soil and water resources of unreclaimed mined lands by:

(1) Stabilizing mined lands.

(2) Controlling erosion and sediment on mined areas and areas affected by mining.

(3) Reclaiming lands and water for useful purposes.

(4) Enhancing water quality or quantity where it has been disturbed by past coal-mining practices.

#### § 632.3 Responsibilities.

(a) The Rural Abandoned Mine Program is administered by the U.S. Department of Agriculture (USDA) through SCS in accordance with the delegation of responsibility contained in § 601.1(h) of this chapter.

(1) The Administrator of SCS is responsible for national program management and administration and for coordinating program operations with the Office of Surface Mining (OSM), U.S. Department of the Interior.

(2) State conservationists (Responsible Federal Officials) are responsible for program operations within a State including program coordination with the State reclamation agency and the representatives of OSM.

(b) The primary public contacts for program assistance are the district conservationists located in local SCS field offices.

(c) SCS is assisted by other USDA agencies in accordance with existing authorities and agreements in carrying out the program.

(d) SCS is to coordinate Rural Abandoned Mine Program activities with SCS programs and the other reclamation programs authorized by Pub. L.

95-87 that are carried out by the Office of Surface Mining of the U.S. Department of the Interior, State reclamation agencies, and Indian tribes. Coordination includes program development, development of reclamation standards, preparation of special reports, requests for funding, and related actions required to achieve coordination between programs.

(e) SCS is to consult with State and local reclamation committees to obtain recommendations on program operation, evaluation of applications for reclamation assistance, and public participation. The SCS State Conservationist is to use existing reclamation committees or encourage the organization of a new State committee for this purpose. The State Conservationist is to serve as a member when the committee is functioning for the purposes of this program. Representatives of the Office of Surface Mining, State reclamation agency, State water quality agency, State conservation agency, and other agencies or groups are to be invited to participate as members. Individual citizens may participate through the State committee. Local committees, if needed, are to be organized on a multicounty, county, conservation district, or other appropriate area with a local membership structure similar to the State committee. The district conservationist is to be a member of a local reclamation committee organized to provide program guidance.

#### § 632.4 Definitions.

**Abandoned mined lands.** Unreclaimed coal-mined lands that existed before August 3, 1977, and for which there is no continuing reclamation responsibility on the part of a mine operator, permittee, or agent under State or Federal law or on the part of the State as a result of a bond forfeiture. See § 632.13.

**Average costs.** The calculated cost, determined by recent actual costs and current cost estimates, considered necessary for a land user to carry out a conservation practice or an identifiable unit of a conservation practice.

**Conservation district.** A legal subdivision of State government responsible for developing and carrying out programs of soil and water conservation with which the Secretary of Agriculture cooperates under the Soil Conservation and Domestic Allotment Act of 1935.

**Conservation treatment.** Specific conservation or reclamation practices applied to the land according to current standards and specifications in SCS technical guides.

**Contract.** A binding agreement between SCS and the land user that includes the reclamation plan and pro-

vides for cost sharing the conservation treatment.

**Contracting officer.** The SCS official authorized to enter into and administer contracts for the Rural Abandoned Mine Program.

**Cost.** The monetary amount actually paid or obligated to be paid by the land user for equipment use, materials, and services for carrying out a conservation practice or identifiable unit. If the land user uses his own resources, it includes the computed value of his labor, equipment use, and materials.

**Cost-share payments.** Payments made to or on behalf of land users at established rates as specified in contracts for carrying out a conservation practice or an identifiable unit of such practices according to the contract.

**Financial burden.** The land user's cost of reclamation that cannot be expected to be recovered within the contract period and that would probably prevent participation in the program. The land user must sign a statement to substantiate financial burden.

**Identifiable unit.** A component of a conservation practice that can be clearly identified as a step in carrying out the conservation practice.

**Inadequately reclaimed.** Lands or water that are mined for coal or are affected by mining conducted before August 3, 1977, which continue in their present condition to substantially degrade the quality of the environment, prevent or damage beneficial use of land or water resources, or endanger the health or safety of the public.

**Landrights.** An interest acquired by fee simple title, easements, and rights-of-way to occupy or use land, buildings, structures, or other improvements.

**Land user.** Any person, partnership, firm, company, corporation, association, trust, estate, other entity, or agent that owns or has management control of the surface rights of the land during the contract period or owns water rights on eligible lands. Also included are State or local public entities that own or control eligible land and water.

**Main benefits.** The principal values or benefits that can be identified and/or quantified as a result of reclamation. Main offsite benefits are those values that accrue to surrounding land users or the public in general as a result of the reclamation. Main onsite benefits are those that accrue to the participant. Examples of principal values or benefits include but are not limited to human lives and property protected, reduction of erosion or sediment damage, elimination of public safety or health hazards, improvement of water quality, improved visual quality, improved fish or wildlife habitat,



or restoration of beneficial uses of reclaimed areas.

**Reclamation committee.** A committee on a local or State level consisting of representatives of Federal and State agencies and other organizations or individuals that have responsibilities or interest in abandoned mine reclamation. The committee provides guidance to SCS on the operation of the Rural Abandoned Mine Program.

**Reclamation plan.** A conservation and development plan as referred to in Pub. L. 95-87, consisting of a written record of land user decisions on proposed use, conservation treatment, and maintenance of eligible lands and water that will protect, enhance, and maintain the resource base. A reclamation plan contains pertinent soils data, a planned land use map or drawing, a record of use and treatment decisions including a schedule of conservation treatment, and other resource data as appropriate.

**Specified maximum costs.** The maximum amount of cost-share money that is to be paid to a land user for carrying out a conservation practice or an identifiable unit of a conservation practice.

**Standards and specifications.** Requirements that establish the acceptable quality level for planning, designing, and installing a conservation practice on it achieves its intended purpose. SCS standards and specifications are contained in the SCS field office technical guides and are designed to be sound and practicable under local conditions. Technical guides are on file in local SCS field offices.

**Water rights.** Any interest acquired in, priority established for, or permission obtained for the use of water.

#### Subpart B—Qualifications

##### § 632.10 Applicability.

This program applies to any county or other designated area within a State that had abandoned or inadequately reclaimed coal-mined lands within its borders before August 3, 1977.

##### § 632.11 Availability of funds.

(a) The provisions of the program are subject to the annual appropriation by Congress of funds from the Abandoned Mine Reclamation Fund and the transfer of as much as 20 percent of these funds from the Office to Surface Mining to SCS for program operation.

(b) Allotments of Rural Abandoned Mine Program funds to state conservationists are to reflect the national program needs, the geographic areas from which the funds were derived, the funding priority assigned to applications for program assistance, including benefits expected to be derived, and the practicability and feasibility of the reclamation work proposed.

##### § 632.12 Funding priorities.

(a) Priorities for selecting reclamation work within a State are determined by the primary purpose of the reclamation and are to be funded in the following order:

(1) **Priority 1.** Protection of public health, safety, general welfare, and property from extreme danger of adverse effects of coal-mining practices. Extreme danger means a condition that could be expected to cause substantial physical harm to persons, property, or the environment and to which persons or improvements on real property are exposed.

(2) **Priority 2.** Protection of public health, safety, and general welfare from the adverse effects of coal-mining practices that do not constitute an extreme danger.

(3) **Priority 3.** Restoration of the land and water resources and the environment where previously degraded by the adverse effects of coal-mining practices, including measures for the conservation and development of soil, water (excluding channelization), woodland, fish and wildlife, recreation resources, and agricultural productivity. First consideration in this priority is to be the reduction of offsite damage affecting the public. Second consideration is to be given to restoring to beneficial uses for the main benefit of the land user.

(b) Eligible and feasible applications for program assistance within each priority category (§ 632.12(a)) are to be funded in the following order:

(1) Individual persons or public entities who owned the eligible area before May 2, 1977, and who neither consented to nor exercised control over the mining operation.

(2) Individual persons who would actively use the area, if reclaimed, for agricultural or silvicultural purposes.

(3) All other participants.

##### § 632.13 Eligible lands and water.

Lands and water eligible for reclamation are those that were mined for coal or were affected by coal-mining processes and were abandoned or inadequately reclaimed before August 3, 1977. These lands and water are not eligible if:

(a) There is continuing reclamation responsibility on the part of a mine operator, permittee, or agent under State or Federal law or on the part of the State as a result of bond forfeiture. However, if the amount of the bond forfeiture was insufficient to reclaim the area covered by the bond, the area will be considered eligible.

(b) They are under Federal ownership and control.

(c) The surface rights are under easement or lease to be mined for coal or other minerals.

##### § 632.14 Eligible land users.

Landowners holding surface land and water rights, residents, tenants, or their agents who own or have management control of eligible land and/or water are eligible to participate in the program. Residents or tenants who do not own the land must have control of the land for the period of the proposed contract and have the written consent of the landowner. Land users may participate by operating as persons, partnerships, associations, corporations, estates, trusts, or non-Federal public entities, and by acting individually or jointly with other eligible land users. However, joint participation with other eligible land users is required if the primary purpose of reclamation is enhancement of water quality or quantity.

##### § 632.15 Eligible uses and treatment of reclaimed lands.

(a) Reclaimed lands and water may be used for cropland, hayland, pasture land, rangeland, woodland, wildlife land, natural areas, noncommercial recreation land, and the supporting uses associated with these land uses. Other land uses proposed by public entities for public use and benefit such as open space, conservation uses, natural areas, and recreation sites may be approved by the SCS State conservationist in accordance with the priorities stated in § 632.12. However, development of public sites, such as the installation of recreation facilities, is not eligible for cost sharing.

(b) Reclaimed land use is determined by the objectives of the land user, compatibility of the land use with surrounding land use, and the practicability and feasibility of restoring the soil and water resources to support the use selected.

(c) The maximum acreage of eligible lands and water that may be offered for contract under one ownership is 320 acres for the life of the program.

(d) Conservation treatment eligible for Federal cost sharing includes the combination of practices needed and feasible to achieve:

(1) Protection of life, property, and elimination of public health and safety hazards, including land stabilization.

(2) Restoration of the environment where degraded by past mining, including water quality, visual quality, recreation resources, fish and wildlife habitat, and erosion and sediment control.

(3) A site that can be developed for a beneficial use as specified in § 632.15(a). Examples of eligible treatment that may be cost shared include but are not limited to: Land shaping and grading, critical area planting or other plantings for stabilization, improving visual quality, wildlife food



and cover, diversions or terraces, waterways or lined ditches, grade stabilization structures, sediment basins, and special practices for sealing shafts and tunnels, correcting subsidence problems, or other unusual situations. Practices not eligible for cost sharing are those that are solely applied to develop a reclamation site (including sites developed by public entities for public use), increase the production of crops, or for the recurring maintenance of applied reclamation.

(e) Applied conservation treatment is to meet the applicable Federal and State standards for the reclamation and conservation treatment of abandoned or inadequately reclaimed coal-mined lands and water. Where needed, these standards are incorporated in local SCS technical guides as the SCS standards and specifications applicable to the program. Special practices as specified in § 632.15(d) are to be developed in cooperation with appropriate State or Federal agencies having the expertise or responsibility for the practices.

(f) SCS State conservationists, in consultation with the State reclamation committee, are to:

- (1) Develop a list of practices that are eligible for cost sharing, and
- (2) Maintain, as applicable, lists of average costs of applying conservation treatment to eligible lands and waters.

#### § 632.16 Methods of applying planned land use and treatment.

(a) Land users may arrange to apply the planned land uses and conservation treatment specified in the contract by one or more of the following methods:

- (1) By performing the required treatment with his own labor and equipment.
- (2) By hiring a qualified contractor to install the required treatment.
- (3) By requesting SCS to award and administer a contract to perform the required treatment in accordance with 41 CFR Chapters I and IV.

(b) State conservationists are to develop criteria specifying the conditions for which SCS will award and administer a contract. Criteria will consider: Type of equipment required, type and amount of conservation treatment required, costs of the required reclamation, needs of the land user, and the applicable cost-share rate. If the Federal share is less than 100 percent, a land user must put up his estimated share of the cost before SCS awards the contract.

#### § 632.17 Cost-share rates.

(a) Cost-share rates paid by the Federal Government are to be established and issued as instructions by the SCS Administrator in accordance with the following criteria:

(1) For 120 acres or less, the cost-share rate is to provide up to 80 percent of the costs of land use and conservation treatment depending on the income-producing potential of the land after reclamation. However, this rate may be increased to a level required to obtain participation if the main benefits of reclamation are off-site (in the public interest) and there is a declaration of financial burden by the participant.

(2) The rate on acreage in excess of 120 acres up to 320 acres maximum is to be reduced by up to 0.5 percent per acre. This reduced rate applies to the entire acreage offered for contract.

#### § 632.18 Special projects.

(a) The SCS State conservationist may approve the following types of special projects subject to the eligibility requirements, funding priorities, and cost-share rates as stated in §§ 632.12, 632.13, 632.14, 632.15, and 632.17:

- (1) Field trials or demonstration projects recommended by the State reclamation committee.
- (2) Projects to enhance water quality and quantity where past coal-mining practices disturbed local water supplies and where joint action by a group of eligible land users in cooperation with Federal and State agencies is needed to restore the water resource.

#### § 632.19 Crop history and allotments.

(a) Most crop history and allotments on eligible lands were discontinued at the time of mining. However, if eligible lands are classified as cropland at the time the contract is signed, the cropland crop history and allotment, if any, may be:

- (1) Preserved for a period not to exceed twice the length of the contract as provided in 7 CFR, Part 719, or
- (2) Voluntarily surrendered by the land user.

### Subpart C—Participation

#### § 632.20 Application for assistance.

(a) Land users must submit an application for program assistance through the local conservation district or SCS field office. SCS is to announce dates for receiving applications through local media. Applications are to be reviewed by the conservation district and/or local reclamation committee, which is to verify eligibility and recommend funding priorities to the SCS district conservationist. The SCS district conservationist is to assign funding priorities according to the recommendations unless he determines that applications are incomplete, ineligible, or unfeasible. Low priority applications that cannot be serviced within specific time periods established by

the State conservationist are to be returned to the applicant with an appropriate explanation. These applicants may reapply at a later date if they are still interested.

(b) Eligible applicants are serviced within each subpriority according to the following criteria:

- (1) The specific type, amount, and relative importance of benefits to be derived. (Public benefits and offsite environmental improvement will take precedence over onsite benefits.)
- (2) Feasibility and practicability of reclaiming for the proposed uses.
- (3) Land user's ability to proceed.
- (4) Date of the application.

#### § 632.21 Reclamation plan.

(a) *Responsibility.* Land users are responsible for developing a reclamation plan that will serve as a basis for a contract. Normally, a land user will need the technical services of SCS and the conservation district or another professional to develop an acceptable plan.

(b) *Objectives and priorities.* The reclamation plan is to provide for the appropriate program objectives and priorities as stated in §§ 632.2 and 632.12 and meet the definition of a reclamation plan as defined in § 632.4.

(c) *Review.* (1) In areas served by conservation districts, reclamation plans are to be reviewed and signed by the district board to insure that planned land use and treatment is compatible with surrounding land uses and that proposed assistance is consistent with the district plan of work and priorities. In areas not served by conservation districts, the land use compatibility review may be performed by the local reclamation committee.

(2) If reclamation plans include lands within or adjacent to Federal lands, the plan is to be reviewed with the appropriate Federal land management agency to insure that the planned land use is compatible with that of the surrounding area.

(3) Land users are responsible for insuring that the proposed land use and treatment is compatible with local land use ordinances.

(d) *Approval.* Proposed land use, conservation treatment, and sequence of application contained in the plan are to be agreed to by both SCS and the land user. The district conservationist is to sign the reclamation plan to indicate technical approval.

#### § 632.22 Contracts.

(a) *Cost-sharing contracts.* A land user who has an approved reclamation plan may enter into a contract with SCS to receive Federal cost-share assistance. All land users are to sign the contract. A land user is required to furnish evidence of management con-



trol, such as a long-term lease, record deed, or land contract, and must have the written consent of the landowner. The SCS contracting officer is to sign the contract after determining that all documents meet program requirements.

(b) *Effect of contract.* A land user who signs a contract is obligated to apply or arrange for the application of the land use and conservation treatment as scheduled in the reclamation plan according to approved standards and specifications. A land user may request SCS to award and administer a contract to apply the conservation treatment as scheduled in the reclamation plan in accordance with § 632.16(a)(3).

(c) *Permits, landrights, and water rights.* The land user is responsible for obtaining the permits, surface landrights, and water rights that may be required to perform the planned work. SCS is to assist land users in identifying the specific permit, landright, or water right required.

(d) *Operation and maintenance.* During the contract period the land user is responsible for the operation and maintenance of applied conservation treatment. Operation and maintenance requirements are to be included in the contract.

(e) *Period of contract.* The contract period is to be no less than 5 nor more than 10 years. A contract is to extend for at least 3 years after the application of the last cost-shared conservation treatment to insure adequate establishment of vegetation and other treatment. Exceptions to the 3-year provision may be granted by the state conservationist for unusual circumstances.

(f) *Transfer of contract.* (1) If during the contract period all or part of the right and interest in the land is transferred by sale or other action, the contract is terminated on the land unit that was transferred and the land user:

(i) Forfeits all right to any future cost-share payments on the transferred land unit, and

(ii) Must refund cost-share payments that have been made on the transferred land unit not to exceed the difference between the estimated value of the land at the time of entering into the contract and at the time of transfer, unless the new land user becomes a party to the contract as provided in paragraph (f)(2) of this section.

(2) If the new land user becomes a party to the contract:

(i) He is to assume all obligations of the previous land user on the transferred land unit.

(ii) The contract with the new land user is to remain in effect with the original terms and conditions.

(iii) The contract is to be modified in writing to show the changes caused by the transfer. If the modification is not acceptable to the contracting officer, the provisions of paragraphs (f)(1) (i) and (ii) of this section apply.

(3) The transfer of all or part of a land unit by a land user does not affect the rights and obligations of other land users who have signed the contract.

(g) *Modification of contract.* (1) A contract previously entered into with a land user may be modified only with the approval of the State conservationist or as authorized under established policies. No contract may be modified unless it is determined that the modification is desirable to carry out the program.

(2) Contracts may be modified to add, delete, substitute, or reapply conservation treatment if:

(i) Applied conservation treatment failed to achieve the desired results through no fault of the land user,

(ii) Applied treatment deteriorated because of conditions beyond the control of the land user, or

(iii) Other treatment is substituted that will achieve the desired results.

(h) *Joint contract.* A land user may enter a contract jointly with other land users subject to the 320 acres maximum limitation per landowner. However, joint participation is permitted only if it will result in better land use and treatment than individual participation or if it is required by §§ 632.14 and 632.18(a)(2).

(i) *Termination of contract.* Contracts may be terminated by mutual consent of the signatories only if the State conservationist determines that the termination is authorized under established policies and is in the public interest. In this case, the State conservationist is to determine the amount of refund.

#### § 632.23 Access to land unit and records,

Any authorized SCS employee or agent is to have the right of access to land under application or contract and the right to examine any program records to ascertain the accuracy of any representations made in the application or contract. This includes the right to furnish technical assistance and to inspect work done under the contract.

#### Subpart D—Cost-Share Procedures

#### § 632.30 Applicability.

This subpart contains procedures for making cost-share payments to a land user when land use and conservation treatment is applied as specified in § 632.16(a)(1) or (2).

#### § 632.31 Cost-share payment.

(a) *Amount of cost-share payment.* Cost-share payments are to be made at rates specified in the contract. The cost-share payment is to be determined by one of the following methods:

(1) Average cost.

(2) Actual cost but not more than the average cost.

(3) Specified maximum cost. If the average cost or the specified maximum cost at the time of starting the installation of a conservation practice or identifiable unit is less than the cost specified in the contract, payment is to be made at the lower rate. If the cost at the start of installation is higher, payment may be made at the higher rate. A contract modification is necessary if SCS determines that the higher cost is a significant increase in the total cost-share obligation. If costs are significant, cost-share payment is not to be made until the modification reflecting the increase is approved. If the higher costs are not significant, cost-share payments may be made if funds are available.

(b) *Time of payment.* Cost-share payments are to be made to the land user after a practice or an identifiable unit has been satisfactorily applied. The land user is to submit claims for payment to the district conservationist no later than September 30 of the year after application. Late claims require approval of the State conservationist before payment can be made. A claim is to show the proportion of each land user's contribution to the applied practice or identifiable unit.

(c) *Approval.* The district conservationist must certify that a practice or identifiable unit has been satisfactorily applied before SCS can make cost-share payments.

(d) *Ineligible claim.* A land user is not eligible to receive cost-share payments for a practice or an identifiable unit that was not carried out under program requirements.

(e) *Authorization for payment.* (1) Materials or services needed to carry out contracts are to be obtained by land users. Contracts may provide for part or all of the cost-share payment for a practice or identifiable unit to be made directly to suppliers of materials or services. The materials or services must be delivered or performed before payment is made.

(2) The contracting officer is to authorize payment for materials or services not exceeding:

(i) The cost share of the material or service used, or

(ii) The total cost share of the practices or identifiable unit if requested by the land user.

(3) The land user who purchases materials or services to carry out a contract is responsible for them until the



district conservationist determines that the material or service was used for the intended purpose. If a material or service cost-shared by SCS is used for a purpose other than to carry out the contract, the land user is indebted to the United States for the cost of the misused material or service. This indebtedness is to be repaid to SCS as a refund or withheld from cost-share payments otherwise due the land user under the contract.

(4) SCS has the right to inspect materials or services and to take samples for testing. Inspections by SCS will not be necessary if SCS considers State inspection regulations adequate.

(5) Materials or services must meet the quality standards as specified. SCS may make exceptions for materials or services that do not meet the standards only if they will satisfactorily serve the intended purpose. SCS is to deduct from the cost-share payment the difference between the price of the materials or services specified and the actual value of the different materials or services.

(f) *Division of cost-share payments.* Federal cost-share payments made directly to suppliers of materials or services are credited to the land user who was issued the authorization. The remainder of the cost share is credited to the land user who carried out the remainder of the practice or identifiable unit. If more than one land user contributed to carrying out a practice or identifiable unit, the cost-share payment is to be divided proportionately according to the contribution made by each of the land users. Furnishing a landright or water right is not a contribution for cost-share payment purposes.

(g) *Other aid.* Non-Federal public entities may furnish all or part of the land user's portion of the cost of applying a practice or identifiable unit with no reduction in the Federal cost share.

(h) *Assignments and claims.* Land users may not assign cost-share payments except as provided under the authority of 31 U.S.C., section 203, as amended by 41 U.S.C., section 15. Federal cost-share payments due any land user are not subject to claims for advances except as provided in this section.

### Subpart E—Appeals and Violations

#### § 632.40 Appeals.

(a) *Before signing a contract.* A land user may orally request the contracting officer or the district conservationist to reconsider decisions that would affect the contract before it is signed. However, requests for reconsideration of eligible conservation treatment, cost-share rate, average costs, or specified maximum costs are not to be honored.

(1) If oral agreement is not reached, the land user may make a written request within 30 days after receiving notice of the oral decision. The contracting officer or the district conservationist is to notify the land user of the decision within 30 days after receiving the written request.

(2) If a land user is dissatisfied with the decision of the contracting officer or the district conservationist, he may file a written appeal with the State conservationist within 30 days after receipt of the decision. The State conservationist's decision is final. The land user is to be notified of the decision within 30 days after the appeal is filed.

(3) If the land user fails to comply with the time limits for reconsideration or appeal as provided in paragraphs (a) (1) and (2), the decision of the contracting officer or the district conservationist is final.

(b) *After the contract is signed.* Disputes pertaining to questions of fact under a contract, except contract violations, that are not settled by written agreement are to be referred to the State conservationist for a decision within 30 days after refusal to sign the written agreement. The following applies on disputes:

(1) The State conservationist is to notify the land user, in writing, that the dispute will be considered on a specified date. This date is to be not less than 30 days after the land user receives the notice.

(2) Within 30 days after receiving the State conservationist's notice, the land user may file a request to appear and present oral and other evidence. If the land user does not request an appearance, the State conservationist is to decide the dispute on the evidence available to him. The State conservationist is to inform the land user of his decision in writing.

(3) Land users may appeal decisions of the State conservationist to the Administrator within 30 days after receiving the decision. The State conservationist is to submit the record before him, which is to include his decision, to the Administrator within 20 days after the land user's appeal is received by the Administrator. He may also file a brief or statement with the Administrator. The Administrator's decision is final. The land user is to be notified of this decision in writing.

(c) *Filing of documents.* A document is considered filed when it is received in the office of the person concerned.

#### § 632.41 Violations.

(a) *Actions causing violation.* The following actions constitute violation of a contract by a land user:

(1) Knowingly or negligently damaging or causing conservation treatment to be impaired.

(2) Adopting land use or treatment that tends to defeat the program purposes during the period of the contract.

(3) Failing to comply with the terms of the contract.

(4) Filing a false claim.

(5) Misusing an authorization.

(b) *Effect of violation.* (1) *Contract to be terminated.* (i) By signing a contract, the land user agrees to forfeit all rights to further cost-share payments under a contract and to refund cost-share payments received not to exceed the difference between the estimated value of the land at time of entering into the contract and the value at time of termination, if the contracting officer, with approval of the State conservationist, determines that:

(A) There was a violation of the contract during the time the land user had control of the land, and

(B) The violation was of a nature as to warrant termination of the contract.

(ii) The land user is to be obligated to refund cost-share payments and cost shares paid under authorizations not to exceed the difference between the estimated value of the land at time of entering into the contract and the value at time of termination.

(2) *Contract not terminated.* (i) By signing a contract, the land user agrees to refund cost-share payments received under the contract or to accept payment adjustment if the contracting officer, with the approval of the State conservationist, determines that:

(A) There was a violation of the contract during the time the land user had control of the land, and

(B) The nature of the violation does not warrant termination of the contract.

(ii) Payment adjustments may include decreasing the rate of a cost share, deleting a cost-share commitment from the contract, or withholding cost-share payments earned but not paid. The land user who signs the contract may be obligated to refund cost-share payments and cost shares paid under authorizations.

#### § 632.42 Violation procedures.

(a) *Scope.* This section prescribes the regulations dealing with contract violations. The Administrator reserves the right to revise or supplement any of the provisions of this section at any time if the action does not adversely affect the land user, or if the land user has been officially notified before this action is taken. No cost-share payment shall be made pending the decision on whether a contract violation has occurred.

(b) *Determination by contracting officer.* On notification that a contract



violation may have occurred, the contracting officer is to:

(1) Determine, with the approval of the State conservationist, that a violation did not occur or that the violation was of such a nature that no penalty of forfeiture, refund, or payment adjustment is necessary. No notice is issued to the land user, and no further action is to be taken; or

(2) Determine that a violation did occur, but the land user agrees to accept the penalty. If the land user agrees in writing to accept a penalty of forfeiture, refund, payment adjustment or termination, no further action is to be taken. The land user's agreement to accept the penalty must be approved by the contracting officer and State conservationist.

(c) *Notice of possible violation.* (1) When the State conservationist is notified that a contract violation may have occurred that may warrant a penalty of forfeiture, refund, payment adjustment, or termination, he is to notify, in writing, each land user who signed the agreement of the alleged violation. This notice may be personally delivered or sent by certified or registered mail. A land user is considered to have received the notice at the time of personal receipt acknowledged in writing, at the time of the delivery of a certified or registered letter, or at the time of the return of a certified or registered letter where delivery was refused.

(2) The notice setting forth the nature of the alleged violation is to give the land user an opportunity to appear at a hearing before a hearing officer designated by the State conservationist. The land user's request for a hearing is to be submitted in writing and must be received in the SCS field office within 30 days after receipt of the notice. The land user is to be notified in writing by the hearing officer of the time, date, and place for the hearing. The land user is to have no right to a hearing if he does not file a written request for a hearing, or if he or his representative does not appear at the appointed time, unless the hearing officer, at his discretion, permits an appearance. A request for a hearing filed by a land user is considered to be a request by all land users who signed the contract.

(d) *Hearing.* A public hearing is to be conducted to obtain the facts about the alleged violation. The hearing officer is to limit the hearing to relevant facts and evidence and is not to be bound by the strict rules of evidence as required in courts of law. Witnesses may be sworn in at the discretion of the hearing officer.

(1) The land user or his representative is to be given full opportunity to present oral or documentary evidence about the alleged violation. Likewise,

the United States may submit statements and evidence. Individuals not otherwise represented at the hearing may be permitted, at the discretion of the hearing officer, to give information of evidence. The hearing officer, at his discretion, may permit witnesses to be cross-examined.

(2) The hearing officer is to make a record of the hearing so that the testimony can be summarized. A summary of the testimony may be made if both the land user and the State conservationist agree. A transcript of the hearing is to be made if requested by either the State conservationist or the land user within 10 days of the hearing. If a transcript is requested by the land user, the land user may be assessed the cost of a copy of the transcript.

(3) The hearing officer is to close the hearing after a reasonable period of time if the land user or his representative is not present at the scheduled time. The hearing officer may, at his discretion, accept information and evidence submitted by others present for the hearing.

(4) The hearing officer is to furnish the State conservationist with a written report setting forth his findings, conclusions, and recommendations. The report is to include the summary of testimony or transcript made of the hearing and any other information that would aid the State conservationist in reaching his decision.

(e) *Decision by State conservationist.* The State conservationist is to make a decision after considering the hearing officer's report, including recommendations of the conservation district board if any, and any other information available to him, including, if applicable, the amount of the forfeiture, refund, or payment adjustment. The decision is to state whether the violation is of such a nature as to warrant termination of the contract. The State conservationist is to notify, in writing, each land user who signed the contract of his decision. The State conservationist may authorize or require the reopening of any hearing before a hearing officer for any reason at any time before his decision.

(f) *Appeal to Administrator.* Any land user affected by a decision of the State conservationist has the right of appeal to the Administrator. The appeal and any briefs or statements must be received in the Office of the Administrator within 30 days after the land user has received notice of the State conservationist's decision. The State conservationist is to file a brief or statement in the Office of the Administrator within 20 days after the land user's brief or statement is received there. The appeal is to be limited to the records and the issues made before the State conservationist. The Administrator's decision is final. The

decision is to be determined by the record before him and the issues presented in the appeal, and the land user is to be notified in writing.

(1) If the decision provides for termination of the contract, it is to state that the contract is terminated, that all rights to further cost-share payments under the contract are forfeited, and that cost-share payments received under the contract are to be refunded, but the refund is not to exceed the difference between the estimated value of the land at time of entering into the contract and the value at time of termination. The decision is to state the amount of refund and method of payment.

(2) If the decision does not provide for termination of the contract, the land user may be required to make a refund of cost-share payments or to accept payment adjustments. The decision is to state the amount of refunds of cost-share payments or payment adjustments. In determining amounts of refund or payment adjustments, the following are to be considered:

(i) The extent of the violation.  
(ii) Whether the violation was deliberate or the result of negligence or was caused by circumstances beyond the control of the land user.

(iii) The effect on the program if no refund or payment adjustment is required.

(iv) The extent to which the land user benefited by the violation.

(v) The effect of the violation on the contract as a whole.

(vi) Other considerations including the appropriateness and reasonableness of the refund or payment adjustment.

#### Subpart F—Environment

##### § 632.50 Environmental assessment.

(a) Environmental assessment is an integral part of planning used by SCS in developing each reclamation plan under this program. Planning includes site inventory and analysis, evaluation of reasonable alternatives, and identification of significant environmental impacts. Major points in planning when SCS or the land user can make decisions concerning further action are:

(1) After an evaluation of the application for program assistance to verify eligibility, land user objectives, and priorities for funding.

(2) After a site-specific inventory and analysis to evaluate feasible treatment alternatives, costs, and environmental impacts.

(3) After development of an acceptable reclamation plan as a basis for contract.

(4) Before the signing of a mutually acceptable contract for financial cost-share assistance.



(b) The scope and complexity of the assessment is to be consistent with the scope and complexity of the proposed reclamation.

(c) An interdisciplinary team, consisting of SCS and/or other cooperating agency personnel as needed, is used in making the assessment.

(d) The Responsible Federal Official (RFO) is to use the environmental assessment to make a decision concerning the need to prepare an environmental impact statement (EIS) in accordance with § 632.52.

**§ 632.51 Accord with environmental laws and orders.**

(a) A final program EIS is available in compliance with section 102(2)(c) of the National Environmental Policy Act of 1969 (NEPA). This statement discloses the cumulative program impacts that significantly affect the quality of the human environment.

(b) The program is to be conducted in accordance with other laws and Executive orders concerning environmental protection.

(c) Channelization of streams is prohibited under this program. Channelization as used herein means the overall widening, deepening, realining, or

constructing a nonvegetative protective lining over all or part of the perimeter of a perennial stream channel as described in SCS Channel Modification Guidelines, Part B, Items 4, 5, 6, and 7, as published in the FEDERAL REGISTER on March 1, 1978 (43 FR 8278).

**§ 632.52 Identifying typical classes of action.**

(a) The RFO will analyze the environmental assessment of the proposed action to determine which of the following classes of action applies. This determination will be recorded and will be available to the public on request.

(1) *Actions not requiring a site-specific EIS.* All proposed actions and their impacts that are determined to be adequately discussed in the program EIS or determined not to be major Federal actions will not require a site-specific EIS. However, if the assessment reveals that these proposed actions will have significant adverse effects on the quality of the human environment, the RFO will:

(i) Modify the action to eliminate or mitigate the significant adverse impacts, or

(ii) Withdraw further Federal assistance if significant adverse impacts cannot be eliminated or mitigated.

(2) *Actions requiring a site-specific EIS.* A site-specific EIS is required for proposed actions if their impacts are not adequately discussed in the program EIS, and the proposal is determined to be a major Federal action significantly affecting the quality of the human environment in accordance with § 650.7(b) of this chapter. When a decision is made to prepare an EIS, a Notice of Intent will be published in the FEDERAL REGISTER. The content and format of the EIS is to be consistent with the format of the program EIS and use scoping and tiering techniques to focus on the significant environmental issues.

(3) *Actions excluded from the EIS requirements.* Those actions taken to prevent loss of life or property under the extreme danger provisions of priority 1 as described in § 632.12. These actions are determined by a limited environmental assessment that reasonably identifies the possible loss of life or property.

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Registered  
prior  
to  
1978

THURSDAY, SEPTEMBER 28, 1978  
PART IV



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DEPARTMENT OF  
HEALTH,  
EDUCATION AND  
WELFARE

Health Resources  
Administration



LIST OF HEALTH  
MANPOWER SHORTAGE  
AREAS

Designated Under Section 332  
of Public Health Service Act



[4110-83]

# DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE

Health Resources Administration

## LIST OF HEALTH MANPOWER SHORTAGE AREAS DESIGNATED UNDER SECTION 332 OF PUBLIC HEALTH SERVICE ACT

1. *Purpose.* This notice provides an updated list of those primary medical manpower shortage areas designated by the Secretary of Health, Education, and Welfare through August 31, 1978, under the authority of section 332 of the Public Health Service Act.

2. *Background.* Section 332 of the Public Health Service Act, as amended by Pub. L. 94-484 (the Health Professions Educational Assistance Act of 1976), provides that the Secretary of Health, Education, and Welfare shall designate health manpower shortage areas in accordance with criteria established under section 332(b). Health manpower shortage areas are defined in section 332(a) to include (1) urban and rural geographic areas, (2) population groups, and (3) facilities with shortages of health manpower. Section 332(d) requires that the Secretary publish a list of the designated geographic areas, population groups, and facilities. Regulations implementing this authority provide that periodic updates of the list be published. The Health Resources Administration's Bureau of Health Manpower has been assigned the responsibility for designating these areas.

This is the second list of health manpower shortage areas designated under section 332. The first list was published July 17, 1978, and included six different types of manpower shortage areas (primary medical care, dental, vision care, podiatry, pharmacy, and veterinary manpower). This list represents an update for designated primary medical manpower shortage areas only, and contains all such areas which had been designated by August 31, 1978.

Public or nonprofit entities in (or with a demonstrated interest in) these areas are eligible to apply for assignment of National Health Service Corps personnel to provide health services in or to the areas. These areas are also eligible service areas for Public Health Service loan repayment and scholarship programs, and entities located in the areas are eligible to apply for (or receive preference for) certain grant programs under the Public Health Service Act.

3. *Development of list.* Criteria for the designation of health manpower shortage areas in accordance with section 332(b) were published by the Department of Health, Education, and Welfare as Interim-Final Regulations

in the FEDERAL REGISTER of January 10, 1978 (43 FR 1586). Data available to the Bureau of Health Manpower on counties and small areas throughout the country were then compared with these criteria, and preliminary listings were developed of those areas which tentatively appeared to meet the criteria. These preliminary listings were submitted to the appropriate Health Systems Agencies (HSA's), State Health Planning and Development Agencies (SHPDA's) and Governors for their review and recommendations. In addition, the many requests received for designation of particular areas, population groups, or facilities were also submitted to the appropriate HSA's, SHPDA's, and Governors for review and recommendations.

Each request for designation was reviewed by the Bureau of Health Manpower together with any recommendations received concerning such designations, and a determination was made as to whether or not the areas involved met the shortage criteria. The results of these determinations were then provided by letter to the requesting agency or individual, with copies to other appropriate agencies and to interested organizations and persons. Where a review resulted in designation of one of more areas, this letter constituted the official notice of designation. The same procedure was applied in reviewing agencies' responses to the listings sent to them for review.

The list below represents, for primary medical care manpower, a compilation of those areas, population groups and facilities already formally designated by letter by the Bureau of Health Manpower between January 10 (the date of the publication of the Interim-Final Regulations and criteria in the FEDERAL REGISTER) and August 31, 1978.

4. *Format of list.* The list of areas is arranged by State. Within each State, the list is first presented by county. In those cases where a portion (or portions) of a county has been designated, the service area(s) involved are listed under the county name. Following the county listing, a list of these service areas is presented showing their component parts in terms of census tracts (C.T.), towns, townships, minor civil division (M.C.D.) or census county division (C.C.D.).

Where population groups or facilities have been designated, these are listed under the county name(s) in which the population resides or the facility is located.

Beside each designated area, the appropriate "degree of shortage" group is indicated, corresponding to the criteria for these groupings contained in the Interim-Final Regulations. (Group I represents areas with the highest

degree of shortage, Group II with the next highest degree of shortage, etc.)

In addition to the specific listings shown here, all members of Indian tribes within the meaning of the definition in section 4(d) of Pub. L. 94-437, the Indian Health Care Improvement Act of 1976, are automatically designated as population groups with a health manpower shortage.

5. *Supplemental list of National Health Service Corps (NHSC)—staffed sites previously designated under section 329(b).* Under section 407(c) of Pub. L. 94-484, areas which had been designated under section 329(b) of the Public Health Service Act as of September 30, 1977, and in which National Health Service Corps (NHSC) personnel were providing health services on that date, are automatically designated as health manpower shortage areas under section 332, until the end of the assignment period of those personnel. A supplementary list of such areas follows the list of primary medical manpower shortage areas designated using the new criteria.

The designation of any area listed on this supplemental list will lapse at the end of the current assignment period, unless prior to that time, it is determined that the area satisfies the new criteria and notification of redesignation under section 332 is made.

The format for this list of areas is also by State. Within each State, the name of the previously designated area is given, followed by the name of the clinic or other facility staffed, and the community and county in which the facility is located. Any facility for which the previous designation could not be identified was not included in this list.

6. *Future updates of list of designated areas.* The list below consists of those primary medical manpower shortage areas designated by August 31, 1978. Updates of the lists of dental and other shortage areas will be published at a later date. Additions to and deletions from these lists are made continuously, by letter to affected agencies and persons; further updates of all the lists will be published periodically in the FEDERAL REGISTER. (In particular, it should be noted that some additional primary medical manpower shortage areas have been designated between August 31 and the date of this notice, and the appropriate agencies and persons notified of the designation. Although officially designated, these areas are not included in the list below.)

Any designated area listed below is subject to withdrawal from designation if new information is presented to and confirmed by the Bureau of Health Manpower indicating that the situation in the area has changed, or that erroneous or incomplete data



were used in making the original designation.

For further information on these designations, to request additional designations, or to request withdrawal of any designation, please contact:

Division of Manpower Analysis,  
Bureau of Health Manpower, Health  
Resources Administration, Atten-  
tion: Shortage Area Designation  
Staff, Center Building, Room 4-41,  
3700 East-West Highway, Hyatts-  
ville, Md. 20782.

All requests for designation or with-  
drawal should be based on the criteria  
as published in the Interim-Final Reg-  
ulations, which appeared in the FEDER-  
AL REGISTER on January 10, 1978.

Dated: September 20, 1978.

HENRY A. FOLEY,  
Administrator,  
Health Resources  
Administration.

# HEALTH MANPOWER SHORTAGE AREAS—PRIMARY CARE

## ALABAMA

### County Listing

County name	Degree of shortage group
Autauga.....	01
Barbour.....	01
Bibb.....	04
Bullock.....	01
Butler.....	03
Calhoun:	
Service area, Etowah.....	04
Chambers:	
Service area, La Fayette.....	01
Cherokee.....	02
Clay.....	02
Cleburne.....	01
Coosa.....	01
Covington:	
Service area, Southeast Covington.....	03
Service area, North Covington.....	01
Crenshaw.....	03
Cullman.....	02
Dale.....	01
De Kalb.....	02
Elmore.....	02
Etowah.....	04
Fayette.....	02
Geneva.....	03
Greene.....	03
Hale.....	03
Henry.....	01
Houston:	
Service area, East Houston.....	01
Jackson.....	02
Jefferson:	
Service area, Roosevelt City.....	02
Lamar.....	03
Lawrence.....	02
Limestone.....	04
Lowndes.....	01
Marion.....	01
Mobile:	
Service area, Davis Area Community.....	01
Service area, Bayou La Batre.....	01
Pickens.....	01
Pike.....	03
Randolph.....	02
Talladega:	
Service area, Childersburg CCD.....	01
Service area, Lincoln-Estaboga CCD.....	03
Tuscaloosa:	
Service area, Tuscaloosa.....	01
Winston.....	02

## Service Area Listing

Service area name	Degree of shortage group
Bayou La Batre.....	1
County—Mobile:	
Parts of county:	
C.T. 66 (parts).....	
C.T. 67 (parts).....	
C.T. 72 (parts).....	
C.T. 73 (parts).....	
Childersburg CCD.....	1
County—Talladega:	
Parts of county:	
Childersburg division.....	
Davis Area Community.....	1
County—Mobile:	
Parts of county:	
C.T. 2.....	
C.T. 3.....	
C.T. 4.01.....	
C.T. 4.02.....	
C.T. 5.....	
C.T. 6.....	
East Houston.....	1
County—Houston:	
Parts of county:	
Columbia.....	
Gordon.....	
Etowah.....	4
County—Calhoun:	
Parts of county:	
Piedmont division.....	
Ohatchee division.....	
Webster Chapel-Alexandria division.....	
County—Etowah.....	
Greene-Hale.....	3
County—Greene.....	
County—Hale.....	
La Fayette.....	1
County—Chambers:	
Parts of county:	
Lafayette division.....	
Lincoln-Estaboga CCD.....	3
County—Talladega:	
Parts of county:	
Lincoln-Estaboga division.....	
North Covington.....	1
County—Covington:	
Parts of county:	
Red Level.....	
Rosehill-Gantt.....	
Roosevelt City.....	2
County—Jefferson:	
Parts of county:	
C.T. 105.....	
C.T. 131.....	
C.T. 133.....	
C.T. 136.....	
C.T. 137.....	
Southeast Covington.....	3
County—Covington:	
Parts of county:	
Floral CCD.....	
Tuscaloosa.....	1
County—Tuscaloosa:	
Parts of county:	
C.T. 0116.....	
C.T. 0117.....	
C.T. 0118.....	
C.T. 0119.....	

## ALASKA

### County Listing

County name	Degree of shortage group
Aleutian Islands division.....	01
Angoon Division.....	04
Barrow Division.....	01
Bethel Division.....	01
Bristol Bay Division.....	03
Bristol Bay Boro. Division.....	03
Juneau Division.....	04
Kobuk Division.....	01
Kuskukwim Division.....	01
Matanuska-Sustina Division.....	04

## ALASKA—Continued

County name	Degree of shortage group
Nome Division.....	01
Outer Ketchikan Division.....	01
Prince of Wales Division.....	01
Skagway-Yakutat Division.....	04
Upper Yukon Division.....	01
Valdez-Chitina-Whitt, Division.....	02
Wade Hampton Division.....	01
Yukon-Koyukuk Division.....	01

## Service Area Listing

Service area name	Degree of shortage group
Bristol Bay.....	3
County—Bristol Bay division.....	
County—Bristol Bay Boro. division.....	
Juneau.....	4
County—Angoon Division.....	
County—Juneau Division.....	
County—Skagway-Yakutat Division.....	

## ARIZONA

### County Listing

County name	Degree of shortage group
Apache:	
Service area, south central Apache.....	04
Service area, White Mountain Indian Reservation.....	03
Cochise:	
Service area, Bowie.....	01
Service area, Tombstone City area.....	03
Gila:	
Service area, White Mountain Indian Reservation.....	01
Maricopa:	
Service area, South Phoenix.....	00
Navajo:	
Service area, Hopi Indian Reservation.....	01
Service area, White Mountain Indian Reservation.....	03
Pima:	
Service area, Marana MSA.....	02
Service area, El Rio.....	01
Pinal:	
Service area, Central/West Pinal.....	02
Santa Cruz.....	01
Yuma:	
Service area, northern Yuma.....	01
Service area, Somerton Clinic Target area.....	01

## Service Area Listing

Service area name	Degree of shortage group
Benson CCD.....	3
County—Cochise:	
Parts of county:	
Benson CCD.....	
Bowie.....	1
County—Chochise:	
Parts of county:	
Bowie CCD.....	
Central/west Pinal County.....	
County—Pinal:	
Parts of county:	
Maricopa/Stanfield.....	
Casa Grande.....	
Elroy.....	
Coolidge.....	
Florence.....	
El Rio.....	1
County—Pima:	



## NOTICES

## Service Area Listing—Continued

Service area name	Degree of shortage group
Parts of county:	
C.T. 1	
C.T. 2	
C.T. 3	
C.T. 4	
C.T. 8	
C.T. 9	
C.T. 10	
C.T. 11	
C.T. 12	
C.T. 13.02	
C.T. 22	
C.T. 23	
C.T. 24	
C.T. 25.01	
C.T. 37.01	
C.T. 37.02	
C.T. 37.03	
C.T. 38	
C.T. 39	
Hopi Indian Reservation.....	1
County—Navajo:	
Parts of county:	
Hopi division	
Marana MSA.....	2
County—Pima:	
Parts of county:	
Marana CCD	
Northern Yuma County.....	1
County—Yuma:	
Parts of county:	
Parker division	
Somerton Clinic Target area.....	1
County—Yuma:	
Parts of county:	
Somerton	
Wellton (parts)	
South Phoenix.....	2
County—Maricopa:	
Parts of county:	
C.T. 1152	
C.T. 1153	
C.T. 1154	
C.T. 1155	
C.T. 1156	
C.T. 1157	
C.T. 1158	
C.T. 1159	
C.T. 1160	
C.T. 1161	
C.T. 1162.01	
C.T. 1162.02	
C.T. 1163	
C.T. 1164	
C.T. 1165	
C.T. 1166	
C.T. 1167	
South-south central Apache County.....	4
County—Apache:	
Parts of county:	
Round Valley division	
St. John division	
Puerco division	
Tombstone City area.....	3
County—Cochise:	
Parts of county:	
Tombstone Pl. (part of Tombstone division)	
White Mountain Indian Reservation.....	3
County—Apache:	
Parts of county:	
Monary division	
County—Gila:	
Parts of county:	
Reservation division (part)	
County—Gila:	
Parts of county:	
Reservation division	

## ARKANSAS

## County Listing

County name	Degree of shortage group
Arkansas:	
Service area, Arkansas County.....	01
ASHLEY.....	02
BRADLEY.....	04
CALHOUN.....	01
Clark:	
Service area, Southern Clark Co.....	02
CLAY.....	03
CLEVELAND.....	02
Howard:	
Service area, Dierks.....	01
Jefferson:	
Service area, Northeast Jefferson Co.....	01
Service area, Northwest Jefferson Co.....	01
Service area, Reydel.....	00
Service area, S. Arkansas Correctional Facilities.....	02
LAFAYETTE.....	01
LAWRENCE.....	04
LINCOLN.....	01
LITTLE RIVER.....	02
MISSISSIPPI.....	04
Pike:	
Service area, Dierks.....	01
SEVIER.....	03
SHARP.....	02
STONE.....	02

## Service Area Listing

Service area name	Degree of shortage group
Arkansas County.....	1
County—Arkansas:	
Parts of county:	
Chester Township	
Stanley Township	
Keaton Township	
Crochett Township	
Barton Township	
Garland Township	
Brewer Township	
Bayou Meto Township	
La Grue Township	
Prairie Township	
Point De Luce Township	
Arkansas Township	
Dierks.....	1
County—Howard:	
Parts of county:	
Baker Township	
Blue Ridge Township	
Burg Township	
Clay Township	
Duckett Township	
Holly Creek Township	
Madison Township	
Mountain Township	
Muddy Fork Township	
Sulphur Spring Township	
Umpire Township	
County—Pike:	
Parts of county:	
Mountain Township	
Eagle Township	
Self Creek Township	
White Township	
Muddy Fork Township	
County—Sevier:	
Parts of county:	
Jefferson Township	
Northeast Jefferson County.....	1
County—Jefferson:	
Parts of county:	
Lake Township	
Dudley Township	
Roberts Township	
plum Bayou Township	
Dunnington Township	
Boggy Township	
Northwest Jefferson County.....	1
County—Jefferson:	

## Service Area Listing—Continued

Service area name	Degree of shortage group
Parts of county:	
Barraque Township	
Bolivar Township	
Jefferson Township	
Pastoria Township	
Washington Township	
Reydel.....	1
County—Jefferson:	
Parts of county:	
Old River Township	
Villemont Township	
South Arkansas Correctional Facilities.....	2
County—Jefferson:	
parts of county:	
Tucker Prison	
Women's unit	
County—Lincoln:	
Parts of county:	
Cummins Prison	
Southern Clark County.....	2
County—Clark:	
Parts of county:	
Missouri Township	

## CALIFORNIA

## County listing

County name	Degree of shortage group
Butte:	
Service area, Feather Falls.....	01
Del Norte.....	04
Fresno:	
Service area, Firebaugh-Mendota.....	01
Glenn:	
Service area, Orland.....	04
Humboldt:	
Service area, Trinidad.....	03
Service area, Mad River.....	01
Inyo:	
Service area, Death Valley.....	01
Kern:	
Service area, Frazier Park.....	02
Service area, Buttonwillow.....	01
Lassen:	
Service area, North Lassen.....	01
Los Angeles:	
Service area, Monterey Park-Rosemead..	03
Service area, North El Monte-South El Monte.....	03
Service area, Industry-La Puente-Baldwin.....	02
Madera:	
Service area, Oakhurst-North Fork.....	02
Service area, Migrants-Spanish speaking population, Madera.....	01
Marin:	
Service area, Bolinas-Stinson.....	01
Mariposa:	
Service area, Coulterville.....	01
Mendocino:	
Service area, Point Arena.....	01
Service area, Covelo.....	01
Service area, Northwest Mendocino.....	01
Merced:	
Service area, Northern Merced.....	03
Service area, Migrants-Spanish Speaking Population in Greater Merced.....	02
Service area, Dos Palos-Los Banos.....	03
Modoc:	
Service area, Tule Lake-Butte Valley.....	01
Service area, Adin-Lookout.....	01
Monterey:	
Service area, Seaside.....	02
Service area, Soledad.....	01
Riverside:	
Service area, Blythe.....	02
San Benito.....	04
San Luis, Obispo:	
Service area, Nipomo-Arroyo Grande.....	02
Santa Clara:	
Service area, Loma Prieta School District.....	02
Santa Cruz:	



## CALIFORNIA—Continued

County name	Degree of shortage group
Siskiyou:	
Service area, Happy Camp .....	04
Service area, Tule Lake-Butte Valley .....	01
Stanislaus:	
Service area, Migrants-Spanish Speaking Population in S.W. Stanislaus .....	02
Trinity:	
Service area, Mad River .....	01
Ventura:	
Service area, the Developmentally Disabled in Ventura .....	02

## CALIFORNIA

## Service Area Listing

Service area name	Degree of shortage group
Adin-Lookout.....	1
County—Modoc:	
Parts of County:	
Adin-Lookout .....	
Blythe.....	1
County—Riverside:	
Parts of County:	
Palo Verde Division .....	
Chuckwalla Division .....	
Bolinas-Stinson.....	1
County—Marin:	
Parts of County:	
C.T. 1321 .....	
Buttonwillow.....	1
County—Kern:	
Parts of County:	
C.T. 33.01 (Part-Blk Grp 1-3, 951) .....	
C.T. 37 .....	
C.T. 42 (Part-Blk Grp 1) .....	
C.T. 45 (Part-Blk Grp 1-3, 5) .....	
Coulterville.....	1
County—Mariposa:	
Parts of County:	
Coulterville .....	
Covelo.....	1
County—Mendocino:	
Parts of County:	
Covelo .....	
Death Valley.....	1
County—Inyo:	
Parts of County:	
E.D. 23 of Death Valley Division .....	
E.D. 24 of Death Valley Division .....	
E.D. 25 of Death Valley Division .....	
E.D. 26 of Death Valley Division .....	
Dos Palos/Los Banos.....	3
County—Merced:	
Parts of County:	
Dos Palos Division .....	
Los Banos Division .....	
Feather Falls.....	1
County—Butte:	
Parts of County:	
Feather Falls .....	
Firebaugh-Mendota.....	1
County—Fresno:	
Parts of County:	
Firebaugh Division .....	
Mendota Division .....	
Frazier Park.....	2
County—Kern:	
Parts of County:	
C.T. 33.02 .....	
C.T. 60 (Part) .....	
Happy Camp.....	4
County—Siskiyou:	
Parts of County:	
Happy Camp CCD .....	
Industry-La Puente-Baldwin.....	2
County—Los Angeles:	
Parts of County:	
C.T. 4047 .....	
C.T. 4048 .....	
C.T. 4049 .....	
C.T. 4050 .....	

## CALIFORNIA—Continued

Service area name	Degree of shortage group
C.T. 4051 .....	
C.T. 4052 .....	
C.T. 4069 .....	
C.T. 4070 .....	
C.T. 4071 .....	
C.T. 4072 .....	
C.T. 4073 .....	
C.T. 4075 .....	
C.T. 4076 .....	
C.T. 4077 .....	
C.T. 4078 .....	
C.T. 4079 .....	
C.T. 4081 .....	
C.T. 4082 .....	
C.T. 4083 .....	
C.T. 4084 .....	
C.T. 4085 .....	
C.T. 4086 .....	
Loma Prieta School District.....	2
County—Santa Clara:	
Parts of County:	
Lexington Division (Part) .....	
County—Santa Cruz:	
Parts of County:	
San Lorenzo Valley (Part) .....	
Scotts Valley Division (Part) .....	
Mad River.....	1
County—Humboldt:	
Parts of County:	
Dinsmore Division .....	
County—Trinity:	
Parts of County:	
Mad River Division .....	
Migrants-Spanish Speaking Population in S.W. Stanislaus.....	2
County—Stanislaus:	
Parts of County:	
Patterson .....	
Newman .....	
Migrants-Spanish Speaking Population in Greater Merced.....	2
County—Merced:	
Parts of County:	
Merced .....	
Merced Rural .....	
Planada-La Grand .....	
Migrants-Spanish Speaking Population—Madera.....	1
County—Madera:	
Parts of County:	
C.T. 4 (Migrant and Spanish Speaking Population) .....	
C.T. 5 (Migrant and Spanish Speaking Population) .....	
C.T. 6 (Migrant and Spanish Speaking Population) .....	
C.T. 7 (Migrant and Spanish Speaking Population) .....	
C.T. 8 (Migrant and Spanish Speaking Population) .....	
C.T. 9 (Migrant and Spanish Speaking Population) .....	
C.T. 10 (Migrant and Spanish Speaking Population) .....	
Monterey Park-Rosemead.....	3
County—Los Angeles:	
Parts of County:	
C.T. 4322 .....	
C.T. 4329 .....	
C.T. 4336 .....	
C.T. 4336 .....	
C.T. 4813 .....	
C.T. 4817 .....	
C.T. 4818 .....	
C.T. 4820 .....	
C.T. 4821 .....	
C.T. 4822 .....	
C.T. 4823 .....	
C.T. 4824 .....	
C.T. 4825 .....	
C.T. 4826 .....	
C.T. 4827 .....	
C.T. 4828 .....	
Nipomo/Arroyo Grande.....	2
County—San Luis Obispo:	
Parts of County:	
Arroyo Grande Division .....	
North El Monte-South El Monte.....	3

## CALIFORNIA—Continued

Service area name	Degree of shortage group
County—Los Angeles:	
Parts of County:	
C.T. 4315 .....	
C.T. 4323 .....	
C.T. 4324 .....	
C.T. 4325 .....	
C.T. 4326 .....	
C.T. 4327 .....	
C.T. 4328 .....	
C.T. 4331 .....	
C.T. 4332 .....	
C.T. 4333 .....	
C.T. 4334 .....	
C.T. 4335 .....	
C.T. 4337 .....	
C.T. 4338 .....	
C.T. 4339 .....	
C.T. 4340 .....	
North Lassen.....	1
County—Lassen:	
Parts of County:	
Big Valley .....	
Madeline Plains .....	
Northern Merced.....	3
County—Merced:	
Parts of County:	
Hilmar-Irwin Division .....	
Livingston-Delhi .....	
Snelling Division .....	
Atwater Division .....	
Northwest Mendocino.....	1
County—Mendocino:	
Parts of County:	
Laytonville-Leggett .....	
Oakhurst-North Fork.....	2
County—Madera:	
Parts of County:	
Oakhurst-North Fork CCD .....	
Orland.....	4
County—Glenn:	
Parts of County:	
Orland CCD .....	
Point Arena.....	1
County—Mendocino:	
Parts of County:	
Point Arena .....	
Seaside.....	2
County—Monterey:	
Parts of County:	
Seaside Division .....	
Soledad.....	1
County—Monterey:	
Parts of County:	
Soledad Division .....	
The Developmentally Disabled in Ventura.....	2
County—Ventura:	
Parts of County:	
The Developmentally Disabled in Ventura .....	
Trinidad.....	3
County—Humboldt:	
Parts of County:	
North Coastal Division .....	
Tule Lake-Butte Valley.....	1
County—Modoc:	
Parts of County:	
Tule Lake .....	
County—Siskiyou:	
Parts of County:	
Tule Lake CCD .....	
Butte Valley CCD .....	

## COLORADO

## County Listing

County name	Degree of shortage group
Baca.....	04
Bent.....	04
Boulder:	
Service area, Lafayette.....	04
Conejos.....	01



## Service Area Listing—Continued

Service area name	Degree of shortage group
County name	Degree of shortage group
Costilla .....	01
Crowley .....	03
Kiowa .....	02
Montezuma .....	01
Montrose:	
Service area, Nucla .....	04
Ouray .....	01
Prowers .....	04
Pueblo:	
Service area, Avondale .....	01
San Juan .....	01
San Miguel .....	01
Sedgwick .....	01

## Service Area Listing

Service area name	Degree of shortage group
Avondale .....	1
County—Pueblo:	
Parts of county:	
C.T. 30.01 (Avondale)	
C.T. 30.02 (Avondale)	
C.T. 31.02 (Avondale)	
C.T. 32 (Avondale)	
C.T. 33 (Avondale)	
C.T. 34 (Avondale)	
Lafayette .....	4
County—Boulder:	
Parts of county:	
C.T. 129	
Nucla .....	4
County—Montrose:	
Parts of county:	
Nucla division	
San Luis Valley .....	1
County—Conejos	
County—Costilla	

## CONNECTICUT

## County Listing

County name	Degree of shortage group
Fairfield:	
Service area, Southeast Bridgeport .....	01
Service area, Central Bridgeport .....	01
Service area, Southwest Bridgeport .....	01
Service area, North Central Bridgeport .....	01
Service area, Southwest Stamford .....	01
Service area, South Norwalk .....	03
Hartford:	
Service area, Enfield .....	02
Service area, North-North Central Hartford .....	04
Windham:	
Service area, Northeast Windham .....	03

## Service Area Listing

Service area name	Degree of shortage group
Central Bridgeport .....	1
County—Fairfield:	
Parts of county:	
C.T. 713	
C.T. 714	
C.T. 715	
C.T. 716	
C.T. 717	
Enfield .....	2

## Service Area Listing—Continued

Service area name	Degree of shortage group
County—Hartford:	
Parts of county:	
C.T. 4762 (Windsor Locks Town)	
C.T. 4763 (Windsor Locks Town)	
C.T. 4801 (Enfield Town)	
C.T. 4802 (Enfield Town)	
C.T. 4803 (Enfield Town)	
C.T. 4804 (Enfield Town)	
C.T. 4805 (Enfield Town)	
C.T. 4806 (Enfield Town)	
C.T. 4807 (Enfield Town)	
C.T. 4808 (Enfield Town)	
C.T. 4809 (Enfield Town)	
C.T. 4810 (Enfield Town)	
C.T. 4811 (Enfield Town)	
C.T. 4812 (Enfield Town)	
C.T. 4813 (Enfield Town)	
C.T. 4771 (Suffield Town)	
C.T. 4772 (Suffield Town)	
C.T. 4761 (Windsor Locks Town)	
Northeast Windham .....	3
County—Windham:	
Parts of county:	
Brooklyn	
Canterbury	
Eastford	
Killingly	
Plainfield	
Pomfret	
Putnam	
Sterling	
Thompson	
Woodstock	
North Central Bridgeport .....	1
County—Fairfield:	
Parts of county:	
C.T. 728	
North-North Central Hartford .....	4
County—Hartford:	
Parts of county:	
C.T. 5008	
C.T. 5009	
C.T. 5010	
C.T. 5012	
C.T. 5013	
C.T. 5014	
C.T. 5015	
C.T. 5017	
C.T. 5018	
C.T. 5035	
C.T. 5037	
South Norwalk .....	3
County—Fairfield:	
Parts of county:	
C.T. 418	
C.T. 419	
C.T. 422	
C.T. 423	
Southwest Bridgeport .....	1
County—Fairfield:	
Parts of county:	
C.T. 702	
C.T. 703	
C.T. 704	
C.T. 705	
C.T. 706	
Southeast Bridgeport .....	1
County—Fairfield:	
Parts of county:	
C.T. 740	
C.T. 741	
C.T. 742	
C.T. 743	
C.T. 744	
Southwest Stamford .....	1
County—Fairfield:	
Parts of county:	
C.T. 222	
C.T. 223	

## DELAWARE

## County Listing

County name	Degree of shortage group
New Castle:	
Service area, city of Wilmington .....	02

## DELAWARE—Continued

County name	Degree of shortage group
Service area, Odessa-Middletown-Townsend .....	03
Sussex:	
Service area, Milton .....	02

## Service Area Listing

Service area name	Degree of shortage group
City of Wilmington .....	2
County—New Castle:	
Parts of county:	
C.T. 1	
C.T. 8	
C.T. 9	
C.T. 17	
C.T. 20	
C.T. 154	
C.T. 155	
C.T. 156	
Milton .....	2
County—Sussex:	
Parts of county:	
Selbyville-Frankford CCD	
Millsboro CCD	
Milton CCD	
Odessa-Middletown-Townsend .....	3
County—New Castle:	
Parts of county:	
Middletown Odessa CCD	

## DISTRICT OF COLUMBIA

## County Listing

County name	Degree of shortage group
District of Columbia:	
Service area, Anacostia service area .....	01

## Service Area Listing

Service area name	Degree of shortage group
Anacostia service area .....	1
County—District of Columbia:	
Parts of County:	
C.T. 73.1	
C.T. 78.5	
C.T. 78.7	
C.T. 78.8	
C.T. 96	
C.T. 97	
C.T. 98	
C.T. 73.2	
C.T. 73.3	
C.T. 73.4	
C.T. 73.6	
C.T. 73.7	
C.T. 73.8	
C.T. 74.1	
C.T. 74.2	
C.T. 74.4	
C.T. 74.5	
C.T. 75.1	
C.T. 75.2	
C.T. 76.1	
C.T. 76.2	
C.T. 76.3	
C.T. 77.1	
C.T. 77.2	
C.T. 77.3	
C.T. 77.5	
C.T. 77.6	
C.T. 77.7	
C.T. 78.1	



## Service Area Listing—Continued

Service area name	Degree of shortage group
C.T. 78.2	
C.T. 78.3	
C.T. 78.4	

## FLORIDA

## County Listing

County name	Degree of shortage group
Broward:	
Service area, Sunshine FHC Target Population.....	01
Service area, Sunshine Family Health Center.....	01
Dade:	
Service area, Southern Dade.....	01
Lee:	
Service area, Lee County Migrant Population.....	01
Palm Beach:	
Service area, Palm Beach.....	02

## Service Area Listing

Service area name	Degree of shortage group
Lee County migrant population.....	1
County—Lee:	
Parts of county:	
Lee County migrant population	
Palm Beach.....	1
County—Palm Beach:	
Parts of county:	
C.T. 78	
Sunshine Family Health Center.....	1
County—Broward:	
Parts of county:	
Sunshine Family Health Center	
Southern Dade.....	1
County—Dade:	
Parts of county:	
C.T. 103	
C.T. 104	
C.T. 105	
C.T. 106.02	
C.T. 108	
C.T. 109	
C.T. 110	
C.T. 111	
C.T. 112	
C.T. 113	
C.T. 114	
C.T. 115	
Sunshine FHC Target Population.....	1
County—Broward:	
Parts of county:	
C.T. 305 (Resident population)	
C.T. 305 (Migrant and seasonal farmworkers)	

## GEORGIA

## County Listing

County name	Degree of shortage group
Baker.....	04
Brooks.....	02
Burke.....	04
Butts.....	02
Calhoun.....	04
Chattahoochee.....	01
Cherokee.....	04
Clarke:	
Service area, Athens NHC target area.....	01

## GEORGIA—Continued

County name	Degree of shortage group
Crawford.....	01
Dooly.....	03
Dougherty.....	03
Early.....	02
Elbert.....	04
Fayette.....	02
Fulton:	
Service area, Atlanta (Southside).....	01
Service area, Palmetto service area.....	01
Glascok.....	01
Grady.....	03
Hancock.....	02
Henry.....	02
Irwin.....	01
Jackson.....	04
Jasper.....	01
Jefferson.....	03
Jenkins.....	03
Jones.....	02
Lee.....	04
Lincoln.....	04
McDuffie.....	04
Madison.....	01
Meriwether.....	02
Mitchell.....	04
Montgomery.....	01
Newton.....	03
Olgethorpe.....	01
Pike.....	01
Pulaski.....	03
Quitman.....	03
Randolph.....	03
Scriven.....	04
Stewart.....	02
Taliaferro.....	01
Walker:	
Service area, South Walker.....	02
Walker.....	01
Warren.....	02
Webster.....	01
Wheeler.....	03
Wilcox.....	03
Wilkinson.....	04
Worth.....	04

## Service Area Listing

Service area name	Degree of shortage group
Athens NHC target area.....	1
County—Clarke:	
Parts of county:	
C.T. 2	
C.T. 3	
C.T. 6	
C.T. 9	
Atlanta (Southside).....	1
County—Fulton:	
Parts of county:	
C.T. 44	
C.T. 45	
C.T. 46	
C.T. 47	
C.T. 48	
C.T. 49	
C.T. 52	
C.T. 53	
C.T. 55.01	
C.T. 55.02	
C.T. 57	
C.T. 58	
C.T. 63	
C.T. 64	
C.T. 66	
C.T. 67	
C.T. 68	
C.T. 69	
C.T. 70	
C.T. 71	
C.T. 72	
C.T. 73	
Baker/Dougherty/Lee.....	3
County—Baker	
County—Dougherty	

## Service Area Listing—Continued

Service area name	Degree of shortage group
County—Lee	
Palmetto service area.....	1
County—Fulton:	
Parts of county:	
C.T. 13 (Palmetto CCD)	
Pulaski/Wilcox.....	3
County—Pulaski	
County—Wilcox.....	3
Randolph/Quitman.....	3
County—Quitman	
County—Randolph	
South Walker.....	2
County—Walker:	
Parts of county:	
C.T. 206	
C.T. 207	
C.T. 208	
C.T. 209	
Stewart-Webster MSA.....	2
County—Stewart	
County—Webster	

## IDAHO

## County Listing

County name	Degree of shortage group
Bannock:	
Service area, Eastern Snake River Valley migrant area.....	01
Bingham:	
Canyon:	
Service area, Treasure Valley migrant area No. 1.....	04
Caribou.....	03
Cassia:	
Service area, Magic Valley migrant area.....	01
Gen:	
Service area, Treasure Valley migrant area No. 2.....	01
Gooding:	
Service area, Magic Valley migrant area.....	01
Jerome.....	
Lincoln.....	
Minidoka.....	
Owyhee:	
Service area, Treasure Valley migrant area No. 1.....	04
Payette:	
Service area, Treasure Valley migrant area No. 2.....	01
Power:	
Service area, Eastern Snake River Valley migrant area.....	01
Twin Falls:	
Service area, Magic migrant area.....	01
Washington:	
Service area, Treasure Valley migrant area No. 2.....	01

## Service Area Listing

Service area name	Degree of shortage group
Eastern Snake River Valley migrant area ..	1
County—Bannock:	
Parts of county:	
Migrant population	
County—Bingham:	
Parts of county:	
Migrant population	
County—Power:	
Parts of county:	
Migrant population	
Magic Valley migrant area.....	1
County—Cassia:	
Parts of county:	
Migrant population	
County—Gooding:	



## Service Area Listing—Continued

Service area name	Degree of shortage group
Parts of county: Migrant population	
County—Jerome: Parts of county: Migrant population	
County—Lincoln: Parts of county: Migrant population	
County—Minidoka: Parts of county: Migrant population	
County—Twin Falls: Parts of county: Migrant population	
Treasure Valley migrant area No. 1.....	4
County—Canyon: Parts of county: Migrant population	
County—Owyhee: Parts of county: Migrant population	
Treasure Valley migrant area No. 2.....	1
County—Gem: Parts of county: Migrant population	
County—Malheur: Parts of county: Migrant population	
County—Payette: Parts of county: Migrant population	
County—Washington: Parts of county: Migrant population	

## ILLINOIS

## County Listing

County name	Degree of shortage group
Alexander.....	02
Brown.....	01
Calhoun.....	01
Cass.....	04
Champaign: Service area, Northend-Champaign Urbana.....	01
Clinton.....	03
Cook: Service area, Robbins.....	01
Service area, Southeast Chicago.....	01
Service area, South Lawndale-Lower West Side.....	01
Service area, Uptown.....	01
Service area, Phoenix.....	01
Service area, East Chicago Heights.....	01
Service area, Cabrini-Green-Near North Side.....	01
Service area, Chicago Lawn.....	04
Service area, Garfield Ridge.....	02
Service area, Humboldt-Austin-Garfield.....	01
Service area, New City-West Englewood- Englewood.....	01
Franklin.....	02
Greene.....	04
Henderson.....	02
Johnson.....	02
Kankakee: Service area, Pembroke.....	01
Macoupin.....	04
Mason.....	04
Montgomery.....	03
Pope.....	02
Randolf.....	01
St. Clair: Service area, East Side Health District (St. Louis).....	02
Scott.....	02
Union.....	02
Will: Service area, Joliet Correctional Institu- tion.....	02

## ILLINOIS—Continued

County name	Degree of shortage group
Service area, Statesville Correctional In- stitute.....	02
<i>Service Area Listing</i>	
Service area name	Degree of shortage group
Cabrini-Green-near North Side.....	1
County—Cook: Parts of county: C.T. 803 C.T. 804 C.T. 805 C.T. 806 C.T. 807 C.T. 808 C.T. 809 C.T. 810 C.T. 817 C.T. 818 C.T. 819	
Chicago Lawn.....	4
County—Cook: Parts of county: C.T. 6601 C.T. 6306 C.T. 6307 C.T. 6308 C.T. 6309 C.T. 6602 C.T. 6603 C.T. 6604 C.T. 6605 C.T. 6606 C.T. 6607 C.T. 6608 C.T. 6609 C.T. 6610 C.T. 6611 C.T. 6501 C.T. 6502 C.T. 6503 C.T. 6504 C.T. 6505 C.T. 6201 C.T. 6202 C.T. 6203 C.T. 6204 C.T. 6301 C.T. 6302 C.T. 6303 C.T. 6304 C.T. 6305	
East Chicago Heights.....	1
County—Cook: Parts of county: East Chicago Heights East Side Health District (St. Louis).....	2
County—St. Clair: Parts of county: C.T. 5001 C.T. 5027 C.T. 5028 C.T. 5029 C.T. 5030 C.T. 5002 C.T. 5003 C.T. 5004 C.T. 5005 C.T. 5006 C.T. 5007 C.T. 5008 C.T. 5009 C.T. 5010 C.T. 5011 C.T. 5012 C.T. 5013 C.T. 5014 C.T. 5015.01 C.T. 5020 C.T. 5021 C.T. 5022 C.T. 5023 C.T. 5024.01	

## Service Area Listing—Continued

Service area name	Degree of shortage group
C.T. 5024.02 C.T. 5025 C.T. 5026.01 C.T. 5026.02 C.T. 5026.03 C.T. 5026.04	
Garfield Ridge.....	2
County—Cook: Parts of county: C.T. 5601 C.T. 5602 C.T. 5603 C.T. 5604 C.T. 5605 C.T. 5606 C.T. 5607 C.T. 5608 C.T. 5609 C.T. 5610 C.T. 5611 C.T. 5612 C.T. 5613	
Humboldt-Austin-Garfield.....	1
County—Cook: Parts of county: C.T. 2301 C.T. 2519 C.T. 2520 C.T. 2521 C.T. 2522 C.T. 2523 C.T. 2601 C.T. 2602 C.T. 2603 C.T. 2604 C.T. 2605 C.T. 2606 C.T. 2607 C.T. 2608 C.T. 2609 C.T. 2610 C.T. 2701 C.T. 2702 C.T. 2703 C.T. 2704 C.T. 2705 C.T. 2706 C.T. 2707 C.T. 2708 C.T. 2709 C.T. 2710 C.T. 2711 C.T. 2302 C.T. 2712 C.T. 2713 C.T. 2714 C.T. 2715 C.T. 2716 C.T. 2717 C.T. 2718 C.T. 2719 C.T. 2801 C.T. 2802 C.T. 2803 C.T. 2804 C.T. 2805 C.T. 2806 C.T. 2807 C.T. 2808 C.T. 2809 C.T. 2310 C.T. 2311 C.T. 2312 C.T. 2313 C.T. 2314 C.T. 2315 C.T. 2316 C.T. 2817 C.T. 2818 C.T. 2303 C.T. 2819 C.T. 2820 C.T. 2821 C.T. 2822 C.T. 2823 C.T. 2824 C.T. 2825 C.T. 2826	



## Service Area Listing—Continued

Service area name	Degree of shortage group
C.T. 2827	
C.T. 2828	
C.T. 2838	
C.T. 2839	
C.T. 2840	
C.T. 2841	
C.T. 2842	
C.T. 2843	
C.T. 2901	
C.T. 2902	
C.T. 2903	
C.T. 2904	
C.T. 2905	
C.T. 2906	
C.T. 2907	
C.T. 2908	
C.T. 2909	
C.T. 2910	
C.T. 2304	
C.T. 2911	
C.T. 2912	
C.T. 2913	
C.T. 2914	
C.T. 2915	
C.T. 2916	
C.T. 2917	
C.T. 2918	
C.T. 2919	
C.T. 2920	
C.T. 2921	
C.T. 2922	
C.T. 2923	
C.T. 2924	
C.T. 2925	
C.T. 2926	
C.T. 2927	
C.T. 2305	
C.T. 2306	
C.T. 2307	
C.T. 2308	
C.T. 2309	
C.T. 2310	
C.T. 2311	
C.T. 2312	
C.T. 2313	
C.T. 2314	
C.T. 2315	
C.T. 2316	
C.T. 2317	
C.T. 2318	
C.T. 2508	
C.T. 2509	
C.T. 2510	
C.T. 2514	
C.T. 2525	
C.T. 2516	
C.T. 2517	
C.T. 2518	
Joliet Correctional Institution.....	2
County—Will:	
Parts of county:	
Joliet Correctional Institution	
New City-West Englewood-Englewood.....	1
County—Cook:	
Parts of county:	
C.T. 6101 (New City)	
C.T. 6705 (West Englewood)	
C.T. 6706 (West Englewood)	
C.T. 6708 (West Englewood)	
C.T. 6709 (West Englewood)	
C.T. 6710 (West Englewood)	
C.T. 6711 (West Englewood)	
C.T. 6712 (West Englewood)	
C.T. 6713 (West Englewood)	
C.T. 6714 (West Englewood)	
C.T. 6715 (West Englewood)	
C.T. 6716 (West Englewood)	
C.T. 6717 (West Englewood)	
C.T. 6718 (West Englewood)	
C.T. 6719 (West Englewood)	
C.T. 6720 (West Englewood)	
C.T. 6707 (West Englewood)	
C.T. 6801 (Englewood)	
C.T. 6802 (Englewood)	
C.T. 6803 (Englewood)	
C.T. 6804 (Englewood)	
C.T. 6805 (Englewood)	
C.T. 6806 (Englewood)	

## Service Area Listing—Continued

Service area name	Degree of shortage group
C.T. 6807 (Englewood)	
C.T. 6808 (Englewood)	
C.T. 6809 (Englewood)	
C.T. 6810 (Englewood)	
C.T. 6102 (New City)	
C.T. 6811 (Englewood)	
C.T. 6812 (Englewood)	
C.T. 6813 (Englewood)	
C.T. 6814 (Englewood)	
C.T. 6103 (New City)	
C.T. 6104 (New City)	
C.T. 6105 (New City)	
C.T. 6106 (New City)	
C.T. 6107 (New City)	
C.T. 6108 (New City)	
C.T. 6109 (New City)	
C.T. 6110 (New City)	
C.T. 6111 (New City)	
C.T. 6112 (New City)	
C.T. 6113 (New City)	
C.T. 6114 (New City)	
C.T. 6115 (New City)	
C.T. 6116 (New City)	
C.T. 6117 (New City)	
C.T. 6118 (New City)	
C.T. 6119 (New City)	
C.T. 6120 (New City)	
C.T. 6121 (New City)	
C.T. 6122 (New City)	
C.T. 6701 (West Englewood)	
C.T. 6702 (West Englewood)	
C.T. 6703 (West Englewood)	
C.T. 6704 (West Englewood)	
Northend-Champaign-Urbana .....	1
County—Champaign:	
Parts of county:	
C.T. 2	
C.T. 7—blockgroups 1 and 2	
C.T. 53—blockgroups 2 and 3	
Pembroke .....	1
County—Kankakee:	
Parts of county:	
Pembroke Township	
St. Anne Township	
Phoenix .....	1
County—Cook:	
Parts of county:	
Phoenix Village	
Robbins .....	1
County—Cook:	
Parts of county:	
Robbins Village	
South Lawndale-Lower West Side.....	1
County—Cook:	
Parts of county:	
CT3001-3020	
CT3101-3115	
Southeast Chicago .....	1
County—Cook:	
Parts of County:	
CT3601-3605	
CT4701-3705	
CT3801-3820	
CT3901-3903	
CT4001-4008	
Statesville Correctional Institution .....	2
County—Will:	
Parts of County:	
Statesville Correctional Institution	
Uptown .....	1
County—Cook:	
Parts of county:	
C.T. 310	
C.T. 311	
C.T. 312	
C.T. 315	
C.T. 316	
C.T. 317	
C.T. 318	
C.T. 319	
C.T. 320	
C.T. 321	

## INDIANA

## County Listing

County name	Degree of shortage group
Brown .....	03
Clinton .....	02
Crawford .....	01
Fayette .....	03
Franklin .....	01
Harrison .....	03
Howard .....	02
Jay .....	02
Jennings .....	03
Lake .....	
Service area, Gray, area No. 1.....	01
Marion:	
Service area, Highland-Brookside .....	01
Service area, south-west Indianapolis .....	02
Service area, near north side (Indianapolis).....	02
Service area, south central Indianapolis.....	02
Service area, Barrington (Indianapolis)...	01
Martin .....	03
Ohio .....	01
Owen .....	03
Perry .....	04
Posey .....	04
Ripley .....	04
Rush .....	03
Shelby .....	04
Spencer .....	02
Starke .....	04
Switzerland .....	01
Union .....	02
Warren .....	02

## Service Area Listing

Service area name	Degree of shortage group
Barrington (Indianapolis).....	1
County—Marion:	
Parts of County:	
C.T. 3572	
C.T. 3573	
C.T. 3574	
C.T. 3575	
C.T. 3576	
Gary, Area No. 1 .....	1
County—Lake:	
Parts of County:	
C.T. 127	
C.T. 128	
C.T. 129	
C.T. 102	
C.T. 103	
C.T. 104	
C.T. 105	
C.T. 106	
C.T. 107	
C.T. 108	
C.T. 109	
C.T. 110	
C.T. 111	
C.T. 112	
C.T. 113	
C.T. 114	
C.T. 115	
C.T. 116	
C.T. 117	
C.T. 118	
C.T. 119	
C.T. 120	
C.T. 121	
C.T. 122	
C.T. 123	
C.T. 124	
C.T. 125	
C.T. 126	
C.T. 101	
Highland—Brookside .....	1
County—Marion:	
Parts of County:	
C.T. 3526	
C.T. 3527	
C.T. 3530	



## Service Area Listing—Continued

## IOWA—Continued

## IOWA—Continued

Service area name	Degree of shortage group
C.T. 3543	
C.T. 3544	
C.T. 3545	
C.T. 3546	
C.T. 3547	
C.T. 3548	
C.T. 3549	
C.T. 3550	
Near North Side (Indianapolis) .....	2
County—Marion:	
Parts of County:	
C.T. 3517	
C.T. 3518	
C.T. 3519	
C.T. 3520	
C.T. 3521	
C.T. 3522	
C.T. 3528	
C.T. 3529	
C.T. 3530	
C.T. 3531	
C.T. 3532	
South Central Indianapolis .....	2
County—Marion:	
Parts of County:	
C.T. 3557	
C.T. 3558	
C.T. 3559	
C.T. 3560	
C.T. 3561	
C.T. 3562	
C.T. 3563	
C.T. 3567	
C.T. 3568	
C.T. 3569	
C.T. 3570	
C.T. 3571	
C.T. 3578	
C.T. 3577	
C.T. 3579	
C.T. 3580	
South-West Indianapolis .....	2
County—Marion:	
Parts of County:	
C.T. 3411	
C.T. 3412	
C.T. 3413	
C.T. 3414	
C.T. 3415	
C.T. 3416	
C.T. 3422	
C.T. 3423	
C.T. 3424	
C.T. 3425	
C.T. 3426	
C.T. 3427	
C.T. 3537	
C.T. 3538	
C.T. 3564	
C.T. 3565	
C.T. 3566	
C.T. 3581	

## IOWA

## County Listing

County name	Degree of shortage group
Adair:	
Service area, Casey area .....	03
Audubon .....	02
Benton:	
Service area, La Porte City .....	01
Service area, Center Point-Central City-Caggon area .....	03
Service area, Tama-Traer Dysart .....	04
Black Hawk:	
Service area, La Porte City .....	01
Service area, Tama-Traer Dysart .....	04
Boone:	
Service area, Dayton-Gowrie area .....	02
Service area, Polk City-Woodward .....	03
Buchanan:	

County name	Degree of shortage group
Service area, La Porte City .....	01
Service area, Center Point-Central City-Caggon area .....	03
Service area, Lamont area .....	04
Calhoun:	
Service area, Dayton-Gowrie area .....	02
Service area, Rockwell City Manson .....	02
Carroll:	
Service area, Coon Rapids area .....	02
Cass:	
Service area, Oakland .....	02
Cedar:	
Service area, Clarence-Tipton area .....	02
Cherokee:	
Service area, Kingsley-Anthon-Mapleton area .....	04
Service area, Lemars-Remsen area .....	02
Clarke:	
Service area, Osceola .....	03
Clayton:	
Service area, Lamont area .....	04
Service area, Elkader .....	04
Clinton:	
Service area, Dewitt-Grand Mound .....	03
Service area, Clarence-Tipton area .....	02
Service area, Preston .....	02
Crawford:	
Service area, Manilla area .....	04
Dallas:	
Service area, Polk City-Woodward .....	03
Decatur:	
Service area, Osceola .....	03
Delaware:	
Service area, Center Point-Central City-Caggon area .....	03
Service area, Dyersville area .....	02
Service area, Lamont area .....	04
Dubuque:	
Service area, Dyersville area .....	02
Fremont:	
Service area, Tabor .....	03
Service area, Shenandoah .....	03
Greene:	
Service area, Dayton-Gowrie area .....	02
Service area, Coon Rapids area .....	02
Greendy:	
Service area, Tama-Traer Dysart .....	04
Guthrie:	
Service area, Coon Rapids area .....	02
Service area, Casey area .....	03
Service area, Hubbard Service area .....	01
Hamilton:	
Service area, Dayton-Gowrie area .....	02
Hancock:	
Service area, Buffalo Center .....	03
Hardin:	
Service area, Hubbard Service area .....	01
Harrison:	
Service area, Harlan .....	03
Service area, Woodbine .....	04
Humaoed:	
Service area, Bode .....	02
Ida:	
Service area, Holstein .....	01
Iowa:	
Service area, Brooklyn-Vector .....	04
Jackson:	
Service area, Preston .....	02
Johnson:	
Service area, Clarence-Tipton area .....	02
Jones:	
Kossuth:	
Service area, Buffalo Center .....	03
Service area, Bode .....	02
Linn:	
Service area, Clarence-Tipton area .....	02
Service area, Center Point-Central City-Caggon area .....	03
Lyon:	
Madison:	
Service area, Osceola .....	03
Marion:	
Service area, Milo area .....	02
Marshall:	
Service area, Hubbard Service area .....	01
Mills:	
Service area, Tabor .....	03
Service area, Oakland .....	02

County name	Degree of shortage group
Monoma:	
Service area, Kingsley-Anthon-Mapleton area .....	04
Muscatine:	
Service area, Clarence-Tipton area .....	02
O'Brien:	
Service area, Sheldon area .....	04
Page:	
Service area, Shenandoah .....	03
Palo Alto:	
Service area, Bode .....	02
Plymouth:	
Service area, Kingsley-Anthon-Mapleton area .....	04
Service area, Lemars-Remsen area .....	02
Pocahontas:	
Service area, Rockwell City Manson .....	02
Polk:	
Service area, Polk City-Woodward .....	03
Pottawattamie:	
Service area, Harlan .....	03
Service area, Oakland .....	02
Poweshiek:	
Service area, Brooklyn-Vector .....	04
Ringgold:	
Service area, Lenox area .....	03
Scott:	
Service area, DeWitt-Grand Mound .....	03
Service area, Clarence-Tipton area .....	02
Shelby:	
Service area, Harlan .....	03
Service area, Manilla area .....	04
Sioux:	
Service area, Sheldon area .....	04
Story:	
Service area, Hubbard Service area .....	01
Taylor:	
Service area, Lenox area .....	03
Union:	
Service area, Osceola .....	03
Warren:	
Service area, Milo area .....	02
Wayne:	
Webster:	
Service area, Dayton-Gowrie area .....	02
Woodbury:	
Service area, Holstein .....	01
Service area, Kingsley-Anthon-Mapleton area .....	04

## Service Area Listing

Service area name	Degree of shortage group
Bode .....	2
County—Humaoed:	
Parts of County:	
Livermore Division	
Ottosen Division	
Bode Division	
Bradgate Division	
Hardy Division	
County—Kossuth:	
Parts of County:	
Luverne Division	
County—Palo Alto:	
Parts of County:	
West Bend Division	
Brooklyn-Vector .....	4
County—Iowa:	
Parts of County:	
Victor Division	
Ladora Division	
County—Poweshiek:	
Parts of County:	
Brooklyn Division	
Malcolm Division	
Hartwick Division	
Guernsey Division	
Buffalo Center .....	3
County—Hancock:	
Parts of County:	
Woden Division	
Crystal Lake Division	



## Service Area Listing—Continued

Service area name	Degree of shortage group
County—Kossuth:	
Parts of County:	
Sevea City Division	
Bancroft Division	
Ledyard Division	
Lakota Division	
Titonka Division	
Winnebago Division	
Casey area.....	3
County—Adair:	
Parts of County:	
Adair Division	
County—Guthrie:	
Parts of County:	
Casey Division	
Mento Division	
Center Point-Central City-Caggon area.....	3
County—Benton:	
Parts of County:	
Urbana Division	
County—Buchanan:	
Parts of County:	
Rowley Division	
Quasqueton Division	
Brandon Division	
County—Delaware:	
Parts of County:	
Ryan Division	
County—Linn:	
Parts of County:	
Central City	
Prairieburg	
Walker	
Marion	
Alburnett	
Robins	
Center Point	
Caggon	
Clarence-Tipton area.....	2
County—Cedar:	
Parts of County:	
Clarence Division	
Lipton Division	
Mechanicsville Division	
Lowden Division	
Stanwood Division	
Bennett Division	
West Branch Division	
County—Clinton:	
Parts of County:	
Toronto Division	
Lost Nation Division	
Wheatland Division	
County—Johnson:	
Parts of County:	
Solon Division	
County—Jones:	
Parts of County:	
Olin Division	
Oxford Junction	
Center Junction	
Onslow Division	
Morley Division	
Wyoming Division	
County—Linn:	
Parts of County:	
Lisbon Division	
County—Muscatine:	
Parts of County:	
Atalissa Division	
Wilton Division	
County—Scott:	
Parts of County:	
New Liberty Division	
Dixon Division	
Coon Rapids area.....	2
County—Carroll:	
Parts of County:	
Coon Rapids Division	
Dedham Division	
Templeton Division	
Glidden Division	
Ralston Division	
County—Greene:	
Parts of County:	
Scranton Division	
County—Guthrie:	
Parts of County:	

## Service Area Listing—Continued

Service area name	Degree of shortage group
Bayard Division	
Bagley Division	
Dayton-Gowrie area.....	2
County—Boone:	
Parts of County:	
Boxholm Division	
Pilot Mound Division	
County—Calhoun:	
Parts of County:	
Farnhamville Division	
County—Greene:	
Parts of County:	
Paton Division	
County—Hamilton:	
Parts of County:	
Stratford Division	
County—Webster:	
Parts of County:	
Dayton Division	
Gowrie Division	
Callender Division	
Legish Division	
Harcourt Division	
DeWitt-Grand Mound.....	3
County—Clinton:	
Parts of County:	
DeWitt Division	
Welton Division	
Calamus Division	
Grand Mound Division	
County—Scott:	
Parts of County:	
McCausland Division	
Dyersville area.....	2
County—Delaware:	
Parts of County:	
Dyersville Division	
Colesburg Division	
Greeley Division	
Hapkinson Division	
County—Dubuque:	
Parts of County:	
New Vienna Division	
Worthington Division	
Farley Division	
Luxemburg Division	
Epworth Division	
Holy Cross Division	
Peasta Division	
Cascade Division	
Bernard Division	
Elkader.....	4
County—Clayton:	
Parts of County:	
Boardman Township (Elkader)	
Clayton Township	
Cox Creek Township	
Farmersburg Township	
Garnaville Township	
Highland Township	
Read Township	
Volga Township	
Wagner Township	
Marion Township	
Harlan.....	3
County—Harrison:	
Parts of County:	
Washington Township	
County—Pottawattamie:	
Parts of County:	
Layton Township	
County—Shelby:	
Parts of County:	
Cass Township	
Douglas Township	
Greeley Township	
Harlan Township	
Jefferson Township	
Shelby Township	
Union Township	
Washington Township	
Westphalia Township	
Holstein.....	1
County—Ida:	
Parts of County:	
Halstein Division	
Galva Division	
County—Woodbury:	

## Service Area Listing—Continued

Service area name	Degree of shortage group
Parts of County:	
Cushing Division	
Hubbard Service area.....	1
County—Guthrie:	
Parts of County:	
Ellsworth Township	
Jewell Township	
Williams Township (1/2-Southern Pt)	
County—Hardin:	
Parts of County:	
New Providence Township	
Radcliff Township	
Hubbard Township	
County—Marshall:	
Parts of County:	
Albion Township (1/4-Northern Pt)	
Liscomb Township	
Clemens Township	
St. Anthony Township	
County—Story:	
Parts of County:	
Zearing Township	
Kingsley-Anthon-Mapleton area.....	4
County—Cherokee:	
Parts of County:	
Washta Division	
County—Monoma:	
Parts of County:	
Rodney Division	
Mapleton Division	
Soldier Division	
County—Plymouth:	
Parts of County:	
Kingsley Division	
County—Woodbury:	
Parts of County:	
Moville Division	
Pierson Division	
Correctionville Division	
Anthon Division	
Oto Division	
Smithland Division	
Danbury Division	
La Porte City.....	1
County—Benton:	
Parts of County:	
Bruce Township	
Cedar Township	
County—Black Hawk:	
Parts of County:	
Big Creek Township	
Cedar Township	
Fox Township	
Eagle Township	
Orange Township	
Spring Creek Township	
County—Buchanan:	
Parts of County:	
Westbury Township	
Jefferson Township	
Lamont area.....	4
County—Buchanan:	
Parts of County:	
Lamont Division	
Aurora Division	
Stanley Division	
County—Clayton:	
Parts of County:	
Strawberry Point Division	
County—Delaware:	
Parts of County:	
Masonville Division	
Dundee Division	
Lemars-Remsen area.....	2
County—Cherokee:	
Parts of County:	
Marcus Division	
Cleghorn Division	
County—Plymouth:	
Parts of County:	
Lemars Division	
Brunsville Division	
Craig Division	
Struble Division	
Merrill Division	
Remsen Division	
Oyens Division	



## Service Area Listing—Continued

Service area name	Degree of shortage group
Lenox area .....	3
County—Ringgold:	
Parts of County:	
Diagonal Division	
County—Taylor:	
Parts of County:	
Lenox Division	
Sharpsburg Division	
Clearfield Division	
County—Union:	
Parts of County:	
Kent Division	
Manilla area .....	4
County—Crawford:	
Parts of County:	
Manilla Division	
County—Shelby:	
Parts of County:	
Defiance Division	
Irwin Division	
Milo area .....	2
County—Marion:	
Parts of County:	
Dallas Division	
Melcher Division	
County—Warren:	
Parts of County:	
Milo Division	
Lacona Division	
Oakland .....	2
County—Cass:	
Parts of County:	
Lewis Town	
County—Mills:	
Parts of County:	
Henderson Town	
County—Pottawattamie:	
Parts of County:	
Oakland Town	
Carson Town	
McClelland Town	
Treynor Town	
Avoca Town	
Macedonia Town	
Minden Town	
Hancock Town	
Osceola .....	3
County—Clarke:	
Parts of County:	
Osceola Division	
Woodburn Division	
Murray Division	
County—Decatur:	
Parts of County:	
Weldon Division	
Van Wert Division	
County—Madison:	
Parts of County:	
Trurs Division	
County—Union:	
Parts of County:	
Thayer Division	
County—Warren:	
Parts of County:	
New Virginia Division	
Polk City-Woodward .....	3
County—Boone:	
Parts of County:	
Madrid Division	
County—Dallas:	
Parts of County:	
Woodward Division	
Bouton Division	
County—Polk:	
Parts of County:	
Jefferson	
Lincoln	
Madison (Polk City)	
Union	
Preston .....	2
County—Clinton:	
Parts of County:	
Goose Lake Division	
Charlotte Division	
County—Jackson:	
Parts of County:	
Preston Division	
Green Island Division	

## Service Area Listing—Continued

Service area name	Degree of shortage group
Mile Division	
Sabula Division	
Spragueville Division	
Rockwell City Manson .....	2
County—Calhoun:	
Parts of County:	
Rockwell City Division	
Jolley Division	
Somers Division	
Rinard Division	
Manson Division	
Pomeroy Division	
Knierim Division	
County—Pocahontas:	
Parts of County:	
Palmer Division	
Sheldon area .....	4
County—O'Brien:	
Parts of County:	
Sheldon Division	
County—Sioux:	
Parts of County:	
Haspers Division	
Matlock Division	
Boyden Division	
Shenandoah .....	3
County—Fremont:	
Parts of County:	
Shenandoah Town	
Inogene Town	
Farragut Town	
County—Page:	
Parts of County:	
Essex Town	
Yorktown Town	
Coln Town	
Northboro Town	
Tabor .....	3
County—Fremont:	
Parts of County:	
Randolph Town	
Thurman Town	
County—Mills:	
Parts of County:	
Tabor Town	
Malvern Town	
Tama-Traer Dysart .....	4
County—Benton:	
Parts of County:	
Mt. Auburn Division	
Garrison Division	
County—Black Hawk:	
Parts of County:	
Laporte City	
County—Greendy:	
Parts of County:	
Tama	
Reinbeck	
Woodbine .....	4
County—Harrison:	
Parts of County:	
Woodbine Town	
Logan Town	
Magnolia Town	
Dunlap Town	

## KANSAS

## County Listing

County name	Degree of shortage group
Chase .....	04
Elk .....	03
Jackson .....	01
Jefferson .....	03
Lincoln .....	01
Linn .....	03
Miami .....	03
Nemaha .....	04
Rawlins .....	02
Reno .....	02
Service area, Haven .....	02
Rush .....	02

## KANSAS—Continued

County name	Degree of shortage group
Sedgwick .....	
Shawnee .....	
Service area, northeast Topeka .....	03
Wabaunsee .....	01
Washington .....	02
Wichita .....	03
Woodson .....	03

## Service Area Listing

Service area name	Degree of shortage group
Haven .....	2
County—Reno:	
Parts of county:	
Haven township	
Yoder township	
Lincoln township	
Center township	
Castleton township	
Troy township	
Albion township	
Ninnescah township	
Summer township	
County—Sedgwick:	
Parts of county:	
Greely	
Northeast Topeka .....	3
County—Shawnee:	
Parts of county:	
C.T. 2	
C.T. 3	
C.T. 7	
C.T. 8	
C.T. 11	
C.T. 12	
C.T. 14	
C.T. 31	

## KENTUCKY

## County Listing

County name	Degree of shortage group
Ballard .....	03
Breathitt:	
Service area, Perry County .....	02
Bullitt .....	02
Butler .....	04
Caldwell .....	02
Carlisle .....	01
Crittenden .....	02
Edmonson .....	04
Grayson .....	04
Hancock .....	03
Hickman .....	02
Jefferson:	
Service area, west end .....	02
Knott:	
Service area, Perry County .....	02
Larue .....	04
Leslie .....	
Letcher .....	
Livingston .....	04
Lyon .....	02
Marion .....	02
Meade .....	02
Metcalfe .....	02
Ohio .....	03
Perry .....	02
Russell .....	04
Shelby .....	04
Spencer .....	02
Trigg .....	01
Trimble .....	01
Union .....	04
Washington .....	03
Webster .....	03



## Service Area Listing

Service area name	Degree of shortage group
Perry County.....	2
County—Breathitt:	
Parts of county:	
Canoe division	
Haddix division	
Hardshell division	
County—Knott:	
Parts of county:	
Can Fork division	
Emmalena division	
Mousie division	
County—Leslie:	
Parts of county:	
Cutshin division	
County—Letcher:	
Parts of county:	
Blackey division	
County—Perry:	
West End.....	2
County—Jefferson:	
Parts of county:	
C.T. 1	
C.T. 27	
C.T. 28	
C.T. 29	
C.T. 30	
C.T. 31	
C.T. 32	
C.T. 33	
C.T. 34	
C.T. 35	
C.T. 2	
C.T. 3	
C.T. 4	
C.T. 5	
C.T. 6	
C.T. 7	
C.T. 8	
C.T. 9	
C.T. 10	
C.T. 11	
C.T. 12	
C.T. 13	
C.T. 14	
C.T. 15	
C.T. 16	
C.T. 17	
C.T. 18	
C.T. 19	
C.T. 20	
C.T. 21	
C.T. 22	
C.T. 23	
C.T. 24	
C.T. 25	
C.T. 26	

## LOUISIANA

## County Listing

County name	Degree of shortage group
Bienville Parish .....	01
Bossier Parish .....	01
Catahoula Parish .....	03
De Sota Parish .....	01
Grant Parish .....	01
Pointe Coupee .....	01
Vernon Parish .....	01
Webster Parish .....	02
West Carroll Parish .....	01

## MAINE

## County Listing

County name	Degree of Shortage group
Androscoggin:	
Service area, Leeds.....	01

## MARYLAND—Continued

County name	Degree of shortage group
Aroostook:	
Service area, Northern Aroostook MSA.....	03
Service area, Southern Aroostook.....	01
Cumberland:	
Service area, Kezar Falls .....	01
Hancock:	
Service area, Bucksport MSA .....	01
Kennebec:	
Service area, Albion MSA .....	02
Service area, Leeds.....	01
Knox:	
Service area, Penobscot Bay .....	01
Oxford:	
Service area, Kezar Falls .....	01
Penobscot:	
Service area, Dexter area.....	03
Service area, Bradley service area.....	01
Piscataquis:	
Service area, Dexter area.....	03
Bingham:	
Service area, Bingham MSA .....	04
Service area, Jackman.....	01
Service area, Dexter area.....	03
Waldo:	
Service area, Albion MSA .....	02
Service area, Bucksport MSA .....	01
Washington:	
Service area, Lubec MSA .....	03
Service area, Eastport .....	03
York:	
Service area, Kezar Falls .....	01

## Service Area Listing

Service area name	Degree of Shortage group
Albion MSA .....	2
County—Kennebec:	
Parts of county:	
Albion town	
Vassalboro town	
China town	
County—Waldo:	
Parts of county:	
Troy town	
Unity town	
Thorndike town	
Jackson town	
Monroe town	
Brooks town	
Knox town	
Freedom town	
Montville town	
Liberty town	
Palermo town	
Bingham MSA.....	4
County—Bingham:	
Parts of county:	
West Forks plantation	
Solon town	
Bingham town	
Moscow township	
Mayfield town	
Brighton town	
Pleasant Ridge plantation	
The Forks plantation	
Caratunk plantation	
Bradley service area.....	1
County—Penobscot:	
Parts of county:	
Bradley division	
Clifton division	
Greenbush division	
Greenfield division	
Milford division	
Bucksport MSA.....	1
County—Hancock:	
Parts of county:	
Dedham town	
Orland town	
Penobscot town	
Verona town	
Bucksport town	
County—Waldo:	

## Service Area Listing—Continued

Service area name	Degree of shortage group
Parts of county:	
Prospect town	
Stockton Springs town	
Dexter area.....	3
County—Penobscot:	
Parts of county:	
Dexter	
1670	
Exeter	
Garland	
County—Piscataquis:	
Part of county:	
Wellington	
County—Somerset:	
Parts of county:	
Athens	
Harmony	
Ripley	
St. Alban	
Eastport.....	3
County—Washington:	
Parts of county:	
Eastport	
Perry	
Pleasant Pl. & IR	
Pembroke (part)	
Dennysville (part)	
Edmunds (part)	
Charlotte (part)	
Robbinston (part)	
Jackman.....	1
County—Somerset:	
Parts of county:	
Jackman town	
Moose River town	
Dennistown plantation	
Kezar Falls .....	1
County—Carroll—New Hampshire	
Parts of county:	
Effingham town	
Freedom town	
Madison town	
County—Cumberland:	
Part of county:	
Baldwin town	
County—Oxford:	
Parts of county:	
Brownfield	
Hiram town	
Porter town	
County—York:	
Parts of county:	
Newfield town	
Limerick town	
Cornish town	
Parsonfield	
Leeds .....	1
County—Androscoggin:	
Parts of county:	
Turner town	
Greene town	
Leeds town	
County—Kennebec:	
Parts of county:	
Wayne town	
Monmouth town	
Lubec MSA .....	3
County—Washington:	
Parts of county:	
Eastport city	
Charlotte township	
Robbinston township	
Lubec MSA .....	3
County—Washington:	
Parts of county:	
Lubec township	
Cutler township	
Whiting township	
Pembroke township	
Dennysville township	
Perry township	
Edmunds township	
Plantation No. 14	
Northern Aroostook MSA.....	3
County—Aroostook:	
Parts of county:	
Unorganized territory of North Aroostook	
Unorganized territory of Central Aroostook	



## Service Area Listing—Continued

Service area name	Degree of shortage group
Allagash plantation	
St. Francis plantation	
Bridgewater town	
Blaine town	
Winterville plantation	
Cyr plantation	
Hamlin plantation	
Westmoreland plantation	
Nashville	
Garfield plantation	
E plantation	
Oxbow plantation	
Eagle Lake town	
Ashland town	
Frenchville town	
St. Agatha town	
Madawaska town	
Grand Isle town	
Van Buren town	
Stockholm town	
Penobscot Bay.....	3
County—Knox:	
Parts of county:	
Vinalhaven Island	
North Haven Island	
Matinicus Island	
Isle Au Haut	
Southern Aroostook.....	1
County—Aroostook:	
Parts of county:	
Unorganized territory of South Aroostook	
Weston town	
Moro plantation	
Glenwood plantation	
Reed plantation	
Macurahoc plantation	
Merrill town	
Monticello town	
Smyrna town	
Hershey town	
Dyer Brook town	
Oakfield town	
Crystal town	
Island Falls town	
Sherman town	
Benedicta town	
Amity town	
Bancroft town	

MARYLAND  
County Listing

County name	Degree of shortage group
Allegany:	
Service area, Hancock service area .....	01
Anne Arundel:	
Service area, owensville service area .....	03
Caroline.....	04
Cecil:	
Service area, southeast Cecil.....	02
Charles.....	04
Dorchester:	
Service area, northeast Dorchester .....	01
Garrett.....	04
Kent:	
Service area, northeast Kent.....	01
Queen Annes.....	03
Somerset:	
Service area, Princess Anne .....	01
Washington:	
Service area, Keedysville.....	01
Service area, Hancock service area .....	01
Worcester:	
Service area, Pocomoke City.....	02
Baltimore City:	
Service area, north central Baltimore.....	01
Service area, O'Donnell Heights .....	01
Service area, Cherry Hill .....	01
Service area, Constant care service area..	02
Service area, east Baltimore.....	02
Service area, west Baltimore.....	03
Service area, northwest Baltimore.....	01

## MARYLAND—Continued

County name	Degree of shortage group
Service area, Hampden/Woodberry/Remington .....	03
Service Area Listing	
Service area name	Degree of shortage group
Cherry Hill .....	1
County—Baltimore City:	
Parts of county:	
C.T. 2502.01	
C.T. 2502.02	
C.T. 2502.03	
C.T. 2502.04	
C.T. 2502.05	
C.T. 2503.01	
C.T. 2503.02	
C.T. 2503.03	
Constant care service area .....	2
County—Baltimore City:	
Parts of county:	
C.T. 402	
C.T. 1401	
C.T. 1402	
C.T. 1403	
C.T. 1501	
C.T. 1502	
C.T. 1601	
C.T. 1602	
C.T. 1603	
C.T. 1604	
C.T. 1701	
C.T. 1702	
C.T. 1703	
C.T. 2101	
East Baltimore .....	2
County—Baltimore City:	
Parts of county:	
C.T. 501	
C.T. 603	
C.T. 604	
C.T. 605	
C.T. 704	
C.T. 806	
C.T. 807	
C.T. 808	
C.T. 909	
C.T. 1001	
C.T. 1002	
C.T. 1004	
Hampden/Woodberry/Remington.....	1
County—Baltimore City:	
Parts of county:	
C.T. 1203	
C.T. 1206	
C.T. 1207	
C.T. 1305	
C.T. 1306	
C.T. 1308.02	
Hancock service area.....	1
County—Allegany:	
Part of county:	
District 1 (Orleans)	
County—Washington:	
Parts of county:	
District 4 (Clear Spring)	
District 5 (Hancock)	
District 15 (Indian Springs)	
Keedysville.....	1
County—Washington:	
Parts of county:	
Election district 1	
Election district 6	
Election district 8	
North central Baltimore .....	1
County—Baltimore City:	
Parts of county:	
C.T. 802	
C.T. 803.01	
C.T. 803.02	
C.T. 804	
C.T. 805	
C.T. 901	
C.T. 902	

## Service Area Listing—Continued

Service area name	Degree of shortage group
C.T. 903	
C.T. 904	
C.T. 905	
C.T. 906	
C.T. 907	
C.T. 908	
C.T. 1204	
Northeast Dorchester County.....	1
County—Dorchester:	
Parts of county:	
C.T. 1	
C.T. 2	
C.T. 3	
C.T. 12	
C.T. 15	
Northeast Kent.....	1
County—Kent:	
Parts of county:	
District 1 (Massey)	
District 2 (Kennedyville)	
Northwest Baltimore .....	2
County—Baltimore City:	
Parts of county:	
C.T. 1512	
C.T. 1513	
C.T. 2716	
C.T. 2717	
C.T. 2718.01	
C.T. 2718.02	
O'Donnell Heights.....	1
County—Baltimore City:	
Parts of county:	
C.T. 2606.01	
C.T. 2606.02	
Owensville service area.....	3
County—Anne Arundel:	
Parts of county:	
C.T. 7012	
C.T. 7013	
C.T. 7014	
C.T. 7070	
C.T. 7080	
Pocomoke City .....	2
County—Worcester:	
Parts of county:	
C.T. 1	
C.T. 7	
C.T. 8	
Princess Anne.....	1
County—Somerset:	
Parts of county:	
C.T. 1	
C.T. 3	
C.T. 4	
C.T. 6	
C.T. 8	
C.T. 13	
C.T. 15	
Southeast Cecil .....	2
County—Cecil:	
Parts of county:	
District 1 (Cecilton)	
District 2 (Chesapeake City)	
West Baltimore .....	3
County—Baltimore City:	
Parts of county:	
C.T. 1801	
C.T. 1802	
C.T. 1803	
C.T. 1901	
C.T. 1902	
C.T. 1903	
C.T. 2001	
C.T. 2002	
C.T. 2003	
C.T. 2004	
C.T. 2005	



## MASSACHUSETTS

## County Listing

County name	Degree of shortage group
Barnstable:	
Service area, Provincetown .....	03
Essex:	
Service area, Peabody .....	02
Service area, Lynn .....	04
Franklin:	
Service area, Mohawk area .....	02
Hampden:	
Service area, Worthington .....	02
Service area, Brookfield .....	02
Hampshire:	
Service area, Worthington .....	02
Middlesex:	
Service area, east Cambridge .....	02
Service area, Lowell .....	04
Service area, north Cambridge .....	02
Norfolk:	
Service area, Wrentham .....	02
Service area, Hough's Neck-German-town .....	01
Plymouth:	
Service area, Hull .....	01
Suffolk:	
Service area, Dorchester .....	03
Service area, Hyde Park .....	02
Service area, north end Boston .....	04
Worcester:	
Service area, south Blackstone Valley .....	02
Service area, Brookfield .....	02

## Service Area Listing

Service area name	Degree of shortage group
Brookfield .....	2
County—Hampden:	
Parts of county:	
Brimfield town	
Holland town	
Wales town	
County—Worcester:	
Parts of county:	
Brookfield town	
East Brookfield town	
North Brookfield town	
Spencer town	
Warren town	
West Brookfield town	
Dorchester .....	3
County—Suffolk:	
Parts of county:	
C.T. 901	
C.T. 1003	
C.T. 1004	
C.T. 1005	
C.T. 1006	
C.T. 1007	
C.T. 1008	
C.T. 1009	
C.T. 1010	
C.T. 1011	
C.T. 902	
C.T. 903	
C.T. 904	
C.T. 905	
C.T. 906	
C.T. 907	
C.T. 908	
C.T. 909	
C.T. 910	
C.T. 911	
C.T. 912	
C.T. 913	
C.T. 914	
C.T. 915	
C.T. 916	
C.T. 917	
C.T. 918	
C.T. 919	
C.T. 920	
C.T. 921	

## Service Area Listing—Continued

Service area name	Degree of shortage group
C.T. 922	
C.T. 923	
C.T. 924	
C.T. 1001	
C.T. 1002	
East Cambridge .....	2
County—Middlesex:	
Parts of county:	
C.T. 3521	
C.T. 3522	
C.T. 3523	
C.T. 3524	
C.T. 3525	
C.T. 3526	
C.T. 3527	
C.T. 3528	
C.T. 3529	
C.T. 3530	
C.T. 3531	
C.T. 3532	
C.T. 3533	
C.T. 3534	
C.T. 3535	
Hough's Neck-Germantown .....	1
County—Quincy:	
Parts of County:	
C.T. 4178	
Hull .....	1
County—Plymouth:	
Parts of County:	
Hull town	
Hyde Park .....	2
County—Suffolk:	
Parts of County:	
C.T. 1401	
C.T. 1402	
C.T. 1403	
C.T. 1404	
Lowell .....	4
County—Middlesex:	
Parts of County:	
Lowell	
Lynn .....	4
County—Essex:	
Parts of County:	
C.T. 2060	
C.T. 2061	
C.T. 2062	
C.T. 2063	
C.T. 2065	
C.T. 2068	
C.T. 2068	
C.T. 2071	
C.T. 2071	
C.T. 2072	
Mohawk area .....	2
County—Franklin:	
Parts of County:	
Monroe Division	
Rouce Division	
Ashfield Town	
Buckland Town	
Charlemont Town	
Colrain Town	
Conway Town	
Hawley Town	
Heath Town	
Shelburne Town	
North Cambridge .....	2
County—Middlesex:	
Parts of County:	
C.T. 3547	
C.T. 3548	
C.T. 3549	
C.T. 3550	
North End Boston .....	4
County—Suffolk:	
Parts of County:	
C.T. 0301	
C.T. 0302	
C.T. 0303	
C.T. 0304	
Peabody .....	2
County—Essex:	
Parts of County:	
C.T. 2106	
C.T. 2107	
C.T. 2108	

## Service Area Listing—Continued

Service area name	Degree of shortage group
C.T. 2109	
Provincetown .....	4
County—Barnstable:	
Parts of County:	
Provincetown	
So. Blackstone Valley .....	2
County—Worcester:	
Parts of County:	
Blackstone Town	
Douglas Town	
Mendon Town	
Millville Town	
Northbridge Town	
Sutton Town	
Uxbridge Town	
Worthington .....	2
County—Hampden:	
Parts of County:	
Chester Town	
Commington Town	
Goshen Town	
Huntington Town	
Plainfield Town	
Worthington Town	
Chesterfield Town	
Wrentham .....	2
County—Norfolk:	
Parts of County:	
Wrentham Town	

## MICHIGAN

## County Listing

County name	Degree of shortage group
Alcona .....	02
Alger .....	04
Arenac .....	02
Baraga:	
Service area, Iron County Service area .....	03
Bay:	
Service area, Sterling .....	02
Clare:	
Service area, Clare .....	01
Service area, Harrison .....	01
Drehtinson:	
Service area, Iron County Service area .....	03
Genesee:	
Service area, Otter Lake .....	02
Service area, North Central Flint .....	02
Gladwin:	
Service area, Sterling .....	02
Houghton:	
Service area, Calumet/Keweenaw .....	01
Service area, Iron County Service area .....	03
Iron .....	
Sabella:	
Service area, Clare .....	01
Keweenaw .....	01
Lake .....	01
Lapeer:	
Service area, Otter Lake .....	02
Livingston:	
Service area, Cohoctah .....	01
Mackinac:	
Service area, Mackinac Island .....	01
Manistee:	
Service area, Baldwin Service area .....	01
Marquette:	
Service area, Iron County Service area .....	03
Mason:	
Service area, Baldwin Service area .....	01
Midland:	
Service area, Clare .....	01
Missaukee:	
Service area, Houghton Lake .....	03
Monroe:	
Service area, Sumpter Service area .....	01
Newaygo:	
Service area, Baldwin Service area .....	01
Oakland:	
Service area, Milford .....	02
Ontonoga:	



## MICHIGAN—Continued

County name	Degree of shortage group
Service area, Iron County Service area....	03
Roscommon:	
Service area, Houghton Lake.....	03
Saginaw:	
Service area, Chesaning.....	01
Service area, Saginaw City (east side).....	01
St. Clair:	
Service area, Algonac.....	04
Service area, Brown City.....	01
Sanilac:	
Service area, Marlette-Kingston.....	02
Service area, Lexington-Croswell.....	01
Shiawassee:	
Service area, Cohoctah.....	01
Service area, Chesaning.....	01
Tuscola:	
Service area, Otter Lake.....	02
Service area, Marlette-Kingston.....	02
Washtenaw:	
Service area, Sumpter Service area.....	01
Wayne:	
Service area, Detroit Area No. 3.....	02
Service area, Detroit Area No. 2.....	01
Service area, Detroit Area No. 1.....	01
Service area, Wayne County Jail.....	02
Service area, Herman Kelfer Health Complex.....	01

## Service Area Listing

Service area name	Degree of shortage group
Algonac.....	4
County—St. Clair:	
Parts of county:	
Algonac City	
Casco Township	
China Township	
Clay Township	
Columbus Township	
Cottleville Township	
East China Township	
Dra Township	
Marine City	
St. Clair City	
St. Clair Township	
Baldwin Service Area.....	1
County—Lake:	
County—Manistee:	
Parts of county:	
Dickson	
Norman	
County—Mason:	
Parts of county:	
Freeseil	
Meade	
Sherman	
Sheridan	
Custer	
Branch	
Eden	
Logan	
County—Newaygo:	
Parts of county:	
Troy	
Lilley	
Home	
Baron	
Beaver	
Merrill	
Monroe	
Norwich	
Goodwell	
Wilcox	
Lincoln	
Denver	
Sherman	
Everett	
Big Prairie	
Brown City.....	1
County—St. Clair:	
Parts of county:	
Lynn Township (part)	
County—Sanilac:	

## Service Area Listing—Continued

Service area name	Degree of shortage group
Parts of county:	
Elk Township	
Flynn Township	
Maple Valley Township	
Speaker Township	
Calumet/Keweenaw.....	1
County—Houghton:	
Parts of county:	
Calumet Township	
Hancock	
Osceola	
Schoolcraft	
Torch Lake	
County—Keweenaw	
Chesaning.....	1
County—Saginaw:	
Parts of county:	
Chapin Township	
Brady Township	
Chesaning Township	
Maple Grove Township	
County—Shiawassee:	
Parts of county:	
Fairfield Township	
Rush Township	
New Haven Township	
Hazelton Township	
Clare.....	1
County—Clare:	
Parts of county:	
City of Clare	
Garfield Township	
Surrey Township	
Grant Township	
Sheridan Township	
County—Isabella:	
Parts of county:	
Coldwater Township	
Gilmore Township	
Vernon Township	
Wise Township	
County—Midland:	
Parts of county:	
Coleman Village	
Warren Township	
Cohoctah.....	1
County—Livingston:	
Parts of county:	
Conway Township	
Cohocta Township	
Deerfield Township	
County—Shiawassee:	
Parts of county:	
Antrim Township	
Burns Township	
Perry City	
Perry Township	
Detroit Area No. 1.....	1
County—Wayne:	
Parts of county:	
C.T. 520	
C.T. 665	
C.T. 755	
C.T. 756	
C.T. 757	
C.T. 758	
C.T. 759	
C.T. 760	
C.T. 761	
C.T. 762	
C.T. 763	
C.T. 764	
C.T. 765	
C.T. 766	
C.T. 767	
C.T. 768	
C.T. 769	
C.T. 770	
C.T. 771	
C.T. 772	
C.T. 773	
C.T. 774	
C.T. 775	
C.T. 776	
C.T. 777	
C.T. 778	
C.T. 789	
C.T. 521	

## Service Area Listing—Continued

Service area name	Degree of shortage group
C.T. 790	
C.T. 791	
C.T. 792	
C.T. 793	
C.T. 794	
C.T. 795	
C.T. 796	
C.T. 797	
C.T. 522	
C.T. 523	
C.T. 524	
C.T. 525	
C.T. 526	
C.T. 539	
C.T. 540	
C.T. 541	
C.T. 548	
C.T. 549	
C.T. 550	
C.T. 560	
C.T. 561	
C.T. 565	
C.T. 566	
C.T. 567	
C.T. 568	
C.T. 569	
C.T. 570	
C.T. 655	
C.T. 661	
C.T. 662	
C.T. 663	
C.T. 664	
Detroit Area No. 2.....	1
County—Wayne:	
Parts of county:	
C.T. 110	
C.T. 111	
C.T. 112	
C.T. 113	
C.T. 114	
C.T. 115	
C.T. 116	
C.T. 117	
C.T. 118	
C.T. 119	
C.T. 120	
C.T. 121	
C.T. 122	
C.T. 123	
C.T. 154	
C.T. 155	
C.T. 156	
C.T. 157	
C.T. 158	
C.T. 159	
C.T. 160	
C.T. 161	
C.T. 162	
C.T. 163	
C.T. 179	
C.T. 180	
C.T. 201	
C.T. 202	
C.T. 211	
C.T. 58	
C.T. 59	
C.T. 60	
C.T. 61	
C.T. 62	
C.T. 63	
C.T. 64	
C.T. 101	
C.T. 103	
C.T. 104	
C.T. 105	
C.T. 106	
C.T. 107	
C.T. 108	
C.T. 109	
C.T. 11	
C.T. 12	
C.T. 13	
C.T. 15	
C.T. 16	
C.T. 51	
C.T. 52	
C.T. 53	
C.T. 54	



## Service Area Listing—Continued

Service area name	Degree of shortage group
C.T. 55	
C.T. 56	
Detroit Area No. 3	2
County—Wayne:	
Parts of county:	
C.T. 262.02	
C.T. 263	
C.T. 264	
C.T. 302.01	
C.T. 302.02	
C.T. 302.03	
C.T. 305.01	
C.T. 305.02	
C.T. 306.01	
C.T. 306.02	
C.T. 408	
C.T. 409.01	
C.T. 409.02	
C.T. 176.02	
C.T. 176.03	
C.T. 176.04	
C.T. 209	
C.T. 251	
C.T. 252	
C.T. 253	
C.T. 254	
C.T. 255	
C.T. 257	
C.T. 258.01	
C.T. 258.02	
C.T. 259.01	
C.T. 259.02	
C.T. 260	
C.T. 261.01	
C.T. 261.02	
C.T. 262.01	
C.T. 168	
C.T. 169	
C.T. 170	
C.T. 171	
C.T. 173	
C.T. 174	
C.T. 175	
C.T. 176.01	
Harrison	1
County—Clare:	
Parts of county:	
Arthur Township	
Franklin Township	
Freeman Township	
Frost Township	
Greenwood Township	
Hamilton Township	
City of Harrison	
Hatton Township	
Hayes Township	
Lincoln Township	
Redding Township	
Summerfield Township	
Winterfield Township	
Herman Kiefer Health Complex	1
County—Wayne:	
Parts of county:	
Herman Kiefer Health Complex	
Houghton Lake	3
County—Missaukee:	
Parts of county:	
Butterfield Township	
Enterprise Township	
Holland Township	
County—Roscommon:	
Parts of county:	
Denton Township	
Lake Township	
Markey Township	
Roscommon Township	
Iron County Service area	3
County—Baraga:	
Parts of county:	
Covington division	
Spurr division	
County—Porence (Wis.):	
Parts of county:	
Florence Township (part)	
Long Lake Township	
County—Forest (Wis.):	
Parts of county:	
Alvin division	

## Service Area Listing—Continued

Service area name	Degree of shortage group
Popple River division	
County—Drehinson:	
Parts of county:	
Sagola division	
County—Houghton:	
Parts of county:	
Dunean division	
County—Iron:	
Parts of county:	
Bates division	
Crystal Falls City	
Crystal Falls Township	
Hematite division	
Iron River City	
Iron River Township	
Mansfield division	
Mastodon division	
Standbaugh City	
Standbaugh Township	
County—Marquette:	
Parts of county:	
Republic (point) division	
County—Vilas (Wis.):	
Parts of county:	
Conver division	
Phelps division	
County—Ontonoga:	
Parts of county:	
Irtierion division	
Lexington-Croswell	1
County—Snailac:	
Parts of county:	
Briel Township	
Croswell Township	
Fremont Township	
Worth Township	
Island	1
County—Mackinac:	
Parts of county:	
Mackinac Island	
Marlette-Kingston	2
County—Sanilac:	
Parts of county:	
La Motte Township	
Marlette Township	
County—Tuscola:	
Parts of county:	
Dayton Township	
Kingston Township	
Koylton Township	
Milford	2
County—Oakland:	
Parts of county:	
Wixon City	
Commerce Township	
Milford Township	
Highland Township	
White Lake Township	
North Central Flint	2
County—Genesee:	
Parts of county:	
C.T. 4	
C.T. 5	
C.T. 6	
C.T. 7	
C.T. 20	
C.T. 19	
C.T. 21	
C.T. 22	
C.T. 23	
C.T. 24	
C.T. 25	
C.T. 26	
Otter Lake	2
County—Genesee:	
Parts of county:	
Theftford Township	
Forest Township	
County—Lapeer:	
Parts of county:	
Marathon Township	
Deerfield Township	
Rich Township	
County—Tuscola:	
Parts of county:	
Arbela Township	
Millington Township	
Watertown Township	

## Service Area Listing—Continued

Service area name	Degree of shortage group
Saginaw City (east side)	1
County—Saginaw:	
Parts of county:	
C.T. 1	
C.T. 2	
C.T. 3	
C.T. 4	
C.T. 5	
C.T. 6	
C.T. 7	
C.T. 8	
Sterling	2
County—Arenac	
County—Bay:	
Parts of county:	
Gibson Township	
County—Gladwin:	
Parts of county:	
Bourret Township	
Bentley Township	
Grim Township	
Sumpter Service area	1
County—Monroe:	
Parts of county:	
C.T. 303 (Ash township)	
C.T. 304 (Ash township)	
C.T. 305 (Exeter township)	
C.T. 306 (London township)	
County—Washtenaw:	
Parts of county:	
C.T. 34 (Augusta township)	
County—Wayne:	
Parts of county:	
C.T. 941 (Huron township)	
C.T. 940 (Sumpter township)	
Titus Greene Health Center	2
Wayne County Jail	2
County—Wayne:	
Parts of county:	
C.T. Wayne County Jail	

## MINNESOTA

## County Listing

County name	Degree of shortage group
Aitkin:	
Service area: Mille Lacs	02
Beltrami	02
Cass	02
Clay:	
Service area: Barnesville	02
Cottonwood:	
Service area: Northwest Cottonwood	
County	04
Crow Wing:	
Service area: Mille Lacs	02
Hennepin:	
Service area: Am. Ind. in Minneapolis	01
Kanabec:	
Service area: Mille Lacs	02
Lac Qui Parle:	
Service area: Canby	04
Lake of the Woods	02
Lincoln	
Mille Lacs:	
Service area: Mille Lacs	02
Morrison	
Pine:	
Service area: Sandstone	04
Pipestone:	
Service area: Red Lake	02
Polk	
Ramsey:	
Service area: Summit/University	01
Service area: Model Cities Health Cntr (St. Paul)	01
Redwood	04
Roseau	01
Wilken:	
Service area: Barnesville	02
Yellow Medicine:	



## MINNESOTA—Continued

County name	Degree of shortage group
Service area: Canby .....	04

## Service Area Listing

Service area name	Degree of shortage group
Am. Ind. in Minneapolis .....	1
County—Hennepin:	
Parts of county:	
American Indian population in Minneapolis .....	
Barnesville .....	2
County—Clay:	
Parts of county:	
Allan Township	
Barnesville City	
Barnesville Township	
Comstock Village	
Elkton Township	
Elmwood Township	
Holy Cross Township	
Humboldt Township	
Parke Township	
Sabin Village	
Skree Township	
Tansem Township	
County—Wilken:	
Parts of county:	
Atherton Township	
Deerhorn Township	
Manston Township	
Mitchell Township	
Prairie View Township	
Rothsay Village	
Tanberg Township	
Wolverton Township	
Canby .....	4
County—Deuel (S. Dak.)	
Parts of county:	
Garry City	
Glenwood Town	
Herrick Town	
County—Lac Qui Parle:	
Parts of county:	
Freeland Township	
Manfred Township	
County—Lincoln:	
Parts of county:	
Marble Township	
Alta Vista Township	
County—Yellow Medicine:	
Parts of county:	
Canby City	
Florida Township	
Hammer Township	
Norman Township	
Omro Township	
Oshkosh Township	
Porter City	
St. Leo City	
Werzeland Township	
Lake of the Woods—Beltrami .....	2
County—Beltrami .....	
County—Lake of the Woods	
Mille Lacs .....	2
County—Aitkin:	
Parts of county:	
Idum Township	
Seavy Township	
Lakeside Township	
County—Crow Wing:	
Parts of county:	
Garrison Village	
Bay Lake Township	
Garrison Township	
County—Kanabec:	
Parts of county:	
Anne Lake Township	
Hillman Township	
Hay Brook Township	
County—Mille Lacs:	
Parts of County:	
Isle Village	
Wahkon Village	

## Service Area Listing—Continued

Service area name	Degree of shortage group
Onamia Village	
East side Township	
Isle Harbor Township	
Lewis Township	
Onamia Township	
South Harbor Township	
Mille Lacs .....	2
County—Mille Lacs:	
Parts of county:	
Kathio Township	
Bradbury Township	
Dalley Township	
Mudgett Township	
County—Morrison:	
Parts of County:	
Hillman Village	
Richardson Township	
Leigh Township	
Mount Moris Township	
Model Cities Health Center (St. Paul) .....	1
County—Ramsey:	
Parts of County:	
Model Cities Health Center	
Northwest Cottonwood County .....	4
County—Cottonwood:	
Parts of County:	
Ann Township	
Highwater Township	
Westbrook Township	
Storden Township	
Amboy Township	
Rose Hill Township	
Jeffers Village	
Storden Village	
Westbrook Village	
Red Lake .....	2
County—Pipestone:	
Parts of county:	
Fertile Village	
Mentor	
Minger Village	
County—Polk	
Parts of County:	
Badge Township	
Garden Township	
Godfrey Township	
Grove Park Township	
Knute Township	
Tilden Township	
Winger Township	
Woodside Township	
Sandstone .....	4
County—Pine:	
Parts of county:	
Arline Township	
Arna Township	
Barry Township	
Askov Village Township	
Barry Township	
Bremen Township	
Bruno Township	
Clover Township	
Danforth Township	
Dill Grove Township	
Finlayson Township	
Fleming Township	
Hinckley Township	
New Dorsey Township	
Ogema Township	
Park Township	
Partridge Township	
Pine Lake Township	
Sandstone Township	
Wilma Township	
Finlayson Village	
Hinckley Village	
Sandstone Village	
Summit/University .....	1
County—Ramsey:	
Parts of county:	
C.T. 335	
C.T. 336	
C.T. 337	
C.T. 338	
C.T. 339	
C.T. 340	
C.T. 354	
C.T. 355	

## MISSISSIPPI

## County Listing

County name	Degree of shortage group
Alcorn:	
Service area: Shiloh Pickwick .....	02
Attala .....	03
Carroll .....	02
Chickasaw .....	03
Claiborne .....	01
Coahoma .....	03
Covington .....	02
George .....	03
Hancock .....	03
Jasper .....	02
Leflore .....	03
Lowndes .....	03
Madison .....	01
Marion .....	04
Montgomery .....	02
Newton .....	03
Scott .....	02
Simpson .....	02
Stone .....	02
Tishomingo .....	

## Service Area Listing

Service area name	Degree of shortage group
Carroll/Montgomery .....	02
County—Carroll	
County—Montgomery	
Shiloh Pickwick .....	02
County—Alcorn:	
Parts of county:	
District 2	
County—Hardin (Tenn.)	
County—McNairy (Tenn.)	
County—Tishomingo:	
Parts of county:	
District 1	
District 2	

## MISSOURI

## County Listing

County name	Degree of shortage group
Andrew .....	03
Bollinger .....	01
Camden .....	03 1093
C.T. 1094	
C.T. 1095	
C.T. 1101	
C.T. 1102	
C.T. 1103	
C.T. 1104	
C.T. 1105	
C.T. 1111	
C.T. 1112	
C.T. 1113	
C.T. 1114	
C.T. 1115	
C.T. 1201	
C.T. 1202	
C.T. 1203	
C.T. 1212	
C.T. 1213	
C.T. 1252	
C.T. 1253	
C.T. 1261	
C.T. 1262	
C.T. 1263	
C.T. 1264	
C.T. 1265	
C.T. 1121 (portion)	
C.T. 1052	
C.T. 1122 (portion)	
C.T. 1123 (portion)	
C.T. 1192 (portion)	
C.T. 1193 (portion)	



## MISSOURI—Continued

County name	Degree of shortage group
C.T. 1211 (portion)	
C.T. 1214 (portion)	
C.T. 1251 (portion)	
C.T. 1053	
C.T. 1054	
C.T. 1055	
C.T. 1061	
C.T. 1062	
C.T. 1063	
C.T. 1064	
C.T. 1065	
C.T. 1066	
C.T. 1067	
C.T. 1071	
C.T. 1072	
C.T. 1073	
C.T. 1074	
C.T. 1075	
C.T. 1076	
C.T. 1077	
C.T. 1081	
C.T. 1082	
C.T. 1083	
C.T. 1084	
C.T. 1085	
C.T. 1091	
C.T. 1092	
Osage Prairie.....	4
County—Cedar	
County—Hickory	
County—Polk:	
Parts of county:	
Johnson division	
Flemington division	
Jefferson division	
McKinley division	
Greene division	
County—St. Clair:	
Parts of county:	
Speedwell division	
Roscoe division	
Washington division	
Collins division	
County—Vernon:	
Parts of county:	
Bacon division	
Clear Creek division	
Virgil division	
Montevallo division	
Southeast St. Louis.....	3
County—St. Louis City:	
Parts of county:	
C.T. 1011	
C.T. 1232	
C.T. 1233	
C.T. 1234	
C.T. 1235	
C.T. 1241	
C.T. 1242	
C.T. 1243	
C.T. 1244	
C.T. 1245	
C.T. 1173 (portion)	
C.T. 1174 (portion)	
C.T. 1175 (portion)	
C.T. 1012	
C.T. 1013	
C.T. 1014	
C.T. 1015	
C.T. 1016	
C.T. 1017	
C.T. 1023	
C.T. 1024	
C.T. 1025	
C.T. 1151	
C.T. 1152	
C.T. 1153	
C.T. 1154	
C.T. 1155	
C.T. 1156	
C.T. 1157	
C.T. 1161	
C.T. 1162	
C.T. 1163	
C.T. 1164	
C.T. 1165	
C.T. 1221	
C.T. 1222	

## MISSOURI—Continued

County name	Degree of shortage group
C.T. 1224	
C.T. 1231	
<b>MONTANA</b>	
<i>County Listing</i>	
County name	Degree of shortage group
Big Horn.....	01
Blaine.....	03
Chouteau:	
Service area: Fort Benton.....	01
Fallon.....	03
Gallatin:	
Service area: West Yellowstone.....	
Glacier:	
Service area: Babb-Browning.....	01
Golden Valley.....	01
Hill:	
Service area: Chester.....	01
Jefferson:	
Service area: Boulder.....	01
Judith Basin.....	01
Musselshell.....	02
Petroleum.....	01
Phillips.....	01
Pondera:	
Service area: Babb-Browning.....	01
Roosevelt:	
Service area: Poplar.....	01
Rosebud.....	03
Treasure.....	03
Valley.....	04
<i>Service Area Listing</i>	
Service area name	Degree of shortage group
Babb-Browning.....	1
County—Glacier:	
Parts of county:	
Browning-Glacier division	
Babb division	
County—Ponderosa:	
Parts of county:	
Valier-DuPeyer division	
Boulder.....	1
County—Jefferson:	
Parts of county:	
Boulder division	
Chester.....	1
County—Hill:	
Parts of county:	
Gildford division	
Rudyard division	
Fort Benton.....	1
County—Chouteau:	
Parts of county:	
Fort Benton division	
Highwood division	
Geraldine division	
Petroleum—Judith basin.....	1
County—Judith basin	
County—Petroleum	
Poplar.....	1
County—Roosevelt:	
Parts of county:	
Wolf Point rural division	
Wolf Point division	
Poplar division	
Rosebud.....	3
County—Rosebud	
County—Treasure	
West Yellowstone.....	1
County—Gallatin:	
Parts of county:	
West Yellowstone	

## NEBRASKA

## County Listing

County name	Degree of shortage group
Antelope:	
Service area: Albion MSA.....	03
Arthur:	
Service area: Mullen.....	01
Blaine.....	01
Boone.....	03
Brown.....	04
Buffalo:	
Service area: Sherman.....	04
Cass.....	04
Cedar.....	02
Chase.....	02
Cherry:	
Service area: Mullen.....	01
Clay.....	01
Cuming.....	03
Custer:	
Service area: Arnold.....	01
Deuel.....	01
Dixon.....	02
Douglas:	
Service area: Northeast Omaha.....	03
Dundy.....	02
Fillmore.....	04
Franklin.....	03
Garfield.....	03
Gosper.....	01
Grant.....	01
Greeley:	
Service area: Albion MSA.....	03
Hayes.....	02
Hitchcock.....	02
Hooker.....	01
Keith:	
Service area: Sutherland MSA.....	01
Keya Paha.....	02
Lincoln:	
Service area: Arnold.....	01
Service area: Sutherland MSA.....	01
Logan:	
Service area: Mullen.....	01
Service area: Arnold.....	01
Loup.....	02
McPherson.....	01
Merrick.....	03
Nance:	
Service area: Albion MSA.....	03
Nemaha.....	04
Nuckolls.....	02
Perkins:	
Service area: Sutherland MSA.....	01
Platte:	
Service area: Albion MSA.....	03
Rock.....	02
Sherman.....	04
Sioux.....	01
Stanton.....	02
Thayer.....	04
Thomas.....	01
Wheeler.....	03

## Service Area Listing

Service area name	Degree of shortage group
Albion MSA.....	3
County—Antelope:	
Parts of county:	
Logan	
County—Boone	
County—Greeley:	
Parts of county:	
Spaulding	
County—Nance:	
Parts of county:	
Timber Creek	
County—Platte:	
Parts of county:	
Walker	
St. Bernard	
Arnold.....	
County—Custer:	



## Service Area Listing—Continued

Service area name	Degree of shortage group
Parts of county:	
Hayes	
Arnold	
Cliff	
Triumph	
Elim	
Delight	
Wayne	
County—Lincoln:	
Parts of county:	
Whittier	
Garfield	
Harrison	
Table	
Cox	
Antelope	
County—Logan:	
Parts of county:	
Gandy	
Logan	
Bassett	2
County—Keya Paha	
County—Loup	
County—Rock	
Cedar—Dixon	2
County—Cedar	
County—Dixon	
Deuel-Sedgewick MSA	1
County—Deuel	
County—Sedgewick (Colo.)	
Garfield	3
County—Garfield	
County—Wheeler	
Mullen	1
County—Arthur:	
Parts of county:	
Edward	
Arthur	
Lena	
County—Cherry:	
Parts of county:	
Gillaspie	
Cleveland	
Lacey	
Wells	
Loup	
Goosecreek	
Pleasant Hill	
Calf Creek	
Mother Lake	
County—Grant	
County—Hooker	
County—Logan:	
Parts of county:	
Dort	
Stapleton	
Cody Lake	
Lone Valley	
Burt	
County—McPherson	
County—Thomas	
Northeast Omaha	3
County—Douglas:	
Parts of county:	
C.T. 6	
C.T. 7	
C.T. 9	
C.T. 10	
C.T. 11	
C.T. 12	
C.T. 13.01	
C.T. 13.02	
C.T. 14	
C.T. 15	
C.T. 52	
C.T. 60	
Sherman	4
County—Buffalo:	
Parts of county:	
Beaver Township	
Garfield Township	
Cherry Creek Township	
Cedar Township	
Schneider Township	
Gardner Township	
Ravenna City	
County—Sherman	
Southwest Nebraska	2

## Service Area Listing—Continued

Service area name	Degree of shortage group
County—Chase	
County—Dundy	
County—Hayes	
County—Hitchcock	
Sutherland MSA	1
County—Keith:	
Parts of county:	
Paxton CCD	
County—Lincoln:	
Parts of county:	
Springdale CCD	
Birdwood CCD	
Rosedale CCD	
North Rosedale CCD	
Hershey CCD	
Sutherland MSA	1
County—Lincoln:	
Parts of county:	
Sunshine CCD	
Fairview CCD	
Nowell CCD	
Wallace CCD	
Hooker CCD	
Dickens CCD	
Willow CCD	
County—Perkins:	
Parts of county:	
Woodson CCD	
Yankee CCD	
Marvin CCD	

## NEVADA

## County Listing

County name	Degree of shortage group
Churchill	04
Clark:	
Service area: Searchlight-Davis Dam-Southpoint	01
Service area: Indian Springs	01
Service area: Virgin Valley	01
Service area: Moapa Valley	01
Service area: Lake Mead	01
Service area: Jean-Goodsprings Sandy Valley	00
Service area: Blue Diamond-Lee Canyon-Mount Charleston	01
Service area: Central/North Central Las Vegas	01
Service area: Paiute Indian Colony-Las Vegas Valley	04
Service area: Paiute Indian-Moapa Valley	04
Douglas	04
Elko:	
Service area: Wells	01
Esmeralda	01
Humboldt	03
Lander	04
Lincoln	03
Lyon	01
Mineral	04
Nye:	
Service area: Nye Co. Service Area	01
Storey	01
Washoe:	
Service area: Stead-Lemmon Valley	01
Service area: Sun Valley	01
Service area: Wadsworth	01
Service area: Gerlach/Bald Mountain	01
White Pine	04

## Service Area Listing

Service area name	Degree of shortage group
Blue Diamond-Lee Canyon-Mount Charleston	1
County—Clark:	

## Service Area Listing—Continued

Service area name	Degree of shortage group
Parts of county:	
C.T. 58 (central)	
Central/North Central Las Vegas	1
County—Clark:	
Parts of county:	
C.T. 3.01	
C.T. 3.02	
C.T. 7	
C.T. 9	
C.T. 11	
C.T. 35	
C.T. 36	
C.T. 37	
C.T. 38	
C.T. 46	
Gerlach/Bald Mountain	1
County—Washoe:	
Parts of county:	
Gerlach Township	
C.T. 34	
Indian Springs	1
County—Clark:	
Parts of county:	
C.T. 59 (southwest)	
C.T. 58 (north)	
Jean-Goodsprings Sandy Valley	1
County—Clark:	
Parts of county:	
C.T. 58 (southeast)	
C.T. 57 (northwest)	
Lake Mead	1
County—Clark:	
Parts of county:	
C.T. 56 (southern Part)	
Moapa Valley	1
County—Clark:	
Parts of county:	
C.T. 56 (central/west central)	
Nye Co. Service Area	1
County—Nye:	
Parts of county:	
Tonopah Township	
Pahrump Township	
Beatty Township	
Paiute Indian-Moapa Valley	4
County—Clark:	
Parts of county:	
Paiute Indian-Moapa Valley	
Paiute Indian Colony-Las Vegas Valley	4
County—Clark:	
Parts of county:	
Paiute Indian Colony-Las Vegas Valley	
Searchlight-Davis Dam-Southpoint	1
County—Clark:	
Parts of county:	
C.T. 57 (southern part)	
Stead-Lemmon Valley	1
County—Washoe:	
Parts of county:	
C.T. 26	
Sun Valley	
County—Washoe:	
Parts of County:	
C.T. 27	
Virgin Valley	1
County—Clark:	
Parts of county:	
C.T. 56 (north)	
Wadsworth	1
County—Washoe:	
Parts of county:	
C.T. 31	
Wells	1
County—Elko:	
Parts of county:	
Wells Township	



## NEW HAMPSHIRE

## County Listing

County name	Degree of shortage group
Coos:	
Service Area: Upper Connecticut Valley..	03
Grafton:	
Service Area: Baker River Valley .....	01
Rockingham:	
Service Area: North West Rockingham County .....	02

## Service Area Listing

Service area name	Degree of shortage group
Baker River Valley .....	1
County—Grafton:	
Parts of County:	
Warren Township	
Rumney Township	
Wentworth Township	
North West Rockingham County .....	2
County—Rockingham:	
Parts of County:	
Nottingham Town	
Deerfield	
Candia	
Raymond	
Fremont	
Epping	
Upper Connecticut Valley .....	3
County—Coos:	
Parts of County:	
Colebrook Township	
Columbia Township	
Stratford Township	
Stewartown Township	
Pittsburg Township	
Dixville Township	
Errol Township	
Clarksville Township	
Millsville Township	
Wentworth Location	
Atkinson-Gilman Academy Grant	
Dix Grant	
Second College Grant	
Odell Township	
County—Essex (Vermont.):	
Parts of County:	
Canaan Township	
Lexington Township	
Blomfield Township	
Brunswick Township	
Averill Township	
Norton Township	

## NEW JERSEY

## County Listing

County name	Degree of shortage group
Camden:	
Service area: north east Camden .....	04
Service area: north west Camden .....	02
Service area: west central Camden .....	02
Cumberland:	
Service area: Bridgeton .....	04
Service area: Leesburg State Prison .....	01
Essex:	
Service area: Dayton service area .....	01
Service area: Gladys E. Dickenson service area .....	04
Service area: North Newark .....	03
Service area: Central Newark .....	03
Mercer:	
Service area: Trenton NHG target area...	04
Middlesex:	
Service area: Med Ind/New Brunswick urban corridor .....	02

## NEW JERSEY—Continued

County name	Degree of shortage group
Service area: Family Health Center of Middlesex General Hospital .....	02
Ocean .....	04
Passaic:	
Service area: West Milford Township .....	02
Service area: Downtown Paterson .....	01
Service area: Northside neighborhood (Paterson) .....	01
Union:	
Service area: Westside of Plainfield .....	00

## Service Area Listing

Service area name	Degree of shortage group
Bridgeton .....	4
County—Cumberland:	
Parts of county:	
Bridgeton City	
Downe township	
Fairfield township	
Greenwich township	
Hopewell township	
Lawrence township	
Shiloh borough	
Stow Creek township	
Central Newark .....	3
County—Essex:	
Parts of county:	
C.T. 60	
C.T. 2 (portion)	
C.T. 3 (portion)	
C.T. 91 (portion)	
C.T. 62	
C.T. 63	
C.T. 64	
C.T. 65	
C.T. 66	
C.T. 80	
C.T. 81	
C.T. 82	
C.T. 83	
C.T. 31	
C.T. 39	
C.T. 84 (portion)	
C.T. 85 (portion)	
C.T. 10 (portion)	
C.T. 11 (portion)	
C.T. 14 (portion)	
C.T. 15 (portion)	
C.T. 40 (portion)	
C.T. 55 (portion)	
C.T. 56 (portion)	
C.T. 57 (portion)	
C.T. 59 (portion)	
C.T. 16 (portion)	
C.T. 34 (portion)	
Central Newark .....	3
County—Essex:	
Parts of county:	
C.T. 67 (portion)	
Dayton service area .....	1
County—Essex:	
Parts of county:	
C.T. 47	
C.T. 48.01	
C.T. 48.02	
C.T. 49	
C.T. 50	
C.T. 51	
Downtown Paterson .....	1
County—Passaic:	
Parts of county:	
C.T. 1814	
C.T. 1815	
C.T. 1816.01	
C.T. 1816.02	
C.T. 1817.01	
C.T. 1817.02	
C.T. 1818	
C.T. 1820	
C.T. 1822	
C.T. 1829	

## Service Area Listing—Continued

Service area name	Degree of shortage group
Family Health Center of Middlesex General Hospital .....	
County—Middlesex:	
Parts of County:	
Family Health Care Center of Middlesex General Hospital	
Gladys E. Dickenson service area .....	4
County—Essex:	
Parts of county:	
C.T. 86	
C.T. 87	
C.T. 88	
C.T. 89	
C.T. 90	
C.T. 91	
Leesburg State Prison .....	1
County—Cumberland:	
Parts of county:	
Leesburg State Prison	
Med Ind/New Brunswick urban corridor .....	2
County—Middlesex:	
Parts of county:	
C.T. 53 (medically indigent)	
C.T. 54 (medically indigent)	
C.T. 55 (medically indigent)	
C.T. 57 (medically indigent)	
C.T. 58 (medically indigent)	
C.T. 59 (medically indigent)	
North east Camden .....	4
County—Camden:	
Parts of county:	
C.T. 6011	
C.T. 6012	
C.T. 6013	
North Newark .....	3
County—Essex:	
Parts of County:	
C.T. 92	
C.T. 93	
C.T. 94	
C.T. 95	
C.T. 96	
C.T. 97	
North west Camden .....	2
County—Camden:	
Parts of County:	
C.T. 6007	
C.T. 6008	
North side neighborhood (Paterson) .....	1
County—Passaic:	
Parts of county:	
C.T. 1803	
C.T. 1804	
C.T. 1805	
C.T. 1806	
C.T. 1807	
Trenton NHG target area .....	4
County—Mercer:	
Parts of county:	
C.T. 1	
C.T. 10	
C.T. 16	
C.T. 19	
C.T. 9 (part)	
C.T. 15 (part)	
C.T. 17 (part)	
C.T. 8 (part)	
C.T. 11 (part)	
C.T. 014 (part)	
C.T. 18 (part)	
C.T. 22 (part)	
West central Camden .....	2
County—Camden:	
Parts of county:	
C.T. 6016	
C.T. 6017	
C.T. 6018	
C.T. 6019	
West Milford township .....	2
County—Passaic:	
Parts of county:	
West Milford township (C.T. 2568)	
West side of Plainfield .....	2
County—Union:	
Parts of county:	
C.T. 89	
C.T. 90	
C.T. 93	



## Service Area Listing—Continued

Service area name	Degree of shortage group
C.T. 94	
C.T. 95	

## NEW MEXICO

## County Listing

County name	Degree of shortage group
Bernalillo:	
Service area: Bernalillo .....	01
Colfax:	
Service area: Colfax/Harding .....	04
Harding:	
Service area: Quay .....	01
Service area: Colfax/Harding .....	04
Quay .....	
San Miguel:	
Service area: Quay .....	01

## Service Area Listing

Service area name	Degree of shortage group
Bernalillo .....	1
County—Bernalillo:	
Parts of County:	
C.T. 46 .....	
Colfax/Harding .....	4
County—Colfax:	
Parts of County:	
Cimarron .....	
Springer .....	
County—Harding:	
Parts of County:	
North Harding .....	
Quay .....	1
County—Harding:	
Parts of County:	
South Harding CCD .....	
County—Quay:	
County—San Miguel:	
Parts of County:	
Conchos CCD .....	

## NEW YORK

## County Listing

County name	Degree of shortage group
Allegany:	
Service Area: Arcade .....	02
Service Area: Andover .....	04
Bronx:	
Service Area: South Bronx-Fort Apache .....	03
Service Area: Northeast Bronx .....	00
Service Area: Hunts Point (Health Area) .....	01
Service Area: Soundview Area .....	02
Service Area: Martin Luther King Service Area .....	04
Cattaraugus:	
Service Area: Arcade .....	02
Service Area: Randolph-Ellicottville .....	00
Cayuga:	
Service Area: Cato .....	01
Service Area: Aurora .....	02
Columbia:	
Service Area: Northeast Rensselaer .....	02
Cortland:	
Service Area: Marathon-Cincinnatus .....	02
Service Area: De Ruyter .....	01
Erie:	
Service Area: Lower West Side .....	02
Essex:	
Service Area: Southeastern Essex .....	03

## New York—Continued

County name	Degree of shortage group
Franklin:	
Service Area: Tupper Lake .....	02
Genesee:	
Service Area: Bergen .....	02
Greene:	
Service Area: Western Greene Co. ....	04
Hamilton .....	01
Herkimer:	
Service Area: West Winfield .....	01
Service Area: Herkimer MSA .....	03
Jefferson:	
Service Area: Alexandria Bay .....	03
Kings:	
Service Area: East New York section of Brooklyn .....	01
Service Area: Bedford/Stuyvesant .....	02
Service Area: Gowanus/Park Slope .....	01
Service Area: South Williamsburg .....	04
Madison:	
Service Area: Hamilton .....	03
Service Area: De Ruyter .....	01
Monroe:	
Service Area: Bergen .....	02
Monroe:	
Service Area: West side of Rochester .....	03
Montgomery:	
Service Area: Western Montgomery .....	01
New York:	
Service Area: Lower East Side .....	03
Service Area: Chinatown .....	02
Oneida:	
Service Area: Boonville .....	01
Service Area: Hamilton .....	03
Onondaga:	
Service Area: Syracuse CHC Target Area .....	01
Service Area: Southern Onondaga County .....	02
Orleans:	
Service Area: Oak Orchard .....	04
Otsego:	
Service Area: Cherry Valley .....	02
Service Area: Western Otsego .....	00
Rensselaer:	
Service Area: Northeast Columbia/Southeast Rensselaer .....	02
Richmond:	
Service Area: Mariner's Harbor .....	01
St. Lawrence:	
Service Area: Tupper Lake .....	02
Service Area: Alexandria Bay .....	03
Service Area: Southern St. Lawrence County .....	02
Schoharie:	
Service Area: Cherry Valley .....	02
Steuben:	
Service Area: Andover .....	04
Suffolk:	
Service Area: Southold/Shelter Island ...	03
Wayne:	
Service Area: Sodus .....	04
Westchester:	
Service Area: Peekskill .....	04
Service Area: Medically Indigent in Mount Vernon .....	04
Wyoming:	
Service Area: Arcade .....	02

## Service Area Listing

Service area name	Degree of shortage group
Mariner's Harbor .....	1
County—Richmond:	
Parts of County:	
C.T. 223 .....	
C.T. 231 .....	
C.T. 239 .....	
C.T. 319.01 .....	
C.T. 319.02 .....	
Alexandria Bay .....	3
County—Jefferson:	
Parts of County:	
Alexandria town .....	

## Service Area Listing—Continued

Service area name	Degree of shortage group
Antwerp town .....	
Cape Vincent town .....	
Clayton town .....	
Lyme town .....	
Orleans town .....	
Philadelphia town .....	
Teresa town .....	
County—St. Lawrence:	
Parts of County:	
Hammond town .....	
Rossie town .....	
Andover .....	4
County—Allegany:	
Parts of County:	
Almond .....	
West Almond .....	
Ward .....	
Wellsville .....	
Willing .....	
Independence .....	
Andover .....	
Alfred .....	
County—Steuben:	
Parts of County:	
Hartsville .....	
Greenwood .....	
West Union .....	
Jasper .....	
Troupsburg .....	
Arcade .....	2
County—Allegany:	
Parts of County:	
Centerville .....	
Rushford .....	
County—Cattaraugus:	
Parts of County:	
Farmersville .....	
Freedom .....	
Machias .....	
Yorkshire .....	
County—Wyoming:	
Parts of County:	
Arcade .....	
Eagle .....	
Java .....	
Orangeville .....	
Sheldon .....	
Wettersfield .....	
Aurora .....	2
County—Cayuga:	
Parts of County:	
Genoa .....	
Ledyard .....	
Locke .....	
Moravia .....	
Niles .....	
Scipio .....	
Sempionious .....	
Springport .....	
Summersville .....	
Venice .....	
Bedford/Stuyvesant .....	2
County—Kings:	
Parts of County:	
C.T. 201 .....	
C.T. 277 .....	
C.T. 279 .....	
C.T. 281 .....	
C.T. 285.01 .....	
C.T. 285.02 .....	
C.T. 287 .....	
C.T. 289 .....	
C.T. 291 .....	
C.T. 293 .....	
C.T. 295 .....	
C.T. 297 .....	
C.T. 299 .....	
C.T. 301 .....	
C.T. 303 .....	
C.T. 307 .....	
C.T. 309 .....	
C.T. 311 .....	
C.T. 365.01 .....	
C.T. 365.02 .....	
C.T. 367 .....	
C.T. 369 .....	
C.T. 371 .....	
C.T. 373 .....	
C.T. 375 .....	



## Service Area Listing—Continued

Service area name	Degree of shortage group
C.T. 377	
C.T. 379	
C.T. 227	
C.T. 381	
C.T. 383	
C.T. 385	
C.T. 387	
C.T. 229	
C.T. 231	
C.T. 233	
C.T. 235	
C.T. 237	
C.T. 239	
C.T. 241	
C.T. 243	
C.T. 245	
C.T. 247	
C.T. 249	
C.T. 251	
C.T. 253	
C.T. 255	
C.T. 257	
C.T. 259.01	
C.T. 261	
C.T. 263	
C.T. 265	
C.T. 267	
C.T. 269	
C.T. 271.01	
C.T. 273	
C.T. 275	
Bergen .....	2
County—Genesee:	
Part of County:	
Bergen	
Byron	
County—Monroe:	
Part of County:	
Riga	
Boonville .....	1
County—Oneida:	
Part of County:	
C.T. 241	
C.T. 242	
C.T. 243.02	
C.T. 243.03	
C.T. 244	
Cato .....	1
County—Cayuga:	
Part of County:	
Cato	
Conquest	
Ira	
Sterling	
Victory	
Cherry Valley .....	2
County—Otsego:	
Part of County:	
Cherry Valley	
Roseboom	
Springfield	
County—Schohar:	
Part of County:	
Sharon	
Chinatown .....	2
County—New York:	
Part of County:	
C.T. 47	
C.T. 7	
C.T. 8 (Part)	
C.T. 9	
C.T. 13	
C.T. 15.01	
C.T. 15.02	
C.T. 16	
C.T. 21	
C.T. 25	
C.T. 27	
C.T. 29	
C.T. 31	
C.T. 33	
C.T. 39	
C.T. 41	
C.T. 43	
C.T. 45	
C.T. 49	
C.T. 51	
C.T. 53	

## Service Area Listing—Continued

Service area name	Degree of shortage group
De Ruyter .....	1
County—Cortland:	
Part of County:	
Cuyler Town	
County—Madison:	
Part of County:	
De Ruyter Town	
East N.Y. section of Brooklyn .....	1
County—Kings:	
Part of County:	
C.T. 401	
C.T. 1122	
C.T. 1124	
C.T. 1126	
C.T. 1128	
C.T. 1130	
C.T. 1132	
C.T. 1134	
C.T. 1138	
C.T. 1140	
C.T. 1142.01	
C.T. 1142.02	
C.T. 1146	
C.T. 1148	
C.T. 1150	
C.T. 1152	
C.T. 1154	
C.T. 1156	
C.T. 1158	
C.T. 1160	
C.T. 1162	
C.T. 1164	
C.T. 1166	
C.T. 1168	
C.T. 1170	
C.T. 1172	
C.T. 1174	
C.T. 403	
C.T. 1178	
C.T. 1180	
C.T. 1182	
C.T. 1184	
C.T. 1186	
C.T. 1188	
C.T. 1190	
C.T. 1192	
C.T. 1194	
C.T. 1196	
C.T. 1200	
C.T. 1202	
C.T. 1208	
C.T. 1210	
C.T. 1214	
C.T. 1220	
C.T. 405	
C.T. 407	
C.T. 409	
C.T. 411	
C.T. 413	
C.T. 437	
C.T. 904	
C.T. 906	
C.T. 908	
C.T. 910	
C.T. 912	
C.T. 914	
C.T. 1040	
C.T. 1058	
C.T. 1070	
C.T. 1078	
C.T. 1100	
C.T. 1102	
C.T. 1106	
C.T. 1110	
C.T. 1112	
C.T. 1114	
C.T. 1118	
C.T. 1120	
Gowanus/Park Slope .....	1
County—Kings:	
Part of County:	
C.T. 71	
C.T. 127	
C.T. 131	
C.T. 133	
Hamilton .....	3
County—Madison:	
Part of County:	

## Service Area Listing—Continued

Service area name	Degree of shortage group
Brookfield	
Eaton	
Georgetown	
Hamilton	
Lebanon	
Madison	
County—Oneida:	
Part of County:	
Sangerfield	
Herkimer MSA .....	3
County—Herkimer:	
Part of County:	
C.T. 115	
Hunts Point (Health Area) .....	1
County—Bronx:	
Part of County:	
C.T. 5	
C.T. 81	
C.T. 81.99	
C.T. 91	
C.T. 97	
C.T. 99	
C.T. 105	
C.T. 115.02	
Lower East Side .....	3
County—New York:	
Part of County:	
C.T. 2.01	
C.T. 2.02	
C.T. 6	
C.T. 10.01	
C.T. 10.02	
C.T. 12	
C.T. 14.01	
C.T. 22.01	
C.T. 30.01	
C.T. 16 (Part)	
C.T. 20.02 (Part)	
C.T. 24 (Part)	
C.T. 25 (Part)	
C.T. 27 (Part)	
C.T. 30.02 (Part)	
Lower West Side .....	2
County—Erie:	
Part of County:	
C.T. 68	
C.T. 71.01	
C.T. 71.02	
C.T. 72.02	
Marathon-Cincinnatus .....	2
County—Cortland:	
Part of County:	
Taylor Town	
Cincinnatus Town	
Willet Town	
Freetown Town	
Marathon Town	
Lapeer Town	
Harford Town	
Martin Luther King Service Area .....	4
County—Bronx:	
Part of County:	
C.T. 87	
C.T. 181	
C.T. 182	
C.T. 187	
C.T. 189	
C.T. 193	
C.T. 195	
C.T. 197	
C.T. 199	
C.T. 201	
C.T. 211	
C.T. 213.01	
C.T. 213.02	
C.T. 219	
C.T. 221	
C.T. 223	
C.T. 89	
C.T. 119	
C.T. 121.01	
C.T. 121.02	
C.T. 123	
C.T. 125	
C.T. 127.01	
C.T. 127.02	
C.T. 129.01	
C.T. 129.02	



## Service Area Listing—Continued

Service area name	Degree of shortage group
C.T. 131	
C.T. 133	
C.T. 135	
C.T. 137	
C.T. 139	
C.T. 143	
C.T. 145	
C.T. 147	
C.T. 149	
C.T. 151	
C.T. 153	
C.T. 173	
C.T. 175	
C.T. 177	
C.T. 179	
Medically indigent in Mount Vernon.....	4
County—Westchester:	
Parts of County:	
Medically indigent in Mount Vernon	
Northeast Columbia/Southeast Rensse-	2
laer.....	
County—Columbia:	
Parts of County:	
New Lebanon	
Austerlitz	
Canaan	
County—Rensselaer:	
Parts of County:	
Berlin	
Petersburg	
Stephentown	
Northeast Bronx.....	1
County—Bronx:	
Parts of County:	
C.T. 378	
C.T. 380	
C.T. 390	
C.T. 392	
C.T. 394	
C.T. 396	
C.T. 406	
C.T. 408	
C.T. 356	
C.T. 358	
C.T. 364	
C.T. 368	
C.T. 382	
C.T. 386	
C.T. 388	
C.T. 398	
C.T. 404	
C.T. 276	
C.T. 302	
C.T. 458	
C.T. 460	
C.T. 462.02	
C.T. 484	
C.T. 502	
C.T. 504	
Oak Orchard .....	4
County—Orleans:	
Parts of County:	
Carlton	
Gaines	
Albion	
Barre	
Clarendon	
Murray	
Kendall	
Peekskill .....	4
County—Westchester:	
Parts of County:	
C.T. 141	
C.T. 142	
C.T. 143	
C.T. 144	
C.T. 145	
Randolph—Ellicottville.....	3
County—Cattaraugus:	
Parts of County:	
Cold Spring Division	
Conewango Division	
Ellicottville Division	
Mensfield Division	
Napoli Division	
New Albion Division	
Randolph Division	
South Valley Division	

## Service Area Listing—Continued

Service area name	Degree of shortage group
Sodus .....	4
County—Wayne:	
Parts of County:	
C.T. 201 (Ontario)	
C.T. 204 (Williamson)	
C.T. 208 (Sodus)	
C.T. 209 (Sodus)	
C.T. 215 (Huron-Wolcott)	
C.T. 216 (Rose-Butler)	
Soundview area .....	2
County—Bronx:	
Parts of County:	
C.T. 2	
C.T. 78	
C.T. 84	
C.T. 86	
C.T. 98	
C.T. 102	
C.T. 4	
C.T. 16	
C.T. 20	
C.T. 24	
C.T. 28	
C.T. 36	
C.T. 38	
C.T. 40.01	
C.T. 40.02	
C.T. 44	
C.T. 46	
C.T. 48	
C.T. 50	
C.T. 52	
C.T. 54	
C.T. 56	
C.T. 5860	
C.T. 62	
C.T. 64	
C.T. 66	
C.T. 68	
C.T. 70	
C.T. 72	
C.T. 74	
South Bronx—Fort Apache.....	3
County—Bronx:	
Parts of County:	
C.T. 161	
C.T. 155	
South Williamsburg.....	4
County—Kings:	
Parts of County:	
C.T. 507	
C.T. 509	
C.T. 525	
C.T. 529	
C.T. 531	
C.T. 533	
C.T. 535	
C.T. 537	
C.T. 539	
C.T. 545	
C.T. 547	
C.T. 549	
Southeastern Essex.....	3
County—Essex:	
Parts of County:	
Moriah Division	
North Hudson Division	
Crownpoint Division	
Ticonderoga Division	
Southern Onondaga County .....	2
County—Onondaga:	
Parts of County:	
Lafayette	
Fabius	
Pompey	
Tully	
Otisco	
Onondaga Indian Reservation	
Southern St. Lawrence County .....	2
County—St. Lawrence:	
Parts of County:	
Claire Town	
Clifton Town	
Edwards Town	
Fine Town	
Pitcairn Town	
Russell Town	
Southold/Shelter Island .....	3

## Service Area Listing—Continued

Service area name	Degree of shortage group
County—Suffolk:	
Parts of County:	
Shelter Island Town	
Southold Town	
Syracuse CHC Target Area .....	1
County—Onondaga:	
Parts of County:	
C.T. 30	
C.T. 40	
C.T. 41	
C.T. 42	
C.T. 53	
Tupper Lake .....	2
County—Franklin:	
Parts of County:	
Altamont Town	
County—St. Lawrence:	
Parts of County:	
Piercefield Town	
West Winfield .....	1
County—Kerkimer:	
Parts of County:	
C.T. 101	
C.T. 102.01	
C.T. 102.02	
Bridgewater Town (Part)	
Western Greene County .....	4
County—Greene:	
Parts of County:	
Ashland	
Halcott	
Hunter	
Jewett	
Lexington	
Prattsville	
Windham	
Western Montgomery County .....	1
County—Montgomery:	
Parts of County:	
Canajoharie	
Minden	
Palatine	
Root	
St. Johnsville	
Western Otsego.....	1
County—Otsego:	
Parts of County:	
Burlington Division	
Edmeston Division	
New Lisbon Division	
Pittsfield Division	
Plainfield Division	
Westside of Rochester .....	3
County—Monroe:	
Parts of County:	
C.T. 1	
C.T. 69	
C.T. 70	
C.T. 71	
C.T. 72	
C.T. 73	
C.T. 74	
C.T. 75	
C.T. 87	
C.T. 88	
C.T. 86 (Part)	
C.T. 2	
C.T. 3	
C.T. 4	
C.T. 16	
C.T. 17	
C.T. 18	
C.T. 19	
C.T. 20	
C.T. 21	
C.T. 22	
C.T. 23	
C.T. 24	
C.T. 25	
C.T. 26	
C.T. 27	
C.T. 40	
C.T. 41	
C.T. 42	
C.T. 62	
C.T. 63	
C.T. 64	
C.T. 65	



Service area name	Degree of shortage group
C.T. 66	
C.T. 67	
C.T. 68	

## NORTH CAROLINA

## County Listing

County name	Degree of shortage group
Alexander.....	02
Anson.....	02
Ashe.....	04
Bladen.....	01
Buncombe:	
Service area: Bat Cave service area.....	01
Caldwell.....	04
Caswell.....	01
Chatham.....	04
Columbus.....	03
Cumberland.....	01
Davie.....	03
Gaston.....	04
Harnett.....	04
Henderson.....	
Hoke.....	01
Jackson.....	04
McDowell.....	03
Macon.....	04
Mitchell.....	04
Montgomery.....	04
Pender.....	01
Person.....	02
Randolph.....	03
Richmond.....	03
Robeson:	
Service area: Rowland service area.....	01
Sampson.....	04
Stokes.....	02
Swain.....	02
Union.....	03
Yadkin.....	01

## Service Area Listing

Service area name	Degree of shortage group
Bat Cave service area.....	1
County—Buncombe:	
Parts of County:	
E.D. 204.....	
E.D. 205.....	
E.D. 206.....	
E.D. 207.....	
County—Henderson:	
Parts of County:	
E.D. 1.....	
E.D. 2.....	
E.D. 3.....	
E.D. 4.....	
E.D. 5.....	
E.D. 7.....	
Rowland service area.....	1
County—Robeson:	
Parts of County:	
Alfordsville.....	
Gaddy.....	
Rowland.....	
Thompson.....	

## NORTH DAKOTA—Continued

County name	Degree of shortage group
Union	

## NORTH DAKOTA

## County Listing

County name	Degree of shortage group
Adams.....	03
Benson.....	02
Billings.....	01
Burke.....	03
Dunn.....	01
Foster.....	01
Grant.....	01
Griggs.....	03
Hettinger.....	01
Kidder.....	01
La Moure.....	04
Logan.....	03
McHenry.....	03
McKenzie.....	01
Mercer.....	02
Morton.....	03
Oliver.....	02
Sargent.....	01
Sioux.....	01
Steele.....	04
Ward:	
Service Area: Kenmare.....	01
Wells.....	04

## Service-Area Listing

Service area name	Degree of shortage group
Kenmare.....	
County—Ward:	
Parts of County:	
Kenmare Division.....	1
Des Lacs Valley Division.....	
Mercer/Oliver.....	2
County—Mercer.....	
County—Oliver.....	

## OHIO

## County Listing

County name	Degree of shortage group
Adams.....	04
Allen:	
Service area: Putnam.....	04
Brown.....	02
Carroll.....	04
Clark:	
Service area: Rocking Horse.....	02
Clermont.....	03
Cuyahoga:	
Service area: Area 1—Cleveland.....	02
Service area: Glenville.....	01
Hamilton:	
Service area: East End (Cincinnati).....	02
Service area: Winston Hills (Cincinnati).....	01
Service area: Price Hill/Fairmont (Cincinnati).....	01
Service area: Lincoln Heights (Cincinnati).....	02
Highland:	
Service area: Greenfield.....	01
Service area: Chillicothe.....	02
Hocking:	
Jackson.....	04
Lawrence.....	03
Meigs.....	02
Monroe.....	02

## OHIO—Continued

County name	Degree of shortage group
Montgomery:	
Service area: Dayton.....	03
Morgan.....	02
Perry.....	03
Pickaway:	
Service area: Chillicothe.....	02
Pike.....	02
Putnam:	
Service area: Putnam.....	04
Ross.....	02
Sandusky:	
Service area: Migrant population—Sandusky County.....	01
Tuscarawas.....	04
Vinton.....	01
Warren.....	02

## Service area listing

Service area name	Degree of shortage group
Area 1—Cleveland.....	2
County—Cuyahoga:	
Parts of county:	
C.T. 1012.....	
C.T. 1015-1019.....	
C.T. 1022-1029.....	
C.T. 1031-1039.....	
C.T. 1041-1049.....	
C.T. 1051-1056.....	
C.T. 1079.....	
C.T. 1087-1089.....	
C.T. 1091-1099.....	
C.T. 1101-1103.....	
C.T. 1129.....	
C.T. 1131-1139.....	
C.T. 1141-1148.....	
Chillicothe.....	2
County—Highland:	
Part of county:	
Madison Township.....	
County—Hocking:	
Parts of county:	
Good Hope Township.....	
Laurel Township.....	
Benton Township.....	
Perry Township.....	
Salt Creek Township.....	
County—Pickaway:	
Parts of county:	
Perry Township.....	
Deer Creek Township.....	
Wayne Township.....	
Pickaway Township.....	
Slat Creek Township.....	
County—Pike.....	
County—Ross.....	
Dayton.....	3
County—Montgomery:	
Parts of county:	
C.T. 19.....	
C.T. 20.....	
C.T. 21.....	
C.T. 22.....	
C.T. 23.....	
C.T. 25.....	
C.T. 26.....	
C.T. 28.....	
C.T. 29.....	
East End (Cincinnati).....	2
County—Hamilton:	
Parts of county:	
C.T. 13.....	
C.T. 43.....	
C.T. 44.....	
C.T. 47.....	
Glenville.....	1
County—Cuyahoga:	
Parts of county:	
C.T. 1114.....	
C.T. 1161-1168.....	
C.T. 1181-1185.....	
Greenfield.....	1
County—Highland:	
Parts of county:	
Paint Township.....	
Madison Township.....	
Fairfield Township.....	



Service area name	Degree of shortage group
Lincoln Heights (Cincinnati).....	2
County—Hamilton:	
Parts of county:	
C.T. 60 (Hartwell)	
C.T. 225 (Woodlawn)	
C.T. 227 (Lincoln Heights)	
C.T. 228 (Lockland)	
C.T. 229 (Arlington Heights)	
C.T. 231 (Evandale)	
Migrant population—Sandusky County.....	1
County—Sandusky:	
Parts of county:	
Migrant population	
Price Hill/Fairmont (Cincinnati).....	1
County—Hamilton:	
Parts of county:	
C.T. 87 (Fairmont—South)	
C.T. 89 (Fairmont—South)	
C.T. 90 (Price Hill—East)	
C.T. 91 (Price Hill—Tower)	
C.T. 93 (Price Hill—East)	
C.T. 96 (Price Hill—East)	
C.T. 103 (Riverside—Selamsville)	
Putnam.....	4
County—Allen:	
Parts of county:	
Richland Township	
County—Putnam:	
Parts of county:	
Putnam	
Rocking Horse.....	2
County—Clark:	
Parts of county:	
C.T. 1	
C.T. 2	
C.T. 3	
C.T. 4	
C.T. 5	
C.T. 8	
C.T. 9.01	
C.T. 9.02	
C.T. 10	
C.T. 12	
C.T. 17	
Winston Hills (Cincinnati).....	1
County—Hamilton:	
Parts of county:	
C.T. 73 (Winston Hills Place)	
C.T. 80 (Winston Hills Place)	
C.T. 257 (Elmwood Place)	
Chelsea/New Alluwe.....	1
County—Mayes:	
Part of county:	
Adair (part) E.D. 12	
County—Nowata:	
Part of county:	
New Alluwe (part) E.D. 14 and 15	
County—Rogers:	
Part of county:	
Chelsea E.D. 1, 2, 3, 4	
Clayton.....	2
County—Le Flore:	
Parts of county:	
E.D. 37-38 (Heavener)	
E.D. 46	
E.D. 47	
E.D. 48-49 (Talihina)	
E.D. 50	
E.D. 51	
E.D. 52	
County—Pushmataha:	
Part of county:	
No. Pushmataha Division	
Del City.....	3
County—Oklahoma:	
Parts of county:	
C.T. 1078.01	
C.T. 1078.02	
C.T. 1078.03	
C.T. 1078.04	
C.T. 1078.05	
C.T. 1078.06	
North Central Tulsa.....	1
County—Tulsa:	
Parts of county:	
C.T. 2	
C.T. 3	
C.T. 4	
C.T. 5	
C.T. 6	

## OKLAHOMA

## County Listing

County name	Degree of shortage group
Adair.....	02
Alfalfa.....	04
Blaine:	
Service area: Western Oklahoma.....	03
Caddo.....	03
Canadian.....	04
Choctaw.....	02
Comanche.....	03
Custer.....	03
Dewey.....	03
Ellis.....	03
Grant.....	04
Harmon.....	02
Jackson.....	01
Jefferson.....	03
Johnston.....	02
Le Flore:	
Service area: Clayton.....	02
Logan.....	01
McClain.....	02
McCurtain.....	03
Mayes:	
Service area: Chelsea/New Alluwe.....	01
Nowata.....	04
Okfuskee.....	04
Oklahoma:	
Service area: Del City.....	03
Service area: Oklahoma.....	02
Pushmataha:	
Service area: Clayton.....	02
Roger Mills.....	03
Rodgers:	
Service area: Chelsea/New Alluwe.....	01
Seminole.....	03
Sequoyah.....	03
Tillman.....	01
Tulsa:	
Service area: North Central Tulsa.....	01
Service area: West Tulsa.....	03
Washita.....	03
Woodward:	
Service area: Western Oklahoma.....	03

## Service Area Listing

Service area name	Degree of shortage group
North Central Tulsa.....	1
County—Tulsa:	
Parts of county:	
C.T. 7	
C.T. 8	
C.T. 9	
C.T. 10	
C.T. 11	
C.T. 12	
C.T. 13	
C.T. 14	
C.T. 15	
C.T. 57	
C.T. 62	
C.T. 79	
C.T. 80	
C.T. 91.01	
C.T. 91.02	
C.T. 91.02	
Oklahoma.....	2
County—Oklahoma:	
Parts of county:	
C.T. 1037	
C.T. 1038	
C.T. 1039	
C.T. 1040	
C.T. 1047	
C.T. 1048	
C.T. 1049	
C.T. 1053	
C.T. 1054	
C.T. 1072	
C.T. 1073	
C.T. 1073	

## Service Area Listing—Continued

Service area name	Degree of shortage group
West Tulsa.....	3
County—Tulsa:	
Parts of county:	
C.T. 46	
C.T. 47	
C.T. 63.01	
C.T. 63.02	
C.T. 64.01	
C.T. 64.02	
C.T. 65.01	
C.T. 65.02	
C.T. 66	
Western Oklahoma.....	3
County—Blaine:	
Parts of county:	
E.D. 4, 5, 7	
County—Custer:	
Parts of county:	
E.D. 6, 7	
County—Dewey	
County—Ellis	
County—Roger Mills	
County—Woodward:	
Parts of county:	
E.D. 14, 15, 18	
County—Lipscomb (Tex.)	
Parts of county:	
Higgins CCD	

## OREGON

## County Listing

County name	Degree of shortage group
Baker:	
Service area: Halfway.....	01
Benton:	
Service area: West Benton.....	01
Clackamas:	
Service area: Estacada.....	04
Service area: Woodburn—Marion Mi-grant area.....	01
Clatsop:	
Service area: Seaside area.....	03
Columbia:	
Service area: Vernonia.....	01
Coos:	
Service area: Powers.....	01
Service area: Reedsport.....	03
Curry:	
Service area: Powers.....	01
Deschutes:	
Service area: La Pine.....	02
Douglas:	
Service area: Reedsport.....	03
Gilliam.....	02
Jackson:	
Service area: Jackson—Northeast Sec-tion.....	02
Service area: Rogue River—Gold Hill.....	02
Josephine:	
Service area: Cave Junction.....	01
Klamath:	
Service area: Bly.....	01
Service area: Chiloquin.....	01
Service area: La Pine.....	02
Lake.....	
Lane:	
Service area: Reedsport.....	03
Service area: McKenzie.....	01
Lincoln:	
Service area: West Benton.....	01
Linn:	
Service area: Sweet Home.....	01
Service area: Brownville.....	01
Malheur:	
Service area: NYSSA.....	03
Marion:	
Service area: Woodburn.....	03
Service area: West Salem.....	01
Service area: Woodburn—Marion Mi-grant area.....	01
Morrow.....	02



## OREGON—Continued

County name	Degree of shortage group
Multnomah:	
Service area: Northeast Portland.....	01
Polk:	
Service area: Willamina .....	01
Service area: Woodburn—Marion Migrant area.....	01
Union:	
Service area: Union.....	01
Wallowa.....	
Washington:	
Service area: Washington County Migrants.....	04
Wheeler.....	02
Yamhill:	
Service area: Willamina .....	01
Service area: Woodburn—Marion Migrant area.....	01

## Service Area Listing

Service-area name	Degree of shortage group
Bly.....	1
County—Klamath:	
Parts of County:	
Langel CCD	
Brownville.....	1
County—Linn:	
Parts of County:	
Brownville CCD	
Cave Junction.....	1
County—Josephine:	
Parts of County:	
Cave Junction CCD	
Chiloquin.....	1
County—Klamath:	
Parts of County:	
Chiloquin CCD	
Estacada.....	4
County—Clackamas:	
Parts of County:	
Highland CCD	
Mount Hood CCD	
Estacada CCD	
Halfway.....	
County—Baker:	
Parts of county:	
Halfway CCD	
Eagle Valley CCD	
Jackson—Northeast Section.....	2
County—Jackson:	
Parts of County:	
Prospect CCD	
Shady Cove CCD	
Butte Falls CCD	
La Pine.....	2
County—Deschutes:	
Parts of County:	
Sisters—Mulligan CCD South of Sunriver	
County—Klamath:	
Parts of County:	
Crescent Lake CCD	
County—Lake:	
Parts of County:	
Silver Lake—Fort Rock CCD	
McKenzie.....	1
County—Lane:	
Parts of County:	
C.T. 1 (McKenzie)	
Northeast Portland.....	1
County—Multnomah:	
Parts of County:	
C.T. 33.01	
C.T. 33.02	
C.T. 34.01	
C.T. 34.02	
C.T. 35.01	
C.T. 35.02	
C.T. 36.01	
C.T. 37.01	
C.T. 37.02	
C.T. 38.01	
C.T. 38.02	

## Service Area Listing—Continued

Service area name	Degree of shortage group
C.T. 38.03	
C.T. 39.01	
C.T. 39.02	
C.T. 40.01	
C.T. 40.02	
NYSSA.....	3
County—Canyon (Idaho):	
Parts of County:	
Parma Division	
Wilder Division	
Notus Division	
County—Malheur:	
Parts of County:	
Adrian Division	
NYSSA.....	3
County—Malheur:	
Parts of County:	
NYSSA Division	
Owyhee Division	
Powers.....	1
County—COOS:	
Parts of County:	
Powers CCD	
County—Curry:	
Parts of County:	
Agness	
Reedsport.....	3
County—COOS:	
Parts of County:	
North Part of North Bayside CCD	
County—Douglas:	
Parts of County:	
Reedsport CCD	
County—Lane:	
Parts of County:	
South Part South Siuslaw CCD	
Rogue River—Gold Hill.....	2
County—Jackson:	
Parts of County:	
Evans Valley CCD	
Foots Creek CCD	
Seaside area.....	3
County—Clatsop:	
Parts of County:	
Clatsop Plains CCD	
Lewis and Clark CCD	
Seaside Rural CCD	
Seaside CCD	
Sweet Home.....	1
County—Linn:	
Parts of County:	
Sweet Home CCD	
South Sweet Home CCD	
West Sweet Home CCD	
North Sweet Home CCD	
Cascadia CCD	
Tri-County.....	2
County—Gilliam:	
County—Morrow:	
County—Wheeler:	
Union.....	1
County—Union:	
Parts of County:	
Elgin CCD	
County—Wallowa:	
Parts of County:	
Town of Minam in Wallowa CCD	
Vernonia.....	1
County—Columbia:	
Parts of County:	
Vernonia CCD	
Washington County Migrants.....	4
County—Washington:	
Parts of County:	
Migrant Population	
West Benton.....	1
County—Benton:	
Parts of County:	
Blodgett CCD	
Alsea CCD	
Philomath CCD	
County—Lincoln:	
Parts of County:	
Eddyville CCD	
West Salem.....	1
County—Marion:	
Parts of County:	
C.T. 51	

## Service Area Listing—Continued

Service area name	Degree of shortage group
C.T. 52	
Willamina.....	1
County—Polk:	
Parts of County:	
Willamina CCD	
County—Yamhill:	
Parts of County:	
Willamina CCD	
Woodburn.....	3
County—Marion:	
Parts of County:	
Hubbard CCD	
Woodburn CCD	
Gervais CCD	
St. Paul CCD	
Woodburn-Marion Migrant area.....	1
County—Clackamas:	
Parts of County:	
Migrant population	
County—Marion:	
Parts of County:	
Migrant population	
County—Polk:	
Parts of County:	
Migrant population	
County—Yamhill:	
Parts of County:	
Migrant population	

## PENNSYLVANIA

## County Listing

County name	Degree of shortage group
Adams:	
Service area: North Adams.....	03
Allegheny:	
Service area: Homewood-Brushton.....	04
Armstrong:	
Service area: Armstrong-Clarion.....	01
Service area: Dayton Rural Valley MSA ..	01
Service area: Kiski Valley.....	02
Service area: Punxsutawney.....	02
Butler:	
Service Area: Butler—Northeast Portion	02
Cambria:	
Service area: South Central Clearfield	
Co.....	03
Cameron.....	01
Carbon:	
Service area: Kidder.....	01
Service area: Snow Shoe.....	02
Service area: Penns Valley.....	04
Service area: Bellafonte/Howard.....	03
Chester:	
Service area: South Western Chester.....	03
Clarion:	
Service area: Forest-Clarion.....	01
Service area: Armstrong-Clarion.....	01
Clearfield:	
Service area: Snow Shoe.....	02
Service area: South Central Clearfield	
Co.....	03
Clinton:	
Service area: Renovo.....	02
Service area: Bellafonte/Howard.....	03
Columbia:	
Service area: Benton-Millville.....	04
Crawford:	
Service area: East Crawford County.....	01
Dauphin:	
Service area: Williamstown.....	01
Delaware:	
Service area: City of Chester.....	03
Erie:	
Service area: Southern Erie.....	02
Service area: North East.....	01
Fayette:	
Service area: Greensboro.....	01
Forest.....	01
Franklin:	
Service area: Valleys Community MSA ..	01
Fulton.....	01



## PENNSYLVANIA—Continued

County name	Degree of shortage group
Greene:	
Service area: Greensboro .....	01
Service area: West Greene .....	01
Huntingdon .....	03
Indiana:	
Service area: Indiana—North Portion .....	01
Service area: Punxsutawney .....	02
Jefferson .....	
Juniata:	
Service area: Juniata County Service area .....	03
Service area: Millerstown .....	01
Service area: Mount Pleasant Mills-Middleburg .....	04
Lackawanna:	
Service area: Moscow .....	02
Lancaster:	
Service area: Welsh Mountain MSA .....	01
Lebanon:	
Service area: Northern Lebanon Co .....	01
Luzerne:	
Service area: Kidder .....	01
Service area: Benton-Millville .....	04
Service area: Exeter .....	01
Service area: Pittston .....	03
Service area: Mountaintop .....	02
Lycoming:	
Service area: Northern Lycoming .....	01
Service area: Benton-Millville .....	04
McKean:	
Service area: Shinglehouse .....	01
Service area: Central McKean County .....	02
Monroe:	
Service area: Kidder .....	01
Northumberland:	
Service area: Herndon-Mandata .....	02
Perry:	
Service area: Millerstown .....	01
Service area: Western Perry County .....	03
Philadelphia:	
Service area: North Philadelphia .....	02
Service area: Covenant House area .....	01
Service area: South Philadelphia .....	04
Pike .....	04
Potter:	
Service area: Austin-Emporium .....	01
Service area: Galetton .....	04
Service area: Shinglehouse .....	01
Schuylkill:	
Service area: Herndon-Mandata .....	02
Service area: Tremont/Pine Grove .....	03
Snyder:	
Service area: Mt. Pleasant mills-Middleburg .....	04
Sullivan:	
Service area: Northwest Sullivan .....	01
Service area: Benton-Millville .....	04
Susquehanna:	
Service area: Barnes-Kasson .....	02
Tioga:	
Service area: Northern Lycoming .....	01
Service area: Blossburg .....	02
Washington:	
Service area: Burgettstown .....	02
Wayne .....	03
Westmoreland:	
Service area: Kiski Valley .....	02
Wyoming:	
Service area: Exeter .....	01
York:	
Service area: York MSA .....	02

## Service Area Listing

Service area name	Degree of shortage group
Armstrong-Clarion .....	1
County—Armstrong:	
Parts of county:	
Brady's Bend Township	
Madison Township	
Perry Township	
Pine Township	
Sugarcreek Township	

## Service Area Listing—Continued

Service area name	Degree of shortage group
Washington Township	
County—Clarion:	
Parts of county:	
Brady Township	
East Brady Township	
Madison Township	
Austin-Emporium .....	1
County—Cameron	
County—Potter:	
Parts of County:	
Austin Township	
Keating Township	
Portage Township	
Sylvania Township	
Wharton Township	
Barnes-Kasson .....	2
County—Susquehanna:	
Parts of County:	
East of U.S. Interstate 81	
Bellafonte/Howard .....	3
County—Centre:	
Parts of county:	
Bellefonte Borough	
Howard Borough	
Milesburg Borough	
Boggs Township	
Curtin Township	
Howard Township	
Liberty Township	
Marion Township	
Spring Township	
Walker Township	
County—Clinton:	
Parts of County:	
Beech Creek Borough	
Beech Creek Township	
Benton-Millville .....	4
County—Columbia:	
Parts of County:	
Benton Borough	
Benton Township	
Fishing Creek Township	
Greenwood Township	
Jackson Township	
Madison Township (Northern two-thirds)	
Millville Borough	
Mt. Pleasant Township	
Orange Township (Northern one-third)	
Pine Township	
Stillwater Borough	
Sugarloaf Township	
County—Luzerne:	
Parts of County:	
Fairmont Township	
Huntington Township	
New Columbus Borough	
County—Lycoming:	
Parts of County:	
Franklin Township (Southern one-third)	
Jordan Township	
County—Sullivan:	
Parts of County:	
Davidson Township	
Blossburg .....	2
County—Tioga:	
Parts of County:	
Blossburg Borough	
Bloss Township	
Covington Township	
Duncan Township	
Hamilton Township	
Liberty Borough	
Eastern Half Liberty Township	
Putnam Borough	
Union Township	
Ward Township	
Burgettstown .....	2
County—Washington:	
Parts of County:	
Hanover Township	
Robinson Township	
Smith Township	
Mount Pleasant Township	
Jefferson Township	
Cross Creek Township	

## Service Area Listing—Continued

Service area name	Degree of shortage group
Independence Township	
Hopewell Township	
Burgettstown Borough	
Midway Borough	
McDonald Borough	
West Middletown Borough	
Butler—Northeast Portion .....	2
County—Butler:	
Parts of County:	
Venango Township	
Allegheny Township	
Washington Township	
Parker Township	
Concord Township	
Fairview Township	
Oakland Township	
Donegal Township	
Cherry Valley Borough	
Eau Claire Borough	
Bruin Borough	
Fairview Borough	
Petrolia Borough	
Karns City Borough	
Chicora Borough	
Central McKean County .....	2
County—McKean:	
Parts of county:	
Otoo Township	
Keating Township	
Hamlin Township	
Sergeant Township	
Norwich Township	
City of Chester .....	3
County—Delaware:	
Parts of county:	
C.T. 4051	
C.T. 4052	
C.T. 4053	
C.T. 4054	
C.T. 4055	
C.T. 4056	
C.T. 4057	
C.T. 4060	
C.T. 4048	
C.T. 4049.01	
C.T. 4049.02	
C.T. 4050	
C.T. 4058.01	
C.T. 4058.02	
C.T. 4059	
Covenant House Area .....	1
County—Philadelphia:	
Parts of county:	
C.T. 248	
C.T. 249	
C.T. 250	
C.T. 251	
C.T. 252	
C.T. 253	
C.T. 254	
Dayton Rural Valley MSA .....	1
County—Armstrong:	
Parts of county:	
Redbank Township (two-thirds western portion)	
Wayne Township	
Cowanshannock Township	
Dayton Borough	
Rural Valley Borough	
Atwood Borough	
East Crawford County .....	1
County—Crawford:	
Parts of county:	
Athens Township	
Bloomfield Township	
Rockdale Township	
Rome Township	
Sparta Township	
Steuben Township	
Centerville Borough	
Townville Borough	
Spartansburg Borough	
Exeter .....	1
County—Luzerne:	
Parts of county:	
Exeter Borough	
West Wyoming	
Franklin Township	



## Service Area Listing—Continued

Service area name	Degree of shortage group
Exeter Township	
County—Wyoming:	
Parts of county:	
Exeter Township	
Falls Township	
Forest-Clarion.....	1
County—Clarion:	
Parts of county:	
Farmington	
Washington	
Knox	
County—Forest	
Galeton.....	4
County—Potter:	
Parts of county:	
Galeton Borough	
Pike Township	
Ulysses Township	
West Branch Township	
Abbott Township	
Stewardson Township	
Greensboro.....	1
County—Fayette:	
Parts of county:	
Point Marion Borough	
German Township	
Nicholson Township	
Springhill Township	
County—Greene:	
Parts of county:	
Dunkard Township	
Greene Township	
Monogahela Township	
Greensboro Borough	
Herndon-Mandata.....	2
County—Northumberland:	
Parts of county:	
Little Mahanoy Township	
Washington Township	
Upper Mahanoy Township	
West Cameron Township	
Jackson Township	
Herndon Borough	
Jordon Township	
Lower Mahanoy Township	
County—Schuylkill:	
Parts of county:	
Eldred Township	
Upper Mahantango Township	
Homewood—Brushton.....	4
County—Allegheny:	
Parts of county:	
C.T. 1207	
C.T. 1301	
C.T. 1302	
C.T. 1303	
C.T. 1304	
C.T. 1305	
Indiana—North portion.....	1
County—Indiana:	
Parts of county:	
South Mahoning Township	
East Mahoning Township	
Grant Township	
Montgomery Township	
Washington Township	
Rayne Township	
Green Township	
Pine Township	
Glen Campbell Borough	
Cherry Tree Borough	
Marion Center Borough	
Plumville Borough	
Clymer Borough	
Juniata County Service Area.....	3
County—Juniata:	
Parts of county:	
Mifflin Borough	
Mifflintown Borough	
Port Royal Borough	
Fayette Township	
Fermanagh Township	
Milford Township	
Turbett Township	
Walker Township	
Lack Township	
Tuscarora Township	
Beale Township	

## Service Area Listing—Continued

Service area name	Degree of shortage group
Spruce Hill Township	
Kidder.....	1
County—Carbon:	
Parts of county:	
East Side	
Kidder	
Penn Forest	
Lausanne	
Lehigh	
County—Luzerne:	
Parts of county:	
White Haven Borough	
Buck Township	
Poster Township	
County—Monroe:	
Parts of county:	
Coolbough Township	
Tobyhanna Township	
Townkannock Township	
Kiski Valley.....	2
County—Armstrong:	
Parts of county:	
Apollo Borough	
Bethel Township	
Burrell Township	
Gilpin Township	
Kiskiminitas Township	
Leechburg Borough	
North Apollo Borough	
Parks Township	
South Bend Township	
County—Westmoreland:	
Parts of county:	
Allegheny Township	
Avonmore Borough	
Bell Township	
East Vandergrift Borough	
Hyde Park Borough	
Vandergrift Borough	
Washington Township	
West Leechburg Borough	
McKees Rocks-Stowe.....	1
County—Allegheny:	
Parts of county:	
C.T. 4621 (Stowe Township)	
C.T. 4622 (Stowe Township)	
C.T. 4623 (Stowe Township)	
C.T. 4624 (Stowe Township)	
C.T. 4625 (Stowe Township)	
C.T. 4631 (McKees Rocks Borough)	
C.T. 4632 (McKees Rocks Borough)	
C.T. 4633 (McKees Rocks Borough)	
C.T. 4634 (McKees Rocks Borough)	
C.T. 4635 (McKees Rocks Borough)	
C.T. 4636 (McKees Rocks Borough)	
Millertown.....	1
County—Juniata:	
Parts of county:	
Greenwood Township	
Delaware Township	
Thompsontown Borough	
County—Perry:	
Parts of county:	
Tuscarora Township	
Greenwood Township	
Liverpool Township	
Liverpool Borough	
Millerstown Borough	
Moscow.....	2
County—Lackawanna:	
Parts of county:	
Elmhurst Township	
Moscow Borough	
Covington Township	
Springbrook Township	
Roaring Brook Township	
Madison Township	
Jefferson Township	
Clifton Township	
Lehigh Township	
Mountaintop.....	2
County—Luzerne:	
Parts of county:	
Dennison Township	
Wright Township	
Fairview Township	
Rice Township	
Dorrance Township	

## Service Area Listing—Continued

Service area name	Degree of shortage group
Slocum Township	
Naungola Township	
Mount Pleasant Mills-Middleburg.....	4
County—Juniata:	
Parts of county:	
Monroe Township	
County—Snyder:	
Parts of county:	
Washington Township	
Union Township	
Freeburg Borough	
Beaver Township	
Beavertown Borough	
Chapman Township	
Franklin Township	
Centre Township	
Middleburg Township	
Perry Township	
West Perry Township	
North Adams.....	3
County—Adams:	
Parts of county:	
Menallen Township	
Tyrone Township	
Huntington Township	
Butler Township	
Arendtsville Borough	
Bendersville Borough	
Biglerville Borough	
York Springs Borough	
North East.....	1
County—Erie:	
Parts of county:	
C.T. 116 (North East Borough)	
C.T. 117 (Northeast and Greenfield Town)	
North Philadelphia.....	2
County—Philadelphia:	
Parts of county:	
C.T. 137	
C.T. 138	
C.T. 139	
C.T. 140	
C.T. 147	
C.T. 148	
C.T. 149	
C.T. 151	
C.T. 152	
C.T. 153	
C.T. 167	
C.T. 168	
C.T. 169	
C.T. 171	
C.T. 172	
Northern Lebanon County.....	1
County—Lebanon:	
Parts of county:	
Cold Spring Township	
East Hanover Township	
Union Township	
Swatara Township	
Bethel Township	
Jonestown Borough	
Northern Lycoming.....	1
County—Lycoming:	
Parts of county:	
Cogan House Township	
Jackson Township	
Pine Township	
Cummings Township (Northern two-thirds)	
Plunkett's Creek Township (north-west one-half)	
Cummings Township	
McHenry Township	
Brown Township	
Pine Township	
Lewis Township (Northern two-thirds)	
McIntyre Township	
McNett Township	
Cascade Township	
Gamble Township	
County—Tioga:	
Parts of county:	
Elk Township	
Morris Township	



## Service Area Listing—Continued

Service area name	Degree of shortage group
Liberty Township (Western one-half)	
Northwest Sullivan.....	1
County—Sullivan:	
Parts of county:	
Forks	
Elkland	
Hillsgrove	
Fox	
Penns Valley.....	4
County—Centre:	
Parts of county:	
Potter Township	
Penn Township	
Greg Township	
Center Hall Borough	
Millheim Borough	
Miles Township	
Haines Township	
Pittston.....	3
County—Luzerne:	
Parts of county:	
Pittston Township	
Dupont Borough	
Hughes Township	
Pittston City	
Jenkins Township	
Duryea Borough	
Avoca Borough	
Lafin Borough	
Yatesville Borough	
Punxsutawney.....	2
County—Armstrong:	
Parts of county:	
Redbank Township (Eastern one-third)	
County—Indiana:	
Parts of county:	
Banks Township (Northern two-thirds)	
Canoe Township (Northern two-thirds)	
Northern Mahoning (Northern one-half)	
Smicksburg Borough	
West Mahoning Township (Northern one-half)	
County—Jefferson:	
Parts of county:	
Bell Township	
Beaver Township (Southern one-half)	
Big Run Borough	
Gaskill Township	
Henderson Township	
Oliver Township	
Perry Township	
Porter Township	
Punxsutawney Borough	
Rigold Township	
Timblin Borough	
Worthville Borough	
Young Township	
Renovo.....	2
County—Clinton:	
Parts of county:	
Noyes Township	
East Keating Township	
Renovo Borough	
South Renovo Borough	
Leidy Township	
Chapman Township	
South Central Clearfield County.....	3
County—Cambria:	
Parts of county:	
Reade Township (Western two-thirds)	
White Township	
County—Clearfield:	
Parts of county:	
Coalport Borough	
Glen Hope Borough	
Irvona Borough	
Ramey Borough	
Westover Borough	
Beccaria Township	
Bigler Township	
Chest Township	

## Service Area Listing—Continued

Service area name	Degree of shortage group
Gulich Township	
Jordan Township	
Shinglehouse.....	1
County—McKean:	
Parts of county:	
Ceres Township	
Eldred Township	
County—Potter:	
Parts of county:	
Shinglehouse Borough	
Sharon Township	
Oswayo Township	
Oswayo Borough	
Genesee Township	
Pleasant Valley Township	
Clara Township	
Snow Shoe.....	2
County—Centre:	
Parts of county:	
Curtin Township	
Unionville Borough	
Snow Shoe Township	
Snow Shoe Borough	
Burnside Township	
Boggs Township	
Union Township	
County—Clearfield:	
Parts of county:	
Cooper Township (Northern two-thirds)	
Covington Township	
Karhaus Township	
South Philadelphia.....	4
County—Philadelphia:	
Parts of county:	
C.T. 13	
C.T. 14	
C.T. 19	
C.T. 20	
C.T. 21	
C.T. 22	
C.T. 33	
South Western Chester.....	3
County—Chester:	
Parts of county:	
C.T. 3062	
C.T. 3079	
C.T. 3080	
C.T. 3081	
C.T. 3082	
C.T. 3061	
C.T. 3077	
Southern Erie.....	2
County—Erie:	
Parts of county:	
Cranesville Borough	
Albion Borough	
Platea Borough	
Conneaut Township	
Elk Creek Township	
Franklin Township	
Springfield Township	
Tremont/Pine Grove.....	3
County—Schuylkill:	
Parts of county:	
Tremont Borough	
Tremont Township	
Fralley Township	
Porter Township	
Pine Grove Township	
Washington Township	
Pine Grove Township	
Hegins Township	
Barry Township	
Ghbley Township	
Tower City Borough	
Valleys Community MSA.....	1
County—Franklin:	
Parts of county:	
Fannet Township	
Metal Township	
Welsh Mountain MSA.....	1
County—Lancaster:	
Parts of county:	
Salisbury Township	
Caernarvon	
Earl	
East Earl	

## Service Area Listing—Continued

Service area name	Degree of shortage group
West Greene.....	1
County—Greene:	
Parts of county:	
Aleppo Township	
Gilmore Township	
Gray Township	
Jackson Township	
Richhill Township	
Spinghill Township	
Center Township	
Freeport Township	
Morris Township	
Washington Township	
Wayne Township	
Western Perry County.....	3
County—Perry:	
Parts of county:	
Blain Borough	
Bloomfield Borough	
Carroll Township	
Centre Township	
Jackson Township	
Juniata Township	
Landisburg Borough	
N.E. Madison Township	
Saville Township	
S.W. Madison Township	
Spring Township	
Toboyne Township	
Tyrone Township	
Wheatfield Township	
Williamstown.....	1
County—Dauphin:	
Parts of county:	
Upper Paxton Township	
Millersburg Borough	
Mifflin Township	
Berrysburg Borough	
Washington Township	
Elizabethville Borough	
Jackson Township	
Jefferson Township	
Wayne Township	
Reed Township	
Halifax Township	
Halifax Borough	
Williamstown Borough	
Williams Township	
Lykens Township	
Gatz Borough	
Wiconisco Township	
Lykens Borough	
York MSA.....	2
County—York:	
Parts of county:	
C.T. 1	
C.T. 2	
C.T. 3	
C.T. 5	
C.T. 7	
C.T. 10	
C.T. 15	
C.T. 16	
RHODE ISLAND	
County Listing	
County name	Degree of shortage group
Providence:	
Service area: Olneyville.....	02
Washington:	
Service area: Hope Valley.....	02



## Service Area Listing

Service area name	Degree of shortage group
Hope Valley .....	2
County—Washington:	
Parts of county:	
Charlestown:	
Exeter	
Hopkinton	
Richmond	
Olneyville .....	2
County—Providence:	
Parts of county:	
C.T. 16	
C.T. 17	
C.T. 18	
C.T. 19	
C.T. 20	
C.T. 21	
C.T. 22	

## SOUTH CAROLINA

## County Listing

County name	Degree of shortage group
Abbeville .....	03
Allendale .....	01
Beaufort:	
Service area: St. Helena .....	01
Berkley:	
Service area: Orangeburg .....	01
Calhoun .....	03
Charleston:	
Service area: Sea Island Comprehensive Health Care Corp. ....	01
Chester .....	03
Chesterfield .....	04
Dorchester:	
Service area: Orangeburg .....	01
Edgefield .....	04
Fairfield .....	01
Florence:	
Service area: Brittons Neck .....	01
Horry .....	
Jasper .....	01
Laurens .....	04
McCormick .....	03
Marion .....	
Newberry .....	04
Orangeburg:	
Service area: Orangeburg .....	01
Richland:	
Service area: Richland Service Area .....	01
Saluda .....	02
York:	
Service area: Western York Co. ....	02

## Service Area Listing

Service area name	Degree of shortage group
Brittons Neck .....	1
County—Florence:	
Parts of county:	
Johnsonville	
County—Horry:	
Parts of county:	
Aynor South	
Aynor North CCD	
County—Marion:	
Parts of county:	
Brittons Neck CCD	
Centenary CCD	
Orangeburg .....	1
County—Berkley:	
Parts of county:	
Crosby Division	
County—Dorchester:	
Parts of county:	
Harleyville Division	
County—Orangeburg:	

## Service Area Listing—Continued

Service area name	Degree of shortage group
Parts of county:	
Ellore Division	
Bowman Division	
Holly Hill Division	
Eutawville Division	
Vance Division	
Richland Service Area .....	1
County—Richland:	
Parts of county:	
C.T. 118	
C.T. 119.02	
C.T. 120	
Sea Island Comprehensive Health Care Corp. ....	1
County—Charleston:	
Parts of county:	
C.T. 19.01	
C.T. 19.02	
C.T. 20.01	
C.T. 20.02	
C.T. 20.03	
C.T. 20.04	
C.T. 21.01	
C.T. 21.02	
C.T. 22	
C.T. 23	
C.T. 24	
C.T. 25	
St. Helena .....	1
County—Beaufort:	
Parts of county:	
St. Helena	
Western York County .....	2
County—York:	
Parts of county:	
C.T. 14	
C.T. 15	
C.T. 16	
C.T. 19	
E.D. 9 (of C.T. 17)	

## SOUTH DAKOTA

## County Listing

County name	Degree of shortage group
Aurora .....	01
Bennett .....	04
Bon Homme .....	03
Buffalo .....	01
Campbell .....	01
Charles Mix:	
Service area: Lake Andes .....	03
Clark .....	01
Clay .....	02
Corson .....	01
Custer .....	01
Day .....	02
Deuel .....	01
Devel:	
Service area: Deuel .....	01
Dewey .....	01
Douglas .....	03
Edmunds .....	01
Faulk .....	02
Grant .....	04
Gregory:	
Service area: Lake Andes .....	03
Haakon .....	01
Hamlin .....	01
Hanson .....	01
Hardin .....	01
Hyde .....	01
Jackson:	
Service area: Phillip .....	01
Jerauld .....	01
Jones .....	01
Lake .....	04
Lincoln .....	04
Lincoln:	
Service area: South Dakota State Penitentiary .....	02

## SOUTH DAKOTA—Continued

County name	Degree of shortage group
Lyman .....	01
McCook .....	03
McPherson .....	01
Mead .....	04
Mellette .....	01
Miner .....	02
Moody .....	04
Pennington:	
Service area: Phillip .....	01
Perkins .....	01
Roberts .....	01
Sanborn .....	01
Shannon .....	01
Spink:	
Service area: Redfield State Hospital and School .....	01
Stanley .....	01
Sully .....	01
Todd .....	03
Tripp .....	03
Turner .....	04
Union .....	01
Washabaugh .....	01
Yankton:	
Service area: Human Services Center .....	03
Ziebach .....	01

## Service Area Listing

Service area name	Degree of shortage group
Buffalo-Jerauld .....	1
County—Buffalo	
County—Jerauld	
Deuel .....	1
County—Deuel:	
Parts of county:	
Altamont Town	
Altamont Township	
Antelope Valley Township	
Astoria Town	
Blom Township	
Brandt Town	
Brandt Township	
Clear Lake City	
Clear Lake Township	
Glenwood Township	
Goodwin Town	
Goodwin Township	
Grange Township	
Havana Township	
Hidewood Township	
Lowe Township	
Portland Township	
Rome Township	
Scandinavia Township	
Toronto Township	
Human Services Center .....	3
County—Yankton:	
Parts of county:	
Human Services Center	
Lake Andes .....	3
County—Charles Mix:	
Parts of county:	
Kennedy Township	
White Swan Township	
Plain Center Township	
Wagner City	
Choteau Creek Township	
Highland Township	
Lawrence Township	
Wahene Township	
Hamilton Township	
Signal Township	
Rhoda Township	
Moore Township	
Jackson Township	
Goose Lake Township	
Howard Township	
Lone Tree Township	
Ree Township	
Rouse Township	
County—Gregory:	
Parts of county:	



## Service Area Listing—Continued

Service area name	Degree of shortage group
Fairfax Township	
Pleasant Valley Township	
Whetstone Township	
Schriever Township	
Star Valley Township	
Unorganized territory, Southeast Gregory	
St. Charles Township	
Unorganized territory, East Gregory	
Ellston Township	
Phillip	1
County—Haakon	
County—Jackson:	
Parts of county:	
Unorganized territory of East Jackson	
Unorganized territory of Brady	
Grandview Township	
Jewett Township	
Cottonwood Township	
Indian Creek Township	
Big Buffalo Township	
Little Buffalo Township	
Kadoka City	
Kadoka Township	
Belvidere Township	
Belvidere Town	
County—Lake:	
Parts of county:	
Unorganized territory of Northwestern Jackson	
County—Pennington:	
Parts of county:	
Peno Township	
Cowanka Township	
Wasta Township	
Lake Flat Township	
Lake Hill Township	
Lake Creek Township	
Conata Township	
Wall Town	
Quinn Township	
Imley Township	
Wasta Town	
Quinn Town	
Unorganized territory of Northeastern Pennington	
Unorganized territory of Dalzell Canyon	
Unorganized territory of Badlands	
Flat Butte Township	
Cheyenne Township	
Rainy Creek Township	
Sunnyside Township	
Ash Township	
Shyne Township	
Crooked Creek Township	
Castle Butte Township	
Cedar Butte Township	
Redfield State Hospital and School	1
County—Spink:	
Parts of county:	
Redfield State Hospital and School	
South Dakota State Penitentiary	2
County—Lincoln:	
Parts of county:	
State Penitentiary	
Turner-Lincoln	4
County—Lincoln	
County—Turner	

## TENNESSEE

## County Listing

County name	Degree of shortage group
Campbell	04
Cheatham	02
Chester	03
Claiborne	03
Clay	04
Cocke	01
Crockett	03

## TENNESSEE—Continued

County name	Degree of shortage group
Decatur	01
Fayette	03
Fentress	03
Grainger	01
Hardeman	03
Hardin	02
Haywood	02
Henderson	04
Hickman	02
Lake	02
Lauderdale	02
McNairy	02
Macon	03
Monroe	03
Morgan	01
Pickett	02
Polk	
Service area: West Polk County	01
Roane	04
Scott	04
Sevier	04
Stewart	02
Tipton	02
Union	01
Van Buren	02
Warren	04
Wayne	01
Weakley	03
White	03

## Service Area Listing

Service area name	Degree of shortage group
West Polk County	1
County—Polk:	
Parts of County:	
Benton Division (Enumerated District 1-4)	
Parksville Division (Enumerated District 5-7)	

## TEXAS

## County Listing

County name	Degree of shortage group
Atascosa	04
Bandera	02
Bee	04
Bexar:	
Service area: East side (San Antonio)	02
Service area: Southern rural Bexar (San Antonio)	01
Service area: South side (San Antonio)	01
Service area: West side (San Antonio)	01
Castro	01
Collin	04
Cooke	04
Crosby	02
Dallas:	
Service area: Fair Park/White Rock Creek Industrial	01
Service area: South Dallas	02
Service area: Trinity	01
Service area: Lisbon	01
Service area: Simpson Sterat	01
Deaf Smith	04
Dickens	02
Donley	04
Ellis	04
Floyd	03
Hardin	02
Hunt	02
Jeff Davis	03
King	02
Kinney	03
La Salle	02
Liberty	04

## TEXAS—Continued

County name	Degree of shortage group
Lipscomb	
Lynn	02
Maverick	03
Medina	04
Mills	04
Montgomery	03
Newton	04
Polk	04
Presidio	03
Rains	01
Sabine	03
San Jacinto	01
San Saba	04
Trinity	03
Van Zandt	04
Walker	02
Waller	01
Webb	02
Wise	03
Yoakum	03
Zavala	02

## Service Area Listing

Service area name	Degree of shortage group
Dickens-King	2
County—Dickens	
County—King	
East Side (San Antonio)	2
County—Bexar:	
Parts of county:	
C.T. 1301	
C.T. 1303	
C.T. 1304	
C.T. 1305	
C.T. 1306	
C.T. 1307	
C.T. 1308	
C.T. 1309	
C.T. 1310	
C.T. 1311	
C.T. 1312	
C.T. 1313	
Fair Park/White Rock Creek Industrial	1
County—Dallas:	
Parts of county:	
C.T. 23	
C.T. 25	
C.T. 26	
C.T. 27.01	
C.T. 27.02	
C.T. 28	
C.T. 93.02	
C.T. 115	
Lisbon	1
County—Dallas:	
Parts of county:	
C.T. 56	
C.T. 57	
C.T. 59.01	
C.T. 59.02	
C.T. 87.01	
C.T. 87.02	
C.T. 88	
Presidio-Jeff Davis	3
County—Jeff Davis	
County—Presidio	
San Saba-Mills	4
County—Mills	
County—San Saba	
Simpson Sterat	1
County—Dallas:	
Parts of county:	
C.T. 112	
C.T. 113	
C.T. 114.01	
C.T. 114.02	
C.T. 167.01	
C.T. 167.02	
C.T. 169.01	
C.T. 169.02	
South Dallas	2
County—Dallas:	



## VIRGINIA—Continued

County name	Degree of shortage group
Parts of county:	
C.T. 29	
C.T. 30	
C.T. 31.02	
C.T. 32.02	
C.T. 33	
C.T. 34	
C.T. 35	
C.T. 36	
C.T. 37	
C.T. 38	
C.T. 39.01	
C.T. 39.02	
C.T. 40	
South side (San Antonio).....	1
County—Bexar:	
Parts of county:	
C.T. 1501	
C.T. 1503	
C.T. 1504	
C.T. 1505	
C.T. 1506	
C.T. 1507	
C.T. 1510	
C.T. 1601	
C.T. 1602	
C.T. 1603	
C.T. 1604	
C.T. 1605	
Southern rural Bexar (San Antonio).....	1
County—Bexar:	
Parts of county:	
C.T. 1314	
C.T. 1315	
C.T. 1316	
C.T. 1318	
C.T. 1416	
C.T. 1417	
C.T. 1418	
C.T. 1419	
C.T. 1519	
C.T. 1520	
C.T. 1521	
C.T. 1522	
C.T. 1610	
C.T. 1611	
C.T. 1612	
C.T. 1619	
C.T. 1620	
Trinity.....	1
County—Dallas:	
Parts of county:	
C.T. 41	
C.T. 49	
C.T. 54	
C.T. 55	
C.T. 86	
C.T. 89	
West side (San Antonio).....	1
County—Bexar:	
Parts of county:	
C.T. 1606	
C.T. 1607	
C.T. 1701	
C.T. 1703	
C.T. 1704	
C.T. 1707	
C.T. 1708	
C.T. 1709	
C.T. 1710	
C.T. 1711	
C.T. 1712	
C.T. 1715	
C.T. 1716	

## UTAH

## County Listing

County name	Degree of shortage group
Daggett.....	01
Piute.....	01
Rich.....	01

## UTAH—Continued

County name	Degree of shortage group
Tooele.....	03
Wayne.....	01

## VERMONT

## County Listing

County Name	Degree of shortage group
ADDISON:	
Service area: Route 100.....	01
Service area: Otter Creek Valley.....	01
BENNINGTON:	
Service area: Londonderry MSA.....	03
CALEDONIA:	
Service area: Hardwick.....	03
ESSEX:	
Service area: Essex.....	03
GRAND ISLE.....	03
LAMOILLE:	
Service area: Hardwick.....	04
ORLEANS.....	
RUTLAND:	
Service area: Black River Valley.....	01
Service area: Route 100.....	01
Service area: Otter Creek Valley.....	01
WASHINGTON:	
Service area: Hardwick.....	03
WINDHAM:	
Service area: West River Valley.....	01
Service area: Londonderry MAS.....	03
WINDSOR:	
Service area: Black River Valley.....	01
Service area: Route 100.....	01
Service area: Londonderry MSA.....	03

## Service Area Listing

Service area name	Degree of shortage group
Black River Valley.....	1
County—Rutland:	
Parts of county:	
Mount Holly Town	
County—Windsor:	
Parts of county:	
Cavendish	
Ludlow	
Reading Town	
Weathersfield Town	
Essex.....	3
County—Essex:	
Parts of county:	
Brighton Town	
Concord Town	
East Haven Town	
Ferdinand Township	
Granby Town	
Guildhall Town	
Lewis Township	
Lunenburg Town	
Maldstone Town	
Victory Town	
Hardwick.....	3
County—Caledonia:	
Parts of county:	
Danville	
Peacham Town	
Hardwick	
Walden	
County—Lamoille:	
Parts of county:	
Wolcott	
Elmore	
County—Orleans:	
Parts of county:	
Greensboro	
Craftbury	
County—Washington:	
Parts of county:	

## Service Area Listing—Continued

Service area name	Degree of shortage group
Calais Town	
Hardwick.....	3
County—Washington:	
Parts of county:	
East Montpelier Town	
Plainfield Town	
Marshfield Town	
Woodbury	
Cabot	
Londonderry MSA.....	3
County—Bennington:	
Parts of county:	
Landgrove Town	
Peru Town	
Winhall Town	
County—Windham:	
Parts of county:	
Londonderry Town	
County—Windsor:	
Parts of county:	
Weston Town	
Otter Creek Valley.....	1
County—Addison:	
Parts of county:	
Leicester Town	
Goshen Town	
Orwell Town	
Whiting Town	
Shoreham Town	
County—Rutland:	
Parts of county:	
Brandon Town	
Hubbardtown Town	
Pittsford Town	
Sudbury Town	
Route 100.....	
County—Addison:	
Parts of county:	
Hancock Town	
Granville Town	
County—Rutland:	
Parts of county:	
Pittsfield Town	
County—Windsor:	
Parts of county:	
Rochester Town	
Stockbridge Town	
West River Valley.....	1
County—Windham:	
Parts of county:	
Jamaica	
Newfane	
Stratton	
Townshend	
Wardsboro	
Windham	

## VIRGINIA

## County Listing

County name	Degree of shortage group
Accomack.....	04
Amelia.....	03
Bland.....	01
Botetourt.....	02
Brunswick.....	01
Buchanan.....	01
Caroline.....	02
Carroll:	
Service area: Hillsville.....	02
Charles City.....	01
Charlotte:	
Service area: Drakes Branch Medical	
Service Area.....	01
Craig.....	02
Dickenson.....	03
Elizabeth City:	
Service area: East End.....	02
Floyd.....	01
Franklin.....	04
Grayson:	
Service area: Troutdale.....	01
Greene.....	03



## VIRGINIA—Continued

County name	Degree of shortage group
Hanover.....	04
Isle of Wight.....	02
King and Queen.....	01
King George.....	03
King William:	
Service area: Northern King William.....	01
Louisa.....	04
Lunenburg.....	01
Madison.....	02
Middlesex.....	04
Nelson.....	02
New Kent.....	01
Northampton.....	04
Page.....	03
Patrick.....	03
Pittsylvania.....	04
Powhatan.....	02
Richmond.....	02
Russell.....	02
Smyth.....	03
Southampton:	
Service area: Capron.....	01
Service area: Berlin-Ivor.....	01
Surry.....	02
Sussex.....	03
Westmoreland.....	03

## Service Area Listing

Service area name	Degree of shortage group
Accomack/Norhampton.....	4
County—Accomack	
County—Norhampton	
Berlin-Ivor.....	1
County—Southampton:	
Parts of county:	
Berlin-Ivor	
Capron.....	1
County—Southampton:	
Parts of County:	
Capron District	
Drewryville District	
Newsoms District	
Drakes Branch Med. Service Area.....	1
County—Charlotte:	
Parts of County:	
Bacon District	
Central District	
Roanoke District	
East End.....	2
County—Elizabeth City:	
Parts of County:	
C.T. 302	
C.T. 303	
C.T. 304	
C.T. 305	
C.T. 306	
C.T. 307	
C.T. 308	
Hillsville.....	2
County—Carroll:	
Parts of County:	
Lauree Fork District	
Hillsville Town	
Fancy Gap Town	
Northern King William.....	1
County—King William:	
Parts of County:	
Mongohick District	
Acquinton District	
Troutdale.....	1
County—Grayson:	
Parts of County:	
Wilson Creek	

## WASHINGTON

## County Listing

County name	Degree of shortage group
Adams:	
Service area: Migrants in Grant/Adams	
Counties.....	02
Service area: Otello.....	02
Chelan:	
Service area: Chelan-Douglas migrant	
area.....	01
Callam:	
Service area: Forks.....	04
Columbia:	
Service area: Migrant population in Co-	
lumbia and Walla Walla.....	01
Cowlitz:	
Service area: Ryderwood.....	01
Douglas:	
Service area: Chelan-Douglas migrant	
area.....	01
Franklin:	
Service area: Otello.....	02
Grant:	
Service area: Migrants in Grant/Adams	
Counties.....	02
Service area: Otello.....	02
Grays Harbor:	
Service area: McCleary-Elma.....	02
Jefferson:	
Service area: Forks.....	04
King:	
Service area: Seattle-Central.....	03
Service area: South Seattle.....	02
Service area: Vashon-Maury Islands.....	02
Service area: Pike Market.....	04
Service area: Seattle and King Counties	
jails.....	02
Kittitas:	
Service area: Cle Elum.....	03
Klickitat.....	04
Lewis:	
Service area: Packwood.....	03
Okanogan:	
Service area: Oroville.....	01
Service area: Okanogan Valley migrant	
area.....	01
Pacific:	
Service area: Raymond/South Bend.....	03
Pend Oreille.....	02
Pierce:	
Service area: Long Branch.....	01
Skagit:	
Service area: Whatcom-Skagit migrant	
area.....	04
Skamania.....	02
Snohomish:	
Service area: Tulalip Tribe-Snohomish	
County.....	01
Service area: Darrington.....	01
Stevens:	
Service area: Chewelah.....	04
Walla Walla:	
Service area: Migrant population in Co-	
lumbia and Walla Walla.....	01
Whatcom:	
Service area: Whatcom-Skagit migrant	
area.....	04
Yakima:	
Service area: Lower Yakima Valley mi-	
grant area.....	02

## Service Area Listing

Service area name	Degree of shortage group
Chelan-Douglas migrant area.....	1
County—Chelan:	
Parts of county:	
Migrant population	
County—Douglas:	
Parts of county:	
Migrant population	
Chewelah.....	4
County—Stevens:	
Parts of county:	

## Service Area Listing—Continued

Service area name	Degree of shortage group
CCD No. 4	
CCD No. 6	
Cle Elum.....	3
County—Kittitas:	
Parts of county:	
CCD No. 2	
CCD No. 3	
CCD No. 4	
CCD No. 5	
CCD No. 6	
Darrington.....	1
County—Snohomish:	
Parts of county:	
C.T. 537	
Forks.....	4
County—Callam:	
Parts of county:	
Division 5	
Division 6	
County—Jefferson:	
Parts of county:	
Division 4	
Division 5	
Long Branch.....	1
County—Pierce:	
Parts of county:	
E.D. 38 of C.T. 72	
E.D. 39A of C.T. 72	
Lower Yakima Valley migrant area.....	2
County—Yakima:	
Parts of county:	
Migrant population	
McCleary-Elma.....	2
County—Grays Harbor:	
Parts of county:	
CCD No. 7	
CCD No. 8	
CCD No. 9	
CCD No. 10	
Migrant population in Columbia and	
Walla Walla.....	1
County—Columbia:	
Parts of county:	
Migrant population	
County—Walla Walla:	
Parts of county:	
Migrant population	
Migrants in Grant/Adams Counties.....	4
County—Adams:	
Parts of county:	
Migrant population in Adams	
County	
County—Grant:	
Parts of county:	
Migrant population in Grant County	
Okanogan Valley migrant area.....	1
County—Okanogan:	
Parts of county:	
Migrant population	
Oroville.....	1
County—Okanogan:	
Parts of county:	
CCD No. 1	
CCD No. 2	
CCD No. 3	
CCD No. 4	
CCD No. 11	
Otello.....	2
County—Adams:	
Parts of county:	
Division 4 (southern one-half)	
Division 5	
Division 8 (western one-third)	
Division 9	
Division 10	
County—Franklin:	
Parts of county:	
Glennell Division	
Kohlatus Division (northern one-	
half)	
County—Grant:	
Parts of county:	
Division 14	
Division 16	
Division 17	
Packwood.....	3
County—Lewis:	
Parts of county:	



## Service Area Listing—Continued

Service area name	Degree of shortage group
CCD No. 1	
Pike Market.....	4
County—King:	
Parts of county:	
C.T. 71	
C.T. 72	
C.T. 80	
C.T. 81	
C.T. 82	
C.T. 83	
Raymond/South Bend .....	3
County—Pacific:	
Parts of county:	
CCD No. 1	
CCD No. 2	
CCD No. 3	
CCD No. 4	
Raymond CCD	
Ryderwood.....	1
County—Cowlitz:	
Parts of county:	
Division 3	
Seattle and King Counties, jails.....	2
County—King:	
Parts of county:	
Seattle and King Counties, jails	
Seattle-Central.....	3
County—King:	
Parts of county:	
C.T. 74	
C.T. 75	
C.T. 76	
C.T. 77	
C.T. 78	
C.T. 79	
C.T. 87	
C.T. 90	
C.T. 92	
C.T. 93	
C.T. 86	
C.T. 91	
South Seattle .....	3
County—King:	
Parts of county:	
C.T. 105	
C.T. 106	
C.T. 107	
C.T. 108	
C.T. 109	
C.T. 111	
C.T. 112	
C.T. 100	
C.T. 101	
C.T. 103	
C.T. 107	
C.T. 104	
Tulalip Tribe—Snohomish County .....	1
County—Snohomish:	
Parts of county:	
Tulalip Tribe	
Vashon-Maury Islands.....	2
County—King:	
Parts of county:	
Vashon-Maury Islands	
Whatcom-Skagit migrant area.....	4
County—Skagit:	
Parts of county:	
Migrant population	
County—Whatcom:	
Parts of county:	
Migrant population	

## WEST VIRGINIA

## County Listing

County name	Degree of shortage group
Boone.....	03
Cabell:	
Service area: Cabell.....	01
Calhoun.....	03
Clay.....	01
Doddridge .....	01

## WEST VIRGINIA—Continued

County name	Degree of shortage group
Fayette:	
Service area: Fayetteville.....	01
Gilmer .....	01
Grant:	
Service area: Mountain Storm .....	01
Greenbrier:	
Service area: Northern Greenbrier Service area.....	02
Hampshire:	
Service area: Capon Bridge .....	01
Hardy.....	02
Harrison:	
Service area: Shinnston Service area.....	02
Jackson.....	03
Jefferson .....	01
Kanawha:	
Service area: Kanawha.....	01
Lewis .....	01
Lincoln.....	03
Logan:	
Service area: Chapmanville.....	02
McDowell .....	04
Marion:	
Service area: Farmington service area .....	02
Marshall:	
Service area: Cameron.....	01
Mason:	
Service area: Mason .....	01
Mercer:	
Service area: Mercer .....	01
Mineral:	
Service area: Cabin Run .....	03
Mingo:	
Service area: Mingo.....	01
Monongalia:	
Service area: Blacksville.....	01
Nicholas:	
Service area: Nicholas .....	01
Pendleton.....	01
Pleasants:	
Service area: Jefferson.....	03
Pocahontas .....	01
Putnam:	
Service area: Teays Valley.....	02
Raleigh:	
Service area: Northwest service area of Raleigh County .....	01
Service area: Mountaineer service area of Raleigh County .....	01
Service area: Gulf service area of Raleigh County .....	01
Randolph:	
Service area: Middlefork.....	01
Tyler:	
Service area: Tyler .....	02
Upshur:	
Service area: Middlefork.....	01
Wayne.....	01
Webster .....	03
Wetzel.....	03
Wirt.....	02

## Service Area Listing

Service area name	Degree of shortage group
Blacksville.....	1
County—Monongalia:	
Parts of county:	
Clay	
Battelle	
Cabell.....	1
County—Cabell:	
Parts of county:	
Gueyandotte	
Union	
Grant	
McComas	
Barboursville	
Cabin Run.....	3
County—Mineral:	
Parts of county:	
Frankfurt	
Cabin Run	

## Service Area Listing—Continued

Service area name	Degree of shortage group
Cameron.....	1
County—Marshall:	
Parts of county:	
Cameron	
Capon Bridge.....	1
County—Hampshire:	
Parts of county:	
Bloomery	
Capon	
Sherman	
Gore	
Chapmanville.....	2
County—Logan:	
Parts of county:	
Guyan	
Farmington service area.....	2
County—Marion:	
Parts of county:	
Lincoln District	
Fayetteville.....	1
County—Fayette:	
Parts of county:	
Fayetteville	
Falls	
Quinnimont	
Sewell Mountain	
Nuttall	
Mountain Cove	
Gulf Service area of Raleigh County .....	1
County—Raleigh:	
Parts of county:	
Trap Hill MCD	
Slab Fork MCD	
Jefferson .....	3
County—Pleasants:	
Parts of county:	
Grant	
Jefferson	
Lafayette	
McKim	
Union	
Kanawha.....	1
County—Kanawha:	
Parts of county:	
Big Sandy	
Poca	
Cabin Creek	
Malden	
Chesapeake Town	
Marmet Town	
Washington	
Union	
Washington	
Mason .....	1
County—Mason:	
Parts of county:	
Arbuckle	
Clendenin	
Cologne	
Copper	
Graham	
Hannan	
Robinson	
Union	
Waggener	
Mercer .....	1
County—Mercer:	
Parts of county:	
Rock	
Jumping Branch	
Plymouth	
Middlefork.....	1
County—Randolph:	
Parts of county:	
Middlefork	
County—Upshur:	
Parts of county:	
Meade	
Banks	
Mingo.....	1
County—Mingo:	
Parts of county:	
Harvey	
Hardee	
Kermit	
Magnolia	
Lee	



## Service Area Listing—Continued

Service area name	Degree of shortage group
Mountaineer service area of Raleigh County.....	1
County—Raleigh:	
Parts of county:	
Shady Spring MCD	
Richmond MCD	
Mountain Storm .....	1
County—Grant:	
Parts of county:	
Union	
Nicholas.....	1
County—Nicholas:	
Parts of county:	
Jefferson	
Grant	
Wilderness	
Summersville	
Hamilton	
Northern Greenbrier service area .....	2
County—Greenbrier:	
Parts of county:	
Williamsburg	
Falling Springs	
Frankford	
Anthony Creek	
Meadow Bluff	
Northwest service area of Raleigh County .....	1
County—Raleigh:	
Parts of county:	
Clear Fork MCD	
Marsh Fork MCD	
Shinnston service area .....	2
County—Harrison:	
Parts of county:	
Clay District	
Eagle District	
Teays Valley .....	2
County—Putnam:	
Parts of county:	
Scott District	
Teays Valley District	
Tyler .....	2
County—Tyler:	
Parts of county:	
Centerville	
Ellsworth	
McElroy	
Meade	
Union	

## WISCONSIN

## County Listing

County name	Degree of shortage group
Adams .....	03
Brown:	
Service area: Pulaski .....	01
Service area: Wisconsin State Reformatory .....	02
Buffalo:	
Service area: Western Buffalo County .....	01
Service area: Whitehall/Arcadia .....	01
Calumet .....	04
Clark .....	02
Crawford:	
Service area: Kickapoo Valley Area .....	01
Dodge:	
Service area: Wisconsin Correctional Institution .....	02
Service area: Wisconsin State Prison .....	02
Florence:	
Service area: Florence .....	01
Forest:	
Service area: Forest .....	04
Jackson:	
Service area: Sparta .....	03
Lincoln:	
Service area: Athens MSA .....	01
Service area: Tomahawk .....	03
Service area: Lincoln Hills School .....	02
Manitowoc:	
Service area: Reedsville .....	01

## WISCONSIN—Continued

County name	Degree of shortage group
Marathon:	
Service area: Athens MSA .....	01
Service area: Tigerton .....	02
Service area: Edgar .....	03
Service area: Birnamwood .....	01
Menominee .....	01
Milwaukee:	
Service area: Inner City North (Milwaukee) .....	01
Service area: Inner City South (Milwaukee) .....	03
Monroe:	
Service area: Sparta .....	03
Oconto:	
Service area: Mountain .....	01
Service area: Sparta .....	03
Service area: Pulaski .....	01
Oncida:	
Service area: Tomahawk .....	03
Portage:	
Service area: Tigerton .....	02
Price:	
Service area: Tomahawk .....	03
Racine:	
Service area: Racine (city) .....	04
Richland:	
Service area: Kickapoo Valley Area .....	01
Rusk .....	04
Shawano:	
Service area: Tigerton .....	02
Service area: Birnamwood .....	01
Service area: Pulaski .....	01
Sheboygan:	
Service area: Kettle Moraine Correctional Institution .....	02
Taylor:	
Service area: Athens MSA .....	01
Trempealeau:	
Service area: Whitehall/Arcadia .....	01
Vernon:	
Service area: Western Vernon County .....	04
Service area: Kickapoo Valley Area .....	01
Vilas .....	
Washakie:	
Service area: Ethan Allen School .....	02
Waupaca:	
Service area: Tigerton .....	02

## Service Area Listing

Service area name	Degree of shortage group
Athens MSA .....	1
County—Lincoln:	
Parts of county:	
Corning Town	
County—Marathon:	
Parts of county:	
Athens City	
Belin Town	
Halsey Town	
Hamburg Town	
Johnson	
Reitbrock Town (part)	
County—Taylor:	
Parts of county:	
Browning Town	
Deer Creek Town	
Goodrich Town	
Birnamwood .....	1
County—Marathon:	
Parts of county:	
Norrie Town	
Plover Town	
Hatley Village	
County—Shawano:	
Parts of county:	
Birnamwood Village	
Birnamwood Town	
Eland Village	
Almon Town	
Anima Town	
Anima Village	
Hutchins Village	

## Service Area Listing—Continued

Service area name	Degree of shortage group
Edgar .....	3
County—Marathon:	
Parts of county:	
Edgar City	
Reitbrock Town (southern part)	
Wein Town	
Rib Falls Town	
Cassel Town	
Fenwood City	
Ethan Allen School .....	2
County—Washakie:	
Parts of county:	
Waukesha	
Florence .....	1
County—Florence:	
Parts of county:	
East Florence Town	
Fern Town	
Commonwealth Town	
Fence Town	
Homestead Town	
Aurora Town	
Forest .....	4
County—Forest:	
Parts of county:	
Hiles Town	
Crandon Town	
Nashville Town	
Argonne Town	
Crandon City	
Lincoln Town	
Freedom Town	
Wabeno Town	
Laona Town	
Blackwell Town	
Armstrong Creek Town	
Caswell Town	
Ross Town	
Inner City North (Milwaukee) .....	1
County—Milwaukee:	
Parts of county:	
C.T. 63	
C.T. 102	
C.T. 103	
C.T. 104	
C.T. 105	
C.T. 106	
C.T. 107	
C.T. 112	
C.T. 113	
C.T. 114	
C.T. 115	
C.T. 116	
C.T. 117	
C.T. 118	
C.T. 119	
C.T. 120	
C.T. 121	
C.T. 122	
C.T. 133	
C.T. 139	
C.T. 140	
C.T. 141	
C.T. 142	
C.T. 39 (medically indigent population)	
C.T. 44 (medically indigent population)	
C.T. 45 (medically indigent population)	
C.T. 46 (medically indigent population)	
C.T. 64	
C.T. 47 (medically indigent population)	
C.T. 48 (medically indigent population)	
C.T. 61 (medically indigent population)	
C.T. 62 (medically indigent population)	
C.T. 90 (medically indigent population)	
C.T. 91 (medically indigent population)	
C.T. 96 (medically indigent population)	



## Service Area Listing—Continued

Service area name	Degree of shortage group
C.T. 108 (medically indigent population)	
C.T. 110 (medically indigent population)	
C.T. 111 (medically indigent population)	
C.T. 123 (medically indigent population)	
C.T. 133 (medically indigent population)	
C.T. 134 (medically indigent population)	
C.T. 135 (medically indigent population)	
C.T. 136 (medically indigent population)	
C.T. 137 (medically indigent population)	
C.T. 143 (medically indigent population)	
C.T. 144 (medically indigent population)	
C.T. 145 (medically indigent population)	
C.T. 146 (medically indigent population)	
C.T. 147 (medically indigent population)	
C.T. 148 (medically indigent population)	
C.T. 149 (medically indigent population)	
C.T. 150 (medically indigent population)	
C.T. 151 (medically indigent population)	
C.T. 152 (medically indigent population)	
C.T. 65	
C.T. 66	
C.T. 67	
C.T. 68	
C.T. 69	
C.T. 70	
C.T. 71	
C.T. 72	
C.T. 79	
C.T. 80	
C.T. 81	
C.T. 82	
C.T. 83	
C.T. 84	
C.T. 85	
C.T. 86	
C.T. 87	
C.T. 88	
C.T. 89	
C.T. 97	
C.T. 98	
C.T. 99	
C.T. 100	
C.T. 101	
Inner City South (Milwaukee).....	3
County—Milwaukee:	
Parts of county:	
C.T. 154 (medically indigent population)	
C.T. 180 (medically indigent population)	
C.T. 155	
C.T. 156	
C.T. 157	
C.T. 158	
C.T. 159	
C.T. 160	
C.T. 161	
C.T. 162	
C.T. 163	
C.T. 164	
C.T. 165	
C.T. 166	
C.T. 167	
C.T. 168	
C.T. 169	
C.T. 170	
C.T. 171	
C.T. 172 (medically indigent population)	

## Service Area Listing—Continued

Service area name	Degree of shortage group
C.T. 173 (medically indigent population)	
C.T. 174 (medically indigent population)	
C.T. 175 (medically indigent population)	
C.T. 176 (medically indigent population)	
C.T. 177 (medically indigent population)	
C.T. 178 (medically indigent population)	
C.T. 179 (medically indigent population)	
Kettle Morain Correctional Institution.....	2
County—Sheboygan:	
Parts of county:	
Kettle Morain Correctional Institution	
Kickapoo Valley Area.....	1
County—Crawford:	
Parts of county:	
Haney	
Bell Center	
Gays Mills	
Scott	
Clayton	
Soldiers Grave Village	
St. Sterling Village	
Utica	
County—Richland:	
Parts of county:	
Viola	
Sylvan	
Forest	
Bloom	
County—Vernon:	
Parts of county:	
Clinton	
Webster	
Liberty	
Viola	
Kickapoo	
Readstown	
Whitestown	
Ontario	
Stark	
Lafarge	
Forest	
Lincoln Hills School.....	2
County—Lincoln:	
Parts of county:	
Lincoln Hills School	
Mountain.....	1
County—Oconto:	
Parts of county:	
Townsend	
Lakewood	
Doty	
Riverview	
Armstrong	
Breed	
Bagley	
Brazeau	
Pulaski.....	1
County—Brown:	
Parts of county:	
Pulaski Village	
Pittsfield Town	
County—Oconto:	
Parts of county:	
Chase Town	
County—Shawano:	
Parts of county:	
Angelica Town	
Maple Grove Town	
Racine (city).....	4
County—Racine:	
Parts of county:	
C.T. 1 (medically indigent population)	
C.T. 2	
C.T. 3	
C.T. 4	
C.T. 5	
C.T. 6 (medically indigent population)	

## Service Area Listing—Continued

Service area name	Degree of shortage group
C.T. 7 (medically indigent population)	
C.T. 8 (medically indigent population)	
C.T. 10 (medically indigent population)	
C.T. 11 (medically indigent population)	
C.T. 12 (medically indigent population)	
C.T. 13 (medically indigent population)	
Reedsville.....	1
County—Manitowoc:	
Parts of county:	
Whitelaw Village	
Maribel Village	
Cooperstown Town	
Cato Town	
Rockland Town	
Franklin Town	
Maple Grove Town	
Reedsville Village	
Sparta.....	3
County—Jackson:	
Parts of county:	
Melrose Town	
Melrose Village	
County—Monroe:	
Parts of county:	
Angelo Town	
Leon Town	
Little Falls Town	
New Lyme Town	
Norwalk Village	
Ridgeville Town	
Sparta Town	
Sparta City	
Wells Town	
Sheldon Town	
County—Monroe:	
Parts of county:	
Lafayette Town	
Tigerton.....	2
County—Marathon:	
Parts of county:	
Elderon Village	
Elderon Town	
Franzen Town	
County—Portage:	
Parts of county:	
Rosholt Village	
Alban Town	
County—Shawano:	
Parts of county:	
Wittenberg Village	
Tigerton Village	
Bowler Village	
Bartelme	
Wittenberg Town	
Morris Town	
Seneca Town	
Germania Town	
Fairbanks Town	
Grant Town	
County—Waupaca:	
Parts of county:	
Dupont Town	
Marion Village	
Big Falls Village	
Wyoming Town	
Harrison Town	
Tomahawk.....	3
County—Lincoln:	
Parts of county:	
Bradley Town	
King Town	
Harrison Town	
Skanawan Town	
Somo Town	
Tomahawk Town	
Tomahawk City	
Wilson Town	
County—Oneida:	
Parts of county:	
Lynne Town	
Little Rice Town	
Nokonus Town	



## Service Area Listing—Continued

Service area name	Degree of shortage group
County—Price:	
Parts of county:	
Knox Town	
Prentice Town	
Spirit Town	
Prentice City	
Western Buffalo County	1
County—Buffalo:	
Parts of county:	
Nelson	
Cochrane	
Lincoln	
Alma City	
Alma Town	
Belvedere	
Waumandee	
Cross	
Milton	
Buffalo Town	
Fountain City	
Buffalo City	
Western Vernon County	4
County—Vernon:	
Parts of county:	
Genoa Village	
Bergen	
Benow Town	
Hamburg	
Harmony	
Sterling	
Wheatland	
Chaseburg	
Desoto	
Stoddard	
Whitehall/Arcadia	1
County—Buffalo:	
Parts of county:	
Cross	
Glencoe	
Milton	
County—Trempealeau:	
Parts of county:	
Arcadia City	
Arcadia Town	
Blair City	
Burnside Town	
Chimney Rock	
Dodge	
Hale	
Independence City	
Lincoln	
Pigeon	
Pigeon Falls Village	
Preston	
Whitehall	
Wisconsin Correctional Institution	2
County—Dodge:	
Parts of county:	
Wisconsin Correctional Institution	
Wisconsin State Prison	2
County—Dodge:	
Parts of county:	
Wisconsin State Prison	
Wisconsin State Reformatory	2
County—Brown:	
Parts of county:	
Wisconsin State Reformatory	

## WYOMING

## County Listing

County name	Degree of shortage group
Albany:	
Service area: Carbon-Rock River	04
Big Horn:	
Service area: Big Horn	03
Carbon:	04
Crook:	
Service area: Upton	01
Freemont:	
Service area: Sweetwater	01
Service area: Wind River	01

## WYOMING—Continued

County name	Degree of shortage group
Service area: Shoshoni	01
Service area: Wind River Indian Reservation	01
Hot Springs	
Lincoln	03
Notrona:	
Service area: Shoshoni	01
Niobrara	04
Platte	04
Sweetwater	04
Washakie	03
Weston:	
Service area: Upton	01

## Service Area Listing

Service area name	Degree of shortage group
Big Horn	3
County—Big Horn:	
Parts of County:	
Big Horn—South Division	
County—Washakie	
Carbon—Rock River	4
County—Albany:	
Parts of County:	
Rock River Division	
Shoshoni	1
County—Freemont:	
Parts of County:	
Shoshoni Division	
County—Notrona:	
Parts of County:	
Hell's Half Acre Division	
Sweetwater	1
County—Freemont:	
Parts of County:	
Sweetwater Division	
Upton	1
County—Crook:	
Parts of County:	
Hulett Division	
Moorcroft Division	
County—Weston:	
Parts of County:	
Upton Division	
Wind River	1
County—Freemont:	
Parts of County:	
Dubois Division	
Five Mile Creek Division	
Wind River Division	
Wind River Indian Reservation	1
County—Freemont:	
Parts of County:	
Wind River Indian Reservation	
County—Hot Springs:	
Parts of County:	
Wind River Indian Reservation	

## AMERICAN SAMOA

## County Listing

County name	Degree of shortage group
Manu'a District	03
Eastern Tutuila District	01
Western Tutuila District	01

## Service Area Listing

Service area name	Degree of shortage group
Tutuila Island	1
County—Eastern Tutuila District	

## Service Area Listing—Continued

Service area name	Degree of shortage group
County—Western Tutuila District	

## PUERTO RICO

## County Listing

County name	Degree of shortage group
Adjuntas:	
Service area: Guarguao	01
Anasco	02
Barceloneta:	
Service area: Florida	01
Cabo Rojo	02
Ciales:	
Service area: Medically indigent in Ciales	04
Hormigueros	02
Juncos	02
Maricao	02
Mayaguez	02
Penuelas:	
Service area: Guarguao	01
Guarguao	
Quebradillas:	
Service area: Medically indigent in Quebradillas	01

## Service Area Listing

Service area name	Degree of shortage group
Florida	1
County—Barceloneta:	
Parts of county:	
Florida Adelco	
Florida Afuero	
Guarguao	1
County—Adjuntas:	
Parts of county:	
Portuguez	
County—Penuelas:	
Parts of county:	
Rucio	
County—Guarguao:	
Parts of county:	
Guarguao	
Mayaguez	2
County—Anasco:	
County—Cabo Rojo:	
County—Hormigueros:	
County—Maricao:	
County—Mayaguez:	
Medically indigent in Ciales	4
County—Ciales:	
Parts of county:	
Medically indigent in Ciales	
Medically indigent in Quebradillas	1
County—Quebradillas:	
Parts of county:	
Medically indigent in Quebradillas	

## TRUST TERRITORY—PACIFIC

## County Listing

County name	Degree of shortage group
Marshall District	01
Ponape District	02
Ponape District:	
Service Area: Kosrae	01
Truk District	01
Yap District	03



## Service Area Listing

Service area name	Degree of shortage groups
Kosrae County—Ponape District: Parts of county: Kusale Island	1

## VIRGIN ISLANDS

## County Listing

County name	Degree of shortage group
St. Croix: Service area: Fredericksted	01

## Service Area Listing

Service area name	Degree of shortage group
Fredericksted County—St. Croix: Parts of county: E.D. 13 E.D. 14 E.D. 19 E.D. 20 E.D. 21 E.D. 22 E.D. 23 E.D. 24 E.D. 25	1

## SUPPLEMENTAL LIST OF NHSC-STAFFED PHYSICIAN SITES PREVIOUSLY DESIGNATED UNDER SECTION 329(b)

## ALABAMA

*Macon County*—John Andrews Clinic, Tuskegee (Macon County)  
*Cherokee/Red Bay Service Area*—West Colbert Health Clinic, Cherokee (Colbert County)  
*Guntersville Service Area*—Guntersville Health Services, Guntersville (Marshall County)  
*Hobson City Service Area*—Hobson City Medical Clinic, Hobson (Calhoun County)  
*St. Clair County*—Ragland Medical Clinic (St. Clair County)

## ARKANSAS

*Prairie County*—Prairie County Medical Center, Des Arc (Prairie County)  
*Lee County*—Lee County Memorial Hospital, Marianna (Lee County)  
*Newton County*—Newton County Medical Center, Jasper (Newton County)  
*Crawford County*—Crawford County Memorial Hospital, Van Buren (Crawford County)

## CALIFORNIA

*Downeville Service Area*—Western Sierra Medical Center, Downeville (Sierra County)  
*Siskiyou Service Area*—Scott Valley Medical Center, Etna (Siskiyou)  
*Russian River-Pacific Coast Service Area*—Russian River Health Center, Guerneville (Sonoma County)  
*Yuba Foothills-Feather Falls Service Area*—Yuba Feather Community Services, Brownsville (Yuba County)

*Akvin-Lamont-Greenfield Service Area*—Clinica Sierra Vista, Lamont (Kern County)

*Morongo Basin Service Area*—(1) Needles Medical Center, Needles (San Bernardino County); (2) Family Health Center, Lucerne Valley (San Bernardino County); (3) Valley Pediatric Center, Apply Valley (San Bernardino County)

*Dixon Service Area*—Regional Rural Health Program, Dixon (Solano County)

*Delta Service Area*—Delta Health Center, Walnut Grove (Sacramento County)

*Westside Service Area*—(1) San Joaquin Health Center, San Joaquin (Fresno County); (2) Huron-Five Points Funding, Huron (Fresno County)

*Mojave Desert Service Area*—Family Health Center, Barstow (San Bernardino County)

*East Oakland Service Area*—La Clinica de la Raga, Oakland (Alameda County)

## COLORADO

*Saguache County*—Saguache Medical Clinic, Saguache (Saguache County)

*Huerfano County*—Huerfano Family Medicine, Walsenburg (Huerfano County)

*Logan County*—Rural Health Care Association, Sterling (Logan County)

*Otero County*—La Clinica del Valle, Rocky Ford (Otero County)

*Lincoln County*—Limon Chamber of Commerce, Limon (Lincoln County)

*Las Animas County*—High Plains Medical Center, Trinidad (Las Animas County)

*Yuma County*—Yuma Hospital District, Yuma (Yuma County)

## FLORIDA

*Belle Glade-Pahokeemsa Service Area*—Belle Glade Health Center, Belle Glade (Palm Beach County)

*Baker County*—Fraser Memorial Hospital, McClenney (Baker County)

*Bay County*—Beaches Family Health Center, Panama City Beach (Bay County)

*Madison County*—Madison County Memorial Hospital, Madison (Madison County)

*Jackson County*—Jackson Health Services, Marianne (Jackson County)

*Bay County*—Comprehensive Health Planning Council, Panama City (Bay County)

## GEORGIA

*Dawson County*—Dawson County Board of Health, Dawsonville (Dawson County)

*Bryan County*—Pembroke Hospital Authority, Pembroke (Bryan County)

*Banks County*—Town of Homer, Homer (Banks County)

## IDAHO

*Valley-Boise Service Area*—Horseshoe Bend Community Health, Horseshoe Bend (Boise County)

*Oakley Service Area*—Medical Services, Inc., Oakley (Cassia County)

*Fremont County*—Ashton Health Services, Ashton (Fremont County)

## ILLINOIS

*Mercer County*—Aledo Jaycees, Aledo (Mercer County)

## INDIANA

*Lawrenceburg Service Area*—Rural Health Activities of Southeast Indiana, Lawrenceburg (Dearborn County)

## IOWA

*Butler County*—Parkersburg Medical Services, Parkersburg (Butler County)

*Ida County*—Ida County Community Health Clinic, Ida Grove (Ida County)

*Tama County*—North Tama Medical Center, Traer (Tama County)

## KENTUCKY

*Leslie County*—Mary Breckinridge Hospital, Hyden (Leslie County)

*Menifee County*—Menifee County Health Services Council, Frenchburg (Menifee County)

*Knott County*—East Kentucky Health Services, Hindman (Knott County)

*Letcher County*—Kentucky River District Health, Whitesburg (Letcher County)

*Henry County*—Town of Cambellsburg, Cambellsburg (Henry County)

## MASSACHUSETTS

*Revere City*—Revere Health Center, Revere City (Suffolk County)

## NEW HAMPSHIRE

*Gorham Service Area*—Gorham Medical Building, Gorham (Coos County)

*Hillsboro Service Area*—Hillsboro Medical Building, Hillsboro (Hillsborough County)

## MICHIGAN

*Dowagiac Service Area*—Decatur Satellite Clinic, Decatur (Van Buren County)

*Roscommon County*—(1) Houghton Lake Medical Center, Houghton Lake (Roscommon County); (2) Town of St. Helen (Roscommon County)

*Eaton County*—Hayes Green Beach Memorial Hospital, Charlotte (Eaton County)

*Wakefield Service Area*—Ewen Medical Center, Ewen (Ontonagon County)

## MINNESOTA

*Bigfork Service Area*—Northern Itasca Hospital District, Bigfork (Itasca County)

*Houston County*—Caledonia Community Hospital, Caledonia (Houston County)

*Red Lake Service Area*—Red Lake Falls (Red Lake County)

## MISSISSIPPI

*Holmes County*—Tchula Clinic, Tchula (Holmes County)

*Rosedale Service Area*—Levee Street Clinic, Rosedale (Bolivar County)

*Baldwyn Service Area*—Mississippi State of Health, Tupelo (Lee County)

## MONTANA

*Liberty County*—Liberty County Health Planning, Chester (Liberty County)

## NEW MEXICO

*Rio Arriba County*—La Clinica del Pueblo de Rio, Tierra Amarilla

*San Miguel County*—Pecos Valley Medical Center, Pecos (San Miguel County)

*Carrizozo Service Area*—Carrizozo Health Center, Carrizozo (Lincoln County)

*Torrance County*—Hope Medical Center, Estancia (Torrance County)

## NEW YORK

*Steuben County Service Area*—Noyes Memorial Hospital, Dansville (Steuben County)

*East Harlem Service Area*—Union Settlement, New York (New York County)



## NORTH CAROLINA

*Allegheny County*—Allegheny Family Practice Center, Sparta (Allegheny County)  
*Allegheny County*—Roanoke Medical Associates, Plymouth (Washington County)  
*Clay County*—Haysville Family Health Center, Haysville (Clay County)  
*Hot Springs Service Area*—Hot Springs Health Program, Hot Springs (Madison County)  
*Warren County*—Health Co., Inc., Soul City (Warren County)  
*Northampton County*—Northampton Medical Center, Jackson (Northampton County)  
*Graham County*—Robbinsville Medical Center, Snow Hill (Graham County)  
*Greene County*—Greene County Health Care, Snow Hill (Greene County)

## NORTH DAKOTA

*Emmons County*—Linton Medical/Dental Clinic, Linton

## OKLAHOMA

*Osage County*—Fairfax Dental Office, Fairfax (Osage County)

## PENNSYLVANIA

*East Lycoming Service Area*—Valley Community Health Care Center, Picture Rock (Lycoming County)

## SOUTH CAROLINA

*Beaufort Service Area*—Beaufort Jasper CHC, Ridgeland (Beaufort County)

## TENNESSEE

*Wilson County*—(1) Lebanon Primary Care Center, Lebanon (Wilson County); (2) Wattertown Primary Health Care Center, Wattertown (Wilson County)

*Hawkins County*—Surgoinville Medical Center, Surgoinville (Hawkins County)

*Perry County*—Perry County Clinic, Linden (Perry County)

## TEXAS

*Limestone County*—South Limestone County Health, Grosbeck (Limestone County)

*Zapata County*—Zapata Health Center, Zapata (Zapata County)

## UTAH

*Carbon-Emery Service Area*—(1) Emery County Clinic, Castle Dale; (2) Four Corners Mental Health Center, Price (Carbon County)

*Uintah County*—Family Health Center, Vernal (Uintah County)

## VIRGINIA

*Grayson County*—Independence Medical Center, Independence (Grayson County)  
*Fluvanna/Buckingham/Cumberland Tri-County Service Area*—Central Virginia Community Health Center, New Canton (Buckingham County)  
*Lee County*—St. Charles Health Center, St. Charles (Lee County)  
*Bedford Service Area*—Moneta (Bedford County)  
*Lee County*—Western Lee County Health Center, Ewing (Lee County)  
*Bedford Service Area*—Big Island Medical Clinic, Big Island (Bedford County)  
*Chesapeake City*—Chesapeake Health Systems, Ltd., Chesapeake (Chesapeake City)

## WEST VIRGINIA

*Monroe County*—Monroe County Medical Center, Union (Monroe County)  
*Preston County*—Preston County Health Council, Kingwood (Preston County)  
*Taylor County*—Taylor County Health Council, Grafton (Taylor County)

## WISCONSIN

*Mellen-Ashland Service Area*—(1) Park Falls Area Medical Corps, Park Falls (Price County); (2) Group Health Cooperative, Mellen (Ashland County)

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